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MAY 09 2014

Gambling Commission
Comm. & Legal Division

STATE OF WASHINGTON
GAMBLING COMMISSION

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Gambling Commission
Comm. & Legal Division

In the Matter of the Revocation or Suspension of)
the License to Conduct Gambling Activities of:)
)
Tung P. Le)
Lynnwood, Washington,)
)
Licensee.)

NO. CR 2013-01204

SETTLEMENT ORDER

Received

MAY 12 2014

Gambling Commission
Comm. & Legal Division

I.

This Settlement Order is entered into between the Washington State Gambling Commission and Melinda Froud, Staff Attorney, represent the Gambling Commission. Tung P. Le, licensee, represents himself.

II.

The Washington State Gambling Commission issued Tung P. Le the following license:

Number 68-05913, authorizing Card Room Employee activity, currently with Lucky Dragonz Casino, and formerly with the Red Dragon Casino and the Drift on Inn.

The license expires on October 12, 2014, and was issued subject to Mr. Le's compliance with state gambling laws and rules.

III.

David Trujillo, Director, issued a Notice of Administrative Charges and Opportunity for an Adjudicative Proceeding to the licensee on November 1, 2013. The license was personally served an Order of Default on January 24, 2014. On January 27, 2014, Commission staff received a Motion to Vacate from Mr. Le. Mr. Le's Motion to Vacate was presented at the February Commission meeting. On March 5, 2014, Commission staff received the Order on Motion to Vacate Final Order of Default. The Commissioners ordered the case to be sent to Office of Administrative Hearings for further proceedings. The licensee, however, has waived his right to a hearing based on the terms and conditions in the Settlement Order.

IV.

SUMMARY:

Tung Le under-reported \$492 in tips from June 8, 2013 to June 10, 2013. Additionally, Mr. Le currently owes \$3,509.35 in court-ordered fines and fees that have been sent to collections due to nonpayment. As a result of his fines and fees in collections, he has two Failures to Appear (FTA) on his record.

VIOLATIONS:

1) RCW 9.46.075 Denial, suspension, or revocation of license, application, or permit

The Commission may deny an application, or suspend or revoke any license or permit issued by it, for any reason or reasons, it deems to be in the public interest. These reasons shall include, but not be limited to, cases wherein the applicant or certified employee, or any person with any interest therein:

(The following subsection applies.)

(1) Has violated, failed or refused to comply with the provisions, requirements, conditions, limitations or duties imposed by chapter 9.46 RCW and any amendments thereto, or any rules adopted by the Commission pursuant thereto, or when a violation of any provision of chapter 9.46 RCW, or any Commission rule, has occurred upon any premises occupied or operated by any such person or over which he or she has substantial control.

2) WAC 230-03-085 Denying, suspending, or revoking an application, license or permit

We may deny, suspend, or revoke any application, license or permit, when the applicant, certified employee, or anyone holding a substantial interest in the applicant's or certified employee's business or organization:

(The following subsections apply.)

(1) Commits any act that constitutes grounds for denying, suspending, or revoking licenses or permits under RCW 9.46.075.

(3) Has demonstrated willful disregard for complying with ordinances, statutes, administrative rules, or court orders, whether at the local, state, or federal level.

(8) Poses a threat to the effective regulation of gambling, or creates or increases the likelihood of unfair or illegal practices, methods, and activities in the conduct of gambling activities, as demonstrated by: (a) Prior activities; or (b) Criminal record; or (c) Reputation; or (d) Habits; or (e) Associations.

3) WAC 230-15-425 Internal controls

(The following subsection applies.)

(2) Licensed card room employees must follow the internal control procedures for their individual functions.

4) WAC 230-15-475 Tips from players and patrons to card room employees

(The following subsection applies.)

(6) Employees must...

(d) accurately report all tips to their employer as described in the licensee's internal controls.

5) RCW 9.46.153 Applicants and licensees — Responsibilities and duties — Waiver of liability — Investigation statement as privileged.

(The following subsection applies.)

(1) It shall be the affirmative responsibility of each applicant and licensee to establish by clear and convincing evidence the necessary qualifications for licensure of each person required to be qualified under this chapter, as well as the qualifications of the facility in which the licensed activity will be conducted.

Tung Le failed to comply with internal control procedures by not accurately reporting his tips, in violation of WAC 230-425 and WAC 230-15-475. Mr. Le has failed to establish by clear and convincing evidence, as required by RCW 9.46.153(1), that he is qualified to be licensed. Failure to comply with internal controls and accurately report tips establishes that the licensee poses a threat to the effective regulation of gambling, or creates or increases the likelihood of unfair or illegal practices, methods, and activities in the conduct of gambling activities based on his activities. In addition, Mr. Le has failed to pay his court-ordered fines and fees. As a result, there are grounds to suspend or revoke Tung Le's license based on RCW 9.46.075(1) and WAC 230-03-085(1), (3) and (8).

V.

The licensee acknowledges that he has read the Notice of Administrative Charges and understands the facts contained in it. Tung P. Le has entered into a payment plan and has made a substantial payment towards his fines and fees, demonstrating that he no longer poses a threat to the effective regulation of gaming. The licensed employee waives his right to a hearing, based on the following terms and conditions of this Settlement Order. The parties further agree to the following:

- 1) Tung P. Le agrees that his license is **suspended for a period of twenty (20) days**, provided that:
 - a) **Five (5) days of the suspension shall not be currently served, but shall be deferred for a period of one year** from the date of entry of this Settlement Order, subject to the following: The licensee agrees not to violate the terms of this Settlement Order, or any of Washington's gambling statutes or regulations during the one (1) year term. If the licensee violates this Settlement Order or gambling statutes or regulations, and if the violation is the type that warrants the filing of administrative or criminal charges, then the Director may impose the five-day deferred suspension of the current gambling license and any subsequently acquired gambling licenses.
 - b) The parties agree that the Director of the Washington State Gambling Commission, pursuant to this Settlement Order, has discretion to determine whether the licensee has violated any term of this Settlement Order. In the event the Director determines that a violation has occurred, he may suspend the license issued to the licensee, and any subsequently acquired licenses, for up to five days, by mailing or delivering a Notice of Order of Administrative Charges to the licensee. This suspension shall be in addition to any consequences of the new violation. The licensee shall be afforded the opportunity to have an Adjudicative Proceeding, which includes a hearing on the alleged violations.

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c) The licensee shall serve the remaining fifteen (15) days of this suspension, as follows:

- The suspension period will begin at 8:00 a.m. on May 21, 2014, and runs through June 4, 2014.
- The licensee may resume gambling activities at 8:00 a.m. on June 5, 2014.

d) During this period of suspension, the licensee shall not conduct any gambling activities. Mr. Le's suspension does not prevent him from working in any non-gambling activity, such as employment in restaurants located in card rooms.

2) In addition, the licensee agrees to accurately report all of his tips as described in his employer's internal controls. Failure to do so will likely result in the revocation of Mr. Le's license.

3) **The signed Settlement Order must be received by Commission staff by May 5, 2014.**
Please mail to Commission Headquarters at the following address:

Washington State Gambling Commission
Attention: Communications and Legal Division
P.O. Box 42400
Olympia, WA 98504-2400

Or deliver (in person or via private courier) to the following address:

Washington State Gambling Commission
Attention: Communications and Legal Division
4565 7th Avenue SE; Third Floor
Lacey, WA 98503

DATED this 12 day of May, 2014.

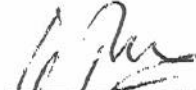


David Trujillo, Director


APPROVED FOR ENTRY:

APPROVED AS TO FORM:


By his signature, the licensee
understands and accepts the terms
and conditions of this Order.



Tung P. Le (DATE) 05/05/14
Licensee



Gregory Rosen, WSBA #15870
Assistant Attorney General
Representing the Washington State
Gambling Commission



Melinda Froud, WSBA #26792
Staff Attorney, Washington State
Gambling Commission