



**WASHINGTON STATE
GAMBLING COMMISSION
PUBLIC MEETING - OCTOBER 2017**

Hampton Inn and Suites
4301 Martin Way E.
Olympia, WA

COMMISSIONERS



Bud Sizemore
Chair



Julia Patterson
Vice Chair



Kelsey Gray



Ed Troyer



Chris Stearns

EX OFFICIOS



Senator
Steve Conway



Senator
Lynda Wilson



Representative
David Sawyer



Representative
Brandon Vick

STAFF



David Trujillo
Director



Amy Hunter
Deputy Director





STATE OF WASHINGTON
GAMBLING COMMISSION

"Protect the Public by Ensuring that Gambling is Legal and Honest"

Hampton Inn and Suites
4301 Martin Way E., Olympia, WA 98516
October 12, 2017

Please note, agenda times are estimates only. Items may be taken out of sequence at the discretion of the Chair.

10:15 AM - 10:45 AM	Discuss Rule Changes on the agenda - Public Welcome <i>Tina Griffin, Assistant Director</i>
11:00 AM	Call to Order Only <i>Bud Sizemore, Chair</i>
	Executive Session - Closed to the Public Discuss Pending Investigations, Tribal Negotiations, and Litigation
THE PUBLIC MEETING WILL RECONVENE AT APPROXIMATELY 1:00 PM PUBLIC MEETING	
1:00 PM	Welcome and Introductions <i>Bud Sizemore, Chair</i>
Tab 1	Consent Agenda (Action) <ul style="list-style-type: none">• September 14 &15, 2017 Minutes• New Licenses and Class III Employees Class III Employees/Snoqualmie (Action) Director's Report <ul style="list-style-type: none">• House-Banked Card Room Summary of Activity <i>David Trujillo, Director</i>
ADMINISTRATIVE PROCEDURE ACT PROCEEDINGS	
Tab 2 Action	Defaults <i>Haylee Mills, Staff Attorney</i> James D. Gardner, CR 2017-00646 Class III Employee Certification Revocation Anthony Ward, CR 2017-00941 Card Room Employee License Revocation Justin O. Madison, CR 2017-00463 Card Room Employee License Revocation Wesley L. Johnson, CR 2017-00117 Card Room Employee License Revocation Nouviris Real, CR 2017-01109 Card Room Employee License Revocation
ADMINISTRATIVE PROCEDURE ACT PROCEEDINGS	
RULES FOR DISCUSSION AND POSSIBLE FILING	
Tab 3 Action	Staff Request for Rule Changes/Fee Simplification (Package 2) <i>Tina Griffin, Assistant Director</i> <i>Brian Considine, Legal and Legislative Manager</i> <ul style="list-style-type: none">• Chapter 230 - 06 Rules for all licensees.• Chapter 230 - 07 Charitable and nonprofit rules.• Chapter 230 - 09 Fund-raising event rules.• Chapter 230 - 10 Bingo rules.• Chapter 230 - 11 Raffles.• Chapter 230 - 13 Amusement game rules.• Chapter 230 - 14 Punchboard and pull tab.• Chapter 230 - 15 Card game rules.

	<ul style="list-style-type: none"> • Chapter 230 - 16 Manufacturer, distributor, and gambling service supplier rules. • Chapter 230 - 17 Brief Adjudicative Proceedings. • WAC 230-03-085 Denying, suspending, or revoking an application, license or permit. • WAC 230-05-102 All licensed organizations report activity quarterly beginning with the July 1, 2018 through September 30, 2018 quarter.
	Break
Tab 4 Action	Petition For Rule Change to Restrict Employee Gambling at their Place of Employment (Great American Gaming) <p style="text-align: right;"><i>Tina Griffin, Assistant Director</i></p> <ul style="list-style-type: none"> • Restriction of employee gambling at their place of employment
ADMINISTRATIVE PROCEDURE ACT PROCEEDINGS	
RULES FOR FURTHER DISCUSSION	
Tab 5	Staff Request for Rule Changes/Fee Simplification (Package 1) <p style="text-align: right;"><i>Tina Griffin, Assistant Director</i></p> <ul style="list-style-type: none"> • Chapter 230-05 Fee Rules • Chapter 230-03 Permitting and Licensing Rules
Tab 6	Staff Request for New Rule <p style="text-align: right;"><i>Tina Griffin, Assistant Director</i></p> <ul style="list-style-type: none"> • WAC 230-17-192 Submission of electronic or mechanical gambling equipment during rule making
Tab 7	Petition for Rule Change (Ducks Unlimited) <p style="text-align: right;"><i>Tina Griffin, Assistant Director</i></p> <ul style="list-style-type: none"> • WAC 230-11-087 Other pricing plans for members-only raffles
	Other Business/General Discussion/Public Comments
	Meeting Adjourn

Upon advance request, the Commission will pursue reasonable accommodations to enable persons with disabilities to attend Commission meetings. Questions or comments pertaining to the agenda and requests for special accommodations should be directed to Julie Anderson, Executive Assistant at (360) 486-3453 or TDD (360) 486-3637. Questions or comments pertaining to rule changes should be directed to the Rules Coordinator (360) 486-3447.



STATE OF WASHINGTON
GAMBLING COMMISSION

"Protect the Public by Ensuring that Gambling is Legal and Honest"

September Gambling Commission Meeting Minutes

Red Lion by the River 700 N. Division
Spokane, WA 99202

Public Meeting September 14, 2017

Commissioners Present:

Bud Sizemore, Chair
Julia Patterson, Vice Chair
Kelsey Gray, PhD.
Chris Stearns
Ed Troyer

Ex Officios Present:

Representative David Sawyer

Staff Present:

David Trujillo, Director; Amy Hunter, Deputy Director; Tina Griffin, Assistant Director; Michael Kashmar, Chief Financial Officer; John Meader, Assistant Attorney General; Julie Lies, Tribal Liaison; Heather Songer, Public Information Officer; Julie Anderson, Executive Assistant.

Public Session

Chair Sizemore called the September 14 & 15, 2017 Gambling Commission Meeting to order at 11:00 a.m. He recessed the meeting to Executive Session pursuant to the RCW 42.30 to discuss Tribal Negotiations, Pending Investigations and Litigation. He announced the Commissioners would return at approximately 1:30 pm.

Public Session Resumed

Chair Sizemore called the Gambling Commission to order at 1:32 pm and asked for a moment of silence to reflect on the shooting at Freeman High School in Spokane.
After the moment of silence the Chair welcomed everyone to Spokane and introductions were made. There were 35 people in attendance.

Tab 1

Consent Agenda:

August Commission Meeting Minutes/ New Licensees

Commissioner Gray moved to approve the Consent Agenda as presented.

Commissioner Stearns seconded the motion.

The motion passed. 5:0

Snoqualmie Class III Gaming Employees Licensees

Commissioner Patterson moved to approve Snoqualmie Class III Gaming Employees as presented.

Commissioner Gray seconded the motion.

The motion passed. 4:0

Commissioner Stearns abstained.

Director's Update:

Director Trujillo updated the Commission on the agency's restacking efforts. The Gambling Commission is housed on three floors currently and will be reducing their footprint to two floors. He reminded the Commissioners of the current human resources workload. He asked the audience if they had any applicable candidates for any positions at the agency to please send them forward. He also highlighted the Employee Service Recognition luncheon that was provided to staff on August 29, 2017. There were games and live music provided by staff. Lastly, he mentioned that Chief Financial Officer Mike Kashmar's last day with the Gambling Commission will be September 29, 2017. Mr. Kashmar has accepted a similar position at the Washington State Department of Veterans Affairs. Director Trujillo thanked Mr. Kashmar for his service and wished him well in the future.

Tab 2

Presentation – Criminal Case Update

Agent in Charge, Gary Drumheller and Acting Agent in Charge, Danny Lisa presented the materials in Tab 2. Mr. Lisa updated the Commissioners on the criminal cases the Regulatory Unit worked in 2016, and Mr. Drumheller updated the Commissioners on the criminal cases the Criminal Enforcement Unit worked in 2016.

Tab 3

Fee Simplification Overview

Chief Financial Officer, Michael Kashmar, presented the materials for Tab 3. Mr. Kashmar went over the structure and filing dates for the Fee Structure Simplification project. Chair Sizemore also thanked Mr. Kashmar for his time on the Fee Structure Simplification project and stated that the agency might not have gotten to where they are without his work. Commissioner Patterson asked Mr. Kashmar if he would be presenting before the Commission again. Mr. Kashmar said he would be available if the Commission needed him. She thanked him for his service to the Commission and wished him well.

Public Comment-

Rick Newgard, Seattle Junior Hockey thanked the Commissioners and staff for the work on this process. Mr. Newgard acknowledged that at times the process was painful, but it was just nice being involved. He said that people may not be happy with the outcome, but they appreciate the opportunity to be involved in the final decision.

Chair Sizemore thanked Mr. Newgard for his support during the process as well.

Tab 4

Staff Request for Rule Changes/Fee Simplification (Package #1)

Deputy Director Amy Hunter and Assistant Director Tina Griffin presented the materials for Tab 4. Deputy Director Hunter introduced the Rule Changes/Fee Simplification and thanked staff for their hard work.

Commissioner Stearns moved to file the Rule Changes/ Fee Simplification Package #1 as presented by staff.

Commissioner Gray seconded the motion.

The motion passed. 5:0

Public Comment-

Dolores Chiechi, Executive Director, Recreational Gaming Association, thanked the Commission for involving the stakeholders in the fee simplification process. The stakeholders appreciated being consulted and brought to the table for the arduous process that took place.

Ms. Chiechi also addressed the discussion that took place at the last meeting. She said the Gambling Commission was created in 1973 and pull tabs were around in 1973. Ms. Chiechi asserted that the Gambling Commission was created because there was corruption among the Legislature, not so much in the industry. She said the Gambling Commission was created by the Legislature to give it an independent voice not influenced by campaign contributions. She appreciated the conversation that took place when Commissioner Troyer raised the topic of modernization of pull tabs. Ms. Chiechi reminded the Commissioners that as an industry, along with the non-profit, pull tab operators, tavern owners, the Recreational Gaming Association, over many years has attempted to get the discussion of modernization of pull-tab discussion to take place at the Legislature. Ms. Chiechi confided that her association don't have the funds to be heard. She added that the Commission has the opportunity to make recommendations to the legislature. During last month's discussion, she heard that modernizing pull tabs does make things easier for enforcement and auditing and that it removes the human element of error. She also heard that if the legislature isn't ready to act, that shouldn't color this commission's discussions. She added that her association members are thankful that the Commission is having this conversation because the conversation will not happen in Olympia unless and until this body decides to bring a recommendation to the Legislature. Ms. Chiechi closed by asking the question "What other industry is prohibited from modernizing its product?"

Meeting adjourned at 3:30 pm.

September Gambling Commission Meeting Minutes

Red Lion by the River 700 N. Division
Spokane, WA 99202

Public Meeting September 15, 2017

Commissioners Present:

Julia Patterson, Vice Chair (Acting Chair)
Kelsey Gray, PhD.
Chris Stearns
Ed Troyer

Staff Present:

David Trujillo, Director; Amy Hunter, Deputy Director; Tina Griffin, Assistant Director; John Meader, Assistant Attorney General; Julie Lies, Tribal Liaison; Heather Songer, Public Information Officer; Julie Anderson, Executive Assistant.

Acting Chair Julia Patterson reconvened the September Commission Meeting at 9:05 am September 15, 2017. She welcomed everyone and announced the meeting would adjourn around 11:00 am. There were 18 people in the audience.

Tab 5

Presentation – Administrative Case Update

Special Agent Supervisor Tony Hughes and Special Agent Tyson Wilson presented the materials for Tab 5. The presentation summarized administrative cases the Licensing Unit and the Regulatory Unit worked in 2016. There were no questions for the agents.

Tab 6

Presentation - Problem Gambling

Ann Gray, DSHS and Maureen Greeley, Evergreen Council on Problem Gambling presented the materials for Tab 6. They presented different PowerPoint Presentation. Ms. Gray included handout materials that she provided to Julie Anderson. Ms. Greeley shared a short video. Commissioner Stearns asked Ms. Gray about the Non-Native data that is not in their system. Ms. Gray said she would get back to the Commissioner with an answer to that question. Acting Chair Patterson asked if the \$1.5 million that they receive in funding is adequate for what they are being asked to do. She also asked if the revenue from the 0.13% of the B&O tax that is collected fluctuates. She also asked staff to double check with the Department of Revenue. Director Trujillo agreed to report back to the Commission. Acting Chair Patterson thanked Ms. Gray and Ms. Greeley for their presentations. She felt this presentation was the most comprehensive problem gambling report she's heard.

Tab 7

Petition for Rule Change – Ducks Unlimited

Assistant Director, Tina Griffin presented the materials for Tab 7. This rule was up for further discussion. There were no questions from the Commissioners.

Tab 8

Staff Request for New Rule – Gambling Equipment

Assistant Director Tina Griffin presented the materials for Tab 8. She said staff is requesting a new rule to require the submission of gambling equipment for evaluation during rulemaking when the gambling equipment is a new concept or is new to the State of Washington and the Commission has agreed to initiate rulemaking. Staff recommends filing for further discussion. Commissioner Stearns asked if the language “New or Novel” could be better defined. Assistant Director Griffin agreed to work on his request.

Acting Chair Patterson asked for questions from the audience. Victor Mena with the Recreational Gaming Association and Chief Operating Officer of Washington Gold Casinos found the rule confusing. Mr. Mena said that there was a fear that this rule would prevent smaller manufacturers from submitting new gambling equipment or games. Mr. Mena added that if he understood correctly, this is to allow the commission to review equipment that is not currently licensed and was not sure what's the difference from the past?

Assistant Director Griffin said this is a proposed rule change will be in the rulemaking section of the WAC. This new rule gives staff the ability to ask for equipment so that such equipment can be evaluated during the rulemaking process. It is needed because staff can't efficiently come up with rules, and safeguards for a concept without having the equipment in hand. Assistant Director Griffin explained that staff want a transparent process and that by having this rule, people who have new concepts for gambling equipment clearly know what the process is going to be.

Mr. Mena said one of the concerns is a doubling of the fee and asked if it creates an additional burden for a manufacturer when they are looking at submitting something new or novel?

Assistant Director Griffin advised Mr. Mena this rule is separate from WAC 230-06-050, which requires an application and fees to be submitted for gambling equipment review and approval. Manufacturers will not be charged a fee for submitting equipment for evaluation during rulemaking under this proposed rule. Staff will be monitoring costs to see if we need to make any adjustments in the future. Assistant Director Griffin said once a petition for rulemaking is finalized, manufacturers would submit an application, money and the equipment so that we can verify compliance as is presently done.

There were no further questions from the audience.

Commission meeting adjourned at 11:42 am.

Tab 7

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There were no further questions from the audience.

Commission meeting adjourned at 11:42 am.



HOUSE-BANKED PUBLIC CARD ROOM REPORT

Current House- Banked Locations Operating		49				
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
ACES CASINO ENTERTAINMENT	SPOKANE	Mar 13, 2014	Dec 31, 2017	7	00-23112	67-00325
ALL STAR CASINO	SILVERDALE	Jan 14, 1999	Jun 30, 2018	15	00-18357	67-00058
BLACK PEARL RESTAURANT & CARD ROOM	SPOKANE VALLEY	Jan 10, 2013	Sep 30, 2017	15	00-22440	67-00321
BUZZ INN STEAKHOUSE/EAST WENATCHEE	EAST WENATCHEE	Oct 10, 2002	Dec 31, 2017	10	00-11170	67-00183
CARIBBEAN CARDROOM	KIRKLAND	Aug 13, 2009	Mar 31, 2018	9	00-21882	67-00285
CASINO CARIBBEAN	YAKIMA	Mar 14, 2002	Dec 31, 2017	15	00-17603	67-00093
CASINO CARIBBEAN	KIRKLAND	Nov 15, 2005	Sep 30, 2017	15	00-20427	67-00238
CHIPS CASINO/LAKEWOOD	LAKEWOOD	Apr 8, 1999	Dec 31, 2017	12	00-17414	67-00020
CLEARWATER SALOON & CASINO	EAST WENATCHEE	Apr 9, 2015	Dec 31, 2017	11	00-23386	67-00328
CLUB HOLLYWOOD CASINO	SHORELINE	Sep 9, 2010	Jun 30, 2018	15	00-22132	67-00303
COYOTE BOB'S CASINO	KENNEWICK	Jul 10, 2009	Mar 31, 2018	13	00-21848	67-00282
CRAZY MOOSE CASINO II/MOUNTLAKE TERRACE	MOUNTLAKE TERRACE	Jul 10, 2009	Mar 31, 2018	15	00-21849	67-00283
CRAZY MOOSE CASINO/PASCO	PASCO	Jul 10, 2009	Mar 31, 2018	15	00-21847	67-00281
EMERALD DOWNS	AUBURN	May 11, 2017	Mar 31, 2018	15	00-23814	67-00335
FORTUNE CASINO	TUKWILA	Oct 8, 2015	Jun 30, 2018	12	00-23465	67-00329
FREDDIE'S CLUB	RENTON	Jan 8, 2015	Sep 30, 2017	15	00-23339	67-00327
GOLDIE'S SHORELINE CASINO	SHORELINE	May 13, 1999	Dec 31, 2017	15	00-17610	67-00016
GREAT AMERICAN CASINO/DES MOINES	DES MOINES	Jul 13, 2017	Mar 31, 2018	15	00-23795	67-00334
GREAT AMERICAN CASINO/EVERETT	EVERETT	Nov 12, 1998	Dec 31, 2017	15	00-19513	67-00194
GREAT AMERICAN CASINO/LAKEWOOD	LAKEWOOD	Aug 14, 2003	Jun 30, 2018	15	00-19258	67-00184
GREAT AMERICAN CASINO/TUKWILA	TUKWILA	Jan 15, 1998	Sep 30, 2017	15	00-12554	67-00012
HAWKS PRAIRIE CASINO	LACEY	Jul 12, 2001	Jun 30, 2018	15	00-17579	67-00091

Handwritten mark

Current House- Banked Locations Operating

49

Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
IRON HORSE CASINO	AUBURN	Jan 9, 2003	Dec 31, 2017	15	00-19477	67-00192
JOKER'S CASINO SPORTS BAR & FIESTA CD RM	RICHLAND	Nov 12, 1998	Dec 31, 2017	12	00-15224	67-00006
LANCER LANES/REST AND CASINO	CLARKSTON	Nov 13, 2008	Sep 30, 2017	9	00-21681	67-00276
LAST FRONTIER	LA CENTER	Feb 11, 1999	Sep 30, 2017	15	00-11339	67-00055
LILAC LANES & CASINO	SPOKANE	Jul 12, 2007	Jun 30, 2018	12	00-21305	67-00267
LUCKY 21 CASINO	WOODLAND	Oct 8, 2013	Jun 30, 2018	15	00-22918	67-00322
MACAU CASINO	TUKWILA	Jan 12, 2012	Sep 30, 2017	15	00-22573	67-00319
MACAU CASINO	LAKEWOOD	Jul 12, 2007	Mar 31, 2018	15	00-20428	67-00239
NOB HILL CASINO	YAKIMA	Sep 12, 2001	Dec 31, 2017	7	00-13069	67-00173
PALACE CASINO LAKEWOOD	LAKEWOOD	Jan 14, 1999	Dec 31, 2017	15	00-16542	67-00028
PAPAS CASINO RESTAURANT & LOUNGE	MOSES LAKE	Aug 13, 1998	Jun 30, 2018	12	00-02788	67-00004
RC'S	SUNNYSIDE	Nov 18, 2004	Sep 30, 2017	9	00-20298	67-00232
RED DRAGON CASINO	MOUNTLAKE TERRACE	Aug 11, 2011	Jun 30, 2018	9	00-22459	67-00315
RIVERSIDE CASINO	TUKWILA	Aug 14, 2003	Jun 30, 2018	15	00-19369	67-00187
ROMAN CASINO	SEATTLE	Feb 10, 2000	Mar 31, 2018	15	00-17613	67-00057
ROXY'S BAR & GRILL	SEATTLE	Nov 18, 2004	Jun 30, 2018	12	00-20113	67-00231
ROYAL CASINO	EVERETT	Sep 9, 2010	Jun 30, 2018	15	00-22130	67-00301
SILVER DOLLAR CASINO/MILL CREEK	BOTHELL	Sep 9, 2010	Jun 30, 2018	15	00-22131	67-00302
SILVER DOLLAR CASINO/RENTON	RENTON	Sep 9, 2010	Jun 30, 2018	15	00-22134	67-00305
SILVER DOLLAR CASINO/SEATAC	SEATAC	Sep 9, 2010	Jun 30, 2018	13	00-22128	67-00299
SLO PITCH PUB & EATERY	BELLINGHAM	Aug 12, 1999	Jun 30, 2018	9	00-16759	67-00038
THE GETAWAY CASINO	WALLA WALLA	Mar 11, 2016	Jun 30, 2018	8	00-23485	67-00332
THE PALACE	LA CENTER	Apr 9, 1998	Jun 30, 2018	15	00-16903	67-00010
UBET CASINO	LONGVIEW	Oct 8, 1998	Jun 30, 2018	11	00-17449	67-00039
WILD GOOSE CASINO	ELLENSBURG	Apr 8, 2004	Dec 31, 2017	7	00-20009	67-00212
WIZARDS CASINO	BURIEN	Feb 11, 2010	Dec 31, 2017	15	00-21998	67-00287

Current House- Banked Locations Operating							49
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #	
ZEPPOZ	PULLMAN	Nov 13, 2008	Mar 31, 2018	6	00-18777	67-00209	

Licensed, But Not Operating							2
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #	
NEW PHOENIX	LA CENTER	Mar 12, 1998	Mar 31, 2018	1	00-12650	67-00005	
PALACE TUKWILA	TUKWILA	Jul 14, 2016	Sep 30, 2017	12	00-23304	67-00333	

Applications Pending							2
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #	
CARDS R' US INC	LONGVIEW			11	00-23997	67-00337	
RC'S AT VALLEY LANES	SUNNYSIDE			6	00-16220	67-00336	



COMMISSION APPROVAL LIST
(New Licenses & Class III Gaming Employees)
October 2017

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Based upon the licensing investigations, staff recommends approving all new Licenses and Class III employees listed on pages 1 to 18.

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ORGANIZATION NAME

FILE NUMBER

PREMISES LOCATION

NEW APPLICATIONS

RAFFLE

BACKCOUNTRY HORSEMEN/TAHOMA 00-23987 02-20767	B	30218 188TH AVE SE KENT WA 98042
COLLEGE PLACE BOOSTERS 00-24012 02-20784	B	712 ELECTRIC AVE WALLA WALLA WA 99362
FOE WA STATE AUX/ELMA 00-23800 02-20789	B	962 COAL CREEK RD CHEHALIS WA 98532
LAURELHURST PTA 00-23788 02-20782	B	4530 46TH AVE NE SEATTLE WA 98105
MASADA SHRINERS 00-23995 02-20774	A	2802 FRUITVALE BLVD YAKIMA WA 98902
MOHAI 00-23777 02-09716	C	1118 YAKIMA AVE S SEATTLE WA 98144
PACIFIC NORTHWEST BALLET ASSOC 00-09753 02-01909	B	301 MERCER ST SEATTLE WA 98109
PROVIDENCE MOUNT ST. VINCENT FOUNDATION 00-19033 02-20765	C	4831 35TH AVE SW SEATTLE WA 98126
RAVE FOUNDATION 00-24001 02-20776	C	159 JACKSON ST SEATTLE WA 98401
RIKKOLE CREE CANOE CLUB 00-24003 02-20778	C	2884 GOSHEN ROAD BELLINGHAM WA 98226
SOUND GENERATIONS 00-22794 02-09223	B	2208 2ND AVE STE 100 SEATTLE WA 98121
SPOKANE CHILDREN'S THEATRE 00-24004 02-20779	B	2727 N MADELIA STREET SPOKANE WA 99207
ST PATRICK'S CATHOLIC CHURCH 00-00134 02-00398	C	1320 W HENRY ST PASCO WA 99301
WASHINGTON YOUTH SOCCER FOUNDATION 00-24008 02-20781	C	7100 FORT DENT WAY, STE 215 TUKWILA WA 98188

AMUSEMENT GAMES NONPROFIT

STARFIRE SPORTS 00-21469 03-20709	A	14800 STARFIRE WAY TUKWILA WA 98188
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ORGANIZATION NAME

FILE NUMBER

PREMISES LOCATION

NEW APPLICATIONS

PUNCHBOARD/PULL-TAB COMMERCIAL STIMULANT

BAYOU BAR & GRILL 00-24000 05-21503	D	18602 E TRENT AVE SPOKANE VALLEY WA 99027
LITTLE SI'S REST & LOUNGE 00-23976 05-21498	A	456 SW MT SI BLVD C1 NORTH BEND WA 98045
OLD HIGHWAY 99 SALOON 00-23723 05-21426	A	12743 OLD HWY 99 SE TENINO WA 98589
SHOREWOOD MARKET & CAFE 00-23955 05-21489	A	5555 E EVERGREEN BLVD STE D VANCOUVER WA 98661

PERSON'S NAME

EMPLOYER'S NAME

LICENSE ISSUE NUMBER

PREMISES LOCATION

NEW APPLICATIONS**DISTRIBUTOR REPRESENTATIVE**

ABAYOMI, ODIMAYO I
22-01141

JCM GLOBAL
LAS VEGAS NV 89119-3728

CHHENG, LEE K
22-01148

JCM GLOBAL
LAS VEGAS NV 89119-3728

EBEY, TRAVIS M
22-01175

NRT TECHNOLOGIES INC
LAS VEGAS NV 89118

HERNANDEZ, NOEL
22-01251

JCM GLOBAL
LAS VEGAS NV 89119-3728

KUBILUNAS, DAVID A
22-01253

JCM GLOBAL
LAS VEGAS NV 89119-3728

LUATE, WILSON K
22-01252

JCM GLOBAL
LAS VEGAS NV 89119-3728

ROMERO, DIOSCORO B JR
22-01250

JCM GLOBAL
LAS VEGAS NV 89119-3728

VANDERKOOI, WILLIAM J
22-01249

JCM GLOBAL
LAS VEGAS NV 89119-3728

WEEMS, DAVID E
22-01203

JCM GLOBAL
LAS VEGAS NV 89119-3728

MANUFACTURER REPRESENTATIVE

BOWERSMITH, CHRISTIAN P
23-02465

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

CHU, EDWARD H
23-02473

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

DODSON, MARC W
23-02464

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

GALLARDES, RYAN B
23-02472

EVERI GAMES INC.
AUSTIN TX 78746

GARCIA, RACHEL E
23-02475

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

HEACOCK, DEREK M
23-02471

M3 TECHNOLOGY SOLUTIONS LLC
NORMAN OK 73069

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

MANUFACTURER REPRESENTATIVE

HORNING, AMY K
23-02470

IGT
LAS VEGAS NV 89113

JOHNSON, TAMMY S
23-01790

AMERICAN GAMES
COUNCIL BLUFFS IA 51501

KUETER, RYAN A
23-02466

M3 TECHNOLOGY SOLUTIONS LLC
NORMAN OK 73069

LEWIS, JOHN J JR
23-02463

IGT
LAS VEGAS NV 89113

LISCIO, ROBERT A
23-01448

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

MANJARRES, MICHELLE L
23-02476

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

MCBEAN, ALLISON N
23-02477

EVERI PAYMENTS INC
LAS VEGAS NV 89113-2175

MULLIGAN, MARK C
23-02474

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

PAPEZ, PETER M
23-02468

ARISTOCRAT TECHNOLOGIES
LAS VEGAS NV 89119

PARKER, JENNIFER L
23-02467

ARISTOCRAT TECHNOLOGIES
LAS VEGAS NV 89119

SKAARE, MAX A
23-02469

ARISTOCRAT TECHNOLOGIES
LAS VEGAS NV 89119

NON-PROFIT GAMBLING MANAGER

DAVIS, JAMES W JR
61-04401

FOE 02577
GRAND COULEE WA 99133-0079

SERVICES SUPPLIER REPRESENTATIVE

GEBHARDT, EDWARD C
63-00802

RELIABLE SECURITY SOUND & DATA
EVERETT WA 98206-1295

NIEVA-DAZA, JESUS H
63-00800

SIMPLE SECURITY SOLUTIONS
BOTHHELL WA 98021

PERSON'S NAME

EMPLOYER'S NAME

LICENSE ISSUE NUMBER

PREMISES LOCATION

NEW APPLICATIONS**SERVICES SUPPLIER REPRESENTATIVE**SOMERS, ROBERT P
63-00628NORTH AMERICAN VIDEO
BRICK NJ 08723ULINSKI, ANDREW L
63-00803RELIABLE SECURITY SOUND & DATA
EVERETT WA 98206-1295WALSH, KARL H
63-00801SIMPLE SECURITY SOLUTIONS
BOTHELL WA 98021**PUBLIC CARD ROOM EMPLOYEE**AINSWORTH, RUTH M
68-24445

B

THE GETAWAY CASINO
WALLA WALLA WA 99362ALGER, DIANNA L
68-16002

B

PALACE CASINO LAKEWOOD
LAKEWOOD WA 98499-8434AMAYA GARCIA, COLLEEN M
68-18612

B

GREAT AMERICAN CASINO/DES MOIN
DES MOINES WA 98198ARMSTRONG, ASHLEY M
68-34433

B

LUCKY 21 CASINO
WOODLAND WA 98674BICKEL, KELLY M
68-34423

B

SLO PITCH PUB & EATERY
BELLINGHAM WA 98225BRENNIS, CHANTELE L
68-34451

B

GREAT AMERICAN CASINO/EVERETT
EVERETT WA 98204BROWN, TAMMY R
68-20548

B

ALL STAR CASINO
SILVERDALE WA 98383CLICK, ROBIN H
68-34484

B

ALL STAR CASINO
SILVERDALE WA 98383COOPER, DINO
68-34487

B

MACAU CASINO
LAKEWOOD WA 98499CUSHMAN, TAMMY L
68-34493

B

CRAZY MOOSE CASINO/PASCO
PASCO WA 99301ELIAS GUTIERREZ, MANUEL
68-34466

B

CLEARWATER SALOON & CASINO
EAST WENATCHEE WA 98802GLAMMEIER, KATHLEEN R
68-18625

B

ALL STAR CASINO
SILVERDALE WA 98383

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

PUBLIC CARD ROOM EMPLOYEE

GOMEZ, ANTHONY L 68-34456	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
HALL, MARJORIE A 68-34454	B	ROXY'S BAR & GRILL SEATTLE WA 98126
HAMPTON, ROBERT D JR 68-20901	B	ZEPPOZ PULLMAN WA 99163
HARVEY, DONNELL R 68-34457	B	GREAT AMERICAN CASINO/TUKWILA TUKWILA WA 98168
HUNTER, DEVYN M 68-34447	B	GREAT AMERICAN CASINO/TUKWILA TUKWILA WA 98168
ISAAC, STEVEN M 68-32243	B	CRAZY MOOSE CASINO/PASCO PASCO WA 99301
IVES, MARCEA R 68-34453	B	ROYAL CASINO EVERETT WA 98204
JAMES, JESSE R 68-34482	B	THE PALACE LA CENTER WA 98629
JAMISON, ZACKARY T 68-34483	B	GOLDIE'S SHORELINE CASINO SHORELINE WA 98133
KANG, MARY S 68-14146	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
KESSLER, SEAN E 68-25796	B	COYOTE BOB'S CASINO KENNEWICK WA 99336
KHEM, PEOVNARY 68-34464	B	ROXY'S BAR & GRILL SEATTLE WA 98126
KOLDA, MICHAEL D 68-20807	B	PAPAS CASINO RESTAURANT & LOUN MOSES LAKE WA 98837
KONTONASAKIS, ANTONIOS H JR 68-34485	B	LUCKY 21 CASINO WOODLAND WA 98674
KRAMER, SEAN 68-34438	B	THE PALACE LA CENTER WA 98629
LARA RAMOS, JORGE O 68-34494	B	BUZZ INN STEAKHOUSE/EAST WENAT EAST WENATCHEE WA 98802

PERSON'S NAME

EMPLOYER'S NAME

LICENSE ISSUE NUMBER

PREMISES LOCATION

NEW APPLICATIONS**PUBLIC CARD ROOM EMPLOYEE**

LASTE DALL OGLIO NYBERG, CAMILA 68-34469	B	GREAT AMERICAN CASINO/EVERETT EVERETT WA 98204
LEE, HA N 68-34441	B	THE HIDEAWAY SHORELINE WA 98133-6524
LITTLE, KAY C 68-34434	B	BLACK PEARL RESTAURANT & CARD SPOKANE VALLEY WA 99206-471
LOVE, WILLIAM B 68-33340	B	MACAU CASINO TUKWILA WA 98188-2437
MARKHAM, PEYTYN S 68-34479	B	GOLDIE'S SHORELINE CASINO SHORELINE WA 98133
MARLOW, CHUCK A 68-14252	B	HAWKS PRAIRIE CASINO LACEY WA 98516
MARTINEZ, LUPITA 68-25836	B	JOKER'S CASINO SPORTS BAR & FIEST RICHLAND WA 99352-4122
MCCOLLUM, KEVIN M 68-18283	B	LUCKY 21 CASINO WOODLAND WA 98674
MCCONCHIE, RENE J 68-28824	B	PAPAS CASINO RESTAURANT & LOUN MOSES LAKE WA 98837
MCKINNEY, CAMERON J 68-34467	B	THE PALACE LA CENTER WA 98629
MCMILLIN, DUANE D 68-34471	B	JOKER'S CASINO SPORTS BAR & FIEST RICHLAND WA 99352-4122
MILLER, MILLIE L 68-34488	B	LAST FRONTIER LA CENTER WA 98629-0000
MOHS, ANTHONY M 68-34452	B	CLUB HOLLYWOOD CASINO SHORELINE WA 98133
MUSE, TAYLOR A 68-34445	B	HAWKS PRAIRIE CASINO LACEY WA 98516
MY, SOMOLL S 68-06137	B	IRON HORSE CASINO AUBURN WA 98002
MYERS, MARJORIE L 68-34491	B	CRAZY MOOSE CASINO/PASCO PASCO WA 99301

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

PUBLIC CARD ROOM EMPLOYEE

PACKER, SHERRY L 68-34472	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
PAIGE, KAILANI Q 68-12768	B	GOLDIE'S SHORELINE CASINO SHORELINE WA 98133
PEDROZA, JOSEPH A 68-33059	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
PERDUE, SHERI R 68-18051	B	ALL STAR CASINO SILVERDALE WA 98383
PHILLIPS, QUERIDA J 68-34486	B	ALL STAR CASINO SILVERDALE WA 98383
PHILLIPS, RICHARD J 68-34427	B	THE GETAWAY CASINO WALLA WALLA WA 99362
PRAG, CAROL A 68-16562	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802
QUEMUEL, VATSANA 68-13723	B	IRON HORSE CASINO AUBURN WA 98002
REED, CONNER G 68-34444	B	WILD GOOSE CASINO ELLENSBURG WA 98926
REED, LINDSEY A 68-34474	B	GREAT AMERICAN CASINO/EVERETT EVERETT WA 98204
REINER, DAVID A 68-34431	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
RODRIGUEZ, DESMOND A 68-34443	B	CASINO CARIBBEAN YAKIMA WA 98901
SALLAS, ABRAHAM R 68-34455	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802
SCHULER, DIONE J 68-34460	B	COYOTE BOB'S CASINO KENNEWICK WA 99336
SEARS, TARA N 68-34465	B	BUZZ INN STEAKHOUSE/EAST WENAT EAST WENATCHEE WA 98802
SEBURG, JUSTIN D 68-34480	B	THE PALACE LA CENTER WA 98629

PERSON'S NAME

EMPLOYER'S NAME

LICENSE ISSUE NUMBER

PREMISES LOCATION

NEW APPLICATIONS**PUBLIC CARD ROOM EMPLOYEE**

SHEARER, JACOB B 68-34462	B	ROYAL CASINO EVERETT WA 98204
SMITH, LIESILOTTIE M 68-34442	B	UBET CASINO LONGVIEW WA 98632-3024
SOK, BOPHA 68-34461	B	GREAT AMERICAN CASINO/DES MOIN DES MOINES WA 98198
SOK, PETTER 68-34475	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
SONG, PHALLY P 68-34429	B	RIVERSIDE CASINO TUKWILA WA 98168
SPANSKI, MICHAEL S 68-34489	B	SLO PITCH PUB & EATERY BELLINGHAM WA 98225
STEEN, CODY C 68-34432	B	BUZZ INN STEAKHOUSE/EAST WENAT EAST WENATCHEE WA 98802
TENG, DARAVY 68-34477	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
TREVINO, AMANDA R 68-34446	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
TRUEMAN, ANDREW C 68-34470	B	LANCER LANES/REST AND CASINO CLARKSTON WA 99403-2219
TRUONG, HOANG P 68-34497	B	RIVERSIDE CASINO TUKWILA WA 98168
TUPUA, CLIFF-RICHARD I 68-34435	B	ROXY'S BAR & GRILL SEATTLE WA 98126
VONGKHAMCHANH, VIENGXAY 68-34478	B	MACAU CASINO TUKWILA WA 98188-2437
WILLIAMS, LYNETTE M 68-31826	B	BLACK PEARL RESTAURANT & CARD SPOKANE VALLEY WA 99206-471
WINNETT, ASHLEY I 68-34463	B	CHIPS CASINO/LAKEWOOD LAKEWOOD WA 98499
ZENG, JIAOZHEN 68-34430	B	RIVERSIDE CASINO TUKWILA WA 98168

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

CHEHALIS CONFEDERATED TRIBES

DELIN, DAVID R
69-43193

FREITAS, JEREMY J
69-43128

JONES, CAMERON J
69-43140

SALIHU, JETE
69-43182

TOBIN, JANICE D
69-43129

WEST, SAMANTHA K
69-43100

YOUCKTON, ANN-MARIE R
69-43141

COLVILLE CONFEDERATED TRIBES

CANTERBURY, JOSEY S
69-43127

DOTY, ANNADEATRA C
69-43122

GONZALEZ, DILLAN J
69-43028

GRAGEDA VARGAS, ANGEL A
69-43134

HEINLEN, CORINA S
69-43139

JUNEAU, JAMIE M
69-43027

KRUGER, CYNTHIA R
69-43102

MARTINEZ, ENRIQUE R III
69-31320

MCDONALD, ALEXANDRIA V
69-43029

ORVIS, NICHOLAS G
69-43101

SALLAS, NAKEASHA L
69-39430

STEPHENS, MATTHEW E
69-43036

TALLBULL, MARTINA C
69-43156

TEJADA VAZQUEZ, JORGE A
69-39679

WATERS, TOMMY L
69-43024

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

ANTHONY, SHELBY M
69-43018

CHANG, AI-HSUN
69-43014

COOL, MICHAEL S
69-43168

DEWEY, SPENCER F
69-43132

DUKE, SAMANTHA L
69-43047

FRANK, TIMOTHY O
69-15246

HALE, PATRICIA L
69-43044

HINKLEY, MELINDA C
69-43046

JUHLIN, TERESA L
69-43186

KEARBY, JUDD W
69-43166

KNAPP, SANDRA R
69-43111

LEADY, ERIC J
69-43012

MAGNONI, DUSTIN L
69-43016

ORDONA, IAN G
69-43013

OSBORNE, CONNIE M
69-43133

PATTON, LAURA B
69-43015

PENNINGTON, ZANE A
69-43070

PYE, DYLAN R
69-43017

QUICHOCHO, CAROLANN J
69-43187

RANDOL, ABIGAIL-ROSE S
69-43019

RENSKUMBERGA, KATERINA
69-43162

ROBINSON-RATLIFF, EBONY T
69-43185

SKARVELIS, GREGORY P
69-43173

SNYDER, MICHAEL D
69-43071

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

TOOTOOSIS-DIDIER, TATUM E
69-43167

YANG, YAN X
69-43112

JAMESTOWN S'KLALLAM TRIBE

DEMARS, JESSICA L
69-43062

DOYLE, SCOTT A
69-43066

GUCKERT, MITCH G
69-34279

HANCOCK, JESSE W
69-43115

MILHOUSE, PURITY A
69-43061

MORGAN, DONNY P
69-31418

ROSE, STEVEN R
69-43200

KALISPEL TRIBE

CRESS, CHANDRALYN L
69-43195

DEFILIPPO, CODY J
69-43196

FORD, ERIC T
69-43155

GOMEZ, ALEAS D
69-43190

GRADY, CHAZ W
69-36641

GRAGEDA VARGAS, ANGEL A
69-43198

HARRIS-ROSENBERG, ALAN J
69-33405

LEON GUERRERO, LYONELL M
69-17872

LOVELL, ZACHARY R
69-43063

PARHAM, NATASHA L
69-43125

PATE, MARIAN E
69-43064

STEVENS, JADEN M
69-43098

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

KALISPEL TRIBE

STOOKEY, CAITLIN N
69-43065

TEVLIN, MAKAYLA N
69-43154

THORNHILL, JESSICA J
69-35646

WEINZETL, MA JOANA M
69-43067

LUMMI NATION

ATKINSON, PEGGY S
69-43050

BERRIOS, MILAGROS J
69-43034

BRUNE, DÝLAN E
69-43096

HALL, RAYNETTE A
69-08110

HARRISON, CHRISTINE M
69-42916

HILLAIRE-LUMBERT, BRADEN C
69-34080

JEFFERSON, LONI L
69-43072

LESLIE, ASHLEY N
69-43020

RIVERA, CHANCE P
69-43035

TAGEANT, GILLIAN M
69-43094

MUCKLESHOOT INDIAN TRIBE

ADHIKARI, HARI B
69-43105

DUTY, THOMAS G
69-43068

FITAS, PAVLO R
69-43076

GOULD, MICHAEL A
69-43002

KONGTAKANE, VATSANA
69-43054

MATHER, VANESSA A
69-23648

PEDRO, ANITA J
69-01747

WILLIAMS, KEEVIN L
69-14242

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

MUCKLESHOOT INDIAN TRIBE

WINGROVE, CHRISTIAN P
69-43041

NISQUALLY INDIAN TRIBE

EVELETH, JOHN J
69-43136

GIAKONOSKI, THUY T
69-43146

GIAKONOSKI, VASIL
69-43135

HOHENEGGER, RACHEL K
69-43121

JOHNSON, ANTHONY J
69-43144

KIRKHAM, HAROLD A
69-43137

LEWIS, TIFFANY T
69-43123

O'NEAL, KIARA M
69-43124

ROSAS, SIMON R
69-43131

SARTAIN, DONALD J
69-43138

THORNTON, JAMMIE L
69-36024

TREADWELL, BRIANNA M
69-38205

WEBB, BRITTNEE A
69-43145

PORT GAMBLE S'KLALLAM TRIBE

BENITEZ, HECTOR
69-43113

BERGMAN, MICHAEL P
69-34161

BOS, LINDSEY A
69-43114

BUNCH, CAMERON J
69-43103

WARD, JENNIFER L
69-43104

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

PUYALLUP TRIBE OF INDIANS

ARCHER, SHELBY R
69-43088

ASUEMU, PERRY S
69-43153

BENJAMIN, BENJAMIN I JR
69-43106

CRANDALL, WAYNE G
69-18435

ELLIS, KENNETH G JR
69-28864

GALLEGOS, CHRISTOPHER P
69-43205

JACKSON, AUDRIAUNA K
69-43158

JIMINEZ, ANGELISA L
69-26361

LEGERTON, TIMOTHY B
69-43075

MAO, SAVIN
69-43199

MARTINEZ, MICHAEL
69-43157

MBURU, DENIS G
69-43143

MCCASLIN, DANIEL A
69-43203

MCDONALD, RENEE C
69-43175

RIGGINS, THOMAS J III
69-43176

ROGERS, ANTHONY M
69-43174

RUIZ, CORINA E
69-43130

RUTKIEWICZ, NORMA P
69-43074

SALLINGER, SHAWNA R
69-43142

VAOMU, ELEITINO R
69-43042

WICK, NATHAN C
69-43092

YAKIMOV, DANIL E
69-43194

QUINAULT NATION

FLORES, MICHAEL B
69-43151

MARTINEZ, NOAH R
69-43059

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

QUINAULT NATION

SMITH, CALVIN H
69-43189

SPOKANE TRIBE

BAIR, SHANNON E
69-43031

BOYER, JOSHUA J
69-43030

COONEY, JAMASA B
69-43150

HAY, JONI M
69-43033

JALLOW, KAREN T
69-43032

TAFT, DESIRAE M
69-43179

SQUAXIN ISLAND TRIBE

ARNOLD, CHRISTOPHER P
69-43078

DIMALANTA, KYLE
69-43097

HANEY-GASKILL, MARY A
69-03049

HICKSON, MICHAEL A
69-42974

PABON, PHILLIP E
69-43093

SMITH, JEFFERY S
69-43080

STILLAGUAMISH TRIBE

BUTLER, AUBREY A
69-43060

COURTNEY, TYLER J
69-43192

GORDON, CRYSTAL L
69-13693

LEONARD, HOWARD O JR
69-43183

MORSE, JACOB T
69-43160

RINGLER, ARTHUR W JR
69-43084

STEWART, MEGAN L
69-43087

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

SUQUAMISH TRIBE

CALLAHAN, JONATHAN R
69-43184

FOSTER, LAUREN N
69-43089

HERITAGE, ALISHIA L
69-25813

SWINOMISH INDIAN TRIBAL COMMUNITY

BOAL, KIMBERLY A
69-43051

BRONCHEAU, RICHARD D
69-43204

HATCH, TARAN W
69-43126

SKAGGS, ELIZABETH J
69-43197

THE TULALIP TRIBES

ANDRADE, CHARLES L
69-35032

ANGSTROM, TREVOR R
69-43090

BLYMYER, VICTORIA L
69-43079

CARUTHERS, SATANNA L
69-03722

EAGER, MELISSA J
69-43164

JEANS, SAVY E
69-43165

LEE, SAMUEL G
69-43083

NELSON, PERRY J
69-43171

SANTIBANEZ, JENNIFER A
69-30745

STEEVE, RACHELLE N
69-43081

UPPER SKAGIT INDIAN TRIBE

BEARE, SHARON S
69-43056

BERGADO, ROMEL R
69-43055

BRIDGES, REBECCA L
69-43085

BROWN, KRAMER W
69-43040

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

UPPER SKAGIT INDIAN TRIBE

BRYAN, DAVID D
69-07173

GALLEHER, JULIA A
69-43152

GIBSON, JOSHUA E
69-43053

LUI, CARISSA A
69-43039

MARSH, PATRICK R
69-43086

MAUSOLF, JENNIFER A
69-43161

MORGAN, JENNIFER S
69-43091

OLNEY, ANNA L
69-43052

SANCHEZ, XAVIER M
69-43038

SCHLOEMER, KATIE L
69-43037

SHOWALTER, DAVID M
69-31470

SOLIMAN, ALFONSO M III
69-43172

YAKAMA NATION

CARTER, CALEB M
69-11648

GEORGE, TIFFANY A
69-43119

GOMEZ, SAMUAL L
69-43057

JIM, SHANNON R
69-43107

MALTBIE, ROBERT L
69-43117

MESHELL, SHANTELL A
69-32545

RIOS, LUIS R
69-31274

TOTUS, RANDI J
69-43108

VALDEZ, TEASHA L
69-43116

WAHTOMY, TENNILLE L
69-43109



COMMISSION APPROVAL LIST
(Class III Gaming Employees)

October 2017

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SNOQUALMIE CLASS III GAMING EMPLOYEE	1

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Based upon the licensing investigations, staff recommends approving all new Class III employees listed on pages 1.

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PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

SNOQUALMIE TRIBE

CHANG, DAVID C
69-43048

FLANDERS, HELEN L
69-43049

JANSEN, WESLEY R
69-43148

KOEHMSTEDT, JAMIE R
69-43181

LAO, PHILLIP S
69-43147

MCBRIDE, JACQUELINE A
69-43149

SHEIN, TANYA M
69-43207

SO, KIMBERLY I
69-33499

VI, TULE A
69-43180



STATE OF WASHINGTON
GAMBLING COMMISSION

P.O. Box 42400 • Olympia, Washington 98504-2400 • (360) 486-3440 • TDD (360) 486-3637 • FAX (360) 486-3627

September 11, 2017

TO: Dave Trujillo, Director 

FROM: Jennifer LaMont, Agent in Charge 
 Licensing Unit

SUBJECT: HOUSE-BANKED CARD ROOM SUMMARY OF ACTIVITY

Fiscal Year 2016 Information

During the year ended December 31, 2016, we had 49 licensed house-banked card rooms. 40 licensees reported a net income between \$70,800 and \$4,343,870; 9 licensees reported net losses between (\$69) and (\$1,078,769).

Financial statements are prepared on the assumption that the business is a *going concern*, meaning that it will continue in operation for the foreseeable future. An auditor or reviewer who concludes that substantial doubt exists with regard to the going concern assumption is required to issue an opinion reflecting this concern. Of the 49 licensees that reported for year ended in 2016, 6 (representing 12%) had a going concern issue reflected in their financial statement report. In 2015, out of the 50 licensees that reported, 5 licensees (representing 10%) had a going concern issue. In 2014, out of 49 licensees that reported, 8 licensees (representing 16%) had a going concern issue.

The following is a comparison between 2016 and 2015.

	2016		2015	
	#	%	#	%
Licensees with positive Net Income	40	82%	39	78%
Licensees with Net Losses	9	18%	11	22%
Total	49	100%	50	100%
Range of Net Income	\$78,800 --- \$4,343,870		\$11,482 --- \$6,234,410	
Range of Net Loss	(\$69) --- (\$1,078,769)		(\$28,998) --- (\$870,908)	
# of Case Reports Written	4		4	



The Licensing Unit has reviewed all of the financial statements that were submitted. This year the Unit has initiated four case reports. These case reports were for administrative violations relating to late submittal, failure to report financial contributions, and submitting non-comparative financial statements.

20 licensees reported leasing their premise from a party with like ownership. 21 reported leasing their premise from an entity without any like ownership. Eight house-banked card room licensees own their premise.

Premise Ownership Status	Number	Annual Lease		
		Low	High	Average
Related Party Premise Ownership	20	\$77,493	\$1,191,000	\$395,357
No related Part Premise Ownership	21	\$56,370	\$442,429	\$193,217
Own Their Premise	8	NA	NA	NA

For 2016, we had 49 house-banked card rooms submit financial statements compared to 50 reporting for 2015. To date in 2017, the following changes have occurred with the house-banked card room licensees that reported in 2016:

- Two new house-banked card rooms have been added in 2017;
- Two house-banked card rooms operating in 2016 are no longer operating;
- There are currently two applications pending for house-banked card rooms.

As a point of reference, the number of house-banked cards rooms peaked at 97 in 2005 and 2006.

Background

According to WAC 230-15-740, all house-banked card game licensees must submit financial statements within 120 days following the end of their business year.¹ Licensees are allowed a one-time, 60-day extension if needed, and the majority of the financial statements are received on or about June 30th of each year.

The type of financial statement licensees must submit is dependent upon the gross receipts from the card room:

<u>Card Room Gross Receipts for the Fiscal Year End 2015</u>	<u>Type of Financial Statement Required</u>
Over \$6 million	Audit
Less than \$6 million but more than \$1 million	Review
Less than \$1 million	Compilation

¹ Some licensees do not have a business year-end date of December 31.

Licenses are required to have the financial statements prepared by an independent, certified public accountant licensed by the Washington State Board of Accountancy and they must be prepared in accordance with generally accepted accounting principles, including all required footnotes or disclosures. The licensee is also required to report gross revenues for each licensed activity separately and present comparative statements.

In 2002, we began summarizing and posting on our website the financial statement information we collected from the house-banked card rooms. The financial statement summary provides users with the gross card room revenue as well as the overall net income or loss of the business.

The type of legal entity a licensee is, for example a sole proprietor, corporation, or LLC, will impact how some costs are recorded to determine the overall businesses net income or loss. This means that you cannot necessarily compare the licensees in terms of their net income or loss reported based on similar gross receipt levels. For example, some licensees record an owner's salary as expenses while some owners take owner draws, which does not impact the net income or loss.

Attachment (1)

Summary of House-Banked Card Room Financial Statements
For the Fiscal Years Ending in 2016
(Sorted by Licensee Net Income or (Loss))

Licensee	City	----- 2016 REVENUES -----		Total Revenue	Total Expenses	Net Income or (Loss)
		Card Room Revenue	Other Revenue			
		(1)	(2)		(3)	[4]
MACAU CASINO/TUKWILA	Tukwila	\$10,739,617	1,854,217	\$12,593,834	\$8,249,964	\$4,343,870
GREAT AMERICAN CASINO/EVERETT	Everett	\$10,063,312	2,148,703	\$12,212,015	\$8,340,122	\$3,871,893
THE PALACE/LACENTER	LaCenter	\$14,485,800	2,707,638	\$17,193,438	\$13,784,844	\$3,408,594
CASINO CARIBBEAN/KIRKLAND	Kirkland	\$8,189,549	1,758,967	\$9,948,516	\$6,736,429	\$3,212,087
ROMAN CASINO	Seattle	\$17,995,374	481,932	\$18,477,306	\$15,683,158	\$2,794,148
RIVERSIDE CASINO	Tukwila	\$11,069,498	1,161,210	\$12,230,708	\$9,548,054	\$2,682,654
CRAZY MOOSE CASINO/PASCO	Pasco	\$7,616,741	2,350,808	\$9,967,549	\$7,417,695	\$2,549,854
GREAT AMERICAN CASINO/TUKWILA	Tukwila	\$10,330,422	3,458,612	\$13,789,034	\$11,538,301	\$2,250,733
SILVER DOLLAR CASINO/SEATAC	SeaTac	\$6,709,333	1,784,293	\$8,493,626	\$6,308,659	\$2,184,966
SILVER DOLLAR CASINO/RENTON	Renton	\$6,915,907	1,482,656	\$8,398,563	\$6,727,460	\$1,671,103
COYOTE BOB'S	Kennewick	\$4,663,728	870,543	\$5,534,271	\$3,998,549	\$1,535,723
CASINO CARIBBEAN/YAKIMA	Yakima	\$4,922,565	1,975,755	\$6,898,320	\$5,510,546	\$1,387,774
FREDDIE'S CLUB/RENTON	Renton	\$7,505,167	1,868,140	\$9,373,307	\$8,092,205	\$1,281,102
SILVER DOLLAR CASINO/MILL CREEK	Mill Creek	\$4,695,632	992,342	\$5,687,974	\$4,468,982	\$1,218,991
ROYAL CASINO	Everett	\$5,654,124	1,098,390	\$6,752,514	\$5,707,001	\$1,045,513
GOLDIE'S SHORELINE CASINO	Shoreline	\$7,309,285	1,025,471	\$8,334,756	\$7,335,561	\$999,195
BUZZ INN STEAKHOUSE/EAST WENATCHEE	East Wenatchee	\$2,536,207	2,335,126	\$4,871,333	\$3,911,748	\$959,585
LAST FRONTIER	LaCenter	\$11,206,536	1,976,656	\$13,183,192	\$12,370,350	\$812,842
SLO PITCH PUB & EATERY	Bellingham	\$2,477,818	2,085,869	\$4,563,687	\$3,808,660	\$755,027
HAWKS PRAIRIE CASINO	Lacey	\$4,433,767	940,247	\$5,374,014	\$4,641,904	\$732,110
MACAU CASINO/LAKEWOOD	Lakewood	\$6,076,688	1,686,145	\$7,762,833	\$7,098,647	\$664,186
PALACE CASINO/LAKEWOOD	Lakewood	\$6,110,632	585,824	\$6,696,456	\$6,106,934	\$589,522
RED DRAGON CASINO	Mountlake Terrace	\$3,750,846	766,736	\$4,517,582	\$4,067,946	\$449,637
IRON HORSE CASINO/AUBURN	Auburn	\$6,237,804	1,440,926	\$7,678,730	\$7,283,222	\$395,508
ROXY'S BAR & GRILL	Seattle	\$2,905,364	2,405,857	\$5,311,221	\$4,917,047	\$394,174
CARIBBEAN CARDROOM	Kirkland	\$1,732,383	669,942	\$2,402,325	\$2,054,691	\$347,634
WIZARDS CASINO	Burien	\$4,714,717	2,635,655	\$7,350,372	\$4,703,258	\$347,114
JOKER'S CASINO SPORTS BAR & FIESTA	Richland	\$1,836,167	2,857,967	\$4,694,134	\$4,370,939	\$323,195
CRAZY MOOSE CASINO/MOUNTLAKE TERRACE	Mountlake Terrace	\$4,052,309	\$893,635	\$4,945,944	\$4,657,838	\$288,105
BLACK PEARL RESTAURANT & CARD ROOM	Spokane	\$4,803,425	893,516	\$5,696,941	\$5,444,540	\$252,401
ZS RESTAURANT AT ZEPPOZ	Pullman	\$1,139,145	1,806,956	\$2,946,101	\$2,704,617	\$241,484
LILAC LANES & CASINO	Spokane	\$1,807,795	1,686,175	\$3,493,970	\$3,271,291	\$222,679
CLUB HOLLYWOOD CASINO	Shoreline	\$5,069,112	1,438,514	\$6,507,626	\$6,297,027	\$210,600
LUCKY 21	Woodland	\$5,718,834	3,147,461	\$8,866,295	\$8,661,993	\$204,302
LANCER LANES RESTAURANT AND CASINO	Clarkston	\$1,001,900	880,109	\$1,882,009	\$1,680,516	\$201,493
ACES CASINO ENTERTAINMENT	Spokane	\$1,395,737	\$336,413	\$1,732,150	\$1,562,298	\$169,852
ALL STAR CASINO	Silverdale	\$3,262,189	4,458,000	\$7,720,189	\$7,604,857	\$115,332
PAPAS CASINO RESTAURANT & LOUNGE	Moses Lake	\$2,363,842	3,966,397	\$6,330,239	\$6,443,138	\$112,899
RC'S	Sunnyside	\$902,545	532,874	\$1,435,419	\$1,333,140	\$102,279
NOB HILL CASINO	Yakima	\$2,629,666	1,188,368	\$3,818,034	\$3,739,234	\$78,800
CHIPS CASINO/LAKEWOOD	Lakewood	\$5,210,471	559,388	\$5,769,859	\$5,769,928	(\$69)
GREAT AMERICAN CASINO/LAKEWOOD	Lakewood	\$5,869,699	1,611,747	\$7,481,446	\$7,506,355	(\$24,909)
WILD GOOSE CASINO	Ellensburg	\$730,431	205,596	\$936,027	\$964,936	(\$28,909)
CLEARWATER	Wenatchee	\$1,794,909	783,461	\$2,578,370	\$2,651,007	(\$72,637)
THE GETAWAY CASINO	Walla Walla	\$1,016,593	789,004	\$1,805,597	\$1,921,320	(\$115,723)
UBET	Longview	\$2,732,384	736,172	\$3,468,556	\$3,686,971	(\$218,415)
NEW PHOENIX	LaCenter	\$5,491,280	934,098	\$6,425,378	\$6,855,853	(\$430,475)
PALACE TUKWILA	Tukwila	\$161,952	111,290	\$273,242	\$1,058,282	(\$785,040)
FORTUNE CASINO	Tukwila	\$4,303,347	1,339,351	\$5,642,698	\$6,721,467	(\$1,078,769)

NOTE: House-banked card room licensees must submit financial statements to the commission within 120 days after the end of their fiscal year. The financial statements include all gambling and non-gambling revenues and expenses of the licensee.

(1) Card Room Revenue is the gross revenue from the card room activity only. No other gambling activity revenues are included.

(2) Other Revenue is all revenues earned by the licensee other than from the card room, as defined above. This may include revenues from pull tabs, amusement games, food, beverage, and any other services/activities provided by the licensee.

(3) Total Expenses are all the expenses incurred by the licensee to operate their business during the fiscal year. Expenses may include such items as cost of sales, advertising, wages, utilities, depreciation, interest expenses, and taxes.

(4) Net loss may include impairment of goodwill and loss on disposal of discontinued operations; net income may include debt forgiveness and gain on deconsolidation.

(5) Average -Net Income (Net Loss) of those reporting:	<u>Average</u>	<u>#</u>	<u>%</u>
Net Income	\$1,135,224	40	82%
Net Loss	(\$306,105)	9	18%
Combined	\$870,490	49	100%

(6) This report is merely a summary of the financial information reported to us. The actual financial statements, footnotes, and auditor's report are an integral part of this information.

Rule Changes / Fee Simplification (Package #2)

Various Rules in:



- WAC 230-05-102 – All licensed organizations report activity quarterly beginning with the July 1, 2018 through September 30, 2018 quarter.
- Chapter 230 – 06 WAC – Rules for all licensees.
- Chapter 230 – 07 WAC – Charitable and nonprofit rules.
- Chapter 230 – 09 WAC – Fund-raising event rules.
- Chapter 230 – 10 WAC – Bingo Rules.
- Chapter 230 – 11 WAC – Raffles.
- Chapter 230 – 13 WAC – Amusement game rules.
- Chapter 230 – 14 WAC – Punchboard and pull tab.
- Chapter 230 – 15 WAC – Card game rules.
- Chapter 230 – 16 WAC – Manufacturer, distributor, and gambling service supplier rules.
- Chapter 230 – 17 WAC – Brief Adjudicative Proceedings.
- WAC 230-03-085 – Denying, suspending, or revoking an application, license or permit.

October 2017 – Discussion and Possible Filing

Tab 3: OCTOBER 2017 Commission Meeting Agenda.	Statutory Authority 9.46.070
Who Proposed the Rule Change?	
Staff	
Describe the Rule Changes	
<p>This is the second of at least three packages staff will bring forward to make changes to the Commission’s licensing fee structure. The key rules in this second package:</p> <ul style="list-style-type: none"> • Delete references to the term “classes.” While the current fee structure is based on a “classes” system, the new proposed system is based primarily on gross gambling receipts. Therefore, approximately twenty rules need to be changed to delete references to “classes.” • Establish new due dates for activity reports. All licensees will begin reporting their activity quarterly beginning with the July 1-September 30 quarter. These rules establish those new dates: <ul style="list-style-type: none"> ○ New language for (WAC 230-07-155) Reporting annual activities for raffles, enhanced raffles, amusement games, Class A, B or C bingo, or combination licenses; ○ New language for (WAC 230-07-160) Reporting annual activity for agricultural fairs; ○ New language for (WAC 230-09-056) Activity reports for fund-raising events; ○ New language for (WAC’s 230-10-330 and 230-10-331) Activity reports for bingo, agricultural fairs, and other organizations. Activity reports for Class D and above bingo licensees; ○ New language for (WAC 230-13-169) Annual activity reports for commercial amusement game licensees; ○ New language for (WAC 230-14-284) Activity reports for punchboard pull-tab licensees; ○ New language for (WAC 230-15-200) Reporting card game activity; ○ Defining “activity reports by manufacturers and distributors.” (WAC 230-16-220) • Adds the process (brief adjudicative proceeding) we will use if licensees don’t submit their quarterly license reports and/or quarterly license fees. 	

A third rules package will include any corrections or updates to the rules filed in packages #1 or #2. This package will likely be on your January agenda.

Attachments:

WAC 230-05-102

WAC Chapters 230-06 through 230-17 containing changes.

WAC 230-03-085

Policy Considerations

The Commissioners began considering changing the fee structure in 2014. The current fee structure was created over 40 years ago. It began with 25 fees. Today the Gambling Commission has approximately 194 different fees for Commercial and Non-Profit organizations and individuals. This fee schedule is typically based on a “class” system, which can be cumbersome for licensees and agency staff. The Gambling Commission is looking to simplify this current system to allow it to be easier to navigate and have a licensing fee schedule that is more predictable for both the agency and its licensees.

Staff Recommendation

Staff recommends filing for further discussion.
Staff anticipates asking for an effective date of July 1, 2018.

NEW SECTION

WAC 230-05-102 All licensed organizations report activity quarterly beginning with the July 1, 2018, through September 30, 2018, quarter. (1) Beginning July 1, 2018, all licensed organizations must submit activity reports quarterly, regardless of whether they previously submitted reports annually, quarterly, or semi-annually and regardless of when their permit or license year ends.

(2) This includes gambling service suppliers and any other licensed organizations that did not previously submit activity reports.

(3) The activity reports must be in the format we require and must:

Cover the period:	Be received by us no later than:
July 1 through September 30	October 30
October 1 through December 31	January 30
January 1 through March 31	April 30
April 1 through June 30	July 30

(4) All licensed organizations must submit quarterly license fees to us for each licensed gambling activity after the first quarter of their license year that begins on or after July 1, 2018, as set forth in WAC 230-05-124.

Chapter 230-06 WAC

RULES FOR ALL LICENSEES

Last Update: 1/19/17

WAC

RULES FOR CONDUCTING A GAMBLING ACTIVITY

- 230-06-002 "We," "our," and "us" mean the commission and staff.
- 230-06-003 Defining "cash."
- 230-06-004 Defining "consecutively numbered," "consecutive," and "consecutively."
- 230-06-005 Accept checks in gambling activities.
- 230-06-007 Licensed employees must wear nametags.
- 230-06-010 Age restrictions for players.
- 230-06-011 Detaining and identifying persons under eighteen years of age engaging in or attempting to engage in authorized gambling activities.
- 230-06-012 Conducting underage compliance test programs with minors.
- 230-06-015 Prevent intoxicated persons from operating or playing gambling activities.
- 230-06-020 Restrictions on alcohol as prizes.
- 230-06-025 Restrictions on firearms as prizes.
- 230-06-030 Restrictions and conditions for gambling promotions.
- 230-06-031 Using wheels in promotional contests of chance, fund-raising events, or gambling activities.

- 230-06-035 Credit, loans, or gifts prohibited.
- 230-06-045 Conduct gambling activities on licensed business premises only.
- 230-06-046 Additional requirements for licensed business premises of nonhouse-banked, eClass E, F, and house-banked card rooms.
- ~~230-06-050~~ Review of electronic or mechanical gambling equipment.
- 230-06-051 Computation of time.
- 230-06-052 Withdrawing gambling equipment authorization.
- 230-06-054 Notification of electronic or mechanical gambling equipment malfunctions.

NOTIFYING LAW ENFORCEMENT, POSTING PUBLIC NOTICES, AND RECORDKEEPING

- 230-06-055 Notify law enforcement of gambling activity.
- 230-06-065 Displaying of licenses.
- 230-06-070 Keep monthly records.
- 230-06-071 Washington state identification and inspection stamps to be called "I.D. stamps."
- 230-06-074 Assistance required for commission inspections.
- 230-06-075 Removal of equipment or records for inspection.

REPORTING CHANGES TO APPLICATION INFORMATION

- 230-06-080 Report changes to application information and submit updated documents and information.
- 230-06-081 Submitting gambling service supplier contracts for review.
- 230-06-082 Manufacturers, distributors, gambling service suppliers, linked bingo prize providers, and call centers for enhanced raffles reporting changes in licensed employees.
- 230-06-083 Card game licensees reporting changes in licensed employees.

REPORTING CRIMINAL, ADMINISTRATIVE, AND CIVIL ACTIONS

- 230-06-085 Report criminal actions filed.
- 230-06-090 Report administrative and civil actions filed.

CHANGING NAMES OR LOCATIONS

- 230-06-095 Change name, trade name, or corporate name.
- 230-06-100 Changing business locations.

CHANGING MANAGEMENT OR OWNERSHIP

- 230-06-105 Report change of management.
- 230-06-106 Limited transfers of ownership allowed.
- 230-06-107 Ownership changes—Allowed.
- 230-06-108 Ownership changes—Prohibited.

SALES AND TRANSFERS OF EQUIPMENT, PRODUCTS, AND SERVICES

- 230-06-109 Sales invoices for merchandise prizes.
- 230-06-110 Buying, selling, or transferring gambling equipment.
- 230-06-112 Buying, selling, renting and leasing amusement games.
- 230-06-120 Selling or transferring gambling equipment when no longer licensed.

~~LICENSE RENEWALS AND~~ ACTIVITY REPORTS

- 230-06-124 Online filing ~~and payments~~ required with waivers available upon request for good cause.
- ~~230-06-125 Renew your license in a timely manner.~~

- ~~230-06-130 Exceeding license class.~~
- ~~230-06-135 Failing to apply for license class upgrade.~~
- ~~230-06-140 Partial refund of license fees if gambling receipts limit not met.~~
- ~~230-06-145 Surrendering suspended or revoked licenses.~~

DEFINITIONS

- 230-06-150 Defining "gross gambling receipts."
- 230-06-155 Defining "gross sales."
- 230-06-160 Defining "net gambling receipts."
- 230-06-165 Defining "net gambling income."
- 230-06-170 Defining "net win."
- 230-06-175 Defining "cost."

SURRENDERING SUSPENDED OR REVOKED LICENSES

- 230-06-176 Surrendering suspended or revoked licenses.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

- 230-06-001 Defining "operator." [Statutory Authority: RCW 9.46.070. WSR 06-17-132 (Order 601), § 230-06-001, filed 8/22/06, effective 1/1/08.] Repealed by WSR 07-21-116 (Order 617), filed 10/22/07, effective 1/1/08. Statutory Authority: RCW 9.46.070.

230-06-060 Maintain copy of commission rules on business premises. [Statutory Authority: RCW 9.46.070.
WSR 06-17-132 (Order 601), § 230-06-060, filed 8/22/06, effective 1/1/08.] Repealed by WSR
11-05-058 (Order 675), filed 2/10/11, effective 3/13/11. Statutory Authority: RCW 9.46.070.

230-06-115 Using checks or credit cards to purchase gambling equipment, products, or services. [Stat-
utory Authority: RCW 9.46.070. WSR 06-17-132 (Order 601), § 230-06-115, filed 8/22/06,
effective 1/1/08.] Repealed by WSR 08-21-087 (Order 633), filed 10/14/08, effective 1/1/09.
Statutory Authority: RCW 9.46.070.

WAC 230-06-046 Additional requirements for licensed business prem-

ises of nonhouse-banked, class ~~E~~, F, and house-banked card rooms. (1)

The licensed business premises of nonhouse-banked, class ~~E~~, F, and house-banked card rooms may not be adjacent to each other if each licensed business premises:

(a) Shares inside public access between the two licensed business premises; or

(b) Has employee access between the two licensed business premises visible to the public; or

(c) Shares windows or similar structures that allow customers to see into the other licensed business premises.

(2) Subsection (1) of this section does not apply to nonhouse-banked class ~~E~~, F, and house-banked card room physical locations that have any of the features listed in subsection (1) of this section and were licensed on the effective date of this rule.

(3) Adjacent card rooms must post signs at each entrance that is accessible by the public to clearly notify customers of the licensed business premises' identity.

[Statutory Authority: RCW 9.46.070 and 9.46.0282. WSR 10-07-102, § 230-06-046, filed 3/19/10, effective 7/1/10.]

NEW SECTION

WAC 230-06-081 Submitting gambling service supplier contracts for review.

Prior to executing financing, consulting, or management contracts, gambling service suppliers must submit these agreements to us for review for compliance with WAC 230 and RCW 9.46.

NEW SECTION

WAC 230-06-082 Manufacturers, distributors, gambling service suppliers, linked bingo prize providers, and call centers for enhanced raffles reporting changes in licensed employees.

Manufacturers, distributors, gambling service suppliers, linked bingo prize providers and call centers for enhanced raffle licensees must:

(1) Submit an application and the required fees before allowing licensed employees to begin working.

~~(1)~~(2) Notify us in the format we require when a licensed employee no longer works for them. We must receive the notice at our Lacey office within ten days of the licensed employee's last day.

WAC 230-06-083 Card game licensees reporting changes in licensed

employees. Card game licensees, ~~except Class B or Class D~~ must:

(1) Submit an ~~add/transfer~~ application and the required fees before allowing a licensed card room employee to begin working.

(2) Notify us in ~~the format we require~~writing when a licensed card room employee no longer works for them. We must receive the notice at our Lacey office within ten days of the card room employee's last day-~~terminating employment.~~

[Statutory Authority: RCW 9.46.070. WSR 08-21-087 (Order 633), § 230-06-083, filed 10/14/08, effective 1/1/09.]

WAC 230-06-100 Changing business locations. ~~(1)~~ Licensees must ap-

ply to us and pay a fee to change the location of their licensed business premises. Licensees must receive our approval before changing the business location.

~~(2) Commercial amusement game licensees may add or delete from the list of locations for which we issued their license without paying a fee.~~

[Statutory Authority: RCW 9.46.070. WSR 06-17-132 (Order 601), § 230-06-100, filed 8/22/06, effective 1/1/08.]

WAC 230-06-110 Buying, selling, or transferring gambling equipment.

(1) All licensees and persons authorized to possess gambling equipment must closely control the gambling equipment in their possession.

(2) Before selling gambling equipment, licensees must ensure that the buyer possesses a valid gambling license or can legally possess the equipment without a license.

(3) Before purchasing gambling equipment, licensees must ensure that the seller possesses a valid gambling license.

(4) Applicants for Class F or house-banked card room licenses may purchase and possess gambling equipment during the prelicensing process, but only after receiving written approval from us.

(5) Charitable and nonprofit organizations conducting unlicensed bingo games, as allowed by RCW 9.46.0321, may possess bingo equipment without a license.

(6) Group 12 amusement games can only be sold or leased to ~~Class B and above~~ amusement game licensees by a licensed manufacturer or distributor. ~~Class B and above~~ A amusement game licensees can lease or rent group 12 amusement games for operation at the approved ~~Class A~~ amusement game locations licensees. ~~Lease agreements entered into prior to the effective date of this rule may continue until the manufacturer is licensed or December 31, 2016, whichever occurs first.~~

(7) Licensees may transfer gambling equipment as a part of a sale of a business as long as a condition of the sale is that the buyer receives a gambling license before the sale is complete. Licensees must make a complete record of all gambling equipment transferred in this manner, including I.D. stamps. Licensees must report these transfers, including a copy of the inventory record, to us.

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-19-015, § 230-06-110, filed 9/8/16, effective 10/9/16; WSR 16-08-033 (Order 718), § 230-06-110, filed 3/30/16, effective 4/30/16. Statutory Authority: RCW 9.46.070. WSR 08-11-044 (Order 628), § 230-06-110, filed 5/14/08, effective 7/1/08; WSR 07-21-116 (Order 617), § 230-06-110, filed 10/22/07, effective 1/1/08; WSR 06-17-132 (Order 601), § 230-06-110, filed 8/22/06, effective 1/1/08.]

WAC 230-06-112 Buying, selling, renting and leasing amusement

games. (1) ~~Class A~~ amusement game licensees can rent or lease amusement games from other~~Class B and above~~ amusement game licensees.

(2) ~~A Class B and above~~ amusement game licensees can:

(a) Own and operate group 1 through 12 amusement games at their licensed premises;

(b) Buy or lease group 12 amusement games from a licensed manufacturer or distributor and lease or rent them for operation at the other approved Class A amusement game location licensees; and

(c) Rent or lease group 1 through 11 amusement games to approved Class A amusement game location licensees.

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-19-015, § 230-06-112, filed 9/8/16, effective 10/9/16.]

ACTIVITY REPORTS

WAC 230-06-124 Online filing ~~and payments~~ required with waivers available upon request for good cause. (1) All licensees must submit activity reports~~the following~~ online:

~~(a) Renewal application and fees, as referenced in Title 230 WAC;~~

and

~~(b) Activity reports, as referenced in Title 230 WAC.~~

(2) We may waive these requirements if a licensed organization can show good cause. The reasons for good cause include:

(a) You do not have access to the internet using your own computer or similar equipment; or

(b) You do not have a bank account; or

(c) Your bank is unable to send electronic fund transactions; or

(d) Some other circumstance or condition exists that, in our judgment, prevents you from submitting online.

~~(3) We may waive these requirements if a licensed individual can show good cause. The reasons for good cause include:~~

~~(a) You do not have access to the internet using your own computer or similar equipment; or~~

~~(b) You do not have a bank account or credit card; or~~

~~(c) Your bank is unable to send electronic fund transactions; or~~

~~(d) Some other circumstance or condition exists that, in our judgment, prevents you from submitting online.~~

~~(4)(3) You must request a waiver, in writing, no later than sixty days before your activity report due date or license expiration date. A waiver will cover subsection (1)(a) and (b) of this section.~~

[Statutory Authority: RCW 9.46.070. WSR 15-08-017 (Order 712), § 230-06-124, filed 3/24/15, effective 7/1/15.]

~~**WAC 230-06-125 Renew your license in a timely manner.** (1) You must renew online, unless you have received a waiver, as outlined in WAC 230-06-124 and allow for enough time to:~~

~~(a) Print the license prior to midnight before the license expires;~~
~~or~~

~~(b) Have us print the license and mail it to you so you receive it before your license expires.~~

~~(2) If you have a waiver and are not renewing your license online, you must ensure a properly completed renewal application and all applicable fees are received at our administrative office in Lacey at least fifteen days before the expiration date on the license.~~

~~(3) If licensees do not submit a properly completed application and all fees and their license expires, they must immediately stop the gambling activity covered by their license.~~

~~(4) If your license expires, you must submit an application and you must not operate any gambling activity until a new license is issued.~~

~~[Statutory Authority: RCW 9.46.070. WSR 15-08-017 (Order 712), § 230-06-125, filed 3/24/15, effective 7/1/15; WSR 08-03-062 (Order 623), § 230-06-125, filed 1/14/08, effective 2/14/08; WSR 06-17-132 (Order 601), § 230-06-125, filed 8/22/06, effective 1/1/08.]~~

~~**WAC 230-06-130 Exceeding license class.** (1) Licensees must not exceed the gross gambling receipts limits for their license class during any annual license period.~~

~~(2) Licensees must apply a projection of year-to-date receipts to the remaining period of their license and, if it indicates that it is reasonably likely that they may exceed their license, they must immediately:~~

~~(a) Apply for a license that authorizes the anticipated level of gross gambling receipts; and~~

~~(b) Submit the fee required for the new license, minus the amount originally submitted for the previous license, plus a change of classification fee.~~

~~(3) If we issue a license upgrade, it is valid only for the remainder of the original term of the license.~~

~~(4) Licensees may exceed license class limits once, by the amount shown in the fees table, without having to upgrade or pay the penalties as long as they upgrade to the higher license class the next time they renew their license.~~

~~[Statutory Authority: RCW 9.46.070. WSR 06 17 132 (Order 601), § 230-06-130, filed 8/22/06, effective 1/1/08.]~~

~~**WAC 230-06-135 Failing to apply for license class upgrade.** (1) If licensees fail to apply for a license class upgrade and exceed the license class limit within a present or previous license year, we assess an additional fee. We charge an additional fee of up to fifty percent of the difference between the fee for the present license class and the new license class, or one thousand dollars, whichever is less.~~

~~(2) Licensees must pay any required license class upgrade fee, plus any additional fee required by subsection (1) of this section, within thirty days of our notification.~~

~~(3) Failure to pay the fees may result in an immediate summary suspension of all licenses.~~

~~[Statutory Authority: RCW 9.46.070. WSR 06-17-132 (Order 601), § 230-06-135, filed 8/22/06, effective 1/1/08.]~~

~~**WAC 230-06-140 Partial refund of license fees if gambling receipts limit not met.** (1) Licensees may apply for a partial refund of their license fee when their annual gross gambling receipts are less than the minimum for the class of license we issued to them.~~

~~(2) Licensees may receive a refund for the difference between the fees actually paid and the fees that would normally apply to the level of gross gambling receipts actually received during the period less our processing costs.~~

~~(3) Licensees may make their request for refund after the end of any annual license period and before the end of the next annual license period.~~

~~[Statutory Authority: RCW 9.46.070. WSR 15-07-055 (Order 711), § 230-06-140, filed 3/13/15, effective 4/13/15; WSR 06-17-132 (Order 601), § 230-06-140, filed 8/22/06, effective 1/1/08.]~~

~~WAC 230-06-145 Surrendering suspended or revoked licenses. If we suspend or revoke your license, you must, on demand, surrender the license and return it to us.~~

~~[Statutory Authority: RCW 9.46.070. WSR 06 17 132 (Order 601), § 230-06-145, filed 8/22/06, effective 1/1/08.]~~

DEFINITIONS

WAC 230-06-150 Defining "gross gambling receipts." (1) "Gross gambling receipts" for activity reports means the amount due to any operator of a gambling activity for:

- (a) Purchasing chances to play a punch-board or pull-tab series;
- and
- (b) Purchasing chances to enter a raffle; and
- (c) Fees or purchase of cards to participate in bingo games; and
- (d) Fees to participate in an amusement game, including rent or lease payments paid to licensees or franchisers for allowing operation of an amusement game on their premises; and
- (e) "Net win" from a house-banked card game; and
- (f) Tournament entry fees; and
- (g) Administrative fees from player-supported jackpots; and

(h) Fees to participate in a nonhouse-banked card game (for example, time, rake, or per hand fee).

(2) The amount must be stated in U.S. currency.

(3) The value must be before any deductions for prizes or other expenses.

(4) "Gross gambling receipts" does not include fees from players to enter player-supported jackpots. However, any portion of wagers deducted for any purpose other than increasing current prizes or repayment of amounts used to seed prizes are "gross gambling receipts."

[Statutory Authority: RCW 9.46.070. WSR 08-20-007 (Order 630), § 230-06-150, filed 9/18/08, effective 1/1/09.]

WAC 230-06-170 Defining "net win." "Net win" for activity reports

means gross wagers received from gambling activities or fund-raising events minus the:

(1) Amount paid to players for winning wagers; and

(2) Accrual of prizes for progressive jackpot contests; and

(3) Repayment of amounts used to seed guaranteed progressive jackpot prizes.

[Statutory Authority: RCW 9.46.070. WSR 08-20-007 (Order 630), § 230-06-170, filed 9/18/08, effective 1/1/09.]

SURRENDERING SUSPENDED OR REVOKED LICENSES.

NEW SECTION

WAC 230-06-176 Surrendering suspended or revoked licenses. If we suspend or revoke your license, you must, on demand, surrender the license and return it to us.

Chapter 230-07 WAC

CHARITABLE AND NONPROFIT RULES

Last Update: 10/28/16

WAC

- 230-07-001 Defining "charitable or nonprofit licensee."
- 230-07-005 Defining "licensees," "licensee," "organizations," and "organization."
- 230-07-010 Use of gambling proceeds.
- 230-07-015 Regulatory group assignments.
- 230-07-020 Making "significant progress."
- 230-07-025 Additional requirements for "significant progress" for Groups IV and V.
- 230-07-030 Defining "functional expenses."
- 230-07-035 Defining "program service expenses."
- 230-07-040 Defining "supporting service expenses."
- 230-07-045 Obtaining a waiver for significant progress requirements.
- 230-07-050 Defining "excessive reserves."
- 230-07-051 Accumulating excessive reserves.
- 230-07-055 Prorating expenses when gambling funds are not kept separate.
- 230-07-060 Independent management structure required.
- 230-07-065 Group III, IV, and V management control system.
- 230-07-070 Defining "direct relatives."

- 230-07-075 Conflicts of interest.
- 230-07-080 Qualification reviews for Groups III, IV and V.
- 230-07-085 Compensating gambling management and operations personnel.
- 230-07-090 Keeping and depositing all gambling funds separate from other funds.
- 230-07-095 Fund-raising events and members only raffles exempt from deposit requirements.
- 230-07-100 Special banking exemption for Point Roberts Peninsula.
- 230-07-105 Safeguarding prize inventory.
- 230-07-106 Insuring prizes.
- 230-07-110 Supervision requirements.
- 230-07-115 Duties of charitable or nonprofit gambling managers.
- 230-07-120 Notifying us of changes in responsibilities of charitable or nonprofit gambling managers.

RECORDKEEPING FOR LOWER VOLUME LICENSEES

- 230-07-125 Recordkeeping requirements for lower volume charitable or nonprofit organizations.

RECORDKEEPING AND ACCOUNTING STANDARDS

- 230-07-130 Additional recordkeeping for charitable or nonprofit licensees.
- 230-07-135 Recording gifts.
- 230-07-140 Minimum accounting records for ~~Class D and above~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year and licensees with combined activities over five hundred thousand dollars in their previous license year.

REQUIRED ANNUAL REPORTS

230-07-145 Reporting annual progress.

230-07-150 Financial statements required for Groups III, IV, and V.

230-07-155 Reporting annual activity for raffles, enhanced raffles, amusement games, Class A, B, or C bingo, or combination licenses.

230-07-160 Reporting annual activity for agricultural fairs.

WAC 230-07-090 Keeping and depositing all gambling funds separate from other funds. Charitable or nonprofit licensees must protect all funds generated from gambling activities and keep these funds separate from their general funds.

(1) Licensees must:

(a) Keep a separate gambling receipts account(s) in a recognized Washington state bank, mutual savings bank, or credit union; and

(b) Deposit only gambling receipts into that account. Licensees may deposit receipts from nongambling activities operated in conjunction with bingo games into the gambling receipts account if the licensee keeps detailed receipting records of the nongambling receipts; and

(c) Deposit all gambling receipts first into the account before spending or transferring them into other accounts, except for prize pay outs; and

(d) Deposit funds received from commercial amusement game operators operating amusement games on their premises in the licensee's gambling receipts account no later than the second banking day after they receive the receipts; and

(e) Make all deposits of net gambling receipts from each activity separately from all other deposits, and keep the validated deposit receipt as a part of their records. Deposit receipts are a part of the applicable daily or monthly records and licensees must make them available for our inspection; and

(f) Deposit all net gambling receipts which they are holding, pending pay out:

(i) From bingo, no later than the second banking day after they receive them. Licensees may withhold bingo receipts from deposits for "jar," "pig," or other special game prizes if the total of all such prize funds does not exceed two hundred dollars, enter the amount withheld each session in the bingo daily record, and record the reconciliation of the special game fund on the bingo daily record. "Reconcile" means the licensee must compare the two balances, resolve any differences, and document the comparison and the differences in writing. Licensees must keep the reconciliation as part of their records; and

(ii) From raffles ~~(Class E and above)~~ and amusement games ~~(Class D and above)~~, with gross gambling receipts over fifty thousand dollars in their previous license year, at least once each week; and

(iii) From punch-board and pull-tabs, including cost recovery for merchandise prizes awarded, no later than two banking days after they remove the board or series from play; and

(g) Record the Washington state identification number assigned to the punch-board or pull-tab series and the amount of net gambling receipts on the deposit slip/receipt. Licensees may record the number and the receipts on a separate record if they record the bank validation number and maintain the record with the deposit slip/receipt; and

(2) These requirements do not apply to organizations who:

(a) Conduct only one or more of the following activities:

(i) Raffles under the provisions of RCW 9.46.0315;

(ii) Bingo, raffles, or amusement games under the provisions of RCW 9.46.0321;

(iii) Bingo, raffle, and amusement game licensees with gross gambling receipts of fifty thousand dollars or less in their previous license year~~Class A, B, or C bingo game;~~

~~(iv) Class A, B, C, or D raffle; or~~

~~(v) Class A, B, or C amusement game; and~~

[Statutory Authority: RCW 9.46.070. WSR 07-10-032 (Order 609), § 230-07-090, filed 4/24/07, effective 1/1/08.]

RECORDKEEPING FOR LOWER VOLUME LICENSEES

WAC 230-07-125 Recordkeeping requirements for lower volume charitable or nonprofit organizations. (1) Organizations operating without a license under RCW 9.46.0315 or 9.46.0321 and lower volume charitable or nonprofit licensees must keep a set of permanent monthly records of the gambling activities. Lower volume licensees include:

(a) Fund-raising events;

(b) Bingo with gross gambling receipts of one hundred fifty thousand dollars or less in their previous license year~~(Classes A, B, and C);~~

(c) Raffles with gross gambling receipts of fifty thousand dollars or less in their previous license year~~(Classes A, B, C, and D);~~

(d) Amusement games with gross gambling receipts of fifty thousand dollars or less in their previous license year~~(Classes A, B, C, and D);~~

and

(e) Nonhouse-banked cCard games ~~(Classes A, B, and C).~~

(2) The monthly records must include, at least:

(a) The gross gambling receipts from each activity;

(b) The gross gambling receipts from group 12 amusement games;

(c) The total amount of cash prizes actually paid out;

(d) The total of the cost to the licensee of all merchandise prizes actually paid out for each activity;

(e) A summary of all expenses related to each of the activities;
and

(f) The net income received from the activity, the purpose(s) for which the net income was raised, and the amount paid to each recipient.

(3) Licensees must keep these records for three years from the end of the license year for which the record was created.

(4) Organizations operating under RCW 9.46.0315 or 9.46.0321 must maintain their records for one year.

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-22-049, § 230-07-125, filed 10/28/16, effective 11/28/16. Statutory Authority: RCW 9.46.070. WSR 07-10-032 (Order 609), § 230-07-125, filed 4/24/07, effective 1/1/08.]

WAC 230-07-140 Minimum accounting records for ~~Class D and above~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year and licensees with combined activities over five hundred thousand dollars in their previous license year. ~~Bingo Class D and above bingo licensees~~ with gross gambling

receipts over one hundred fifty thousand dollars in their previous li-
cense year and licensees with ~~who are authorized for more than~~ over five
hundred thousand dollars in gross gambling receipts from combined gam-
bling activities in their previous licensed ~~during any fiscal~~ year must
keep accounting records necessary to document all receipts, costs, and
disbursements, including, at least, those related to gambling activi-
ties.

Requirements for accounting records

For these accounting records, licensees must:

- (1) Conform to generally accepted accounting principles (GAAP) ex-
cept as modified by other commission rules; and
- (2) Include, at least:
 - (a) A cash disbursements journal and/or check register;
 - (b) A cash receipts and/or sales journal;
 - (c) A list of all assets the licensee paid for;
 - (d) A listing of all liabilities;
 - (e) A complete general ledger system; and
 - (f) A list of all donated items valued at more than two hundred
fifty dollars; and
 - (g) Bank statements, related deposit slips, and canceled checks or
facsimiles of canceled checks; and

Donated items

(3) Document donated items. Licensees must:

(a) Use the fair market value at the time of donation;

(b) Add items to the list no later than thirty days after receiving them;

(c) Remove items when they no longer have legal ownership; and

(d) Not remove an item from the list, even if it has become obsolete or completely depreciated, until management has completed and documented appropriate review. A depreciation schedule for all capitalized items is sufficient; and

(e) Add items to the list when they convert items from gambling merchandise prize inventory to licensee use. This list must include, at least:

(i) A description of the item;

(ii) The date purchased, acquired by donation, or converted from the gambling prize pool;

(iii) The cost at the time of purchase or, if donated, the fair market value at the time received; and

(iv) The date and method of disposition of the item; and

Method of accounting

(4) Use the accrual method of accounting; and

(5) The cash, modified cash, or tax basis accounting methods may be used only if that method accurately represents the licensee's financial position, the results of operations, and the licensee does not have substantial liabilities or expenses, such as depreciation or amortization expenses, which require a current outlay of cash; and

Expenditures for nongambling activities

(6) Sufficiently document all expenditures relating to nongambling activities in order to provide a satisfactory audit trail and to allow us to verify that the funds were used for the licensee's stated purpose(s); and

Expenditures for gambling activities

(7) Sufficiently document all of the licensee's expenditures relating to gambling activities. Canceled checks or facsimiles of canceled checks, and bank statements are not sufficient documentation for expenditures without additional support. Licensees must provide additional support for expenditures, including:

(a) Invoices or other supporting documents from commercial vendors or service agencies with at least:

(i) The name of the person or entity selling the goods or providing the services;

(ii) A complete description of goods or services purchased;

- (iii) The amount of each product sold or services provided;
- (iv) The price of each unit;
- (v) The total dollar amount billed; and
- (vi) The date of the transaction.

(b) Documentation, in the form of checks and other written records of disbursements in excess of twenty-five dollars made directly to individuals who do not furnish normal, business type, invoices or statements. The written records must indicate at least:

- (i) The name of the person receiving the payment;
- (ii) The amount;
- (iii) The date; and
- (iv) The purpose; and

(8) Document allocated expenditures that relate to more than one function to the various functions. Licensees must document their methods of allocation and make them available for our review; and

Capitalizing assets

(9) Include a capitalization policy based on materiality and expected life of operating assets. To determine a minimum level for capitalizing assets, licensees must:

(a) Capitalize and depreciate, or amortize over the useful life of the asset, any assets of more than two thousand dollars that have a useful life of more than one year; and

(b) Capitalize and depreciate, or amortize over sixty months, beginning with the first month that bingo games are conducted, preoperating start up costs related to bingo games of more than six thousand dollars; and

(c) Amortize, over a period not longer than the life of the lease, any leasehold improvements related to gambling activities that are more than six thousand dollars. Licensees may extend the amortization period to include any lease option periods if the licensee's management states a reasonable expectation that they will use the lease option; and

(d) Charge all unamortized leasehold improvements as an expense of the gambling activities in the year that the lease expires.

[Statutory Authority: RCW 9.46.070. WSR 08-03-062 (Order 623), § 230-07-140, filed 1/14/08, effective 2/14/08; WSR 07-10-032 (Order 609), § 230-07-140, filed 4/24/07, effective 1/1/08.]

WAC 230-07-155 Reporting annual activity for raffles, enhanced raffles, amusement games, Class A, B, or C bingo, or combination licenses.

(1) Raffle, enhanced raffle, amusement game, Class A, B, or C bingo, or

combination licensees must submit an annual report of all their activities in the format we require.

(2) We must receive the completed report in our office postmarked no later than thirty days following the expiration of their license(s). Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102.

(3) The highest ranking officer or his/her designee must sign the report.

(4) If the licensee has someone else prepare the report, then the preparer must include his/her name and phone number on the report.

(5) Licensees that operate retail sales activities in conjunction with bingo games must report the net income from those retail sales activities.

[Statutory Authority: RCW 9.46.070 and 9.46.0209. WSR 13-19-056 (Order 692), § 230-07-155, filed 9/16/13, effective 10/17/13. Statutory Authority: RCW 9.46.070. WSR 07-10-032 (Order 609), § 230-07-155, filed 4/24/07, effective 1/1/08.]

WAC 230-07-160 Reporting annual activity for agricultural fairs.

(1) Charitable or nonprofit licensees who operate bingo, raffles, and/or amusement games only at agricultural fairs and other special properties

and permittees as defined in WAC 230-03-015 who operate bingo under another's license at agricultural fairs and other special properties must submit an annual report of all their activities in the format we require.

(2) We must receive the completed report in our office postmarked no later than thirty days following the expiration of the license year. Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102.

(3) Permittees operating under another's license must provide the licensee with all information about the permitted operation that is needed by the licensee to complete the annual activity report not less than ten days before the time that we require the licensee to file his or her report.

(4) The highest ranking officer or his or her designee must sign the report. If the licensee has someone else prepare the report, then the preparer must include his or her name and phone number on the report. [Statutory Authority: RCW 9.46.070. WSR 07-10-032 (Order 609), § 230-07-160, filed 4/24/07, effective 1/1/08.]

Chapter 230-09 WAC

FUND-RAISING EVENT RULES

Last Update: 5/18/09

WAC

- 230-09-001 Purpose.
- 230-09-005 Notify local law enforcement.
- 230-09-007 Canceling, changing time, date, or location of fund-raising events.
- 230-09-010 Ten thousand dollars net receipts limit.
- 230-09-015 Fund-raising events on December 31.
- 230-09-020 Post house rules.
- 230-09-022 Wagering limits for fund-raising events.
- 230-09-025 No wagering among participants.
- 230-09-030 Use chips or scrip.
- 230-09-035 Prepare membership list.
- 230-09-040 Separation of duties for central accounting system required.
- 230-09-045 Counting money.
- 230-09-050 Use lock boxes and money paddles.
- 230-09-055 Maintain records of net receipts.
- 230-09-056 Activity reports for fund-raising events.
- 230-09-060 Winners must be present and participating to win.

- 230-09-065 Use only full and regular members to operate the fund-raising event.
- 230-09-070 Compensation of other authorized employees.
- 230-09-075 Workers must wear name tags.

PREMISES AND EQUIPMENT FOR FUND-RAISING EVENTS

- 230-09-080 Holding fund-raising events on commercial business premises.
- 230-09-085 Commercial business must not participate in fund-raising events.
- 230-09-090 Control of premises.
- 230-09-095 Using, leasing, or renting equipment.

GAMBLING ACTIVITIES AUTHORIZED AT FUND-RAISING EVENTS

- 230-09-100 Pull-tabs authorized.
- 230-09-105 Processing pull-tabs after play.
- 230-09-110 Punch-boards not authorized.
- 230-09-115 Bingo authorized.
- 230-09-120 Disposable bingo cards at fund-raising events.
- 230-09-125 Raffles authorized.
- 230-09-130 Blackjack or "21" authorized.
- 230-09-131 Poker tournaments authorized.

JOINT FUND-RAISING EVENTS

- 230-09-135 Joining together with other licensees to conduct a fund-raising event.
- 230-09-140 Lead organization responsibilities.

LIMITED FUND-RAISING EVENTS

230-09-145 Limited fund-raising event defined.

230-09-150 Operating a limited fund-raising event.

230-09-155 Recordkeeping at limited fund-raising events.

230-09-160 Fund-raising event distributor rules at limited fund-raising events.

230-09-165 Restrictions on gambling activities at limited fund-raising events.

WAC 230-09-056 Activity reports for fund-raising events. Fund-raising event licensees must submit an activity report to the commission concerning the operation of the licensed activities of each event. Licensees must complete the report in the format we require and the report must be:

(1) Received at our administrative office or postmarked no later than thirty days after the end of the authorized operating day or days. and Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102.

(2) Signed by the licensee's highest ranking executive officer or designee. If someone other than the licensee or an employee prepares the report, the preparer must print his or her name and phone number on the report.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-09-056, filed 10/22/07, effective 1/1/08.]

WAC 230-09-115 Bingo authorized. Licensees must operate bingo solely under their FRE license, not under a separate bingo license. If licensees operate bingo, they must:

(1) Count income from bingo against the maximum net receipts authorized for FREs; and

(2) Comply with all of our rules for ~~Class A, B, and C~~ bingo licensees with gross gambling receipts of one hundred fifty thousand dollars or less in their previous license year.

[Statutory Authority: RCW 9.46.070. WSR 06-22-051 (Order 604), § 230-09-115, filed 10/27/06, effective 1/1/08.]

WAC 230-09-125 Raffles authorized. (1) Licensees may operate raffles at FREs in one of two ways:

(a) **Solely under their fund-raising event license.** Licensees must conduct all aspects of the raffle during the FRE. Income from this raffle counts toward the FRE limits; or

(b) **Under a separate raffle license.** Licensees must sell all tickets for the raffle and deposit all tickets in the drawing receptacle before

the FRE and hold the raffle drawing at the FRE. ~~Income from this raffle counts toward the limits of the licensee's raffle class.~~

(2) For raffles conducted under an FRE license, licensees must:

(a) Not sell single FRE raffle tickets for more than twenty-five dollars per ticket; and

(b) Not require a person to buy more than one ticket; and

(c) Use consecutively numbered tickets; and

(d) Ensure that each ticket has a separate and equal chance to win;

and

(e) Randomly draw the winning ticket; and

(f) Operate and account for raffles as independent gambling stations at the FRE; and

(g) Maintain records to verify gross sales of tickets; and

(h) Report all FRE raffle income, prizes awarded, and other expenses and these amounts count toward the maximum net receipts authorized for FREs.

[Statutory Authority: RCW 9.46.070. WSR 06-22-051 (Order 604), § 230-09-125, filed 10/27/06, effective 1/1/08.]

Chapter 230-10 WAC

BINGO RULES

Last Update: 11/14/14

WAC

BINGO DEFINITIONS AND EQUIPMENT REQUIREMENTS

- 230-10-001 Defining "licensees," "licensee," "organizations," "organization," "operators" and "operator."
- 230-10-005 Agricultural fair bingo game licensees must only allow a permittee to operate bingo under their license.
- 230-10-010 Defining "bingo game."
- 230-10-015 Defining "bingo session."
- 230-10-020 Defining "bingo occasion."
- 230-10-025 Defining "bingo equipment."
- 230-10-030 Bingo card definitions.
- 230-10-035 Bingo card requirements.
- 230-10-040 Disposable bingo cards—Additional requirements.
- 230-10-045 Disposable bingo card inventory control.
- 230-10-050 Electronically generated bingo cards—Additional requirements.
- 230-10-055 Bingo cards required for licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year~~Class F and above bingo games.~~

- 230-10-060 Bingo ball requirements.
- 230-10-065 Bingo ball mixer required ~~for licensees with gross gambling receipts one hundred fifty thousand dollars in license year~~Class D and above bingo games.
- 230-10-070 Bingo flashboard requirements ~~for licensees with gross gambling receipts one hundred fifty thousand dollars in license year~~Class D and above bingo games.

OPERATING BINGO GAMES

- 230-10-075 Licensed bingo manager required.
- 230-10-080 Supervision required for bingo workers.
- 230-10-085 Members or employees only to work bingo.
- 230-10-090 Workers not playing in ~~Class D and above bingo games~~at bingo licensees with gross gambling receipts more than one hundred fifty thousand dollars in a license year.
- 230-10-095 Compensation limits for bingo employees.
- 230-10-100 Hours for bingo games.
- 230-10-105 Posting bingo rules.
- 230-10-110 Changing prize amounts in bingo games.
- 230-10-115 Selling bingo cards.
- 230-10-120 Duplicate bingo cards not sold for ~~Class D or above bingo games of licensees with gross gambling receipts more than one hundred fifty thousand dollars in a license year.~~
- 230-10-125 Duplicate bingo cards pay out and documentation for ~~Class D or above bingo~~licensees with gross gambling receipts more than one hundred fifty thousand dollars in a license yeargames.
- 230-10-130 Reserve only Braille bingo cards.

230-10-135 Bingo cards sold on premises only.

230-10-140 Drawing, calling, and posting bingo numbers.

230-10-145 Determining bingo winners.

230-10-150 Multiple bingo winners.

230-10-155 Verifying bingo winners.

230-10-160 Shutting off the bingo ball blower.

230-10-165 Awarding bingo prizes.

230-10-170 Prizes paid for and available before bingo game starts.

RULES FOR ELECTRONIC BINGO CARD DAUBERS

230-10-175 Defining "electronic bingo card daubers."

230-10-180 Electronic bingo card daubers requirements.

230-10-185 Electronic bingo card daubers restrictions.

230-10-190 Discount marketing for electronic bingo card daubers.

230-10-195 Leasing electronic bingo card daubers.

230-10-200 Reserving and assigning electronic bingo card daubers.

230-10-205 Electronic bingo card dauber fees.

PLAYER SELECTION BINGO GAMES

230-10-210 Player selection bingo games authorized.

230-10-215 Player selection bingo game requirements.

230-10-220 Player selection bingo game card requirements.

230-10-225 Player selection bingo game restrictions.

HIDDEN FACE BINGO GAMES

230-10-230 Hidden face bingo games authorized.

230-10-235 Hidden face bingo game requirements.

THREE NUMBER SPEED BINGO GAMES

230-10-240 Three number speed bingo authorized.

230-10-245 Three number speed bingo restrictions.

230-10-250 Operating three number speed bingo.

230-10-255 Wagers and prizes required in chips for three number speed bingo.

230-10-260 Chip standards for three number speed bingo.

230-10-265 Banking services for three number speed bingo.

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230-10-370 Ticket sales and receipting for three number speed bingo income [Adjusted cash flow limits for bingo]. [Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-370, filed 4/24/07, effective 1/1/08.] Repealed by WSR 14-23-049 (Order 710), filed 11/14/14, effective 12/15/14. Statutory Authority: RCW 9.46.070 and 9.46.0209.

230-10-380 Drawings for prizes, good neighbor prizes, and second element of chance prizes as part of bingo games [Relief reduction for minimum annual adjusted cash flow]. [Statutory Authority: RCW 9.46.070. WSR 09-17-075 (Order 655), § 230-10-380, filed 8/14/09, effective 9/14/09; WSR 07-10-033 (Order 610), § 230-10-380, filed 4/24/07, effective 1/1/08.] Repealed by WSR 14-23-049 (Order 710), filed 11/14/14, effective 12/15/14. Statutory Authority: RCW 9.46.070 and 9.46.0209.

230-10-450 Controlling gambling equipment by linked bingo prize licensees. [Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-450, filed 4/24/07, effective 1/1/08.] Repealed by WSR 07-21-116 (Order 617), filed 10/22/07, effective 1/1/08. Statutory Authority: RCW 9.46.070.

230-10-455 Operating linked bingo prize games. [Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-455, filed 4/24/07, effective 1/1/08.] Repealed by WSR 08-11-037

(Order 626), filed 5/14/08, effective 7/1/08. Statutory Authority: RCW 9.46.070 and 34.05.353.

WAC 230-10-045 Disposable bingo card inventory control. Bingo licensees must control and account for all disposable bingo cards they purchase or otherwise obtain.

(1) All licensees must keep:

(a) All purchase invoices, or photocopies of the invoices, for received disposable bingo cards on the bingo premises; and

(b) All manufacturer packing records as part of the inventory control record.

(2) ~~Class D and above~~ Bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must prepare an inventory control record in the format we require immediately after purchase of disposable bingo cards or before the next bingo session. We may approve alternative formats, such as electronically generated forms, if the licensee requests it in writing.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-045, filed 4/24/07, effective 1/1/08.]

WAC 230-10-055 Bingo cards required for licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year~~Class F and above bingo games~~. ~~Class F and above b~~Bingo licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year must use disposable bingo cards, electronically generated bingo cards, player selection bingo cards, or three number speed bingo cards.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-055, filed 4/24/07, effective 1/1/08.]

WAC 230-10-065 Bingo ball mixer required. ~~Class D and above b~~Bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must use a machine that mixes balls and selects balls using air flow (a blower). The blower must:

- (1) Allow players full view of the mixing action of the balls; and
- (2) Not allow changes to the random placement of the balls in the exit tube of the blower except when it is shut off.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-065, filed 4/24/07, effective 1/1/08.]

WAC 230-10-070 Bingo flashboard required~~Class D and above bingo games.~~ (1) ~~Class D and above b~~Bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must use flashboards to display numbers. The flashboards must be visible to all players and clearly indicate all numbers that have been called; and

(2) If a flashboard malfunctions, licensees must repair it before using it in any other bingo occasion.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-070, filed 4/24/07, effective 1/1/08.]

OPERATING BINGO GAMES

WAC 230-10-075 Licensed bingo manager required. A licensed bingo manager must be on the premises and supervising bingo operation during all hours bingo games are conducted, except bingo games conducted:

(1) Under RCW 9.46.0321; or

(2) At a qualified agricultural fair; or

(3) By licensees with gross gambling receipts of one hundred fifty thousand dollars or less in their previous license year~~Under a Class A, B, or C bingo license;~~ or

(4) At a special bingo property we authorize.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-075, filed 4/24/07, effective 1/1/08.]

WAC 230-10-085 Members or employees only to work bingo. (1) Bingo licensees must not allow anyone except full and regular members or employees of the organization to take part in managing or operating bingo games.

(2) Licensees may allow:

(a) Persons other than the primary bingo manager to participate in bingo games for another bingo licensee. We do not consider a licensed assistant gambling manager to be a manager for this section; or

(b) Primary managers to manage or operate bingo for more than one ~~Class A, B, or C~~ licensee with gross gambling receipts of one hundred fifty thousand dollars or less in their previous license year as long as the managers do not receive payment for services from more than one licensee; or

(c) A person to manage or take part in operating a shared bingo operation according to WAC 230-10-470.

(3) Qualified agricultural fairs licensed to operate bingo are not required to meet these management or operation restrictions.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-085, filed 4/24/07, effective 1/1/08.]

WAC 230-10-090 Workers not playing in ~~Class D and above~~ bingo games.

(1) ~~Class D and above~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must not allow persons who participate in operating or managing their bingo games to play in any of their bingo games.

(2) Persons who work without compensation for ~~Class D and above~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year may play bingo, but they must not play during bingo sessions they are operating or managing.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-090, filed 4/24/07, effective 1/1/08.]

WAC 230-10-120 Duplicate bingo cards not sold for ~~Class D or above~~ bingo games. (1) ~~Class D or above~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must not sell duplicate cards in bingo games. Licensees using cards from multiple manufacturers may result in duplicate cards because

the majority of cards in the "1 to 9000 series" are duplicate, regardless of the manufacturer.

(2) Licensees must:

(a) Inform players of limits on prizes if duplicate cards win because Braille cards are in play; and

(b) Not be held responsible for duplicate cards caused by Braille cards in play.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-120, filed 4/24/07, effective 1/1/08.]

WAC 230-10-125 Duplicate bingo cards pay out and documentation for

~~Class D or above bingo games.~~ (1) If ~~Class D or above~~ bingo licensees with gross receipts over one hundred fifty thousand dollars in their previous license year inadvertently sell duplicate bingo cards, they must:

(a) Pay all winners with duplicate cards the entire prize amount that would be due if there were no duplicate cards; or

(b) Compute and pay all winners with duplicate cards using the following guidelines:

(i) **If the game provides a bonus for a single winner and all winners have duplicate cards,** then the licensee must pay all winners the bonus;
or

(ii) **If the game results in multiple winners and some of the players have duplicate cards,** then the licensee must calculate the split of the prize pool by counting all duplicate card winners as one. All winners will be paid according to the calculated prize split; or

(iii) **If the prize pool contains noncash or merchandise prizes,** then the licensee may use the cost or retail value of the merchandise, whichever is posted in the game schedule, to calculate the amount added to the prize pool to make the split. Manufacturers are not responsible for reimbursement to this noncash or merchandise prize pool; or

(iv) **If the prize is more than one thousand dollars,** then the licensee must increase the total prize pool by no more than fifty percent or five thousand dollars, whichever is less. We authorize this limitation only once within a twelve-month period; and

(2) Licensees may deduct increases to prize pools caused by card manufacturers from prize pay outs when calculating cash flow.

(3) Licensees must document details of circumstances that resulted in duplicate cards being sold and maintain that documentation as a part of the daily bingo record for the session.

(4) Licensees must notify us within forty-eight hours after discovery of a duplicate card sale if:

(a) Manufacturer printing, packaging, or collation errors caused the duplication. Licensees must request reimbursement from the manufacturer responsible for duplicate card errors; or

(b) The licensee did not pay any winning player with a duplicate card the entire prize amount.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-125, filed 4/24/07, effective 1/1/08.]

WAC 230-10-275 Exceptions to other bingo rules for three number speed bingo. The following rules do not apply to three number speed bingo:

(1) Prize disclosure before players pay to play. Licensees must still disclose the per card cost to play and the amount required to wager on a single card; and

(2) Number of balls used to conduct the game; and

(3) Number of spaces required on each bingo card; and

(4) Requirements that ~~Class F and above~~ licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year use disposable bingo cards; and

(5) Requirements to account for all income at the time it is received; and

(6) Requirements of WAC 230-10-145 about drawing and physically displaying bingo numbers. However, licensees offering three number speed bingo must display the number on a flashboard and use the audio system to announce the number; and

(7) Recordkeeping for prizes awarded.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-275, filed 4/24/07, effective 1/1/08.]

RECORDKEEPING FOR BINGO GAMES

WAC 230-10-330 Recordkeeping requirements~~Activity reports~~ for ~~Class A, B, and C~~ bingo licensees with gross gambling receipts less than one hundred fifty thousand dollars in their previous license year, agricultural fairs, and other organizations. Licensees must immediately account for all income from bingo games. ~~Class A, B, and C~~ Bingo licensees with gross gambling receipts less than one hundred fifty thousand dollars in their previous license year, organizations conducting bingo under the provisions of RCW 9.46.0321, and bingo activities conducted at a qualified agricultural fair must follow the recordkeeping requirements in WAC 230-07-125 or any of the receipting methods for bingo income required for Class D or above licensees.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-10-330, filed 10/22/07, effective 1/1/08; WSR 07-10-033 (Order 610), § 230-10-330, filed 4/24/07, effective 1/1/08.]

WAC 230-10-331 Activity reports for Class D and above bingo licensees. Class D and above bingo game licensees must submit activity reports to the commission. The activity reports must be in the format we require and must:

(1) Cover the periods:

(a) January 1 through March 31; and

(b) April 1 through June 30; and

(c) July 1 through September 30; and

(d) October 1 through December 31 of each year; and

(2) Be received at our administrative office or postmarked no later than thirty days following the end of the reporting period. Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(3) Be signed by the licensee's highest ranking executive officer or a designee. If someone other than the licensee or an employee prepares the report, the preparer must print his or her name and business telephone number on the report; and

(4) Submit a report for any period of time their license was valid, even if they had no activity or did not renew.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-10-331, filed 10/22/07, effective 1/1/08.]

WAC 230-10-350 Recording bingo winners. Organizations conducting bingo under the provisions of RCW 9.46.0321, and bingo activities con-

ducted at a qualified agricultural fair, and ~~Class A and B~~ bingo licensees with gross receipts of seventy-five thousand dollars or less in their license year do not have to follow this rule if they meet the requirements for lower volume charitable or nonprofit organizations in WAC 230-07-125. All other bingo licensees must report all prize payments for bingo games and drawings for prizes, good neighbor prizes, and second element of chance prizes at bingo games and record payment on a prize receipt.

(1) Licensees must use prize receipts printed by a commercial printer. The receipts must:

(a) Be two-part, self-duplicating paper that provides for an original and a duplicate copy; and

(b) If the licensee ~~is Class F or above~~ has gross gambling receipts of over six hundred fifty thousand dollars in their previous license year, be imprinted with the name of the licensee and a consecutive ascending number that does not repeat in at least 100,000 occurrences; and

(c) If the licensee ~~is Class E or below~~ has gross gambling receipts of six hundred fifty thousand dollars or less in their previous license year, the receipt is not required to be imprinted with the licensee's

name and the consecutive ascending number may repeat in 1,000 occurrences; and

(d) Provide space for the licensee to record the information we require.

Prize receipt

(2) Operators must complete the prize receipt including, at least:

(a) Date; and

(b) Game number; and

(c) Complete name of the winner; and

(d) Complete address of the winner, if the prize is over twenty dollars; and

(e) Dollar amount of the prize or the operator's cost, if noncash prize; and

(f) Full description of all noncash prizes; and

(g) Check number, if any portion of the prize is paid by check; and

(h) Initials of the bingo worker making the payout; and

(i) Initials of the cashier making the payment.

Prize log

(3) Licensees may receipt prizes of twenty dollars or less on a single prize log. Licensees must:

(a) Maintain a separate prize log for each session; and

(b) Record the same information required on prize receipts; and

(c) Retain the prize log as a part of the bingo daily records.

Linked bingo prizes

(4) Except for linked bingo prizes, licensees may omit an address for the winner if:

(a) The licensee pays all prizes greater than \$300 by check or a combination of cash and check; and

(b) Checks are drawn on the licensee's gambling bank account; and

(c) Checks used are of a type that provides a duplicate copy. The copies must be kept as a part of the daily bingo records; and

(d) Checks are made payable only to the winner. Licensees may make checks for prizes won by players under age eighteen payable to the guardian or immediate family member accompanying the player; and

(e) Licensees note the game number and prize receipt number on the check; and

(f) The bank returns all original checks to the licensee. Licensees must have the original checks available for our inspection on demand; and

(g) The licensee does not cash or otherwise redeem prize checks.

(5) Licensees must record the complete name and address of the winner of linked bingo prizes.

(6) Licensees must:

(a) Issue prize receipts consecutively in an ascending order; and

(b) Void and retain with the daily records any prize receipts bearing a lower number than the highest number issued during a session; and

(c) Give the original of each prize receipt to the winner; and

(d) Keep a duplicate copy as a part of their records for not less than three years; and

(e) Account for and document all prize receipts purchased or otherwise obtained on a vendor's invoice; and

(f) Keep the vendor's invoice, or a photocopy of it, on the premises and have it available for our inspection. The purchase invoice must document, at least:

(i) Name of the vendor;

(ii) Name of the purchasing organization;

(iii) Date of purchase;

(iv) Number of receipts purchased; and

(v) The beginning and ending receipt number.

[Statutory Authority: RCW 9.46.070. WSR 08-03-062 (Order 623), § 230-10-350, filed 1/14/08, effective 2/14/08; WSR 07-10-033 (Order 610), § 230-10-350, filed 4/24/07, effective 1/1/08.]

METHODS OF RECEIPTING BINGO INCOME

WAC 230-10-385 Receipting of bingo income required. Bingo licensees must account for all income from bingo games at the time they receive the income. Licensees must issue each player a receipt for the amount paid to play in each game or set of games at the time of payment. Players must keep this receipt to prove that they have properly purchased the number of cards they are playing.

(1) ~~Class A, B, and C~~ licensees with gross gambling receipts of one hundred fifty thousand dollars or less in their previous license year, organizations conducting bingo under the provisions of RCW 9.46.0321, and bingo activities conducted at a qualified agricultural fair may use the receipting method for bingo income in WAC 230-07-125 or any of the methods for receipting bingo income required for ~~Class D or above~~ licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year; and

(2) ~~Class D and above~~ licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year must use the receipting method for bingo income required for the bingo games they are offering:

(a) The disposable bingo card receipting method; or

- (b) The cash register receipting method; or
- (c) The electronically generated receipting method; or
- (d) The ticket receipting method; or
- (e) The combination receipting method.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-385, filed 4/24/07, effective 1/1/08.]

WAC 230-10-395 Cash register method of receipting for bingo income.

Bingo licensees may use a cash register to record bingo income if the cash registers:

- (1) Have separate keys to record each type of sale; and
- (2) Store and compute a total for each type of sale recorded and is capable of providing the total on request; and
- (3) Retain in the memory unit all transactions recorded during a session, regardless of whether or not the cash register power source is interrupted; and
- (4) Record all transactions, customer receipt numbers, and control totals on the internal tape retained in the cash register. The licensee must keep the internal tape, showing these transactions, as part of the daily bingo records; and

(5) Assign and imprint on the customer receipt and internal tape a minimum four-digit consecutive number for every sales transaction processed. Only cash register service personnel may reset this numbering system and the numbering system must not return to zero at the conclusion of any period of use or power interruption. If licensees receive written approval from us before use, they may use cash registers that do not meet these requirements but have adequate alternative control features; and

(6) ~~For Class D and above~~ Licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year, must imprint a minimum three-digit consecutive number on the customer receipt and internal tape to note each time transactions are totaled or when a set of transactions are totaled and closed. If licensees receive written approval from us before use, they may use cash registers that do not meet these requirements but have adequate alternative control features.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-395, filed 4/24/07, effective 1/1/08.]

WAC 230-10-420 Ticket method of receipting bingo income. Bingo

licensees may use tickets to document receipts of bingo income. Tickets must be:

(1) Manufactured by a commercial printer and imprinted with:

(a) At least four digit numbers in a consecutive series. ~~Class F and above~~ Licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year must use tickets with numbers that do not repeat in at least 99,999 occurrences; and

(b) Each ticket on a roll must represent the same dollar value or amount of money; and

(c) ~~Include~~ The name of the licensee operating ~~Class F and above~~ bingo ~~game~~ with gross gambling receipts over six hundred fifty thousand dollars in their previous license year; and

(2) If used by ~~Class F or above~~ licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous li-
cence year, purchased from a licensed distributor or manufacturer; and

(3) Issued consecutively from each roll, starting with the lowest numbered ticket; and

(4) Accounted for by the licensee. If purchased from a commercial business or licensed distributor, documentation must be on the sales

invoice. This invoice, or a photocopy, shall be maintained on the premises and available for inspection. Document the following information on the sales invoice for each roll of tickets purchased:

(a) Name of distributor; and

(b) Name of purchasing licensee; and

(c) Date of purchase; and

(d) Number of rolls of tickets purchased; and

(e) The color, dollar value, total number of tickets, and beginning ticket number for each roll; and

(5) Recorded in the daily records in the format we require; and

(6) Retained by the licensee as a part of the bingo daily records for those not issued as receipts and that bears a number falling below the highest numbered ticket issued during that session and not be used to receipt for any type of income; and

(7) Not be the same color and imprinted with the same ticket number as any other ticket on the premises.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-10-420, filed 10/22/07, effective 1/1/08; WSR 07-10-033 (Order 610), § 230-10-420, filed 4/24/07, effective 1/1/08.]

WAC 230-10-425 Ticket method of bingo receipting for bingo income restrictions. (1) All bingo licensees may use the ticket method of receipting bingo income for drawings and good neighbor prizes offered at their bingo games.

(2) ~~Class E and below~~ licensees with gross gambling receipts of six hundred fifty thousand dollars or less in their previous license year may use the ticket method for games operated with hard cards and for bonus games.

(3) ~~Class F and above~~ licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year may use the ticket method for bonus games as a part of the combination receipting method.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-425, filed 4/24/07, effective 1/1/08.]

WAC 230-10-435 Combination receipting method of receipting bingo income. (1) Bingo licensees may use a receipting method that combines cash register receipting with another approved method of receipting bingo income.

(2) ~~Class F and above~~ Licensees with gross gambling receipts over six hundred fifty thousand dollars in their previous license year must

use combination receipting for income from sales of:

(a) Disposable bingo card packets; and

(b) Disposable bingo card sheets from a set of bingo cards divided into subgroups; and

(c) Electronically generated bingo cards, if sales transactions and issuing of cards are not completed and documented at the same time; and

(d) Bonus games.

[Statutory Authority: RCW 9.46.070. WSR 07-10-033 (Order 610), § 230-10-435, filed 4/24/07, effective 1/1/08.]

WAC 230-10-451 Recordkeeping for linked bingo prize games. (1)

~~Class A, B, or C~~ bAll linked bBingo licensees ~~participating in linked bingo games~~ must maintain all records required for ~~Class D~~ bingo licensees with gross gambling receipts over one hundred fifty thousand dollars in their previous license year for all their bingo operations; and

(2) For funds contributed to accrued linked bingo prizes, licensees must modify each bingo game daily record to include, at least:

(a) The amount of the contribution; and

(b) The amount of any consolation prize the licensee paid for a linked bingo prize game; and

(c) The name of the linked bingo prize provider to whom the contribution is made.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-10-451, filed 10/22/07, effective 1/1/08.]

WAC 230-10-457 Activity reports for linked bingo prize providers.

Linked bingo prize providers must submit activity reports to us twice a year for their sales and services. The activity reports must be in the format we require and must:

(1) Cover the periods:

(a) January 1 through June 30; and

(b) July 1 through December 31; and

(2) Be received at our administrative office or postmarked no later than thirty days following the end of the reporting period. Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(3) Be signed by the licensee's highest ranking executive officer or a designee. If someone other than the licensee or an employee prepares

the report, the preparer must print his or her name and business telephone number on the report; and

(4) Submit a report for any period of time their license was valid, even if they had no activity or did not renew.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-10-457, filed 10/22/07, effective 1/1/08.]

Chapter 230-11 WAC

RAFFLES

Last Update: 10/13/14

WAC

CONDUCTING A RAFFLE

- 230-11-001 Defining "licensees," "licensee," "organizations," and "organization."
- 230-11-002 The definition of raffle as used in this chapter.
- 230-11-005 Display the raffle license.
- 230-11-006 Requiring raffle ticket purchase for membership prohibited.
- 230-11-010 Number tickets consecutively.
- 230-11-012 Licensees may conduct a joint raffle.

SELLING TICKETS

- 230-11-014 Maximum raffle ticket price.
- 230-11-015 Provide rules to participants.
- 230-11-020 Record information on ticket stub.
- 230-11-025 Bundling and selling tickets at a discount.
- 230-11-030 Restrictions on ticket sales.
- 230-11-035 Incentives for selling tickets.

DRAWING TICKETS

- 230-11-040 Place ticket stubs in receptacle for drawing.

- 230-11-045 Draw winning tickets randomly.
- 230-11-050 Using alternative drawing formats.
- 230-11-055 Authorized alternative drawing formats.
- 230-11-060 Using a second element of chance in alternative drawing format raffles.

PRIZES

- 230-11-065 Raffle prizes.
- 230-11-067 Requesting commission approval prior to offering raffle prizes exceeding forty thousand dollars per prize or three hundred thousand dollars in a license year.

CONDUCTING A MEMBERS-ONLY RAFFLE

- 230-11-070 Defining "members-only" raffles.
- 230-11-075 Limit number of guests for members-only raffles.
- 230-11-080 Post rules of play for members-only raffles.
- 230-11-085 Modified and discounted pricing plans for tickets for members-only raffles.
- 230-11-086 Discounted pricing plans for tickets to members-only raffles.
- 230-11-087 Other pricing plans for members-only raffles.
- 230-11-090 Authorized alternative drawing formats for members-only raffles.
- 230-11-091 Members-only progressive raffle.

RECORDKEEPING FOR RAFFLES

- 230-11-095 Recordkeeping requirements for ~~Class A through D~~ licensees with gross gambling receipts of fifty thousand dollars or less in their previous license year and unlicensed raffles.

- 230-11-100 Recordkeeping requirements for ~~Class E and F~~ licensees with gross gambling receipts over fifty thousand dollars in their previous license year and raffles using alternative drawing formats.
- 230-11-102 Recordkeeping requirements for enhanced raffles.
- 230-11-103 Independent audit required for enhanced raffles.
- 230-11-105 Retain and store raffle records.

RECORDKEEPING FOR RAFFLES

WAC 230-11-095 Recordkeeping requirements for ~~Class A through D~~ licensees with gross gambling receipts of fifty thousand dollars or less in their previous license year and unlicensed raffles. ~~Class A through D~~ ~~Licensees~~ with gross gambling receipt of fifty thousand dollars or less in their previous license year raffles and organizations conducting unlicensed raffles under the authority of RCW 9.46.0315 or 9.46.0321 must keep a record by month of the following:

- (1) Gross receipts; and
- (2) Prizes paid; and
- (3) Net income; and
- (4) Documentation of expenses; and
- (5) Documentation of how the proceeds were used.

[Statutory Authority: RCW 9.46.070. WSR 06-20-040 (Order 602), § 230-11-095, filed 9/26/06, effective 1/1/08.]

WAC 230-11-100 Recordkeeping requirements for ~~Class E and F~~ licensees with gross gambling receipts over fifty thousand dollars in their previous license year and raffles using alternative drawing formats.

Licensees conducting ~~Class E or Class F~~ raffles with gross gambling receipts over fifty thousand dollars in their previous license year or conducting raffles using alternative drawing formats must prepare a detailed record for each raffle they conduct. Licensees must:

- (1) Record all data required in the standard format we provide; and
- (2) Maintain the following:
 - (a) Validated deposit receipts for each deposit of raffle proceeds; and
 - (b) All winning tickets; and
 - (c) Name, address, and telephone number of all winners of a prize with a fair market value of more than fifty dollars; and
 - (d) All ticket stubs for raffles that participants are not required to be present at the drawing; and
 - (e) All unsold tickets for individual raffles for which gross gambling receipts exceed five thousand dollars; and
 - (f) Invoices and other documentation recording the purchase or receipt of prizes; and

(g) Invoices and other documentation recording the purchase of tickets and other expenses of the raffle; and

(3) Complete all records no later than thirty days following the drawing.

[Statutory Authority: RCW 9.46.070. WSR 06-20-040 (Order 602), § 230-11-100, filed 9/26/06, effective 1/1/08.]

Chapter 230-13 WAC

AMUSEMENT GAME RULES

Last Update: 10/28/16

WAC

- 230-13-001 Defining "operator."
- 230-13-005 Amusement games authorized.
- 230-13-010 Approval of new amusement games.
- 230-13-015 Group 1—Ball toss or kick amusement game standards.
- 230-13-020 Group 2—Dart amusement game standards.
- 230-13-025 Group 3—Hoop or ring toss amusement game standards.
- 230-13-030 Group 4—Coin or token toss amusement game standards.
- 230-13-035 Group 5—Hand/eye coordination amusement game standards.
- 230-13-040 Group 6—Strength test amusement game standards.
- 230-13-045 Group 7—Crane amusement game standards.
- 230-13-050 Group 8—Penny fall amusement game standards.
- 230-13-055 Group 9—Ball roll amusement game standards.
- 230-13-060 Group 10—Shooting amusement game standards.
- 230-13-065 Group 11—Cake walk and fish pond amusement game standards.
- 230-13-067 Group 12—Electronic puzzle and pattern solving game standards.

OPERATING AMUSEMENT GAMES

- 230-13-070 Notifying local law enforcement of amusement game operation.
- 230-13-075 ~~Assigning and r~~eporting ~~group numbers of~~ authorized amusement games.
- 230-13-080 Operating coin or token activated amusement games.
- 230-13-085 Control and maintenance of amusement games.
- 230-13-090 Adult supervision of unattended amusement games.
- 230-13-100 Material degree of skill required in amusement games.
- 230-13-105 Attended amusement game requirements.
- 230-13-110 Charitable or nonprofit amusement game operation and management.
- 230-13-115 "Limited location" license requirements.
- 230-13-120 Posting amusement game rules.
- 230-13-125 Factors affecting skill readily visible for amusement games.
- 230-13-130 Display and exchange of amusement game prizes.
- 230-13-135 Maximum wagers and prize limitations.
- 230-13-145 Marking the difference between objects thrown in multiple amusement games on the same premises.

AUTHORIZED LOCATIONS AND RENTAL OF AMUSEMENT GAMES OR PREMISES

- 230-13-150 Amusement game locations.
- 230-13-152 **Applying for an approved location to operate amusement games**
- 230-13-155 Contracts for commercial amusement games.
- 230-13-160 Basing rent on a percentage of gross receipts.
- 230-13-165 Charitable or nonprofit organizations renting amusement game equipment.

RECORDKEEPING FOR AMUSEMENT GAMES

- 230-13-169 Annual activity reports for commercial amusement game licensees.
- 230-13-170 Recordkeeping for commercial amusement games.
- 230-13-175 Recordkeeping for unlicensed charitable and nonprofit amusement games.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

- 230-13-140 Price to play amusement games must be paid in cash or check. [Statutory Authority: RCW 9.46.070. WSR 07-15-064 (Order 612), § 230-13-140, filed 7/16/07, effective 1/1/08.] Repealed by WSR 16-09-045 (Order 719), filed 4/15/16, effective 7/15/16. Statutory Authority: RCW 9.46.070, 9.46.0201.

WAC 230-13-075 ~~Assigning and r~~Reporting group numbers of authorized amusement games. ~~(1) Amusement game licensees must determine the authorized group number of each game and prepare a list of all games they plan to operate during each license year. They must submit this list to us with their activity report. The list must contain, at least, the name and group number of each game.~~

~~(2) Amusement game licensees must notify us within thirty days of putting into play and removing from play a group 12 amusement game. Reporting must be in the format we require.~~

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-22-049, § 230-13-075, filed 10/28/16, effective 11/28/16. Statutory Authority: RCW

9.46.070. WSR 07-15-064 (Order 612), § 230-13-075, filed 7/16/07, effective 1/1/08.]

NEW SECTION

WAC 230-13-152 Applying for an approved location to operate amusement games. (1) Operators must apply, pay a fee, and receive a license for each location they will operate approved amusement games.

(2) Operators must notify us in the format we require within thirty days of removing all amusement games from an approved location.

WAC 230-13-155 Contracts for commercial amusement games. (1) Operators must ensure that all contracts are written and specific in terms, setting out the term of the contract, amount of rent or consideration, rent due dates, and all expenses each party must pay.

(2) All contracts become part of the operator's license file. If commercial amusement game operators violate any terms of a contract, it may be grounds for suspension or revocation of their license.

(3) ~~Operators Class B or above licensees~~ may enter into contracts with business owners of any of the following approved locations to operate amusement games on their premises:

(a) Amusement parks; or

(b) Regional shopping centers; or

(c) Any location that possesses a valid license from the Washington state liquor control board and prohibits minors on their premises; or

(d) Movie theaters; or

(e) Bowling alleys; or

(f) Miniature golf course facilities; or

(g) Skating facilities; or

(h) Amusement centers; or

(i) Department or grocery stores having more than ten thousand square feet of retail and support space, not including the parking areas; or

(j) Charitable or nonprofit organizations ~~with a premises licensed for Class A amusement games~~; or

(k) Any commercial business that provides food service for on premises consumption as its primary activity.

(4) Operators must ~~not~~only place amusement games at a location after a license has been issued under WAC 230-13-152.~~which does not have a valid license~~

[Statutory Authority: RCW 9.46.070. WSR 07-15-064 (Order 612), § 230-13-155, filed 7/16/07, effective 1/1/08.]

WAC 230-13-160 Basing rent on a percentage of gross receipts. ~~Class B or above a~~A amusement game operators:

(1) May base the rent or consideration ~~paid to Class A commercial amusement game location or charitable or nonprofit amusement game location for group 12 amusement games~~ on a percentage of revenue the activity generates if the method of distribution is specific. This applies to the following locations:

(a) All commercial businesses; and

(b) Charitable and nonprofit organizations renting group 12 amusement games.

(2) May not base the rent or consideration paid to a charitable or nonprofit organization on a percentage of revenue the activity generates

unless the amount returned to the organization is equal to or exceeds twenty-two percent of the gross gambling receipts.

(3) Operators must pay the organization at least once a month.

(4) If located at regional shopping centers, may use a percentage of receipts to pay rental leases. They are also exempt from the profits restrictions of RCW 9.46.120(2).

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-08-033 (Order 718), § 230-13-160, filed 3/30/16, effective 4/30/16. Statutory Authority: RCW 9.46.070. WSR 07-15-064 (Order 612), § 230-13-160, filed 7/16/07, effective 1/1/08.]

RECORDKEEPING FOR AMUSEMENT GAMES

WAC 230-13-169 Annual activity reports for commercial amusement game licensees. Commercial amusement game licensees must submit an annual activity report to us in the format we require and must:

(1) Cover the license year of one calendar year or less; and

(2) Be received at our administrative office or postmarked no later than thirty days following the end of the reporting period. Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(3) Be signed by the licensee's highest ranking executive officer or a designee. If someone other than the commercial amusement game

licensee or its employee prepares the report, then it must provide the preparer's name and business telephone number; and

(4) Submit a report for any period of time their license was valid, even if they had no activity or did not renew their license; and

(5) Complete the report according to the instructions furnished with the report.

[Statutory Authority: RCW 9.46.070. WSR 08-20-007 (Order 630), § 230-13-169, filed 9/18/08, effective 1/1/09; WSR 07-21-116 (Order 617), § 230-13-169, filed 10/22/07, effective 1/1/08.]

Chapter 230-14 WAC

PUNCH-BOARD AND PULL-TAB RULES

Last Update: 1/19/16

WAC

PUNCH-BOARDS AND PULL-TABS

- 230-14-001 Defining "licensee," "licensees," "operator," and "operators."
- 230-14-005 Defining "punch-board."
- 230-14-010 Defining "pull-tab."
- 230-14-015 Rules apply to both punch-boards and pull-tabs.
- 230-14-020 Washington state identification and inspection stamps to be called "I.D. stamps."
- 230-14-025 Punch-boards, pull-tabs, and pull-tab dispensers must meet all requirements.
- 230-14-030 Determining winners or location of winners in advance prohibited.
- 230-14-035 Defining "pull-tab series."
- 230-14-040 Maximum number of pull-tabs in a series.
- 230-14-045 Authorized pull-tab dispensers.
- 230-14-047 Standards for electronic video pull-tab dispensers.
- 230-14-050 Operating restrictions for punch-boards and pull-tabs.
- 230-14-055 Selling pull-tabs.
- 230-14-060 Defining "flare."
- 230-14-065 Flares for punch-board or pull-tab series.

230-14-070 Displaying flares.

230-14-075 Substitute flares.

230-14-080 Prize limits and percentage of winners required.

230-14-085 Calculating markup for merchandise prizes.

230-14-090 Controlling prizes.

230-14-095 Displaying prizes.

230-14-100 Removing prizes from flares.

230-14-105 Paying prizes not deleted from flares.

230-14-110 Recording winners.

230-14-115 Defacing winning punches or pull-tabs.

230-14-120 Permanently reserving punch-boards or pull-tab series.

230-14-125 Temporarily removing punch-boards or pull-tab series from play.

230-14-130 Defining "happy hour punch-board or pull-tab games."

230-14-135 Operating spindle, banded, or "jar" type pull-tabs which award only merchandise prizes.

SEAL CARD PULL-TAB SERIES

230-14-140 Defining "seal card pull-tab series."

230-14-145 Defining "seal card round."

230-14-150 Awarding seal card pull-tab winners.

PROGRESSIVE JACKPOT PULL-TAB SERIES

230-14-155 Definitions for "progressive jackpot pull-tab series."

230-14-160 Progressive jackpot dispensers with a bank system.

230-14-165 Additional operating requirements for progressive jackpot pull-tab series.

230-14-170 Prizes in progressive jackpot pull-tab series.

230-14-175 Removing progressive jackpot pull-tab series from play.

230-14-180 Paying out prizes and defacing tabs in progressive jackpot pull-tab series.

230-14-185 Additional recordkeeping for progressive jackpot pull-tab series.

EVENT PULL-TAB SERIES

230-14-190 Defining "event pull-tab series" and "event round."

230-14-195 Operating requirements for event pull-tabs.

BONUS PULL-TAB SERIES

230-14-200 Defining "bonus pull-tab series."

230-14-205 Operating requirements for bonus pull-tab series.

230-14-210 Flares for bonus and step-up prizes.

CARRY-OVER JACKPOT PULL-TAB SERIES

230-14-215 Defining "carry-over jackpot pull-tab series" and "contribution amount."

230-14-220 Prize limits for carry-over jackpot pull-tab series.

230-14-225 Sufficient funds for carry-over jackpot pull-tab prizes.

230-14-230 Transferring a carry-over jackpot to another game.

230-14-235 Replacing played out carry-over jackpot series.

230-14-240 Distributing carry-over pull-tab jackpots.

230-14-245 Retaining carry-over jackpot pull-tab series.

230-14-250 Recording carry-over jackpots on a cash basis.

CHARITABLE OR NONPROFIT ORGANIZATIONS OPERATING PUNCH-BOARDS AND PULL-TABS

230-14-255 Net income and cash flow requirements when operating punch-boards and pull-tabs.

RECORDKEEPING FOR PUNCH-BOARDS AND PULL-TABS

230-14-260 Inventory control.

230-14-265 Retention requirements for punch-boards and pull-tab series.

230-14-266 Location of unplayed punch-boards and pull-tab series.

230-14-270 Additional retention requirements for some commercial stimulant licensees.

230-14-275 Returning punch-boards and pull-tab series to the distributor or manufacturer.

230-14-280 Unrecorded or inaccurate ~~Records review of~~ gross gambling receipts.

230-14-284 Activity reports for punch-board and pull-tab licensees.

230-14-285 Monthly income summary.

230-14-290 Calculating cash over and cash short on the punch-board and pull-tab monthly income summary.

230-14-295 Electronic facsimiles of I.D. stamps.

230-14-320 Defining a cumulative prize pool pull-tab game.

230-14-325 Defining a cumulative prize pool pull-tab game board.

230-14-330 Defining a cumulative prize pool pull-tab series.

230-14-335 Operating requirements for cumulative prize pool pull-tab games.

WAC 230-14-250 Recording carry-over jackpots on a cash basis. (1)

Operators must record carry-over jackpots on a cash basis. "Cash basis"

means operators do not record carry-over jackpot contributions until the prize is awarded.

(2) However, punch-board and pull-tab licensees who also hold a ~~Class F or above~~ bingo license with gross gambling receipts over six hundred fifty thousand dollars in their previous license year may record carry-over jackpot contributions on their monthly records if they:

(a) Record contribution amounts, up to the jackpot maximum, as prizes paid on the monthly records; and

(b) When the jackpot is awarded, record only amounts not previously accrued as prizes paid; and

(c) Play no more than five carry-over jackpot series at once; and

(d) Maintain a proper audit trail and adequate security over the funds if the licensee does not deposit the contributions with the net receipts.

[Statutory Authority: RCW 9.46.070. WSR 07-17-058 (Order 614), § 230-14-250, filed 8/10/07, effective 1/1/08.]

WAC 230-14-280 Unrecorded or inaccurate~~Records review of~~ gross gambling receipts. For licensees that have not recorded all of their punch-board/pull-tabs gross gambling receipts or reported inaccurately, we will use the following calculations to determine their gross gambling

~~receipts: To meet the gross gambling receipts and license class requirements, punch boards and pull-tab licensees must adjust gross gambling receipts from the operation to comply with commission records review findings.~~

~~Licensees must perform the following calculations:~~

(1) For unrecorded punch-boards and pull-tab series -

$$\begin{array}{r} \text{Unadjusted gross gambling receipts} \\ + \\ \text{Unrecorded punch-boards or pull-tab series} \\ \text{(total number of chances multiplied by price)} \\ \hline \text{Adjusted gross gambling receipts}^* \end{array}$$

To account for any unrecorded punch-boards and pull-tab series, licensees add the unrecorded punch-board or pull-tab series to the unadjusted gross gambling receipts. To get the total of unrecorded punch boards or pull-tab series, licensees multiply the total number of chances available by the price of a single chance to determine the maximum amount that could be generated from the punch-board or pull-tab series.

(a) The unadjusted gross gambling receipts is the amount reported for the period.

(b) The unrecorded punchboard or pull-tab series is the total number of chances or games play multiplied by the price per game.

(c) Adjusted gross gambling receipts is the amount the licensee must record for the month in which they purchased the punchboard or pull-tab series.

* Licensees must apply this figure to the records for the month in which they purchased the punch board or pull-tab series.

(2) For recording errors -

$$\begin{array}{r} \text{Unadjusted gross gambling receipts} \\ +/- \\ \text{Adjustment factor} \\ \text{(amount of sample group divided by recorded} \\ \text{amount for the licensee)} \\ \hline \text{Adjusted gross gambling receipts for the quarter} \\ \text{and the three quarters preceding**} \end{array}$$

To adjust gross gambling receipts for the results of our records review, licensees divide the amount we determined for a randomly selected sample of punchboards or pull-tab series by the recorded amount for them.

(a) The unadjusted gross gambling receipts is the amount reported for the period.

(b) The adjustment factor is the amount of a randomly selected sample of punchboard or pull-tab series divided by the amount the licensee recorded.

(c) We will apply the adjusted gross gambling receipts to the total recorded gross gambling receipts for the calendar quarter from which we took the sample and to the three quarters immediately before.

~~** Licenses apply this figure to the total recorded gross gambling receipts for the calendar quarter from which we took the sample and to the three quarters immediately before.~~

[Statutory Authority: RCW 9.46.070. WSR 07-17-058 (Order 614), § 230-14-280, filed 8/10/07, effective 1/1/08.]

WAC 230-14-284 Activity reports for punch-board and pull-tab licenses. Punch-boards and pull-tab licensees must submit an activity report to the commission. Licensees must complete the report in the format we require and must:

(1) Prior to July 1, 2018, cover the periods:

(a) January 1 through June 30; and

(b) July 1 through December 31; and

(2) Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(3) Be received at our administrative office or postmarked no later than thirty days following the end of the reporting period; and

~~(3)~~ (4) Be signed by the licensee's highest ranking executive officer or a designee. If someone other than the punch-board and pull-tab licensee or its employee prepares the report, then it must provide the preparer's name and business telephone number; and

~~(4)~~(5) Be filed even if they do not renew their license. They must file a report for the period between the previous report filed and the expiration date of the license; and

~~(5)~~(6) Unless they are also licensed for Class D or above bingo, charitable and nonprofit licensees must submit a semiannual activity report for punch-boards and pull-tabs; and

~~(6)~~(7) Class D or above bingo licensees with a punch-board and pull-tab license must report punch-board and pull-tab activity, on the combined quarterly report provided by the commission as explained in WAC 230-10-331.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-14-284, filed 10/22/07, effective 1/1/08.]

Chapter 230-15 WAC

CARD GAME RULES

Last Update: 1/19/17

WAC

OPERATING CARD GAMES

- 230-15-001 "Public card room" defined.
- 230-15-005 Requirements for public card games.
- 230-15-010 "Social card games" defined.
- 230-15-015 "Charitable and nonprofit social card room" and "guest" defined.
- 230-15-020 Approving area of premises for card games.
- 230-15-025 Hours of play.
- 230-15-030 Authorized nonhouse-banked card games.
- 230-15-035 Authorizing new games or changing game rules.
- 230-15-040 Requirements for authorized card games.
- 230-15-045 Withdrawing card game authorization.
- 230-15-050 Minimum cash on hand requirements.
- 230-15-055 Limit on number of players at each table.
- 230-15-060 Posting rules for play.
- 230-15-065 Enforcement of card game rules of play.
- 230-15-070 Fee restrictions for nonhouse-banked card games.

230-15-075 Card game fees for nonhouse-banked card games.

230-15-080 Authorized fees and authorized methods of collection.

230-15-085 Requirements for authorized methods of collecting fees.

230-15-090 Requirements for drop boxes.

230-15-100 Providing cards and chips in card games.

230-15-105 Only authorized cards or chips may be used.

230-15-110 Standards for chips.

230-15-111 Destruction and disposal of gambling chips.

230-15-115 Standards for cards.

230-15-116 Electronic facsimiles of cards allowed.

230-15-120 Fees for decks of cards.

230-15-125 Cutting cards in player-dealt games.

230-15-126 Cutting cards in center dealer-dealt games.

230-15-130 Rotating the deal in player-dealt games.

230-15-135 Wagering limits for nonhouse-banked card games.

230-15-140 Wagering limits for house-banked card games.

230-15-141 Additional merchandise or cash prizes for card games.

230-15-145 Making wagers with chips or coin.

230-15-150 Selling and redeeming chips.

230-15-155 NSF checks.

230-15-158 Ensuring card room employees meet license requirements.

- 230-15-160 Providing dealers.
- 230-15-165 Defining "floor supervisor."
- 230-15-170 Photos of card room employees required.
- 230-15-180 Playing for or assisting others prohibited.
- 230-15-185 Preventing cheating in card games.

GENERAL RECORDS AND RECORDKEEPING FOR CARD GAMES

- 230-15-190 Preparing card game records.
- 230-15-195 Keeping and making daily records available.
- 230-15-200 Reporting card game activity semiannually.

CARD TOURNAMENTS

- 230-15-205 Card tournament licenses.
- 230-15-210 Entry fees and buy-ins for card tournaments.
- 230-15-215 Getting card tournaments approved.
- 230-15-220 Restricting length of card tournaments.
- 230-15-225 Tournament rules and prizes.
- 230-15-230 Offering discounted tournament fees as customer appreciation.
- 230-15-235 Exclusive tournament entry as customer appreciation.
- 230-15-240 Value of tournament chips.
- 230-15-245 Reporting entry fees as gambling receipts.
- 230-15-250 Recordkeeping for card tournaments.

ADDITIONAL RULES FOR CHARITABLE AND NONPROFIT CARD GAMES

230-15-255 Posting rules for unlicensed card and dice games.

230-15-260 Allowing guests to play social card games.

SURVEILLANCE REQUIREMENTS FOR CLASS F AND HOUSE-BANKED CARD GAME LICENSEES

230-15-265 Closed circuit television system surveillance requirements.

230-15-267 Remote access of closed circuit television surveillance systems.

230-15-270 Surveillance when operating both Class F and house-banked card games.

230-15-275 Surveillance requirements for Class F card games.

230-15-280 Surveillance requirements for house-banked card games.

230-15-285 Camera and monitor requirements for closed circuit television systems.

230-15-290 Analog video recording equipment requirements.

230-15-295 Digital video recording equipment requirements.

230-15-300 Using multiplex and quad recording devices in required surveillance.

230-15-305 Reporting illegal or suspicious activities.

230-15-310 Resolving disputes using video recordings.

230-15-319 Retaining video recordings.

230-15-320 Surveillance room requirements for house-banked card game licensees.

230-15-325 Surveillance room sign-in log.

230-15-330 Keeping a surveillance activities log.

ADDITIONAL RULES FOR CLASS F CARD GAMES

230-15-335 Internal controls.

230-15-340 Minimum number of licensed employees required.

- 230-15-345 Numbering tables.
- 230-15-350 Transporting drop boxes.
- 230-15-353 Using match play coupons in nonhouse-banked card games.
- 230-15-355 Counting procedures for fees.

PLAYER-SUPPORTED JACKPOTS

- 230-15-360 Defining "player-supported jackpot."
- 230-15-365 Getting approval for player-supported jackpots.
- 230-15-370 Naming a prize fund custodian for a player-supported jackpot.
- 230-15-375 Posting rules for a player-supported jackpot.
- 230-15-380 Seeding a player-supported jackpot.
- 230-15-385 Collecting funds for a player-supported jackpot.
- 230-15-390 Collecting an administrative fee on the player-supported jackpot.
- 230-15-395 House dealer required for a player-supported jackpot.
- 230-15-400 Accounting for player-supported jackpot funds.
- 230-15-405 Paying out prizes on a player-supported jackpot.
- 230-15-410 Owners, prize fund custodians, and card room employees participating in player-supported
jackpots.
- 230-15-415 Removing a player-supported jackpot from play.
- 230-15-420 Resolving disputes over player-supported jackpots.

ADDITIONAL RULES FOR HOUSE-BANKED CARD GAMES

Internal Controls for House-Banked Card Games

230-15-425 Internal controls.

230-15-430 Internal control requirements.

230-15-435 Defining "incompatible functions."

230-15-440 Modifying internal controls and changing card games offered.

230-15-445 Defining "gambling operations department manager."

230-15-450 Defining "shift manager."

Operating House-Banked Card Games

230-15-453 Using match play or similar coupons in gambling promotions.

230-15-455 Keeping funds to pay prizes, progressive jackpot prizes, and odds-based wager prizes.

230-15-460 Supervision requirements for house-banked card rooms.

230-15-465 Dealing all house-banked card games from a dealing shoe.

230-15-470 Numbering gambling tables.

230-15-475 Tips from players and patrons to card room employees.

230-15-480 Commissions on winning hands.

230-15-490 Limiting pay outs for odds-based wagers.

230-15-491 Limiting payouts to dealers for tip or "toke" wagers for odds-based payouts.

230-15-495 Opening tables.

230-15-500 Accounting for table inventory.

230-15-505 Selling gambling chips to players.

230-15-510 Transferring chips and coin to the gambling tables.

230-15-515 Requirements for request for fill/credit slips.

- 230-15-520 Requirements for fill/credit slips.
- 230-15-525 Completing the fill process.
- 230-15-530 Completing the credit process.
- 230-15-535 Closing tables.
- 230-15-540 Notifying the commission of card game interruptions.
- 230-15-545 Interruption of card games for more than seven days.

Cashier's Cages in House-Banked Card Rooms

- 230-15-550 Closely controlling money.
- 230-15-553 Defining "cash equivalent."
- 230-15-555 Cashier's cage design and structure.
- 230-15-560 Operating the cashier's cage.
- 230-15-565 Access and entrance to cashier's cage.
- 230-15-575 Separate imprest bank allowed for nonhouse-banked card games.
- 230-15-580 Accepting checks at the cashier's cage.

Drop Boxes in House-Banked Card Rooms

- 230-15-585 Using drop boxes.
- 230-15-590 Transporting drop boxes.
- 230-15-595 Storing drop boxes not attached to tables in the count room.
- 230-15-600 Storing drop boxes on closed gambling tables.

Count Requirements in House-Banked Card Rooms

- 230-15-605 Requirements for count rooms.

230-15-610 Preparing to conduct a count.

230-15-615 Conducting the count.

230-15-620 Concluding the count.

230-15-625 Accounting department review of the count.

Securing House-Banked Card Room Keys

230-15-630 Controlling keys and restricting access.

Progressive Jackpot Prizes for House-Banked Card Games

230-15-680 Operating progressive jackpot prizes.

230-15-685 Restrictions on progressive jackpots.

230-15-690 Paying out progressive jackpot prizes.

230-15-695 Adjusting progressive jackpot amounts.

230-15-700 Merchandise prizes for progressive jackpots.

230-15-705 Temporarily removing a progressive jackpot from play.

230-15-710 Permanently removing a progressive jackpot or a portion of a progressive jackpot from
play.

230-15-715 Tax authorities seizing all, or a portion, of a progressive jackpot.

230-15-720 Deposit and reconciliation requirements for progressive jackpot prizes.

Records and Recordkeeping for House-Banked Card Games

230-15-725 Keeping all card game records.

230-15-730 Keeping an accounting system.

230-15-735 Keeping employee licensing records.

230-15-740 Preparing required financial statements.

230-15-745 Signature cards.

230-15-750 Signature requirements for documents, records, and forms.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

230-15-175 Reporting card room employees no longer working. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-175, filed 4/10/07, effective 1/1/08.] Repealed by WSR 08-21-087 (Order 633), filed 10/14/08, effective 1/1/09. Statutory Authority: RCW 9.46.070.

230-15-189 House-banked and Class F card game licensee pilot program on wagering limits for Texas Hold'em poker. [Statutory Authority: RCW 9.46.070, 9.46.0282. WSR 10-19-048 (Order 672), § 230-15-189, filed 9/13/10, effective 10/15/10.] Repealed by WSR 12-21-048 (Order 680), filed 10/12/12, effective 1/1/13. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-485 Electronic facsimiles of cards allowed. [Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-15-485, filed 10/22/07, effective 1/1/08; WSR 07-09-033 (Order 608), § 230-15-485, filed 4/10/07, effective 1/1/08.] Repealed by WSR 13-07-040 (Order 685), filed 3/14/13, effective 4/14/13. Statutory Authority: RCW 9.46.070, 9.46.0282.

230-15-570 Cashier's cage bank requirements. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-570, filed 4/10/07, effective 1/1/08.] Repealed by WSR 14-11-021 (Order 699), filed 5/9/14, effective 7/1/14. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-635 Electronic key control systems. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order

608), § 230-15-635, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-640 Keeping individual key control boxes for departments. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-640, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-645 Keeping a key control log. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-645, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-650 Keys for the gambling operations department. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-650, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-655 Keys for the security department. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-655, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-660 Keys for the accounting department. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-660, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-665 Keys for the surveillance department. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-665, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-670 Keeping a master key control box. [Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-15-670, filed 10/22/07, effective 1/1/08; WSR 07-09-033 (Order 608), § 230-15-670, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

230-15-675 Key control box for the emergency key. [Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-675, filed 4/10/07, effective 1/1/08.] Repealed by WSR 15-15-065 (Order 714), filed 7/10/15, effective 8/9/15. Statutory Authority: RCW 9.46.070 and 9.46.0282.

WAC 230-15-005 Requirements for public card games. At any time public card game licensees are conducting card games, they must have:

(1) ~~Have~~ The food and/or drink business being commercially stimulated open to the public; and

(2) A licensed card room employee on duty and in the public card room area if operating the following card games:

(i) ~~For Class E, Class F, or~~

(ii) ~~Hh~~ouse-banked ~~card games, or~~

(iii) Commercial nonhouse-banked card games of poker or other nonhouse-banked card games specifically approved by the director or the director's designee and a fee is collected to play.~~have a licensed card room employee on duty and in the public card room area.~~

[Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-005, filed 4/10/07, effective 1/1/08.]

WAC 230-15-080 Authorized fees and authorized methods of collection. Card game licensees must collect only one type of card game fee at a table at any given time. The following are authorized types of fees, the card game licensees who may use those fee types, and the methods of collection:

Authorized types of fees	Licensees authorized to use the fee types	Authorized methods of collection	Maximum amount to collect
<p>(1) Period of time -</p> <p>(a) Licensees must collect the fee at least once per hour at times the licensee chooses, for example, at thirty minute increments; and</p> <p>(b) Licensees must record all fees immediately after collection; or</p>	<p><u>Nonhouse-banked card games</u>Class A, B, C, E, Class F, and house-banked</p>	<p>Direct collection; or</p> <p>Chip rack - Only allowed if licensed for three or fewer tables; or</p> <p>Drop box.</p>	<p>Not more than ten dollars per hour, per player.</p>
<p>(2) Per hand played -</p> <p>(a) Players must place fees charged on a per-hand basis in a designated area of the table and dealers must collect them before dealing the first round of cards; and</p> <p>(b) After collecting the fees, dealers must deposit all chips or coins in either the drop box or chip rack; or</p>	<p>Class F and house-banked</p>	<p>Drop box; or</p> <p>Chip rack - Only allowed if licensed for three or fewer tables.</p>	<p>Not more than one dollar per hand, per player.</p>

Authorized types of fees	Licensees authorized to use the fee types	Authorized methods of collection	Maximum amount to collect
(3) Rake - (a) Dealers must collect fees charged on the amounts wagered during the play of the hand and place the fees in a designated area of the table; and (b) Once dealers accumulate the maximum fee for a hand, they must spread the chips or coins to allow players and the surveillance system to view the amount collected. After spreading the chips or coins, the dealer deposits them in either the drop box or chip rack.	Class F and house-banked	Drop box; or Chip rack - Only allowed if licensed for three or fewer tables.	Not more than ten percent of the total wagers for a hand.

[Statutory Authority: RCW 9.46.070 and 9.46.0201. WSR 16-23-153, § 230-15-080, filed 11/22/16, effective 12/23/16. Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-080, filed 4/10/07, effective 1/1/08.]

WAC 230-15-100 Providing cards and chips in card games. (1) Card game licensees, except for nonhouse-banked card game~~Class D~~ licensees that do not charge a fee to play, must supply all chips and cards and not allow any other chips or cards to be used on their premises.

(2) Card game licensees must not charge additional fees to players for chips and cards except as allowed under WAC 230-15-110.

[Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-100, filed 4/10/07, effective 1/1/08.]

WAC 230-15-110 Standards for chips. (1) Chips must be of conventional size and design that maximize the integrity of the card games. Chips must be identifiable as belonging to the licensee and must:

- (a) Include the house name or logo; and
- (b) Denote the chip value; and
- (c) Be made by a licensed manufacturer; and
- (d) Be purchased from a licensed manufacturer or distributor.

(2) Nonhouse-banked card game~~Class D~~ licensees that do not charge a fee to play are exempt from subsection (1) of this section.

(3) Card game licensees must:

- (a) Safeguard all chips in their possession; and
- (b) Not allow any other person to buy or sell chips for use in card games on their premises.

(4) Nonhouse-banked card game~~Class A, B, C, and E~~ licensees that charge a fee to play and have~~with~~ five or fewer tables may use chips without a house name or logo if the chips are identifiable as belonging to the licensee and they prominently post values of the chips in the card room.

[Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-110, filed 4/10/07, effective 1/1/08.]

WAC 230-15-115 Standards for cards. (1) Card game licensees must:

(a) Supply cards of conventional size and design to maximize the integrity of the card games; and

(b) Safeguard all cards; and

(c) Not allow cards that have been modified or marked in any manner.

(2) For ~~Class E, Class F, and house-banked,~~ and nonhouse-banked card games licensees that play poker or other games approved by the director or the director's designee and collect a fee to play, the cards must:

(a) Be made by a licensed manufacturer; and

(b) Be purchased from a licensed manufacturer or distributor.

(3) Cards with the house name or logo must be used for house-banked card games.

[Statutory Authority: RCW 9.46.070. WSR 09-11-087 (Order 648), § 230-15-115, filed 5/18/09, effective 7/1/09; WSR 07-09-033 (Order 608), § 230-15-115, filed 4/10/07, effective 1/1/08.]

WAC 230-15-120 Fees for decks of cards. (1) Card game licensees may charge a fee to a player asking for a new deck of cards.

(2) In addition, nonhouse-banked card game~~Class D~~ licensees who do not charge a fee to play may charge a fee for every deck supplied to a table.

(3) The fee must not be greater than the licensee's actual cost for the deck.

(4) At the time licensees introduce new decks, they must collect the fee in cash directly from the player requesting the deck or the players of the game.

[Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-120, filed 4/10/07, effective 1/1/08.]

WAC 230-15-135 Wagering limits for nonhouse-banked card games.

Card room licensees must not exceed these wagering limits:

(1) **Poker** -

(a) There must be no more than five betting rounds in any one game; and

(b) There must be no more than four wagers in any betting round, for example, the initial wager plus three raises; and

(c) The maximum amount of a single wager must not exceed forty dollars; however, Class F and house-banked card game licensees may offer a single wager not to exceed three hundred dollars;

(2) **Games based on achieving a specific number of points** - Each point must not exceed five cents in value;

(3) **Ante** - No more than the maximum wager allowed for the first betting round for any game, except for Panguingue (Pan). The ante may, by house rule:

(a) Be made by one or more players, but the total ante may not exceed the maximum wager allowed for the first betting round; and

(b) Be used as part of a player's wager;

(4) **Panguingue (Pan)** - The maximum value of a chip must not exceed ten dollars. An ante must not exceed one chip. We prohibit doubling of conditions. Players going out may collect no more than two additional chips for going out from each participating player.

[Statutory Authority: RCW 9.46.070 and 9.46.0201. WSR 16-23-153, § 230-15-135, filed 11/22/16, effective 12/23/16; WSR 16-18-024, § 230-15-135, filed 8/26/16, effective 9/26/16. Statutory Authority: RCW 9.46.070 and 9.46.0282. WSR 12-21-048 (Order 680), § 230-15-135, filed 10/12/12, effective 1/1/13; WSR 09-21-013 (Order 658), § 230-15-135, filed 10/9/09, effective 11/9/09. Statutory Authority: RCW 9.46.070. WSR 09-09-056 (Order 642), § 230-15-135, filed 4/10/09, effective 7/1/09; WSR 07-21-116 (Order 617), § 230-15-135, filed 10/22/07, ef-

fective 1/1/08; WSR 07-09-033 (Order 608), § 230-15-135, filed 4/10/07, effective 1/1/08.]

WAC 230-15-200 Reporting card game activity—~~semiannually~~. Card game licensees, except for Class D, must submit an activity report for their card games to us.

(1) Licensees must complete the report in the format we require; and

(2) We must receive the completed report, or the report must be postmarked, no later than thirty days after the end of the reporting period; and

(3) The highest ranking executive officer or designee must sign the report. If someone other than the licensee or an employee prepares the report, the preparer must print his or her name and phone number on the report; and

(4) Prior to July 1, 2018, ~~l~~licensees must report activities for:

January 1 through June 30; and

July 1 through December 31; and

(5) Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(6) Licensees must submit a report for any period of time their license was valid. If licensees do not renew, they must submit a report for the period between the previous ~~semiannual~~ report they filed and the date their license expired.

[Statutory Authority: RCW 9.46.070. WSR 07-09-033 (Order 608), § 230-15-200, filed 4/10/07, effective 1/1/08.]

CARD TOURNAMENTS

WAC 230-15-205 Card tournament licenses. ~~(1) Class A, B, E, F, or~~ house-banked, and nonhouse-banked card game licensees may conduct a card tournament where a fee or buy-in is charged without getting a card tournament license, but they must only operate those card games approved for their license typeclass.

~~_(2) Class D licensees must obtain a card tournament license to charge a fee for a card tournament.~~

[Statutory Authority: RCW 9.46.070. WSR 08-21-087 (Order 633), § 230-15-205, filed 10/14/08, effective 1/1/09; WSR 07-09-033 (Order 608), § 230-15-205, filed 4/10/07, effective 1/1/08.]

Chapter 230-16 WAC

MANUFACTURER, DISTRIBUTOR, AND GAMBLING SERVICE SUPPLIER RULES

Last Update: 7/22/14

WAC

GENERAL RULES FOR MANUFACTURERS, DISTRIBUTORS, AND GAMBLING SERVICE SUPPLIERS

230-16-001 Manufacturers, distributors, and gambling service suppliers must ensure representatives are licensed.

230-16-005 Transporting, displaying, and selling gambling equipment at trade shows and conventions.

PUNCH-BOARD AND PULL-TAB REQUIREMENTS

230-16-010 Manufacturers, distributors, and gambling service suppliers must comply with punch-board and pull-tab rules.

230-16-015 Punch-board and pull-tab sales restrictions.

230-16-020 Sales to Indian tribes.

230-16-025 Punch-board construction.

230-16-030 Step up punch-board construction.

230-16-035 Pull-tab construction.

230-16-040 Winner protection and secondary verification codes.

230-16-045 Defective punch-boards, pull-tabs, or pull-tab dispensers.

230-16-050 Punch-board and pull-tab quality control program.

230-16-052 Standards for flares.

230-16-055 Bonus or step up flares.

230-16-060 Assembly and packaging of pull-tab series.

PROGRESSIVE JACKPOT PULL-TAB SERIES

230-16-065 Approvals needed before offering progressive jackpot pull-tab series.

230-16-070 Prizes in progressive jackpot pull-tab series.

230-16-075 Assembly and packaging of progressive pull-tab series.

EVENT PULL-TAB SERIES

230-16-080 Event pull-tab series.

BONUS PULL-TAB SERIES

230-16-085 Bonus pull-tab series with carry-over jackpots.

CARRY-OVER JACKPOTS

230-16-090 Secondary win codes for carry-over jackpots.

230-16-095 Prizes in carry-over jackpot pull-tab series.

SEAL CARD PULL-TAB SERIES

230-16-100 Seal card pull-tab series.

230-16-102 Cumulative prize pool pull-tab games.

230-16-104 Cumulative prize pool pull-tab series flare.

BINGO CARDS

230-16-105 Disposable bingo cards.

230-16-110 Duplicate bingo cards.

230-16-120 Bingo card manufacturing control system.

230-16-125 Disposable bingo card packing slip and package label.
230-16-130 Disposable bingo card sales.
230-16-135 "Player selection" bingo cards.
230-16-140 "Hidden face" bingo cards.
230-16-145 Electronically generated bingo card computer systems.
230-16-150 Control system for electronically generated bingo cards.

GAMBLING EQUIPMENT

230-16-151 Gambling equipment connecting to external tools for standard maintenance.

ELECTRONIC CARD FACSIMILES

230-16-155 Electronic card facsimiles.

I.D. STAMPS

230-16-160 I.D. stamps for gambling equipment.
230-16-165 Purchasing I.D. stamps.
230-16-170 I.D. stamps must be visible.
230-16-175 Placing I.D. stamps and records entry labels.
230-16-180 Record retention for I.D. stamp records.

RECORDKEEPING

230-16-185 Accounting records for manufacturers and distributors.
230-16-187 Accounting records for manufacturer's special sales permit holders.
230-16-190 Sales invoices for manufacturers and distributors.
230-16-195 Additional requirements for sales invoices.

230-16-200 Sales journals for manufacturers and distributors.

230-16-205 Record retention for manufacturers and distributors.

230-16-210 Alternative formats for recordkeeping.

230-16-215 Accounting records and record retention for gambling service suppliers.

230-16-220 Activity reports by manufacturers and distributors.

WAC 230-16-130 Disposable bingo card sales. (1) Manufacturers of disposable bingo cards must sell each set or collation as a single unit.

(2) We allow distributors to open containers for ~~Class E and below~~ licensed operators and operators of authorized unlicensed activities:

(a) At an operator's request to change the "on," "up," and "cut." When a modification is made, the distributor must reseal the carton and note all changes on the packing label; or

(b) To provide cards to individuals for recreational activities; or

(c) To provide cards for "promotional contests of chance."

(3) Subsets must have at least one container, except distributors may open the container and sell cards in smaller quantities described in subsection (2) of this section.

[Statutory Authority: RCW 9.46.070. WSR 07-19-069 (Order 615), § 230-16-130, filed 9/17/07, effective 1/1/08.]

WAC 230-16-220 Activity reports by manufacturers and distributors.

Manufacturers and distributors must submit activity reports to us twice a year for sales and services related to gambling activities. The activity reports must be in the format we require and must:

(1) Prior to July 1, 2018, ~~cover~~ the periods:

(a) January 1 through June 30; and

(b) July 1 through December 31; and

(2) Beginning July 1, 2018, reports required by this section must be submitted quarterly, as set forth in WAC 230-05-102; and

(3) Be received at our administrative office or postmarked no later than thirty days following the end of the reporting period; and

(34) Be signed by the licensee's highest ranking executive officer or a designee. If someone other than the manufacturer or distributor or its employee prepares the report, then it must provide the preparer's name and business telephone number; and

(45) Be submitted for any period of time their license was valid, even if they had no activity or did not renew.

[Statutory Authority: RCW 9.46.070. WSR 07-19-069 (Order 615), § 230-16-220, filed 9/17/07, effective 1/1/08.]

BRIEF ADJUDICATIVE PROCEEDINGS (BAPs)

WAC 230-17-150 ~~Use of b~~Brief adjudicative proceedings ~~(BAPs)~~. (1)

~~Presiding officers must use brief adjudicative proceedings (BAPs) for~~

The commission adopts the procedure for brief adjudicative proceedings

provided in RCW 34.05.482 through 34.05.494. The commission finds

brief adjudicative proceedings will be conducted where the matter in-

volves one of the following:

(a) Stays of summary suspension; and

(b) Denying or revoking extended operating hours for:

(i) Card games; and

(ii) Bingo; and

(c) Charitable or nonprofit licensee appealing a denial of a request for waiver of significant progress requirements; and

(d) Failure to pay required gambling taxes, where that is the only alleged violation in the administrative charges; and

(e) Failure to pay quarterly license fees or submit quarterly license reports; and

(f) When the penalty we are requesting is a suspension of seven days or less; and

(f~~g~~) When the parties stipulate to using a ~~BAP~~brief adjudicative proceeding.

~~(2) If we conduct a BAP, we may conduct them telephonically and, therefore, the notice of hearing will not set a place of the hearing.~~

~~(3) Any party to the BAP may request to appear in person and, in those cases, a place will be set and all parties notified.~~

[Statutory Authority: RCW 9.46.070. WSR 07-21-156 (Order 615), § 230-17-150, filed 10/24/07, effective 1/1/08.]

NEW SECTION

WAC 230-17-151

Brief adjudicative proceedings – procedure.

(1) The following procedures apply to the commission's brief adjudicative proceedings for matters identified in WAC 230-17-150, unless the matter is converted to a formal adjudicative proceeding as provided in subsection (2) of this rule.

(a) We will set the date and time of the hearing.

(b) Written notice shall be served upon the licensee at least seven days before the date of the hearing. Service is to be made pursuant to WAC 230-17-035.

(c) A brief adjudicative proceeding may be conducted telephonically with the concurrence of the presiding officer and all persons involved in the proceeding.

(d) WAC 230-17-045 controls who can appear in a brief adjudicative proceeding.

(e) The presiding officer must be the director, deputy director or administrative law judge.

(f) Parties or their representatives may present written documentation oral testimony at a brief adjudicative proceeding. However, no non-party witnesses may appear to testify.

(g) The presiding officer may, in her or his discretion, allow oral argument from parties or their representatives during a brief adjudicative proceeding.

(h) The presiding officer will enter an initial order within ten business days of the end of a brief adjudicative proceeding. The initial order shall briefly state the basis and legal authority for the decision.

(i) An initial order will become the final order if no review of the initial order is received by us within twenty-one days of service of the initial order.

(2) Any party, including the agency, may file a written objection to resolution of a matter by a brief adjudicative proceeding and may request that it be converted to a formal adjudicative proceeding.

(a) The objection must be received by the presiding officer at least three days before the scheduled brief adjudicative proceeding.

(b) Upon receiving a timely written objection, the presiding officer shall determine whether the matter should be converted.

(c) A presiding officer may convert any brief adjudicative proceeding to a formal adjudicative proceeding whenever it appears that a brief adjudicative proceeding is insufficient to determine the issues pending before the commission.

(d) In determining whether to convert a proceeding, the presiding officer may consider the following factors:

(i) Whether witness testimony will aid the presiding or reviewing officer in resolving contested issues of fact;

(ii) Whether the legal or factual issues are sufficiently complex to warrant a formal adjudicative proceeding, including whether there are multiple issues of fact or law;

(iii) Whether a brief adjudicative proceeding will establish an adequate record for further agency or judicial review;

(iv) Whether the legal issues involved in the proceeding present questions of legal significance or are being raised for the first time before the commission;

(v) Whether conversion of the proceeding will cause unnecessary delay in resolving the issues; and

(vi) Any other factors that the presiding or reviewing officer deems relevant in reaching a determination.

NEW SECTION

WAC 230-17-152

Brief adjudicative proceedings – appeal rights.

(1) Any party to a brief adjudicative proceeding may request review of the initial order by filing a written petition for review to us.

(2) We must receive your petition for review within twenty-one days after service of the initial order.

(3) Your petition for review must contain any evidence or written material relevant to the matter that the party wishes the reviewing officer to consider.

(4) Parties must serve copies of the petition to all other parties or their representatives at the time the petition for review is filed.

(5) The chair of the commission or the commissioners shall be the reviewing officer(s).

(6) The reviewing officer(s) consider your appeal and either uphold, modify or overturn the brief adjudicative proceeding order. The decision of the reviewing officer(s), also called an order, is the final agency decision. The order will be provided to you at the last address you furnished to the commission.

(7) The order on review must be in writing, must include a brief statement of the reasons for the decision, and must be entered within ten business days after the petition for review is considered. The order shall include a description of any further available administrative review or, if none is available, a notice that judicial review may be available.

WAC 230-17-155 Discovery limitations ~~in brief adjudicative proceedings~~. (1) In all brief adjudicative proceedings, discovery must be limited to requests for written reports and supporting documents relevant to the charges.

(2) Interrogatories and depositions are not allowed.

[Statutory Authority: RCW 9.46.070. WSR 07-21-156 (Order 615), § 230-17-155, filed 10/24/07, effective 1/1/08.]

Proposed Rule Changes -
Failure to pay license fee/submit licensing report and BAP and
Process for failure to pay/report

WAC 230-03-085 Denying, suspending, or revoking an application, license or permit. We may deny, suspend, or revoke any application, license or permit, when the applicant, licensee, or anyone holding a substantial interest in the applicant's or licensee's business or organization:

(1) Commits any act that constitutes grounds for denying, suspending, or revoking licenses or permits under RCW 9.46.075; or

(2) Has been convicted of, or forfeited bond on a charge of, or pleaded guilty to a misdemeanor or felony crime involving physical harm to individuals. "Physical harm to individuals" includes any form of criminal assault, any crime involving a threat of physical harm against another person, or any crime involving an intention to inflict physical harm on another person; or

(3) Has demonstrated willful disregard for complying with ordinances, statutes, administrative rules, or court orders, whether at the local, state, or federal level; or

(4) Has failed to pay gambling taxes to local taxing authorities and the local taxing authority has petitioned us to take action; or

(5) Has failed to pay quarterly license fees or submit quarterly license reports; or

(6) Is serving a period of probation or community supervision imposed as a sentence for any juvenile, misdemeanor, or felony criminal offense, whether or not the offense is covered under RCW 9.46.075(4); or

(67) Is the subject of an outstanding gross misdemeanor or felony arrest warrant; or

(78) Fails to provide us with any information required under commission rules within the time required, or, if the rule establishes no time limit, within thirty days after receiving a written request from us; or

(89) Poses a threat to the effective regulation of gambling, or creates or increases the likelihood of unfair or illegal practices, methods, and activities in the conduct of gambling activities, as demonstrated by:

(a) Prior activities; or

(b) Criminal record; or

(c) Reputation; or

(d) Habits; or

(e) Associations; or

(~~9~~10) Knowingly provides or provided goods or services to an entity that illegally operates gambling activities.

[Statutory Authority: RCW 9.46.070. WSR 07-21-116 (Order 617), § 230-03-085, filed 10/22/07, effective 1/1/08; WSR 06-07-157 (Order 457), § 230-03-085, filed 3/22/06, effective 1/1/08.]



Petition for Rule Change to Restrict Employee Gambling at their Place of Employment.

New or Amended Rules to be Determined

October 2017 – Request to Initiate Rule-Making

Tab 4: October 2017 Commission Meeting Agenda.	Statutory Authority 9.46.070
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Who Proposed the Rule Change?

Stacey Hess and Greg Bakamis, representing Great American Casino’s, which has four licensed properties in Washington, each with 15 tables: Great American Casino/Des Moines, Great American Casino/Everett, Great American Casino/Lakewood, and Great American Casino/Tukwila.

Describe the Rule Change

The petitioners are seeking to restrict employees from gambling at facilities where they work. Specifically, the petitioners are requesting a rule change to:

- Prohibit any licensed employee from gambling in the house-banked card room in which they hold an active license;
- Require licensees to contact us to report incidents in which licensed employees were gambling at the location in which they hold a license. If reported within 24 hours, we would not pursue administrative action against the licensee unless the director determined there was extenuating circumstance;
- Require us to aggressively pursue suspected employee gaming or other illegal activities and work closely with local law enforcement to end those activities; and
- Require us to take action against a licensees who allows employee gaming at their business to include loss of their license and criminal prosecution.

The petitioner did not submit any rule language with the petition. If the Commissioners would like to have further discussion on the concept, staff would suggest we work with the petitioner and other stakeholders to bring specific rule language forward at a future meeting.

Attachments:

- Enhancing Responsible Gambling Support for Workers in the B.C. Gambling Industry
- BCLC Gaming Workers Survey Final Report, July 6, 2016 (attached at the petitioner’s request)
- Stakeholder feedback

Policy Consideration

- We have seen an increase in the number of collusion and cheating cases this year in which the licensee gambled and took money from their employer through cheating. We had three cases in 2016. Prior to 2016, we only had one case each year. Employee collusion/cheating cases take an average of 100 hours. These cases take a great deal of time to investigate to revoke the gambling licenses of the card room employee(s) and seek criminal prosecution. The losses to the HBCR for the three cases in 2016 ranged from \$20,000 to about \$60,000 with an average of \$43,000. If employees were not allowed to gamble at their employers, we would no longer have these cases,

thereby saving us time and money to use on other regulatory efforts. Licensed house-banked card rooms would no longer face potential losses from employee gambling collusion.

- It has been reported to us that employee gambling at their place of employment can comprise of up to approximately 25% or more of a house-banked card room's revenue. No longer allowing employees to gamble at their place of employment could impact house-banked card room licensee's revenue.
- We have heard of house-banked card rooms requiring their employees to gamble at their place of employment on their own time in order to get more hours or better shifts, which could increase the risk of licensed employees becoming problem gamblers, see attachment.
- Tribal facilities usually restrict their licensed employees from gambling in the activity when their job duties involve that same gambling activity. For example, table game dealers cannot play table games at their place of employment, but they can play poker or the tribal lottery systems at their place of employment.
- This proposed change could impact those licensed employees who want to gamble but work in a geographical area that does not have another house-banked card room or tribal facility in their immediate vicinity.
- Poker requires at least a certain number of players to have a game. It is common for licensed employees to participate in a poker game, on or off shift, in order to get a game started. Therefore, this rule proposal could have a significant impact on house-banked card rooms that offer poker.
- It does not prohibit licensed employees from gambling, just gambling at their place of employment.

Stakeholder Feedback

Dave Magee – see attached email for comments on the petition.

Staff Recommendation

Under the requirements of the Administrative Procedure Act, the Commission must take action on a petition within 60 days of receiving it. Your options are:

- 1) Initiate rule-making proceedings. After working with the stakeholders as outlined above, staff would bring rules to you to file for further discussion; or
- 2) Deny the petition in writing, stating the reasons for the denial and specifically address the concerns stated in the petition. If appropriate, the Commission must indicate alternative means by which the agency will address the concerns raised in the petition.



PETITION FOR ADOPTION, AMENDMENT, OR REPEAL OF A STATE ADMINISTRATIVE RULE

Print Form

In accordance with RCW 34.05.330, the Office of Financial Management (OFM) created this form for individuals or groups who wish to petition a state agency or institution of higher education to adopt, amend, or repeal an administrative rule. You may use this form to submit your request. You also may contact agencies using other formats, such as a letter or email.

The agency or institution will give full consideration to your petition and will respond to you within 60 days of receiving your petition. For more information on the rule petition process, see Chapter 82-05 of the Washington Administrative Code (WAC) at <http://apps.leg.wa.gov/wac/default.aspx?cite=82-05>.

CONTACT INFORMATION (please type or print)

Petitioner's Name Stacey Hess/Greg Bakamis

Name of Organization Great American Gaming Corporation

Mailing Address 14040 Interurban Ave S

City Tukwila State WA Zip Code 98168

Telephone 253.480.3000 Email shess@gagaming.com

COMPLETING AND SENDING PETITION FORM

- Check all of the boxes that apply.
- Provide relevant examples.
- Include suggested language for a rule, if possible.
- Attach additional pages, if needed.
- Send your petition to the agency with authority to adopt or administer the rule. Here is a list of agencies and their rules coordinators: <http://www.leg.wa.gov/CodeReviser/Documents/RClst.htm>.

INFORMATION ON RULE PETITION

Agency responsible for adopting or administering the rule: Washington State Gambling Commission

1. NEW RULE - I am requesting the agency to adopt a new rule.

The subject (or purpose) of this rule is: Restiction of Employee Gaming

The rule is needed because: Integrity of Gaming, Employee Protection

The new rule would affect the following people or groups: Any employee of a House Banked Card Room who is licensed with the WSGC

2. AMEND RULE - I am requesting the agency to change an existing rule.

List rule number (WAC), if known: _____

I am requesting the following change: _____

This change is needed because: _____

The effect of this rule change will be: _____

The rule is not clearly or simply stated: _____

3. REPEAL RULE - I am requesting the agency to eliminate an existing rule.

List rule number (WAC), if known: _____

(Check one or more boxes)

It does not do what it was intended to do.

It is no longer needed because: _____

It imposes unreasonable costs: _____

The agency has no authority to make this rule: _____

It is applied differently to public and private parties: _____

It conflicts with another federal, state, or local law or rule. List conflicting law or rule, if known: _____

It duplicates another federal, state or local law or rule. List duplicate law or rule, if known: _____

Other (please explain): _____

The Gambling Code in combination with Gambling Commission rules currently requires certain employees of certain gambling facilities to have individual licenses. These employees include:

- **dealers;**
- **employees collecting fees;**
- **employees supervising a card game**
- **casino employees (e.g., pit boss or floor person);**
- **employees selling or redeeming chips;**
- **employees performing cashier or cage duties like counting/handling chips or cash, completing credit slips or inventory slips, or accounting for other casino receipts in the cage;**
- **employees observing dealers and card room employees to detect cheating or perform control functions; or employees taking part in the operation of a card game.**

This proposed rule change would prohibit any WSGC licensed employee from gambling in any house banked card room in which they have an active gambling license.

It is the responsibility of the licensee to report and stop all employee gaming. If observed or suspected such activities are occurring at your premises or any other location, you must contact your nearest field office or report online at www.wsgc.wa.gov .

No administrative charges will be brought against a licensee that reports a violation to commission staff within 24 hours of finding it unless the director determines there are extenuating circumstances. You may accomplish this by leaving a voice or email message with the area agent and immediately correct the violation.

The WSGC will aggressively pursue any suspected employee gaming or other illegal activities, and the Commission will work closely with local law enforcement to end those activities.

If you or your employees are involved in any employee gaming or allow them to continue at your business, The WSGC will have no choice but to take appropriate actions. These actions may result in the loss of your license(s) and criminal prosecution.

WSGC agents will continue to make announced and unannounced visits to licensed premises to monitor compliance with this rule.

Enhancing Responsible Gambling Support for Workers in the B.C. Gambling Industry

As the organization responsible for commercial gambling in British Columbia, BCLC is committed to reducing the harm that can come from gambling, amongst our players and amongst those who work in the industry.

By better understanding the risks and challenges faced by those who work in the gambling field, we can adjust our outreach, training and supports to better suit the unique health and wellness needs of those who work in the industry.

To that end, BCLC, through Ipsos, conducted a Gaming Worker Survey and a BCLC Employee Survey in 2016. The results provided BCLC with insights into gambling behaviours, incidence of at-risk behaviours, impacts of workplace influences and awareness, attitudes and usage of responsible and problem gambling resources.

By taking better care of workers in B.C.'s gambling industry, BCLC is taking better care of our players, which in turn is better for the sustainability of our business.

KEY FINDINGS

The results of BCLC's 2016 Gaming Workers Survey show elevated rates of at-risk behaviours for gaming industry staff, which is consistent with research conducted elsewhere in Canada. It has also revealed opportunities to enhance BCLC corporate programs/policies, in conjunction with our private-sector service providers that operate gambling facilities and our regulator, the Gambling Policy Enforcement Branch (GPEB). In response to these research findings, BCLC has introduced additional responsible gambling measures, and initiated changes to policy, education and training, to better support workers in the B.C. gambling industry who may be at a higher risk of developing problems with gambling.

1. Update BCLC's [Voluntary Self-Exclusion \(VSE\)](#) Policy and Agreement to clarify that gaming facility employees and BCLC staff are eligible to enrol in the VSE program and that enrolment will not affect their employment status.
2. Develop new content for gaming workers' mandatory training courses, such as the [Appropriate Response Training \(ART\)](#) series, that highlights the increased risks for industry workers and outlines resources and support options available. As well, training should include recommendations for supporting co-workers experiencing gambling difficulties.
3. Ensure that informational materials on responsible gambling and problem gambling are available for employees in back of the house areas within gambling facilities, such as break rooms, staff lounges or other gathering places for workers.
4. Work with the Gaming Policy and Enforcement Branch to increase the number of [GameSense](#) Advisors, so that every gambling facility in the province has an on-site responsible gambling subject matter expert who can educate staff, along with customers, on responsible gambling best practices.

Workers stated they would be most likely to seek assistance from a GameSense Advisor, if they felt they had a possible gambling problem.

5. Work with the Gaming Policy and Enforcement Branch to establish connections and possibly regular communications between gaming workers and local counselors so that employees have contact information for support professionals before they need them.
6. Conduct follow-up research in three to four years to measure the progress of reducing the proportion of gaming workers who are at risk of developing problem gambling behaviours.

SURVEY BACKGROUND

BCLC's Responsible Gambling Strategy has identified the need to create programs for special populations that may be at a higher risk of developing problems with gambling, or that may have different needs than the wider population.

Canadian research indicates that gaming workers exhibit rates of problem gambling that are over three times the rate experienced by the general population. Several factors could explain the higher prevalence of problem gambling for gaming workers. For example, gaming workers may face different risks than typical players because of their ongoing exposure to gambling.

In addition to surveying gaming workers, BCLC surveyed its own corporate staff to better understand their gambling behaviour and responsible gambling awareness.

Overall, the intent of these two surveys was to identify opportunities to develop and implement programming that:

- a) assists gaming workers/staff who may be experiencing problem gambling behaviour;
- b) raises awareness of information and resources for gaming workers/staff; and,
- c) removes existing barriers that prevent gaming workers/staff from fully utilizing problem gambling resources.

SURVEY RESULTS

Gaming Worker Survey

BCLC issued a voluntary survey to gaming facility staff who work for BCLC service providers. To recruit survey participants, BCLC sent an email invitation with a unique survey link to 1300 of the approximately 7500 gaming workers. In addition, GameSense Advisors (GSAs) set up an information table and promotion adjacent to, or in, staff rooms at casinos and community gaming centres. GSAs used the promotion as an opportunity to engage with front-line staff, talk to them about the survey and encourage them to register to complete the survey. In total, 547 workers completed the online survey between May 4th and June 12th, 2016.

Results from the Gaming Worker Survey indicate that most (92%) gaming workers participated in at least one gambling activity in the past year. The main motivation for gambling was for entertainment and fun (72%). In terms of problem gambling, 14% of gaming workers classified as low-risk and another 14% classified in the moderate-risk or problem gambling categories (Table 1).

In terms of gambling frequency, 15% of gaming workers reported their participation in gambling activities had increased since they began working in the industry, while 33% reported participation decreased (48%

reported participation stayed the same). The majority of all gaming workers reported they have seen responsible gambling messaging inside and outside their workplace in the last 12 months.

BCLC Employee Survey

BCLC corporate staff, who work in our head office in Kamloops, our office in Vancouver, as well as remotely across the province, received a similar survey¹ regarding their current gambling behaviours. The survey invitation was emailed to 875 employees. In total, 434 employees completed the survey between June 7 and June 28, 2016.

Results from the BCLC Employee Survey reveal that 93% of BCLC employees gambled in the past 12 months. Employees reported that they gamble for entertainment and fun (77%), a chance to win big (63%) and the sense of anticipation/chance to dream (63%). In terms of problem gambling, 9% of BCLC employees classified as low-risk of gambling problems while 4% classified in the moderate-risk or problem gambling categories (Table 1). The majority of BCLC employees fall into the categories of non-problem gamblers (80%) or non-gamblers (7%).

	Gaming Workers	BCLC Employees	General Population²
Gambled in the past year	92%	93%	72.5%
At low-risk for problem gambling	14%	9%	7.9%
At moderate and high risk for problem gambling	14%	4%	3.3%

Table 1. Past year gambling, and prevalence of problem gambling, among gaming workers, BCLC employees and the general population.

SUMMARY OF BCLC'S ACTION ITEMS

BCLC Recommended Actions	Actions to Date
Changes to the Voluntary Self-Exclusion policy	- Policy revisions drafted
Changes to the Voluntary Self-Exclusion agreement	- Agreement revisions drafted
Changes to the Appropriate Response Training	- ART Level 1 revisions complete: course content being updated
Materials in back of the house areas for employees	- Materials being drafted
Expansion of the GameSense Advisor (GSA) program to community gaming centres	- BCLC and GPEB are developing an expansion strategy
Enhance awareness and access to local counseling services for gaming workers	- BCLC and GPEB discussions are ongoing

¹ Survey objectives were the same for the Gaming Worker Survey and BCLC Employee Survey.

² Data obtained from the 2014 BC Problem Gambling Prevalence Study.

A decorative graphic on the left side of the page consists of a grey triangle pointing downwards, containing a green circle and an orange circle. Below the triangle is a large red semi-circle.

BCLC Gaming Worker Survey Final Report

July 6, 2016

BACKGROUND AND METHODOLOGY

Survey Background

Canadian research indicates that gaming workers exhibit rates of problem gambling that are over three times the rate experienced by the general population . Several factors could explain the higher prevalence of problem gambling for gaming workers and it will be important to conduct further research to validate this assumption and better understand those factors.

An opportunity exists to implement programming that assists gaming workers who may be experiencing problem gambling behavior and to raise awareness of problem gambling information and resources for gaming workers in order to prevent problem gambling tendencies from beginning in the first place. The latter requires reaching gaming workers at an early stage of their employment in the industry.

Industry workers are a key resource in promoting healthy play among customers. A cascade benefit of this program would directly influence gaming workers' own knowledge of responsible gambling behaviors and practices, and create more opportunities for gaming workers to promote responsible gambling amongst players.

The results from this research will be used to inform program development for British Columbia gaming workers. More specific objectives include:

- Understand gambling behaviours of British Columbia gaming workers.
- Determine incidence of at-risk behaviours.
- Understand impacts of workplace influences.
- Measure awareness, attitudes and usage of responsible gambling and problem gambling resources after experiencing, their own, or others, problem gambling.

Survey Methodology

A total of 547 interviews were completed online with British Columbia gaming workers between May 4th and June 12th, 2016.

- 321 (59%) of the completed surveys were completed via an open-link (www.gamingworkersurvey.ca). BCLC provided opportunities for these workers to register for the opportunity to complete the survey by visiting an Ipsos managed website, providing their email, and having a unique survey link emailed to them. BCLC informed these workers of the survey primarily through offline communication mechanisms, such as collateral at their place of work.
- 226 (41%) of the completed surveys were completed via a unique-link sent directly by Ipsos to corporate emails provided by two service providers (Gateway and Great Canadian Gaming).

An informed consent process approved by the Center for IRB Intelligence (CIRBI) was followed for both the open-link and unique-link approaches, as well as within the survey itself. This consent included the following information:

- Survey sponsor and objectives.
- Ipsos' role to ensure confidentiality. Results will be reported to BCLC at an aggregate level with no personal/corporate identifiable data.
- Participation is voluntary and will have no impact on relationship with an employer or BCLC.
- The survey can be quit at any time, any questions can be skipped, and that no action is required to refuse to take part.
- That all personal information is collected in accordance with BC's Freedom of Information and Protection of Privacy Act and will be used for research purposes only.

Margins of Error

Margins of Error

The margin of error on the total sample size of 547 respondents is +/-4.2 percentage points, 19 times out of 20.

Many questions in this survey are asked only of the sub-sample of 502 past year gamblers. The margin of error on the sub-sample of 502 past year gamblers is +/-4.4 percentage points, 19 times out of 20.



KEY POINT SUMMARY

Key Point Summary

Reasons for Working in Industry: Respondents gave a wide variety of reasons for working in the gambling industry. No single reason stood out and nine separate reasons were cited by at least three-quarters of respondents as being at least somewhat important. Being a frequent gambler was by far the least mentioned reason.

Gambling Participation: The vast majority (92%) of respondents have participated in at least one prompted gambling activity in the past 12 months. The top three activities are retail lottery purchases (79%), retail Scratch and Win purchases (66%) and gaming facility slot machine play (49%). Other participation above 10% includes non-PlayNow.com Keno (24%), facility table games (21%), other facility games (18%), PlayNow.com lotteries (15%) and bingo at bingo halls (13%).

Gambling Motivation: The top reason given for gambling is to have entertainment/fun. More than seven-in-ten (72%) past year gamblers said this was at least somewhat important as a reason for why they gamble, which is 25 points ahead of any other reason.

Secondary reasons included two social aspects (something to do with family/friends, and to be sociable/among others) as well as two aspects related to winning or thinking about winning (a chance to win big, and the sense of anticipation/chance to dream).

Key Point Summary

Gambling and Employment Influences: Most respondents said their participation in gambling activities has not increased since they began working in the industry. Half (48%) said their gambling participation has not changed, and more than twice as many said their participation has decreased (33%) as said it has increased (15%).

Past year gamblers tended to have views that show them taking steps to lessen the risk of negative consequences from their own gambling. A majority of respondents agreed they have learned they cannot overcome house odds in most games (67%), that they do not want to be like the patrons they see experiencing negative consequences (63%), that they don't want to lose money like some of the patrons they see (62%) and that working in a gambling environment makes them want to spend less time in that environment (57%).

Very few respondents agreed workplace advertising tempts them to gamble (7%), that they believe they can win money because of their game knowledge (6%), that they get worthwhile gambling tips from patrons (4%), or that they think they have a good chance of winning because they see many patrons win (4%).

Key Point Summary

Responsible Gambling Behaviours: Most respondents (83%) think of themselves as responsible gamblers, including two-thirds (66%) who strongly agreed with this viewpoint. Only 4% of respondents disagreed they are a responsible gambler.

Half (50%) of past year gamblers said they only sometimes, rarely or never leave their bank/credit card at home when going to a gambling facility. This is the highest rate of infrequent behaviour for any responsible gambling action tested. The next highest was setting gambling winnings aside (43% sometimes/rarely/never), followed by setting a time limit (37%), treating gambling as a spare time activity (32%) and considering the amount of time willing to spend before gambling (27%).

Canadian Problem Gambling Index (CPGI): More than seven-in-ten respondents classified as either non-gamblers (8%) or non-problem gamblers (64%) on the Canadian Problem Gambling Index (CPGI). At the other end of the spectrum, 4% classified as high-risk problem gamblers and an additional 10% classified as moderate-risk problem gamblers. The remaining 14% classified as low-risk problem gamblers.

Key Point Summary

Responsible Gambling and Employment: Most respondents agreed they have a good understanding of BCLC's responsible gambling policies and procedures (80% agreed) and felt confident they could carry out their role in responding to patrons showing signs of trouble/crisis (79%). Moreover, most respondents said they feel they would be supported by their employer (74%), manager (72%) and by available resources/tools (74%) if they ever personally had a gambling problem.

Very few past year gamblers agreed they typically win when they gamble (5%), that their gambling wins are evidence of their skill/knowledge (4%), that there are certain superstitious things they can do to increase their chances (3%), or that they are more likely to win after losing several times in a row (1%).

Responsible Gambling Messaging and Resources: Respondents said they are reading, seeing and hearing messages about BC responsible gambling programs/resources in a number of different places both inside and outside their workplace. Asked about anywhere in BC, respondents were most likely to mention facility bathrooms (80%), lottery retailers (63%) and facility digital signage (62%). In the workplace, respondents were most likely to recall GameSense Info Centres (89%), brochures at GameSense Info Centres (84%) and posters in washrooms (83%).

On an unprompted basis, respondents named GameSense Info Centres (41%) and GameSense Advisors (30%) as their top places to look for information about responsible gambling. GameSense Advisors were also the top place where respondents would go for themselves, or recommend to others in the circumstance of a perceived gambling problem.



SAMPLE PROFILE

Sample Profile (Slide 1 of 3)

Two-thirds of respondents were either Gateway employees (34%) or Great Canadian Gaming employees (32%). Three-quarters work in either the Lower Mainland (40%) or the Southeast part of the province (35%). Two-thirds work at a casino (64%) and one-third (34%) at a Chances/Community Gaming Centre. The largest portion of respondents have a supervisory/management (31%) role.

	Total Sample (n=547)
Service Provider (Q1)	
Gateway	34%
Great Canadian Gaming	32%
Playtime	1%
Other	29%
Don't know/Refused	4%
Work Location (Q2)	
Lower Mainland	40%
Southeast	35%
Vancouver Island	11%
Northern	11%
Southwest	<1%
Don't know/Refused	2%
Facility Type (Q3)	
Casino	64%
Chances/Community Gaming Centre	34%
Bingo Hall	1%
Don't know/Refused	1%

	Total Sample (n=547)
Area of Work (Q5)	
Supervisory/Management	31%
Cage and Coin	13%
Security	10%
Table Games	7%
Surveillance	6%
Customer Service/Host	6%
Slot Operations	6%
Service Staff	5%
Food & Beverage	3%
Other Admin/Accounting	3%
Facilities & Maintenance	2%
Human Resources	1%
Bingo	1%
Other	4%
Don't know/Refused	1%

Sample Profile (Slide 2 of 3)

Respondents have worked in the gaming industry for an average of almost 10 years. They work a mix of most frequent shifts, with the morning/day shift the most prevalent (40%). The vast majority of respondents (91%) interact with patrons at least part of their day, with the average as just over half (55%) of their workday.

Nearly two-thirds (64%) of respondents said they manage other people. One-third (34%) are Encore Reward members and about one-in-six (17%) are registered on PlayNow.com.

	Total Sample (n=547)
Industry Experience (Q4)	
<1 year	12%
1-5 years	26%
6-10 years	23%
11-19 years	27%
20+ years	12%
Don't know/Refused	<1%
Average = 9.6 years	
Most Frequent Shift (Q8)	
Morning/Day	40%
Rotating	26%
Nighttime/Grave	19%
Afternoon/Swing	15%
Don't know/Refused	<1%

	Total Sample (n=547)
Time Interacting with Patrons (Q7)	
0%	8%
1-20%	18%
21%-40%	10%
41%-60%	10%
61%-80%	17%
81%-100%	36%
Don't know/Refused	1%
Average = 54.7%	
Other Questions	
Yes, manage people (Q6)	64%
Yes, Encore Rewards member (Q28)	34%
Yes, registered on PlayNow.com (Q29)	17%

Sample Profile (Slide 3 of 3)

The average age of respondents is just over 40 years (41.5 years) and the sample is more female (54%) than male (44%).

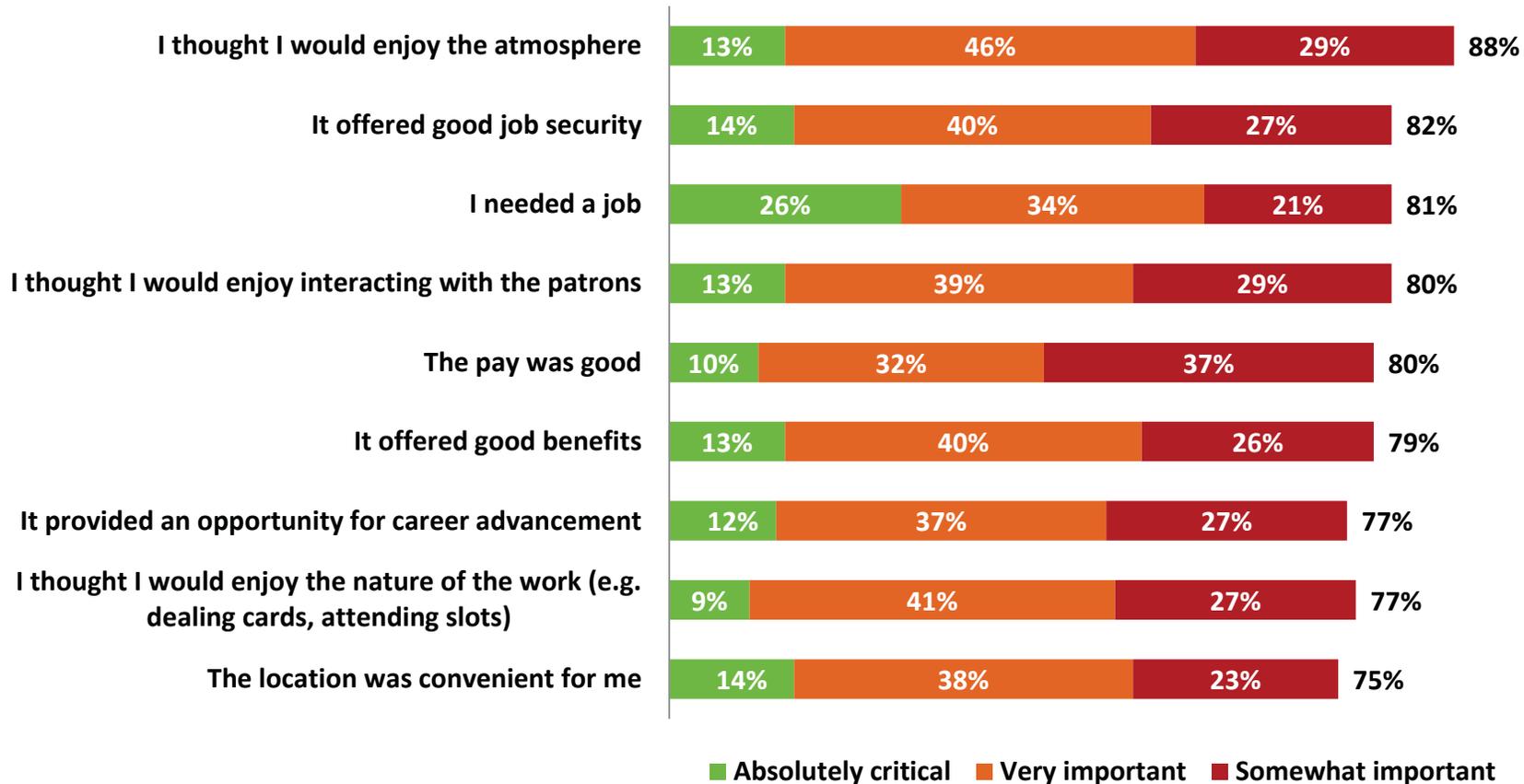
	Total Sample (n=547)
Age (Q27)	
19-24 years	8%
25-34 years	27%
35-44 years	25%
45-54 years	20%
55-64 years	14%
65+ years	4%
Don't know/Refused	1%
Average = 41.5 years	
Gender (Q30)	
Female	54%
Male	44%
Other	<1%
Don't know/Refused	1%

REASONS FOR WORKING IN INDUSTRY

Slide 1 of 2

Respondents said there were a wide variety of reasons for choosing to work in the gambling industry, with no single reason standing out from the rest. All of the reasons shown below were at least somewhat important to three-quarters or more of survey respondents.

Looking only at reasons that were absolutely critical, needing a job stands out at the top (26%), followed at a distance by job security (14%) and convenient location (14%).

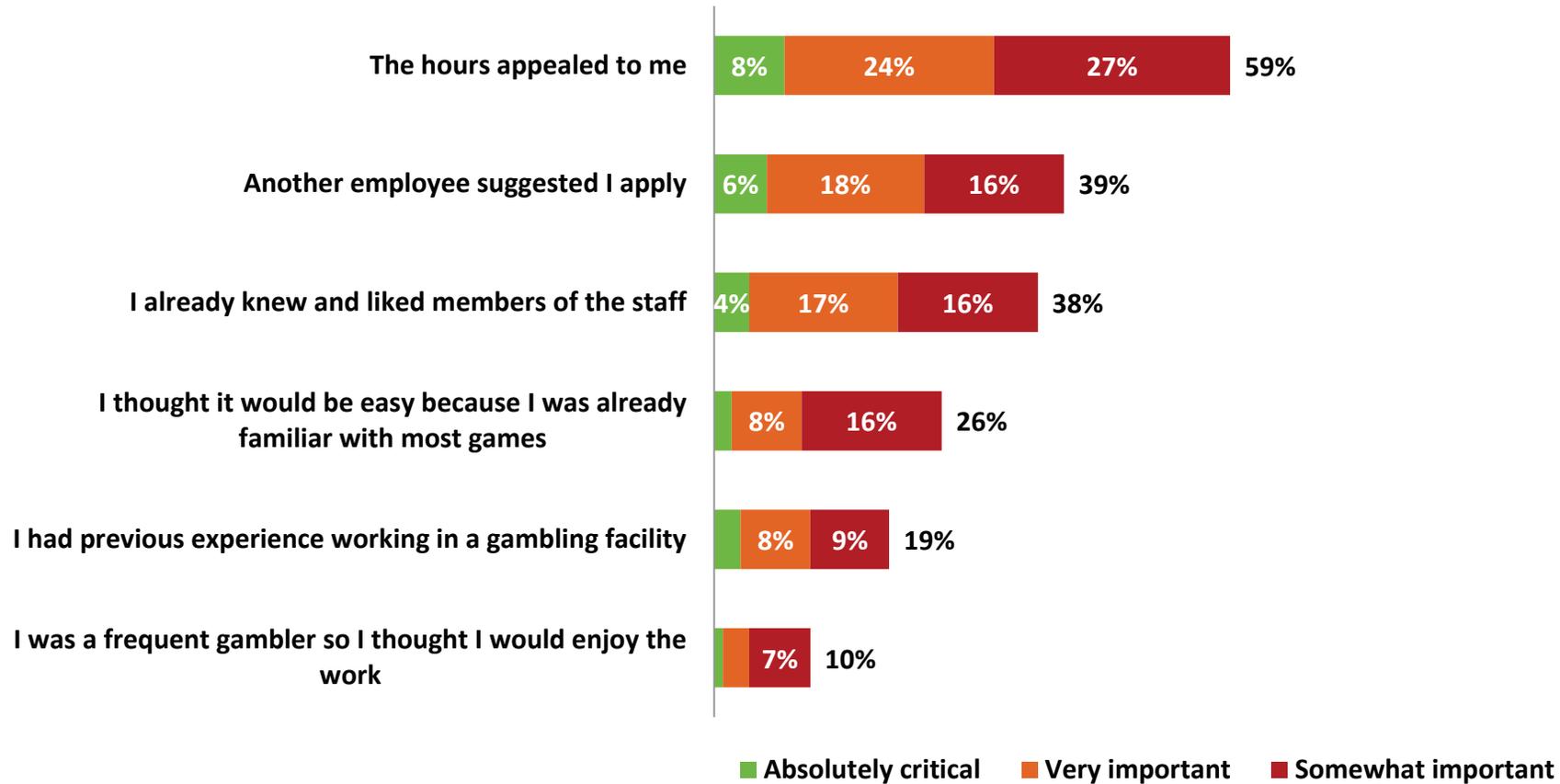


Importance of Reasons for Working in Gambling Industry

Slide 2 of 2

The reasons shown below were less important for choosing to work in the gambling industry, although appealing hours was selected by nearly six-in-ten (59%) as being at least somewhat important.

Far less important reasons included being a frequent gambler (10% at least somewhat important), prior experience in the industry (19%) and being familiar with most of the games (26%).



17 Q9. How important were each of the following reasons for choosing to work in the gambling industry?
Base: All respondents (n=547)



Absolutely Critical + Very Important + Somewhat Important

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
I thought I would enjoy the atmosphere	88%	88%	89%	86%
It offered good job security	82%	82%	84%	78%
I needed a job	81%	78%	88% A	88%
I thought I would enjoy interacting with the patrons	80%	78%	88% A	82%
The pay was good	80%	78%	88% A	82%
It offered good benefits	79%	78%	82%	80%
It provided an opportunity for career advancement	77%	77%	83%	72%
I thought I would enjoy the nature of the work (e.g. dealing cards, attending slots)	77%	75%	88% A	78%
The location was convenient for me	75%	74%	68%	85% AB
The hours appealed to me	59%	59%	51%	70% B
Another employee suggested I apply	39%	39%	38%	47%
I already knew and liked members of the staff	38%	37%	32%	47%
I thought it would be easy because I was already familiar with most games	26%	22%	25%	49% AB
I had previous experience working in a gambling facility	19%	18%	21%	27%
I was a frequent gambler so I thought I would enjoy the work	10%	5%	11%	41% AB

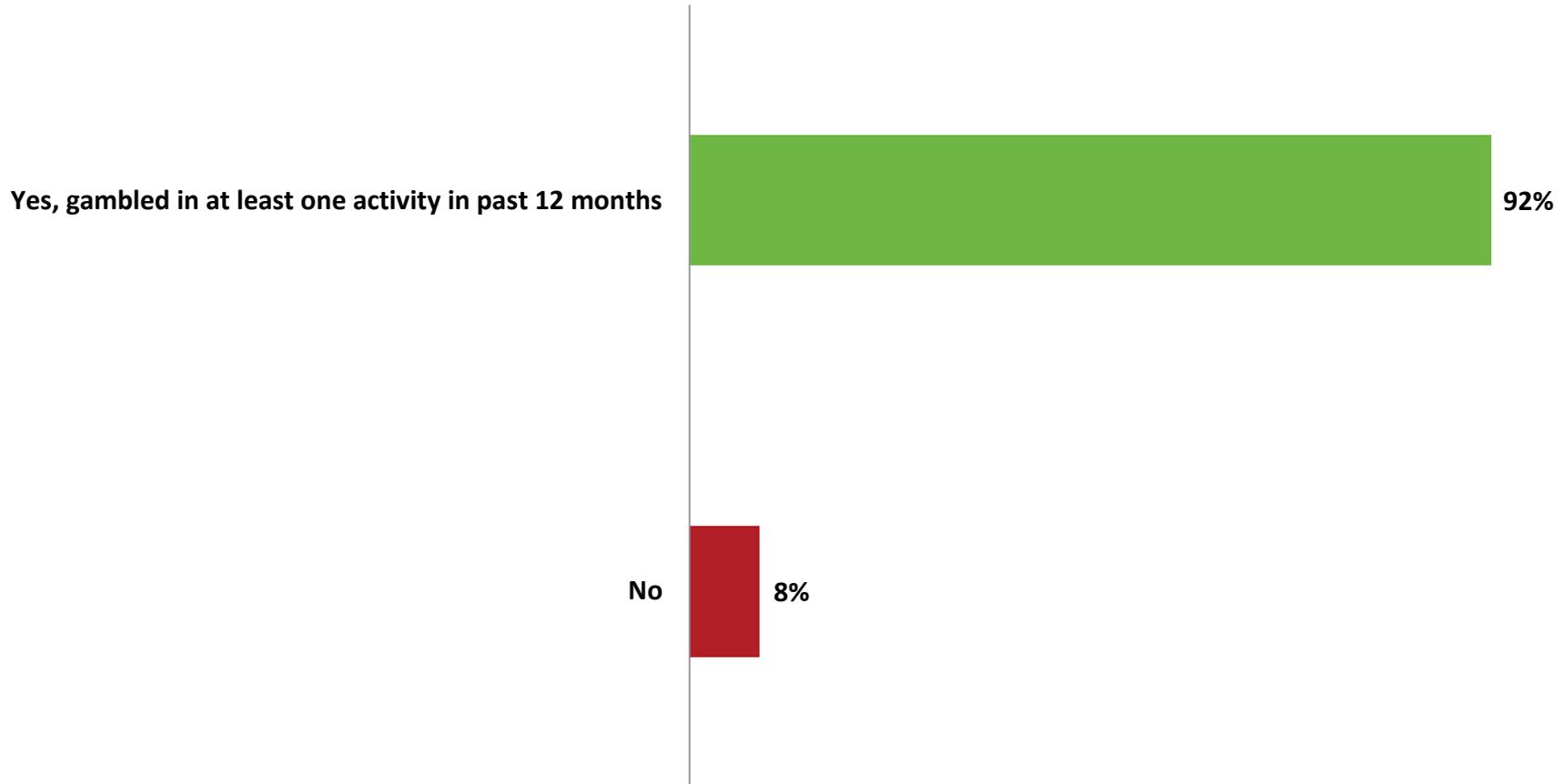
18 Q9. How important were each of the following reasons for choosing to work in the gambling industry?
Base: All respondents (n=547)



GAMBLING ACTIVITIES AND MOTIVATIONS

Past Year Gambling Any Activity

More than nine-in-ten (92%) respondents said they have participated in at least one prompted gambling activity in the past 12 months.



Q11. In the past 12 months, how often have you bet or spent money on each of the following gambling activities in British Columbia?

20 Q12. On which of the following other activities have you bet or spent money in the past 12 months?

Base: All respondents (n=547)

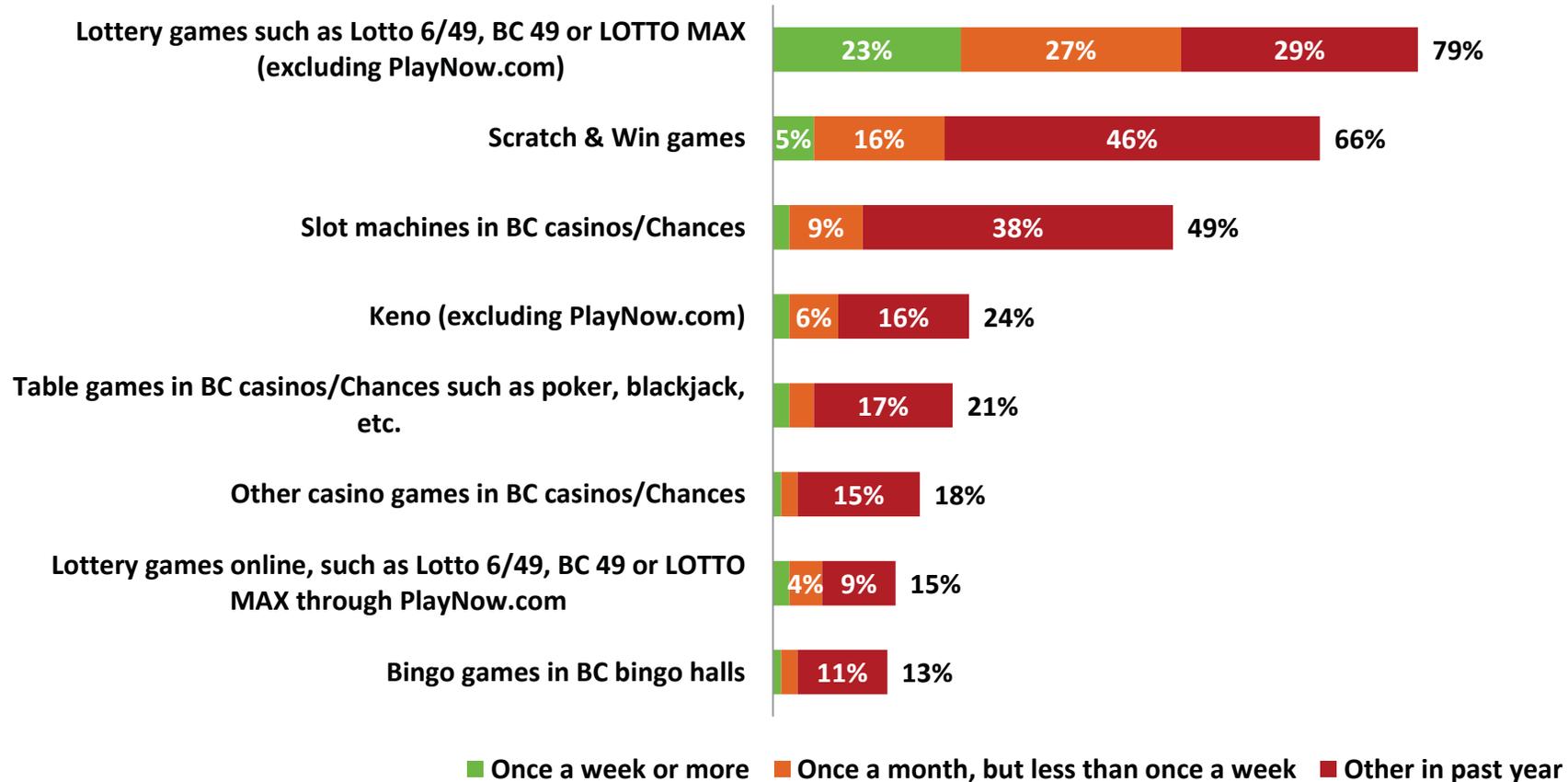


Past Year Gambling in BC

Slide 1 of 2

Survey respondents were most likely to have bet or spent money in the last 12 months on retail lottery games (79%), retail scratch and win games (66%) and facility slot machines (49%). Retail lottery games were the only activity with substantial weekly play (23%), as the next highest was retail scratch and win games at only 5% weekly participation.

Below the big three mentioned above, the second tier for past year participation included non-PlayNow.com Keno (24%), facility table games (21%) and other facility games (18%).



21 Q11. In the past 12 months, how often have you bet or spent money on each of the following gambling activities in British Columbia?

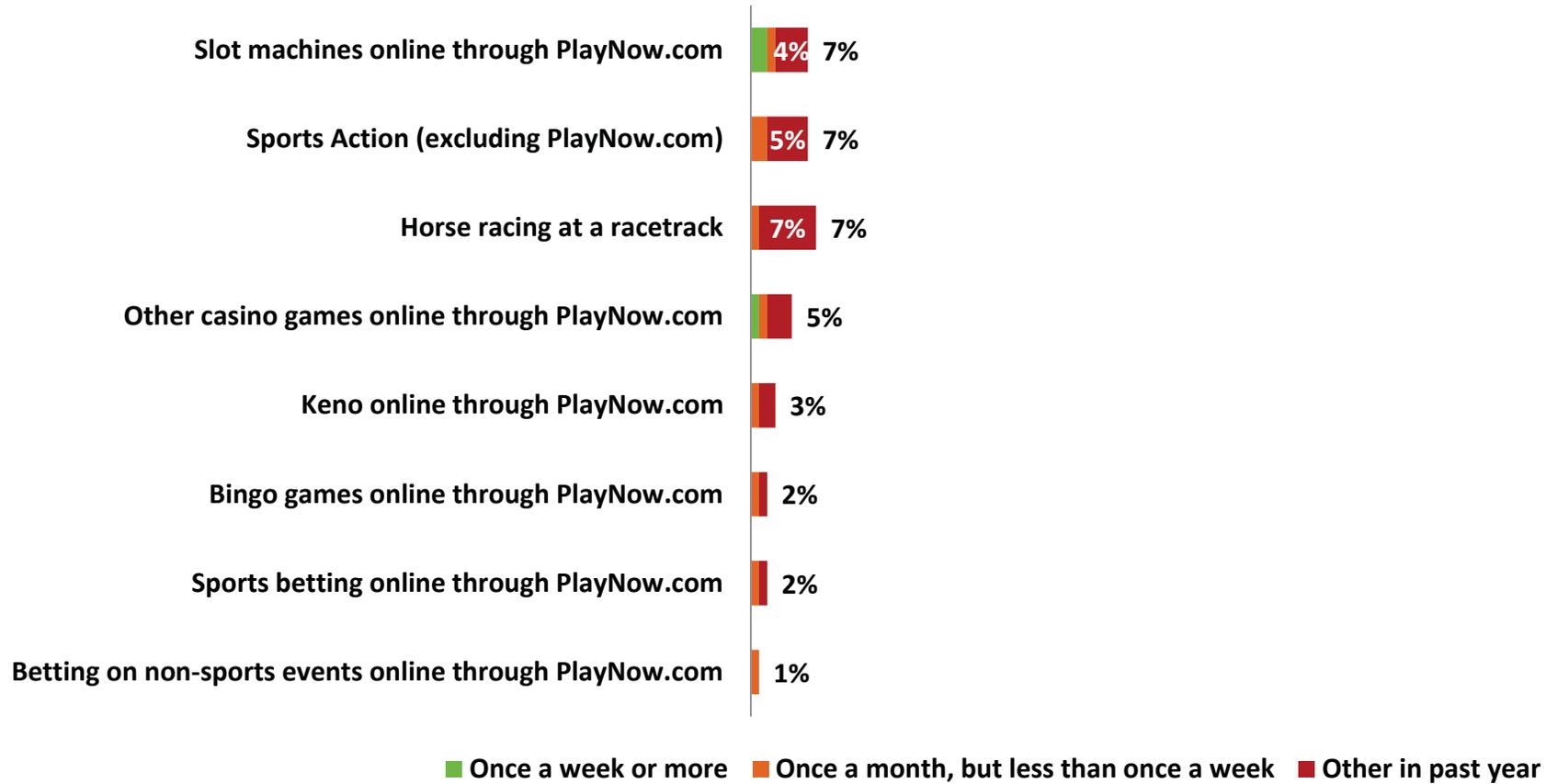
Base: All respondents (n=547)



Past Year Gambling in BC

Slide 2 of 2

The activities shown below all had past year participation rates below 8%. The lowest were all PlayNow.com activities including non-sports events (1%), sports betting (2%) and bingo games (2%).



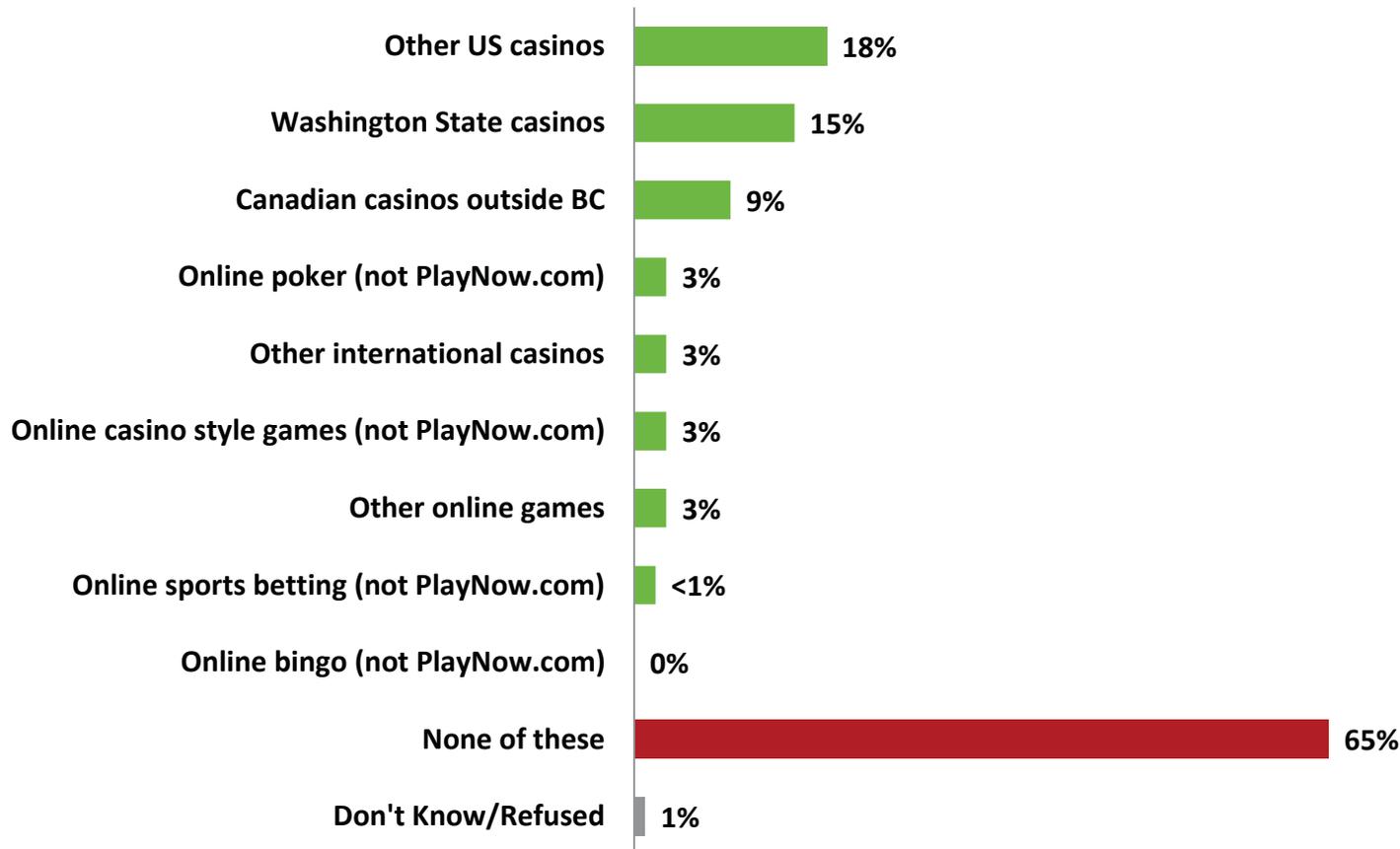
Done in Past Year

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Lottery games such as Lotto 6/49, BC 49 or LOTTO MAX (excluding PlayNow.com)	79%	76%	83%	91% A
Scratch & Win games	66%	62%	71%	82% A
Slot machines in BC casinos/Chances	49%	40%	70% A	77% A
Keno (excluding PlayNow.com)	24%	20%	32% A	38% A
Table games in BC casinos/Chances such as poker, blackjack, etc.	21%	14%	38% A	42% A
Other casino games in BC casinos/Chances	18%	13%	33% A	35% A
Lottery games online, such as Lotto 6/49, BC 49 or LOTTO MAX through PlayNow.com	15%	13%	9%	32% AB
Bingo games in BC bingo halls	13%	10%	17%	26% A
Slot machines online through PlayNow.com	7%	3%	11% A	26% AB
Sports Action (excluding PlayNow.com)	7%	5%	13% A	16% A
Horse racing at a racetrack	7%	5%	16% A	14% A
Other casino games online through PlayNow.com	5%	3%	5%	15% AB
Keno online through PlayNow.com	3%	2%	3%	12% AB
Bingo games online through PlayNow.com	2%	2%	1%	8% AB
Sports betting online through PlayNow.com	2%	1%	4% A	5% A
Betting on non-sports events online through PlayNow.com	1%	1%	0%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Other Past Year Gambling

Survey respondents were also asked about their participation in some gambling activities outside of legal British Columbia offerings. Two-thirds (65%) said they had not participated in any of these activities. The highest past year participation was for casinos outside BC, including Washington State (15%), other US locations (18%) and other Canadian locations (9%).



24 Q12. On which of the following other activities have you bet or spent money in the past 12 months?
Base: All respondents (n=547)

Done in Past Year

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Other US casinos	18%	12%	32% A	34% A
Washington State casinos	15%	9%	25% A	34% A
Canadian casinos outside BC	9%	6%	13% A	19% A
Online poker (not PlayNow.com)	3%	2%	8% A	5%
Other international casinos	3%	2%	8% A	5%
Online casino style games (not PlayNow.com)	3%	1%	8% A	8% A
Other online games	3%	2%	9% A	4%
Online sports betting (not PlayNow.com)	2%	1%	7% A	1%
Online bingo (not PlayNow.com)	<1%	<1%	1%	0%
None of these	65%	74% BC	47%	36%
Don't Know/Refused	1%	1%	0%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

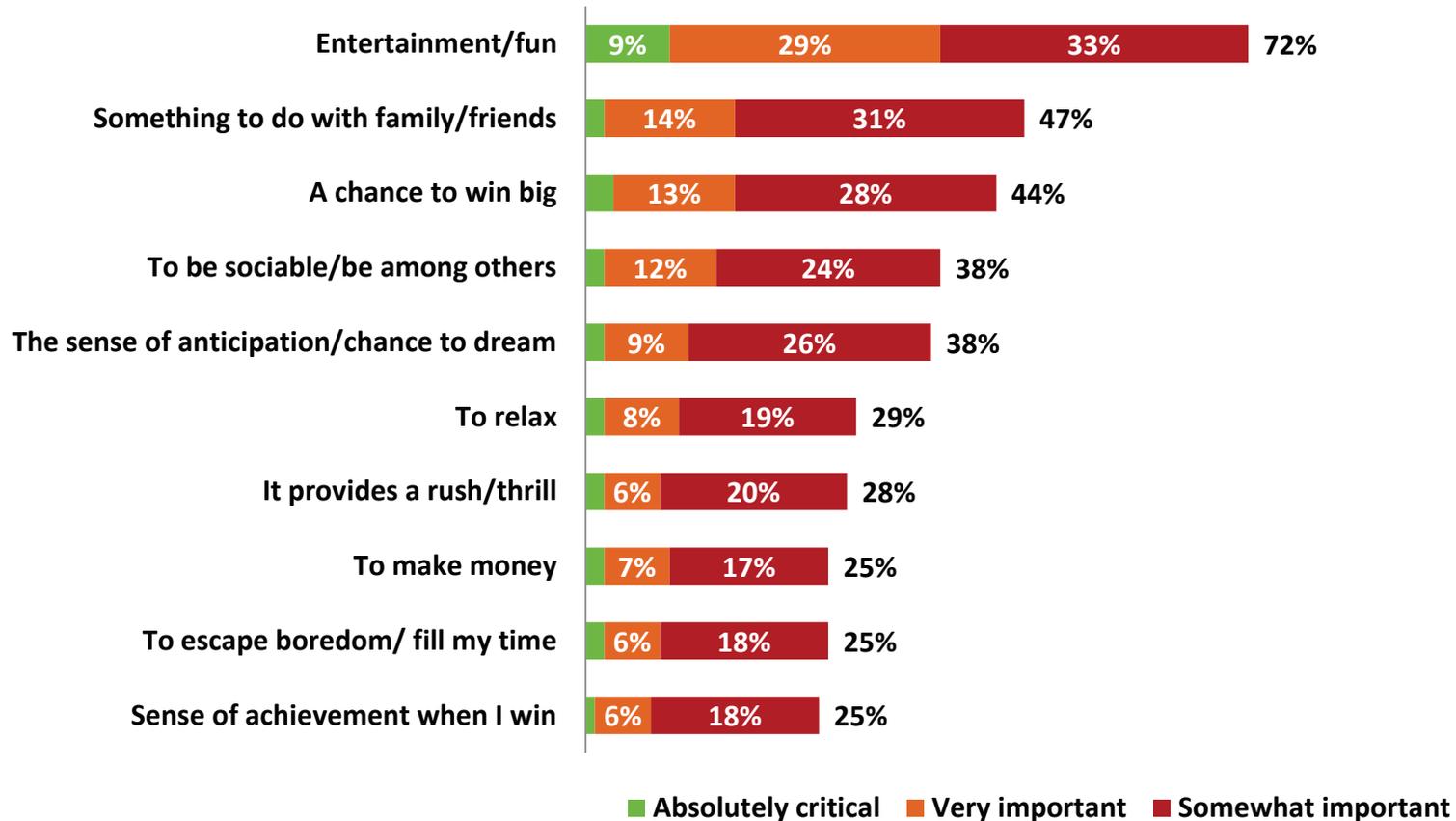
- 25 Q12. On which of the following other activities have you bet or spent money in the past 12 months?
Base: All respondents (n=547)

Importance of Reasons for Gambling

Slide 1 of 2

Respondents were more likely to say they gamble for entertainment/fun than for any other reason. More than seven-in-ten (72%) past year gamblers said that entertainment/fun was at least somewhat important as a reason for why they gamble, which is 25 points ahead of any other reason.

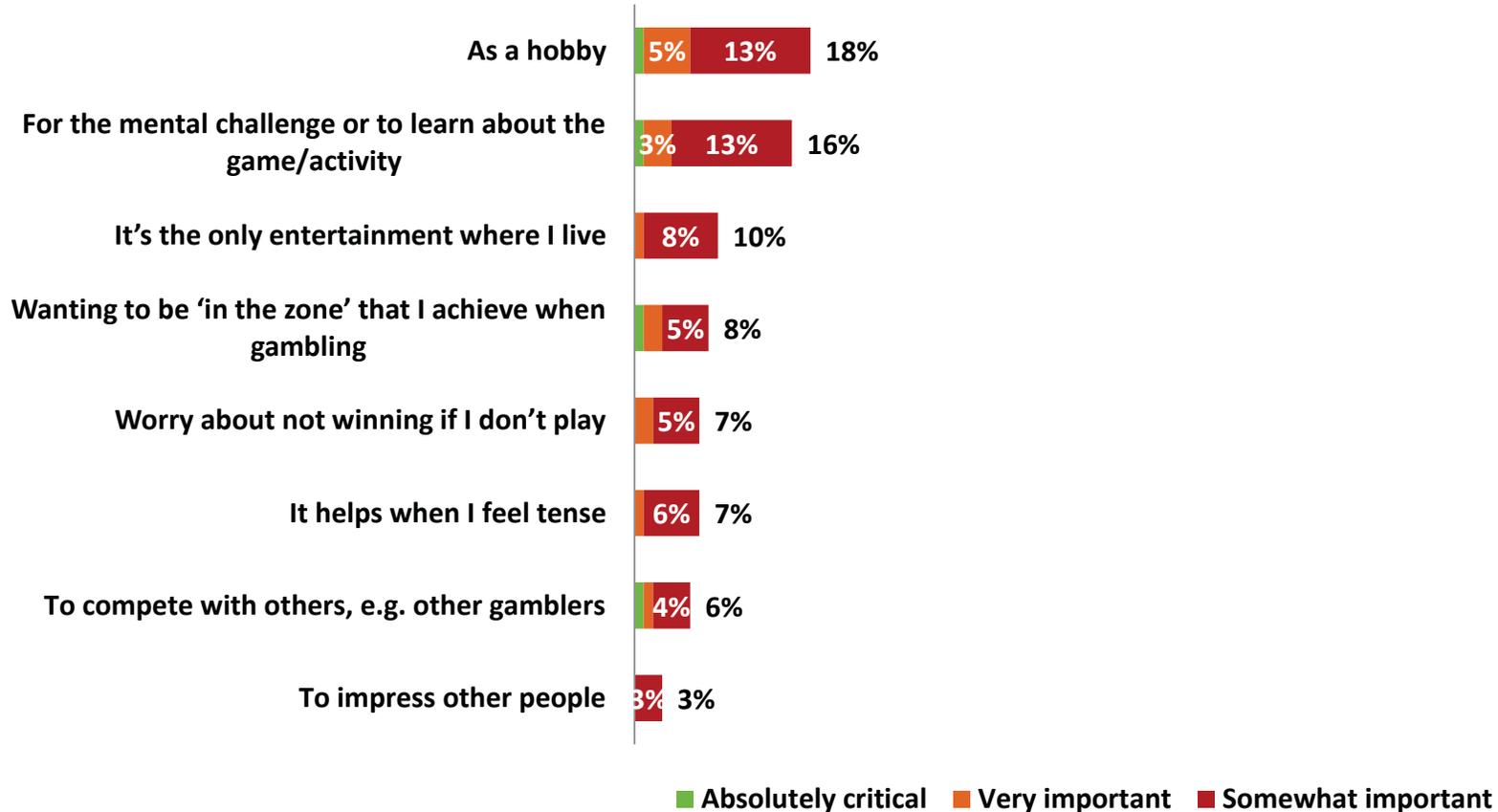
Secondary reasons for gambling among past year gamblers included a couple of social reasons and a couple related to winning/dreaming. The two social reasons included something to do with family/friends (47% at least somewhat important) and to be sociable/among others (38%). The two winning related reasons included a chance to win big (44%) and the sense of anticipation/chance to dream (38%).



Importance of Reasons for Gambling

Slide 2 of 2

Very few past year gamblers (less than 10%) said they gamble because they want to impress people (3% at least somewhat important), to compete with others (6%), because they are tense (7%), because they would worry if not playing (7%), or because they want to be 'in the zone' (8%).



27 Q15. Generally speaking, how important are each of the following as reasons for why you gamble?
Base: Past year gamblers (n=502)



Absolutely Critical + Very Important + Somewhat Important

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Entertainment/fun	72%	65%	88% A	85% A
Something to do with family/friends	47%	41%	66% A	55% A
A chance to win big	44%	36%	57% A	72% A
To be sociable/be among others	38%	32%	47% A	58% A
The sense of anticipation/chance to dream	38%	28%	57% A	66% A
To relax	29%	20%	37% A	65% AB
It provides a rush/thrill	28%	14%	55% A	65% A
To make money	25%	18%	33% A	53% AB
To escape boredom/ fill my time	25%	15%	38% A	62% AB
Sense of achievement when I win	25%	17%	36% A	50% A
As a hobby	18%	11%	29% A	41% A
For the mental challenge or to learn about the game/activity	16%	11%	30% A	27% A
It's the only entertainment where I live	10%	5%	8%	35% AB
Wanting to be 'in the zone' that I achieve when gambling	8%	3%	7%	35% AB
Worry about not winning if I don't play	7%	4%	8%	20% AB
It helps when I feel tense	7%	2%	9% A	30% AB
To compete with others, e.g. other gamblers	6%	3%	8% A	19% AB
To impress other people	3%	1%	1%	15% AB

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

28 Q15. Generally speaking, how important are each of the following as reasons for why you gamble?

Base: Past year gamblers (n=502)



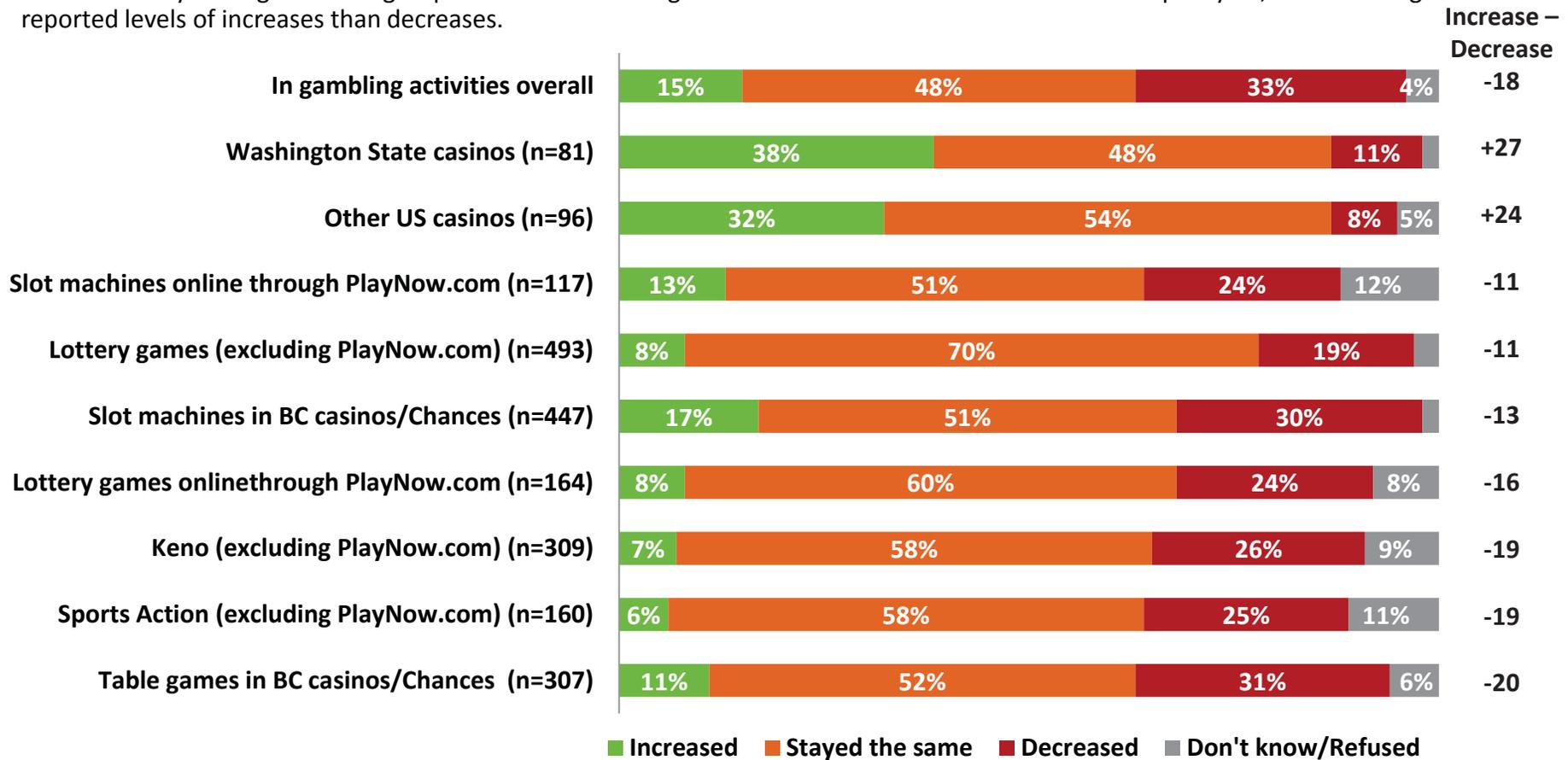


GAMBLING AND EMPLOYMENT INFLUENCES

Slide 1 of 2

Only 15% of respondents said their participation in gambling activities has increased since they began working in the industry. More than twice as many (33%) said their gambling participation has decreased and nearly half (48%) said it has stayed the same.

Respondents who had participated in specific gambling activities were asked whether their participation in those activities had changed since they began working in the industry. For almost all gambling activities, more respondents reported decreases than increases. Only among the small group who visited Washington State casinos or other US casinos in the past year, was there higher reported levels of increases than decreases.



Q13. Compared to your current frequency, has your frequency of participation in each of the following gambling activities increased, stayed the same or decreased, since you began working in the industry?

30 Base Gambling Activities Overall: All respondents (n=547)

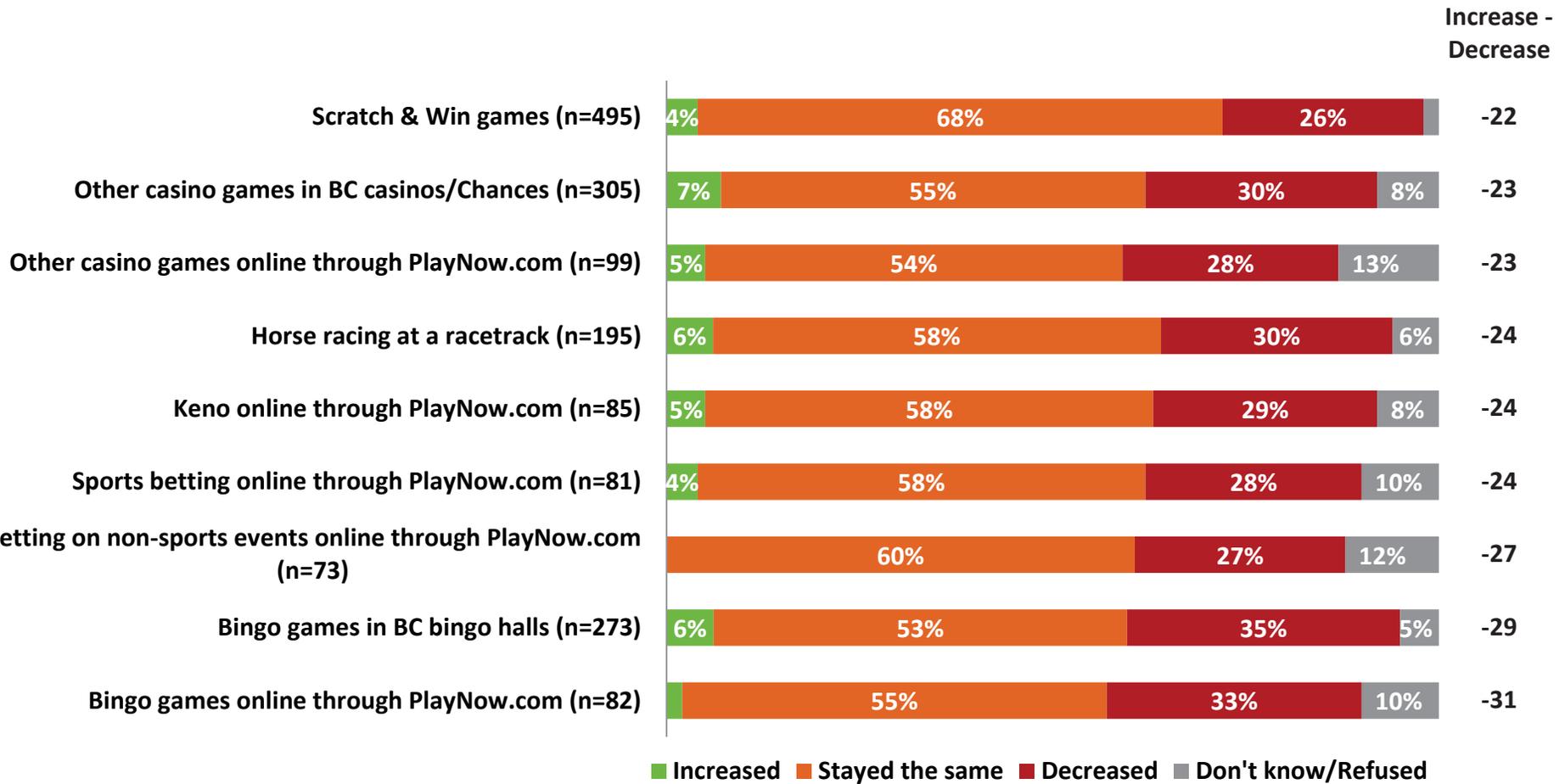
Base Specific Activities: Participated in activity in lifetime (n=varies); Activities under 50 respondents are excluded



Change in Frequency of Gambling Participation While in Industry

Slide 2 of 2

The activities below had the greatest reported net decreases (increase minus decrease) in participation among all activities tested.



Q13. Compared to your current frequency, has your frequency of participation in each of the following gambling activities increased, stayed the same or decreased, since you began working in the industry?

31 Base Gambling Activities Overall: All respondents (n=547)

Base Specific activities: Participated in activity in lifetime (n=varies); Activities under 50 respondents are excluded



In Gambling Activities Overall

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Increased	15%	10%	26% A	32% A
Stayed the same	48%	54% BC	34%	27%
Decreased	33%	32%	38%	35%
Don't know/Refused	4%	5%	1%	5%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Q13. Compared to your current frequency, has your frequency of participation in each of the following gambling activities increased, stayed the same or decreased, since you began working in the industry?

Base: All respondents (n=547)



Statements About Employment Influence on Gambling

Slide 1 of 3

Past year gamblers were asked to agree or disagree with a battery of 18 statements about possible influences their employment may have had on their gambling. Only four of the statements earned agreement from a majority of past year gamblers and all four fit a theme of workers taking steps to lessen the risk of negative consequences from their own gambling. A majority agree that they have learned they cannot overcome house odds in most games (67%), that they do not want to emulate the negative consequences experienced by some patrons (63%), that they don't want to lose money like some of the patrons they see (62%) and that working in a gambling environment makes them want to spend less time gambling (57%).



Q16. The following table lists some statements about possible influences your employment may have had on your gambling. Please indicate the degree to which you agree or disagree with each statement.

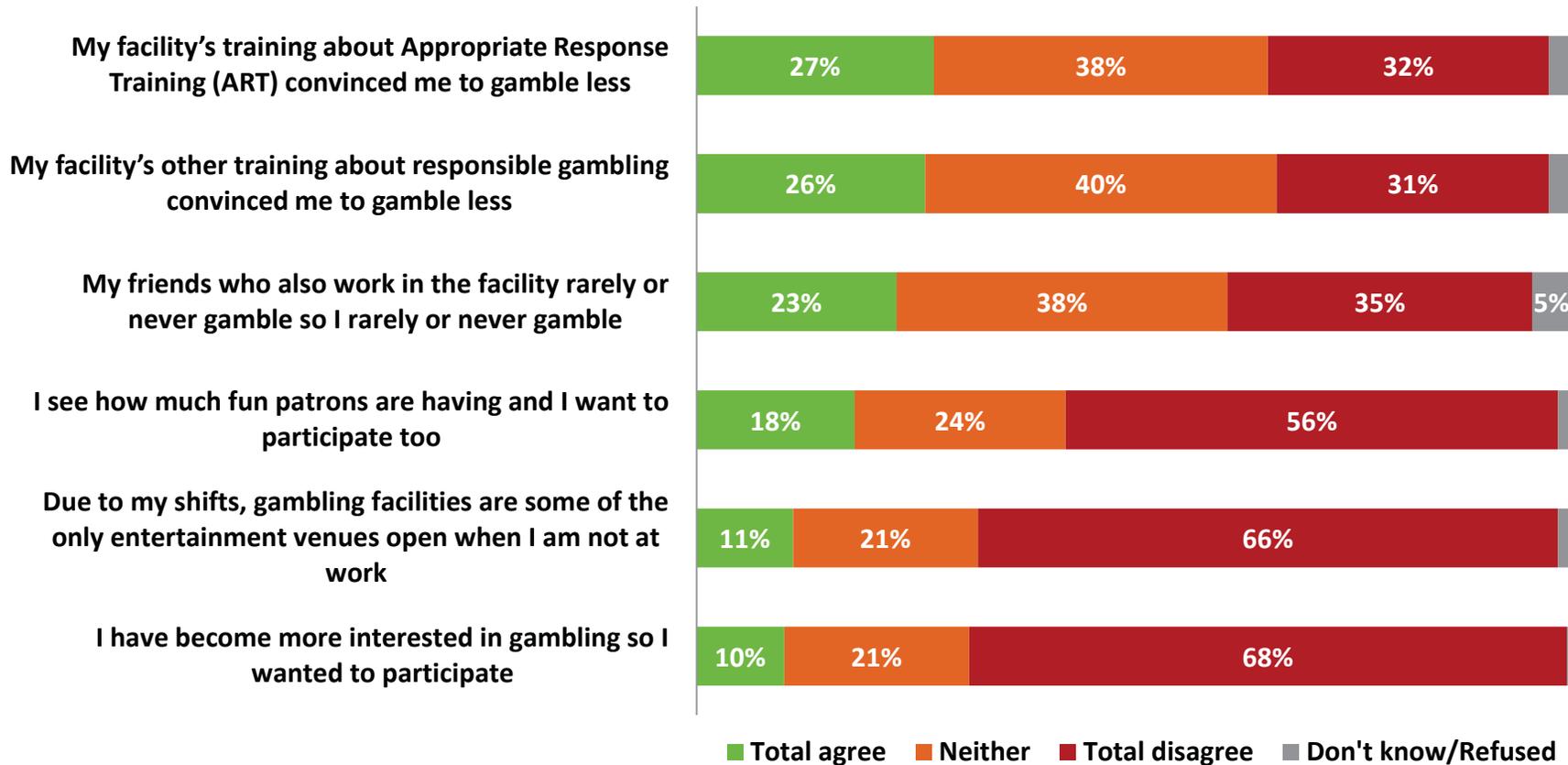
Base: Past year gamblers (n=502)

Statements About Employment Influence on Gambling

Slide 2 of 3

Past year gamblers were split in terms of whether facility training about Appropriate Response Training or other responsible gambling training has convinced them to gamble less. Slightly more than one-in-four agree this has convinced them to gamble less, while slightly more than three-in-ten disagree and roughly four-in-ten are neutral.

A majority of past year gamblers disagreed that they want to participate in gambling because work has made them more interested (68%), that their shift work means that gambling facilities are one of the only entertainment options available (66%) and that they want to gamble because they see patrons having fun (56%).

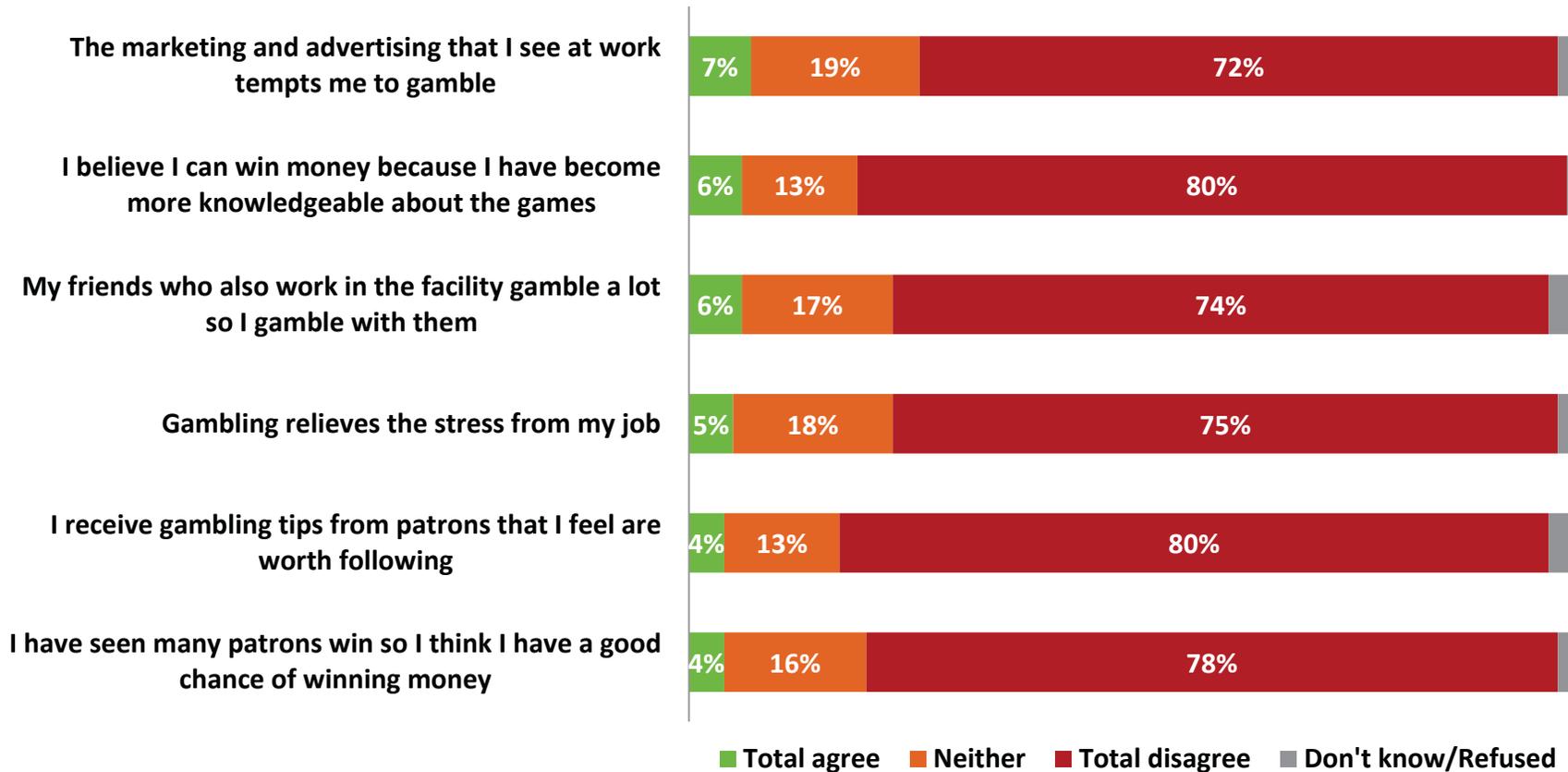


Q16. The following table lists some statements about possible influences your employment may have had on your gambling. Please indicate the degree to which you agree or disagree with each statement.

Base: Past year gamblers (n=502)

Slide 3 of 3

The six statements below had the highest levels of disagreement and all indicate that most past year gamblers are not being negatively influenced by work. Disagreement is highest that employees can win because they have more game knowledge (80%), that they get worthwhile gambling tips from patrons (80%) and that they think they have a good chance of winning because they see many patrons win (78%).



Q16. The following table lists some statements about possible influences your employment may have had on your gambling. Please indicate the degree to which you agree or disagree with each statement.

Base: Past year gamblers (n=502)



Statements About Employment Influence on Gambling by CPGI (Slide 1 of 2)

Total Agree

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
As I have become more knowledgeable about the games I have realized that I cannot overcome the house odds in most games	67%	65%	78% AC	62%
I see some negative consequences of gambling among patrons and I do not want to be like them	63%	63%	64%	62%
I see patrons losing money and do not want to do the same	62%	66% BC	53%	53%
After work I want to avoid spending even more time in a gambling facility or involved with gambling	57%	64% BC	47%	32%
I spend so much time surrounded by gambling that it is no longer interesting	49%	56% BC	43% C	22%
My job's regulations about employee gambling make it difficult for me to visit a facility where I am allowed to gamble	34%	30%	39%	50% A
My facility's training about Appropriate Response Training (ART) convinced me to gamble less	27%	26%	34%	27%
My facility's other training about responsible gambling convinced me to gamble less	26%	26%	33%	22%
My friends who also work in the facility rarely or never gamble so I rarely or never gamble	23%	27% BC	13%	12%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Q16. The following table lists some statements about possible influences your employment may have had on your gambling. Please indicate the degree to which you agree or disagree with each statement.

Base: Past year gamblers (n=502)

Statements About Employment Influence on Gambling by CPGI (Slide 2 of 2)

Total Agree

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
I see how much fun patrons are having and I want to participate too	18%	11%	30% A	43% A
Due to my shifts, gambling facilities are some of the only entertainment venues open when I am not at work	11%	7%	9%	35% AB
I have become more interested in gambling so I wanted to participate	10%	7%	17% A	20% A
The marketing and advertising that I see at work tempts me to gamble	7%	4%	7%	20% AB
I believe I can win money because I have become more knowledgeable about the games	6%	3%	6%	19% AB
My friends who also work in the facility gamble a lot so I gamble with them	6%	3%	12% A	16% A
Gambling relieves the stress from my job	5%	2%	4%	23% AB
I receive gambling tips from patrons that I feel are worth following	4%	2%	3%	12% AB
I have seen many patrons win so I think I have a good chance of winning money	4%	2%	5%	14% AB

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

37

Q16. The following table lists some statements about possible influences your employment may have had on your gambling. Please indicate the degree to which you agree or disagree with each statement.

Base: Past year gamblers (n=502)

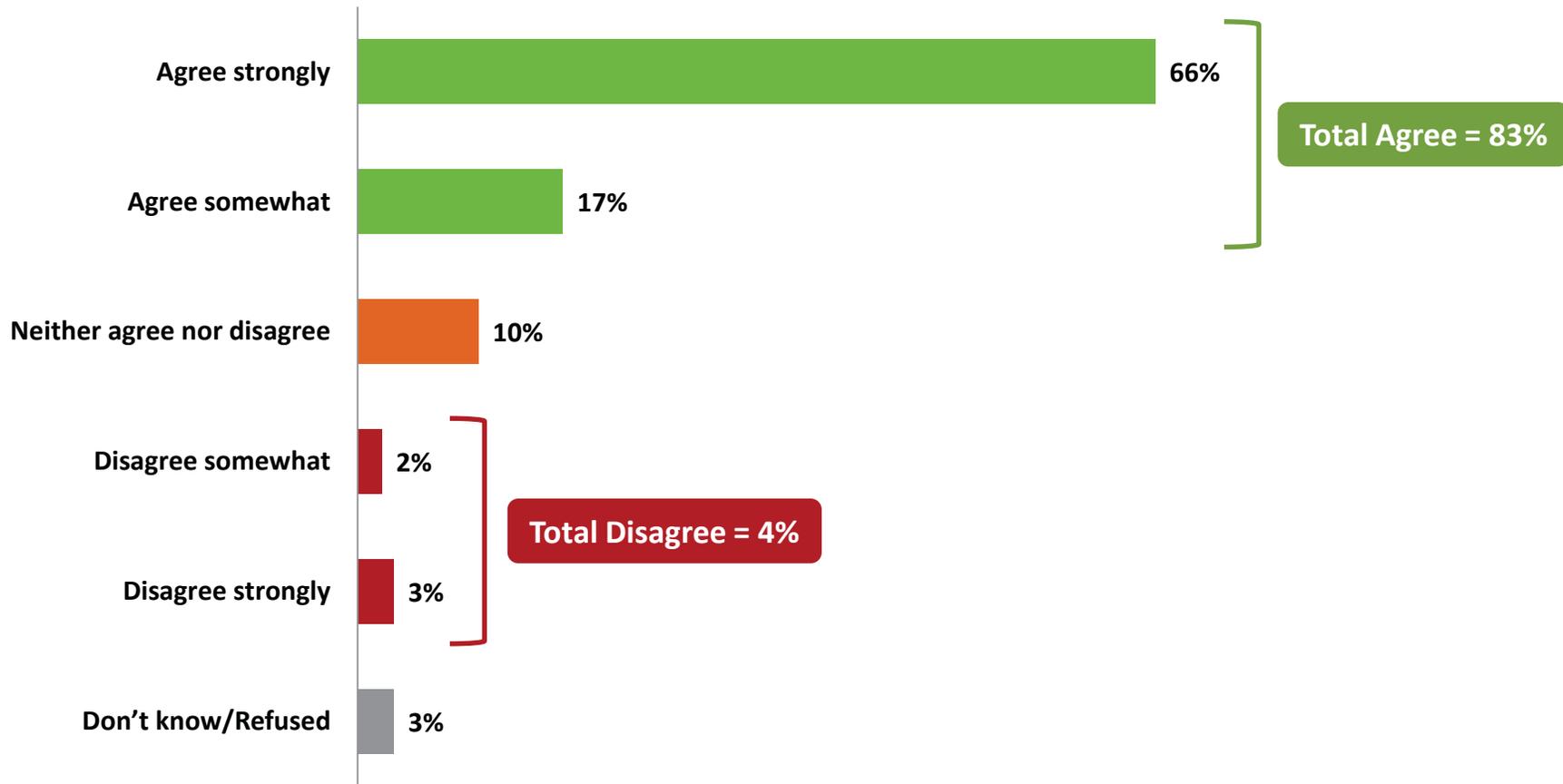




RESPONSIBLE GAMBLING BEHAVIOURS AND CPGI

Agreement “I am a Responsible Gambler”

Most respondents believe they are a responsible gambler. More than eight-in-ten (83%) past year gamblers agreed with the statement that “I am a responsible gambler” including two-thirds (66%) who agree strongly with the statement. Only 4% of respondents disagree that they are a responsible gambler (10% are neutral and 3% are undecided or refused to answer).



39 Q23. How strongly do you agree or disagree with the statement below? “I am a responsible gambler.”
Base: Past year gamblers (n=502)



	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Agree strongly	66%	78% BC	59% C	15%
Agree somewhat	17%	8%	39% A	41% A
Neither agree nor disagree	10%	9% B	1%	24% AB
Disagree somewhat	2%	<1%	0%	9% AB
Disagree strongly	3%	2%	0%	8% AB
Don't know/refused	3%	3%	0%	3%
Total Agree	83%	86% C	99% AC	55%
Total Disagree	4%	2%	0%	18% AB

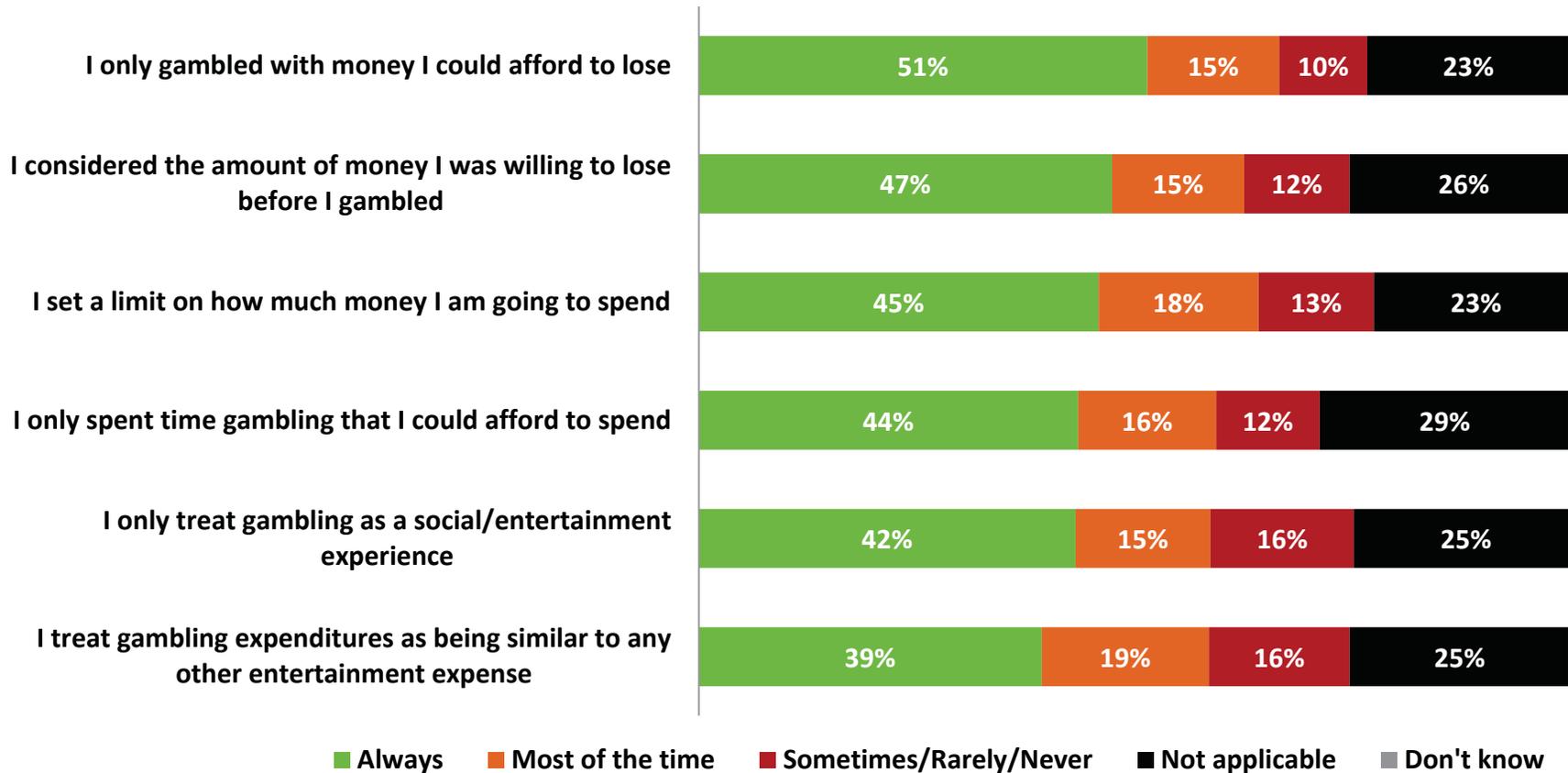
A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Frequency of Responsible Gambling Actions in Past 12 Months

Slide 1 of 2

Past year gamblers were asked how often they took a variety of responsible gambling actions when they participated in gambling activities over the past 12 months.

The top action was gambling only with money that past year gamblers can afford to lose. Half (51%) said they always take this action and a further 15% said they do it most of the time. One-in-ten (10%) said they do this only sometimes, rarely or never, and 23% said this action is not applicable to them. All the other actions on this page also had 16% or fewer respondents indicating they do these things only sometimes, rarely or never.



41 Q17. Thinking about when you participated in gambling activities over the last 12 months, how often did you take each of these actions? If an action does not apply to you all, please choose Not Applicable.

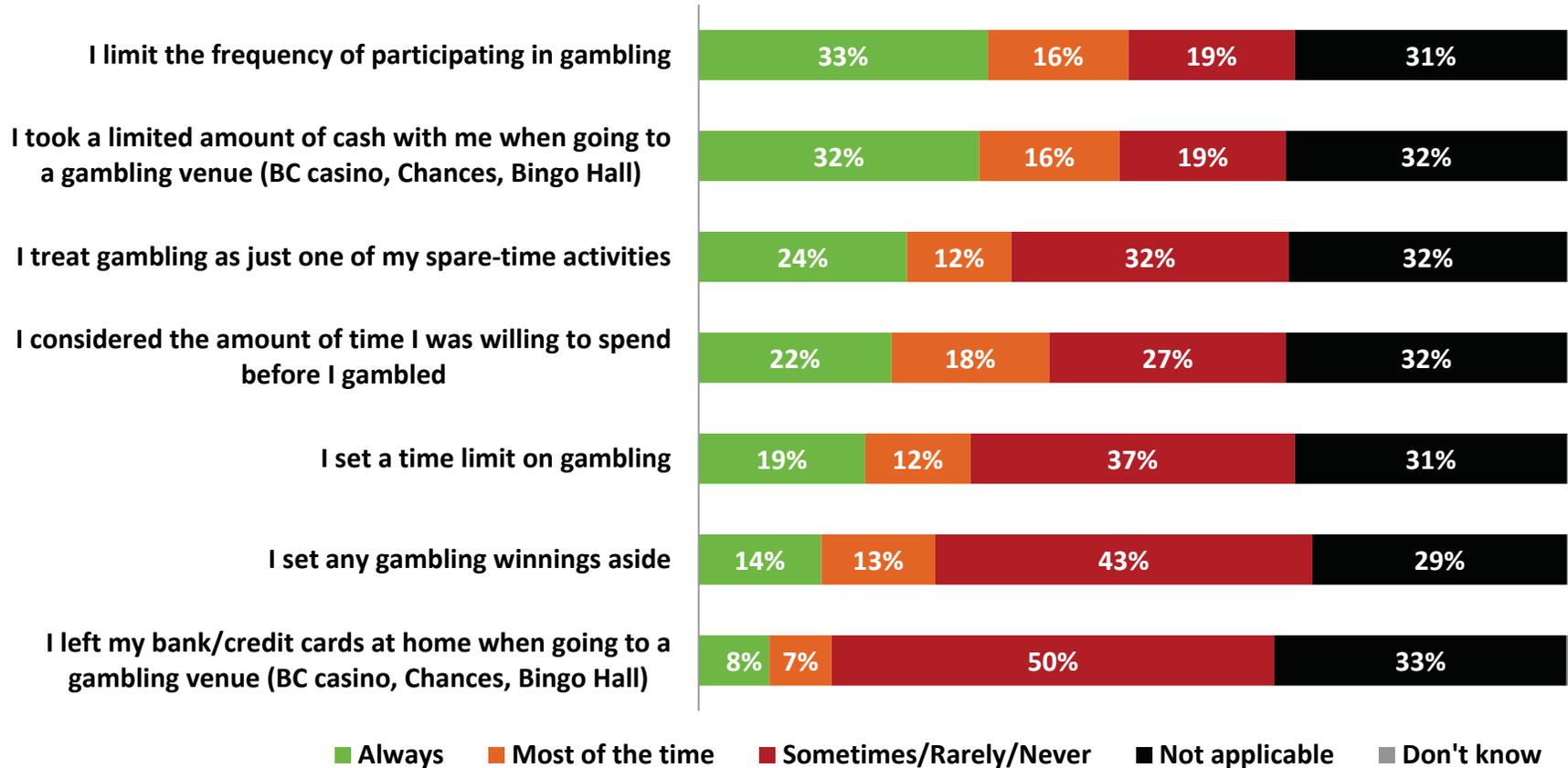
Base: Past year gamblers (n=502)



Frequency of Responsible Gambling Actions in Past 12 Months

Slide 2 of 2

Half (50%) of past year gamblers said they only sometimes, rarely or never leave their bank/credit card at home when going to a gambling venue, which is the highest number for any responsible gambling action tested. The next highest is setting gambling winnings aside (43% sometimes/rarely/never), followed by setting a time limit (37%), treating gambling as a spare time activity (32%) and considering the amount of time willing to spend before gambling (27%).



42 Q17. Thinking about when you participated in gambling activities over the last 12 months, how often did you take each of these actions? If an action does not apply to you all, please choose Not Applicable.

Base: Past year gamblers (n=502)



Sometimes/Rarely/Never Take Action

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
I left my bank/credit cards at home when going to a gambling venue (BC casino, Chances, Bingo Hall)	50%	38%	75% A	78% A
I set any gambling winnings aside	43%	34%	59% A	78% AB
I set a time limit on gambling	37%	26%	60% A	65% A
I treat gambling as just one of my spare-time activities	32%	29%	43% A	42% A
I considered the amount of time I was willing to spend before I gambled	27%	19%	41% A	55% A
I limit the frequency of participating in gambling	19%	12%	26% A	46% AB
I took a limited amount of cash with me when going to a gambling venue (BC casino, Chances, Bingo Hall)	19%	10%	29% A	51% AB
I only treat gambling as a social/entertainment experience	16%	11%	22% A	43% AB
I treat gambling expenditures as being similar to any other entertainment expense	16%	10%	23% A	38% AB
I set a limit on how much money I am going to spend	13%	7%	18% A	41% AB
I considered the amount of money I was willing to lose before I gambled	12%	7%	10%	37% AB
I only spent time gambling that I could afford to spend	12%	5%	13% A	40% AB
I only gambled with money I could afford to lose	10%	6%	6%	37% AB

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

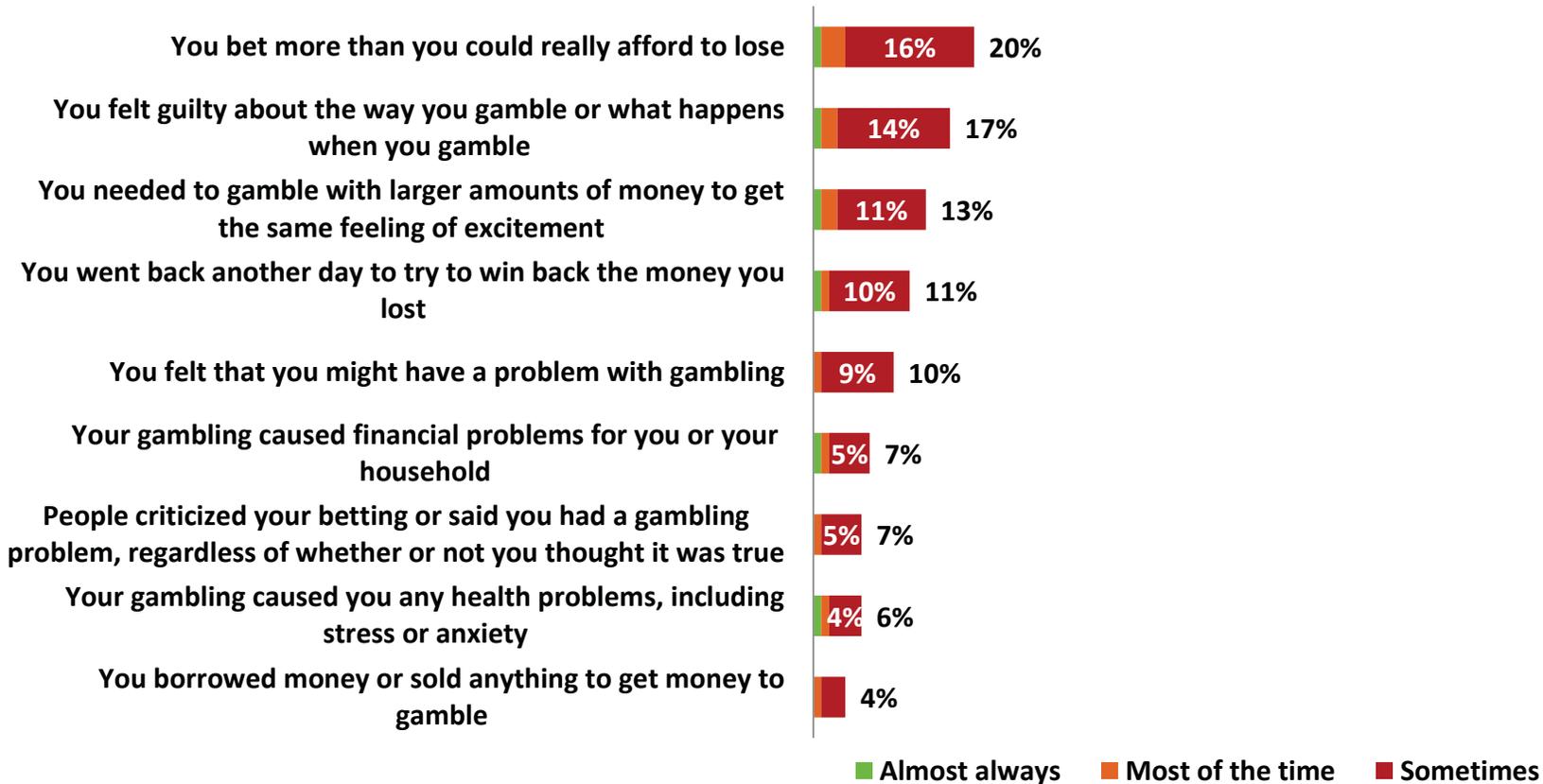
Q17. Thinking about when you participated in gambling activities over the last 12 months, how often did you take each of these actions? If an action does not apply to you all, please choose Not Applicable.

Base: Past year gamblers (n=502)



The nine items below are the components of the Canadian Problem Gambling Index. It is calculated by creating an index based on the frequency of each of the following behaviours. The index scores 3 points for each ‘almost always’ response, 2 points for each ‘most of the time’ response and 1 point for each ‘sometimes’ response. ‘Never’ responses (not shown below) are scored as 0 points.

Two-in-ten (20%) past year gamblers said they bet more than they could really afford to lose sometimes or more often in the past 12 months. This is the highest reported CPGI behaviour. Next highest is feeling guilty about gambling at 17%. The least reported items were borrowing money or selling things to get money for gambling (4% sometimes or more) and gambling causing health/stress problems (6%).



Q18. Thinking about when you participated in gambling activities over the last 12 months, how often did each of the following occur?

Base: Past year gamblers (n=502)

Always/Most of the Time/Sometimes

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
You bet more than you could really afford to lose	20%	0%	46% A	86% AB
You felt guilty about the way you gamble or what happens when you gamble	17%	0%	34% A	80% AB
You needed to gamble with larger amounts of money to get the same feeling of excitement	13%	0%	29% A	61% AB
You went back another day to try to win back the money you lost	11%	0%	16% A	59% AB
You felt that you might have a problem with gambling	10%	0%	4%	66% AB
Your gambling caused financial problems for you or your household	7%	0%	1%	45% AB
People criticized your betting or said you had a gambling problem, regardless of whether or not you thought it was true	7%	0%	7% A	39% AB
Your gambling caused you any health problems, including stress or anxiety	6%	0%	0%	38% AB
You borrowed money or sold anything to get money to gamble	4%	0%	1%	23% AB

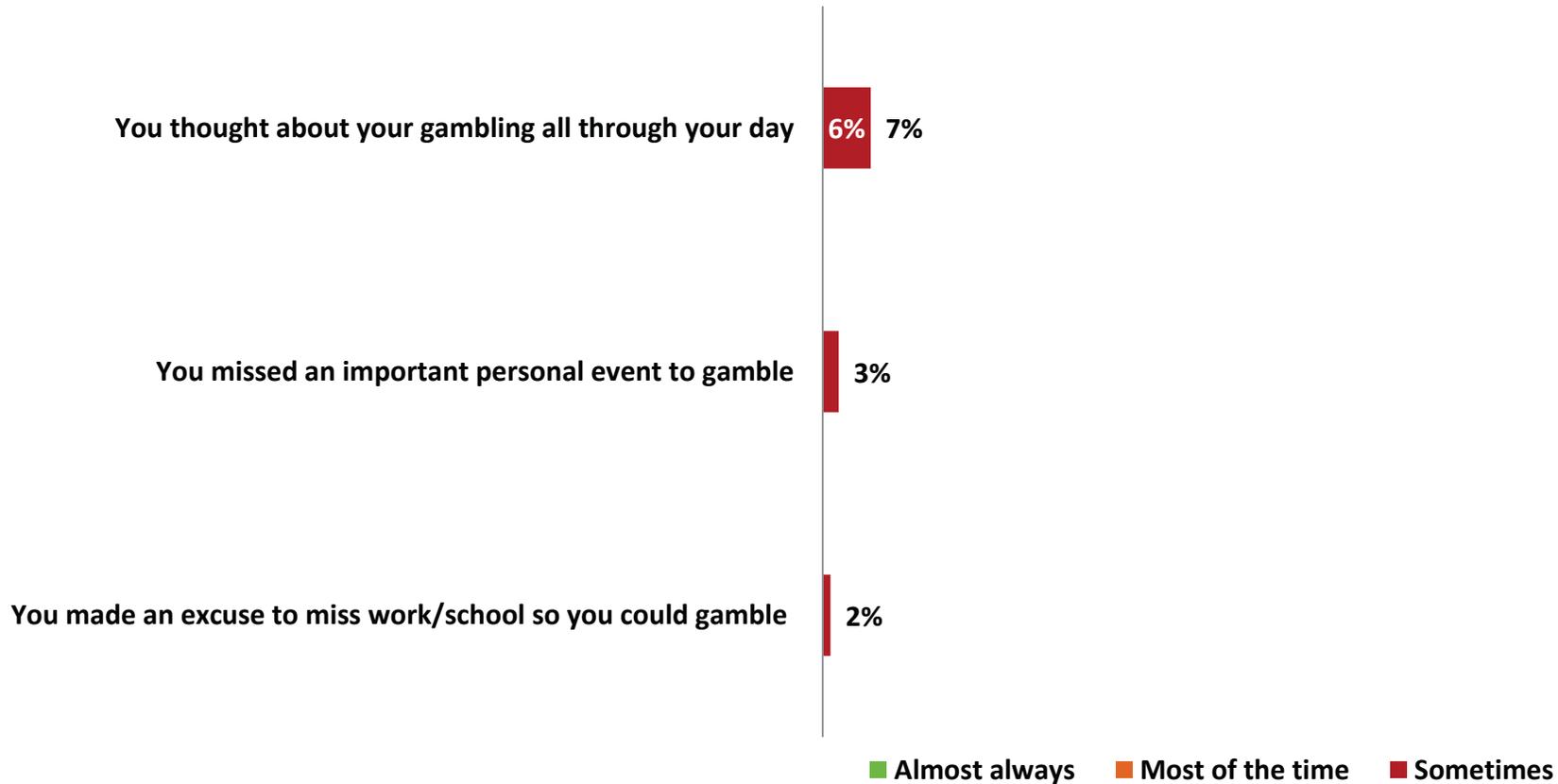
A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Q18. Thinking about when you participated in gambling activities over the last 12 months, how often did each of the following occur?

Base: Past year gamblers (n=502)



Past year gamblers were also asked about three other items (not scored in CPGI). Very few said they sometimes or more often make an excuse to miss work/school to gamble (2%), missed an important personal event to gamble (3%), or thought about gambling all through their day (7%).



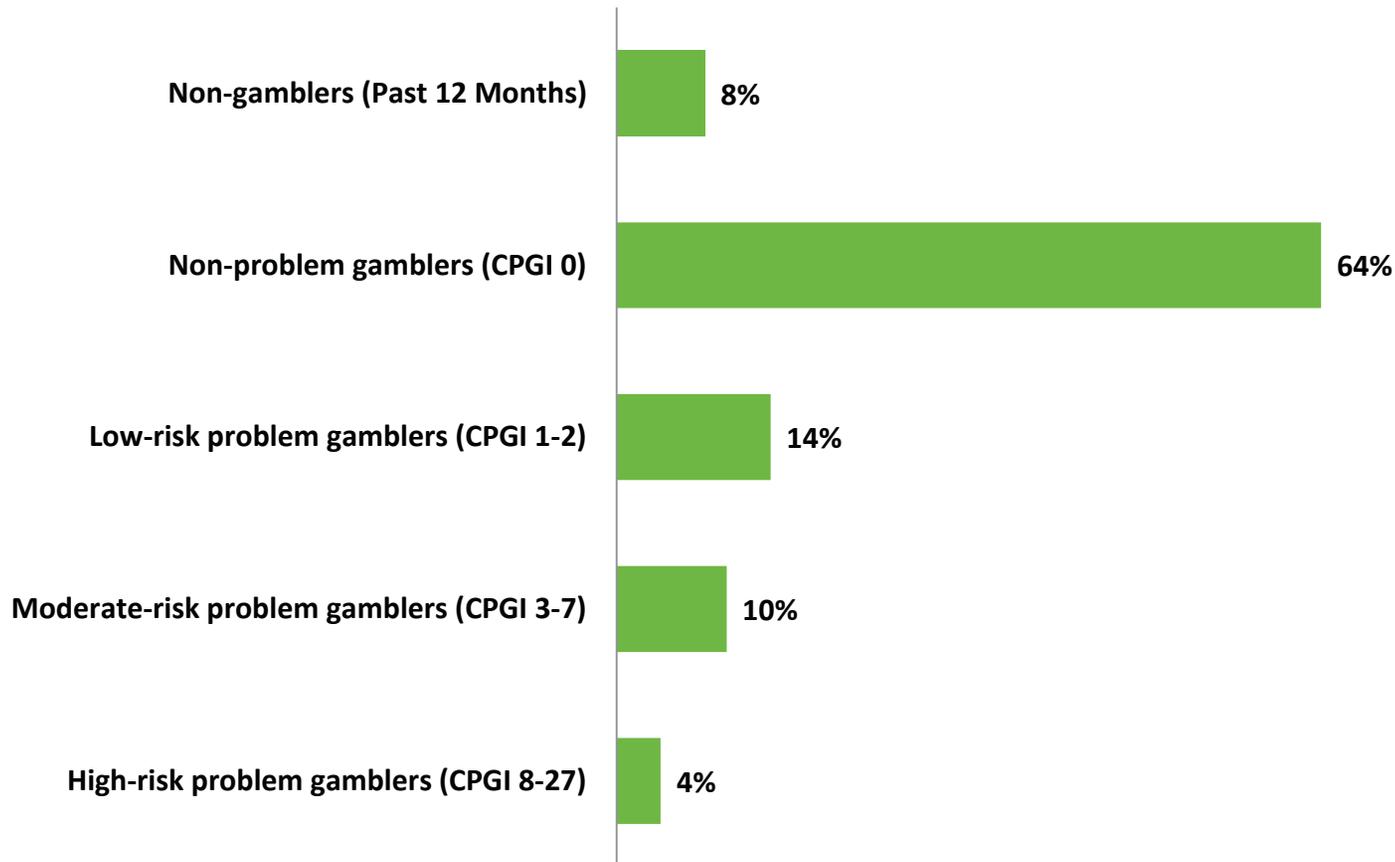
Always/Most of the Time/Sometimes

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
You thought about your gambling all through your day	7%	1%	5% A	39% AB
You missed an important personal event to gamble	3%	0%	0%	18% AB
You made an excuse to miss work/school so you could gamble	2%	0%	1%	12% AB

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

On the CPGI (Canadian Problem Gambling Index) scale, more than seven-in-ten respondents classify as either non-gamblers (8%, no past year gambling) or as non-problem gamblers (64%, 0 on CPGI).

That leaves 28% of respondents who classify in one of the three CPGI risk of problem gambling categories. Half of these (14%) are low-risk problem gamblers (1-2 on CPGI). The next biggest portion (10% overall) are moderate-risk problem gamblers (CPGI 3-7). Only 4% of respondents are classified as high-risk problem gamblers (CPGI of 8-27).





RESPONSIBLE GAMBLING AND EMPLOYMENT

Slide 1 of 2

Respondents were asked to agree or disagree with a battery of 17 statements about responsible gambling and their work. The nine statements below are the ones with the highest levels of agreement (all 72% agree or higher).

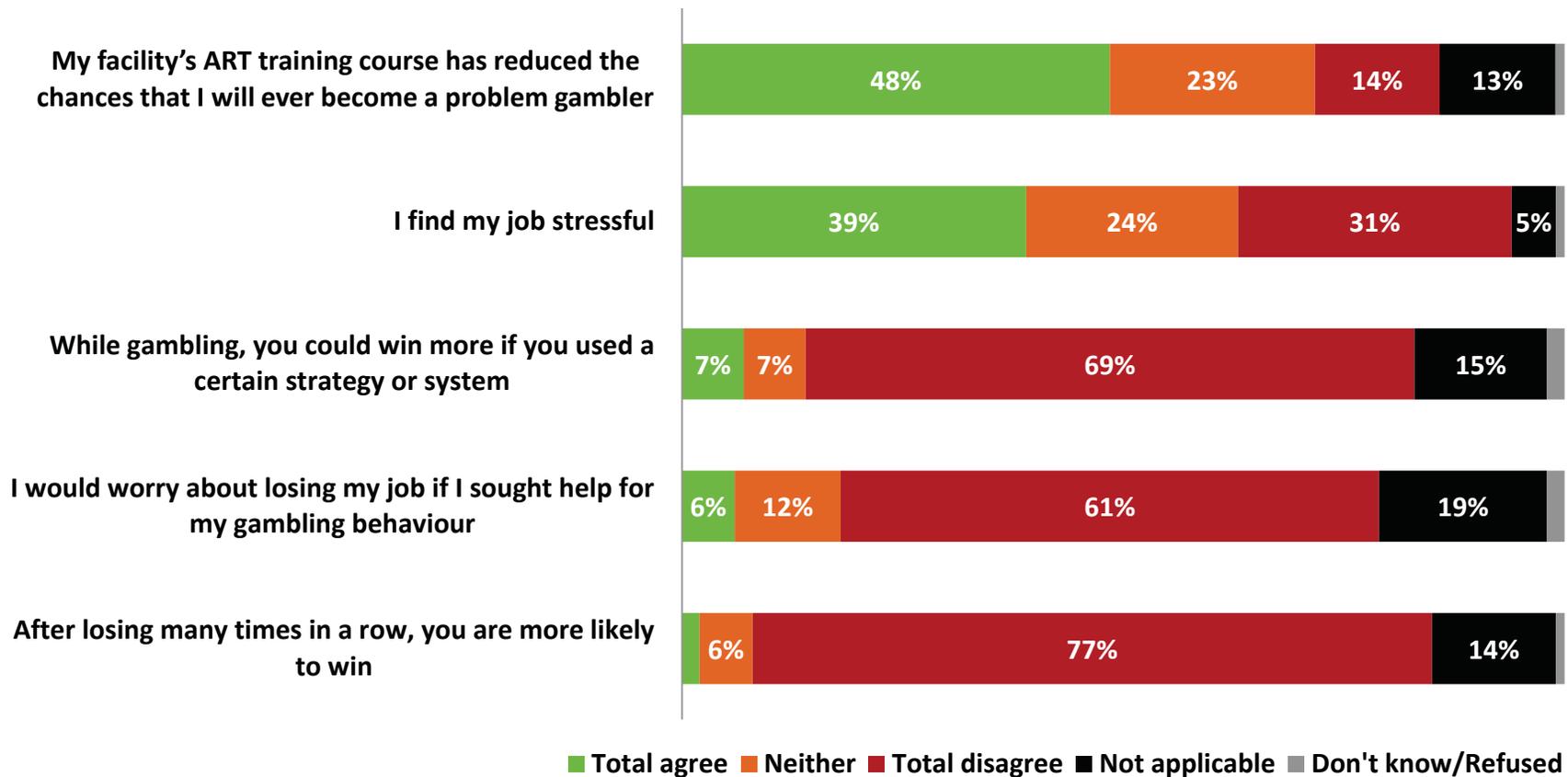
These statements show that most respondents believe they have a good understanding of policies/procedures in relation to responsible gambling, feel confident that they can do their role in responding to patrons with gambling problems, and that they feel supported (or would be supported) by their employer, manager and by resources/tools if they ever personally had a gambling problem.



Slide 2 of 2

Respondents were divided on whether their facility’s ART training course has reduced the chance that they will ever become a problem gambler. Half agree (48%), while 14% disagree, 23% are neutral and 13% say it is not applicable.

Very few respondents agreed that they would worry about losing their job if they ever sought help for their gambling behaviour (only 6% agreed). Very few agreed with some common gambling fallacies that you can win more with a strategy system (7%) or that you are more likely to win after losing many times in a row (2%).



51 Q22. Please mark your opinions regarding the following statements.
Base: All respondents (n=547)



Statements About Work & Responsible Gambling (All Respondents) by CPGI

Total Agree

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
I feel that I have a good understanding of BCLC's responsible gambling policies and procedures	88%	88%	93% C	80%
I feel confident that I can carry out my role responding to patrons showing signs of being in trouble or in crisis	79%	79%	88% C	73%
My facility's ART training course was useful in teaching me about problem gambling	75%	75%	80%	72%
I feel that there are enough problem gambling resources available for me	74%	73%	84% AC	66%
I feel my employer would support me in seeking help for problem gambling	74%	72%	87% AC	70%
I feel my manager would support my decision to participate in the Voluntary Self-Exclusion program	72%	69%	87% AC	72%
I feel my manager would support me in seeking assistance for problem gambling	72%	70%	88% AC	65%
I am aware of employee outreach tools that I can use if I am concerned I may have a gambling problem	72%	70%	84% A	73%
My facility's ART training course has reduced the chances that I will ever become a problem gambler	48%	47%	64% AC	36%
I find my job stressful	39%	38%	34%	49%
While gambling, you could win more if you used a certain strategy or system	7%	5%	9%	18% A
I would worry about losing my job if I sought help for my gambling behaviour	6%	4%	5%	18% AB
After losing many times in a row, you are more likely to win	2%	1%	1%	7% A

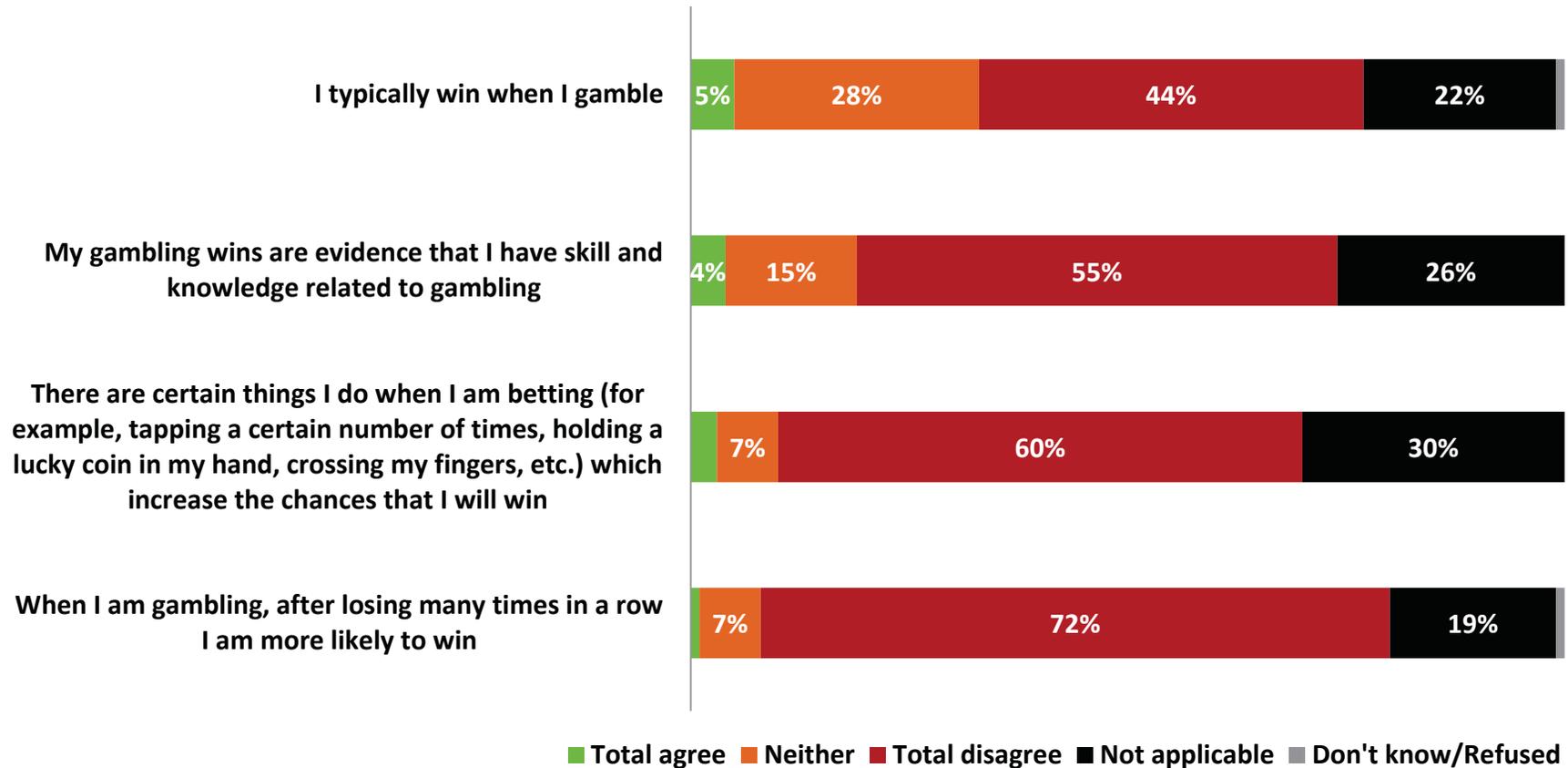
52 Q22. Please mark your opinions regarding the following statements.
Base: All respondents (n=547)

A/B/C denotes result statistically higher than column indicated by letter (p<.05)



Statements About Work & Responsible Gambling (Past Year Gamblers) Ipsos Public Affairs

The four statements below were asked only of past year gamblers. They show that few past year gamblers believe they typically win when they gamble (5%), that their gambling wins are evidence of their skill/knowledge (4%), that there are certain superstitious things they can do increase their chances (3%), or that they are more likely to win after losing several times in a row (1%).



Statements About Work & Responsible Gambling (Past Year Gamblers) Ipsos Public Affairs by CPGI

Total Agree

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
I typically win when I gamble	5%	3%	7%	8% A
My gambling wins are evidence that I have skill and knowledge related to gambling	4%	2%	5%	9% A
There are certain things I do when I am betting (for example, tapping a certain number of times, holding a lucky coin in my hand, crossing my fingers, etc.) which increase the chances that I will win	3%	1%	5% A	9% A
When I am gambling, after losing many times in a row I am more likely to win	1%	<1%	3%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

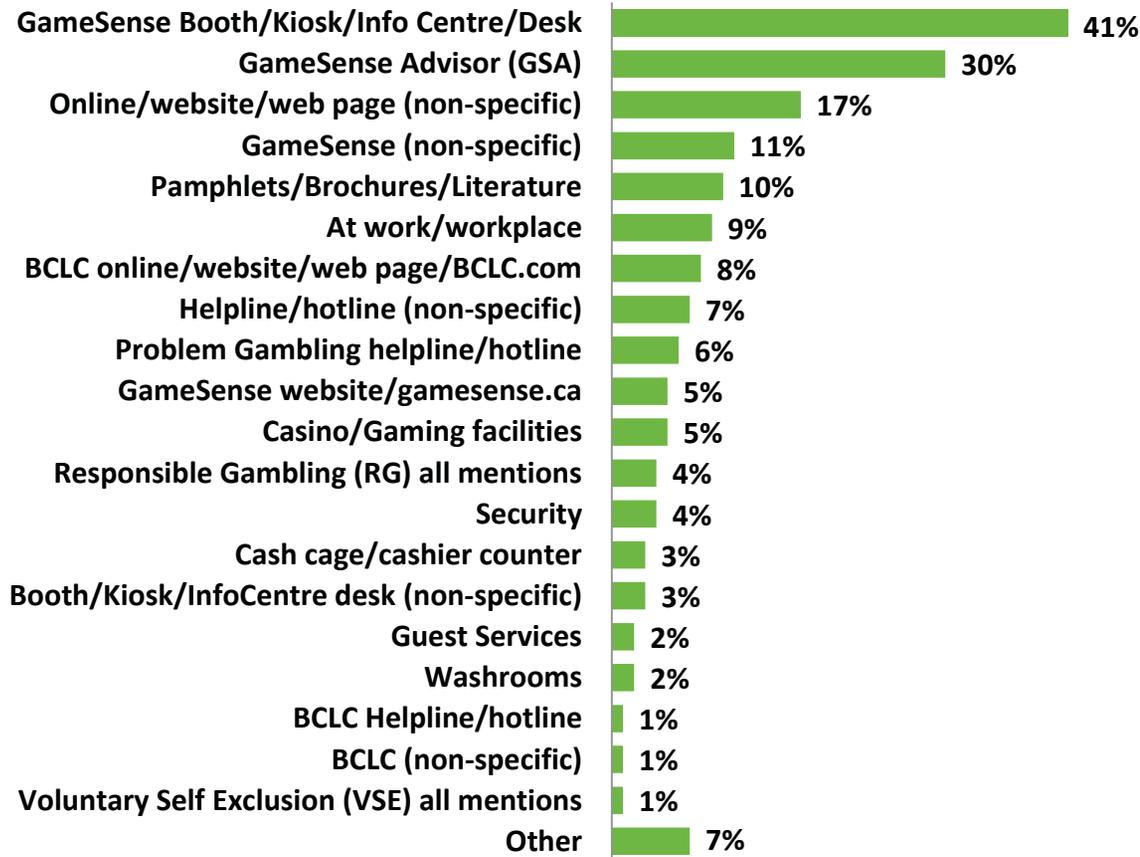


RESPONSIBLE GAMBLING MESSAGING AND RESOURCES

Where Look for Information About Responsible Gambling Coded Open-Ends – Multiple Responses Allowed

Respondents were asked, on an open-ended basis, where they would look to find information about responsible gambling. Three of the top four coded responses were GameSense related including the GameSense booth (41%), a GameSense Advisor (30%) and general mentions of GameSense (11%). The other top four response was a general online search (17%).

Fourteen percent of respondents said they did not know where to look for information about responsible gambling.



Where Look for Information About Responsible Gambling by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
GameSense Booth/Kiosk/Info Centre/Desk	41%	43%	43%	31%
GameSense Advisor (GSA)	30%	30%	32%	28%
Online/website/web page (non-specific)	17%	15%	21%	26% A
GameSense (non-specific)	11%	9%	12%	16%
Pamphlets/Brochures/Literature	10%	9%	11%	15%
At work/workplace	9%	9%	14% C	4%
BCLC online/website/web page/BCLC.com	8%	9% B	1%	9% B
Helpline/hotline (non-specific)	7%	6%	9%	12%
Problem Gambling helpline/hotline	6%	5%	7%	12% A
GameSense website/gamesense.ca	5%	5%	7%	5%
Casino/Gaming facilities	5%	5%	4%	7%
Responsible Gambling (RG) all mentions	4%	4%	4%	8%
Security	4%	4%	4%	3%
Cash cage/cashier counter	3%	4%	4%	1%
Booth/Kiosk/InfoCentre desk (non-specific)	3%	3%	5%	1%
Guest Services	2%	3% C	4%	0%
Washrooms	2%	2%	4%	4%
Voluntary Self Exclusion (VSE) all mentions	1%	2%	1%	0%
BCLC (non-specific)	1%	2%	0%	1%
BCLC Helpline/hotline	1%	1%	0%	0%
Other	7%	8%	7%	8%
Don't know/Refused	14%	15%	11%	8%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

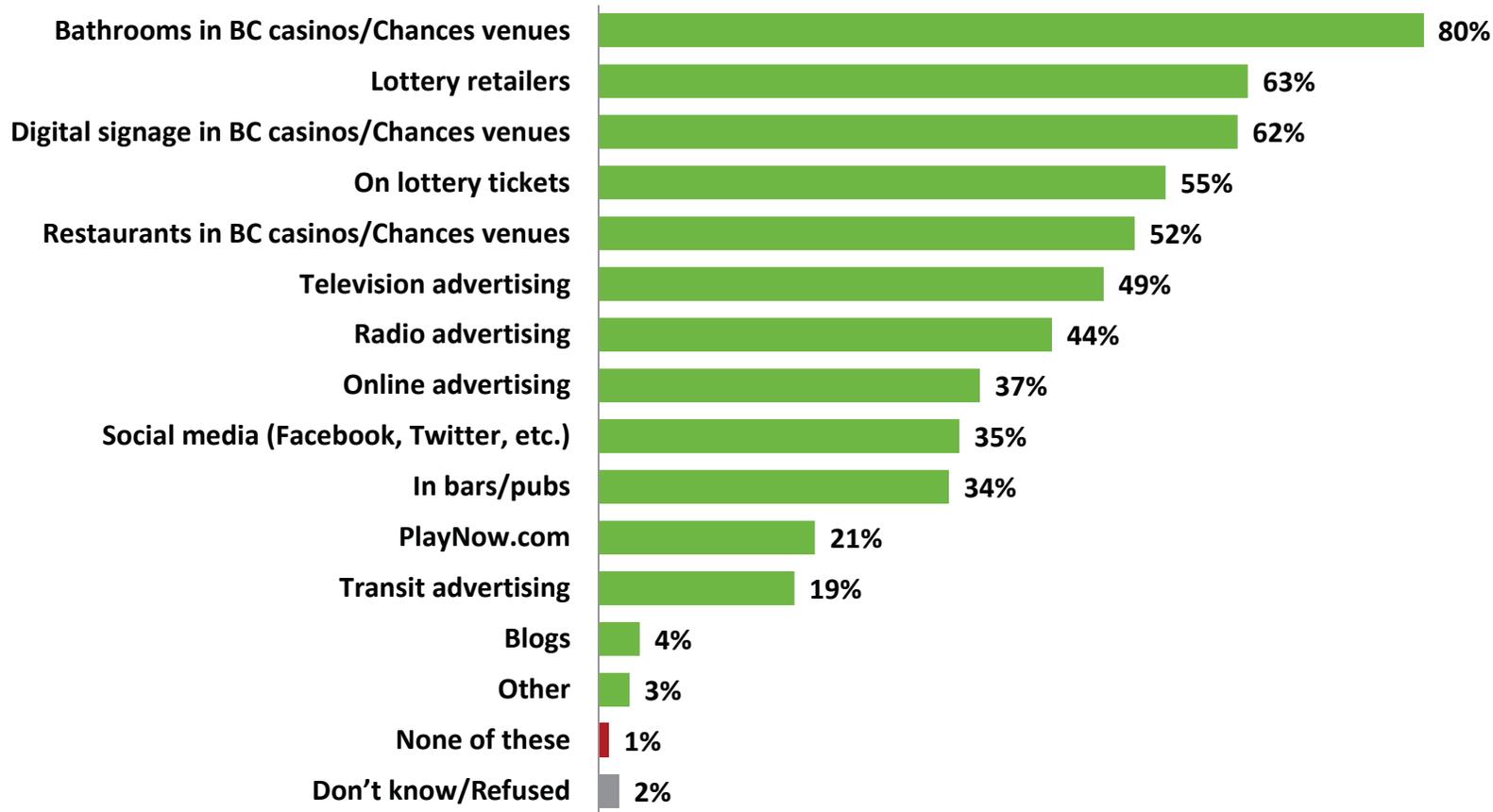
57 Q24. Where would you look to find information about responsible gambling? (OPEN ENDED)

Base: All respondents (n=547)



Where Recall Responsible Gambling Messages in British Columbia in Past 12 Months

Facility bathrooms are the number one place where respondents have read, seen or heard messages about BC responsible gambling programs/resources in the past 12 months. Eight-in-ten (80%) recall information in bathrooms, followed by more than six-in-ten for both lottery retailers (63%) and digital signage at facilities (62%). A majority also recall responsible gambling messaging on lottery tickets (55%) and at facility restaurants (52%).



Q25. In the last 12 months, where have you read, seen or heard messages about responsible gambling or responsible gambling programs/resources in British Columbia?

Base: All respondents (n=547)

Where Recall Responsible Gambling Messages in British Columbia in Past 12 Months by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Bathrooms in BC casinos/Chances venues	80%	81%	84%	74%
Lottery retailers	63%	65%	68%	53%
Digital signage in BC casinos/Chances venues	62%	62%	68%	57%
On lottery tickets	55%	54%	61%	57%
Restaurants in BC casinos/Chances venues	52%	55% C	49%	39%
Television advertising	49%	49%	58%	42%
Radio advertising	44%	42%	59% AC	39%
Online advertising	37%	35%	43%	42%
Social media (Facebook, Twitter, etc.)	35%	35%	41%	34%
In bars/pubs	34%	34%	39% C	24%
PlayNow.com	21%	17%	29% A	32% A
Transit advertising	19%	20%	14%	18%
Blogs	4%	4%	3%	3%
Other	3%	2%	4%	4%
None of these	1%	2%	0%	3%
Don't know/Refused	2%	2%	1%	1%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

59

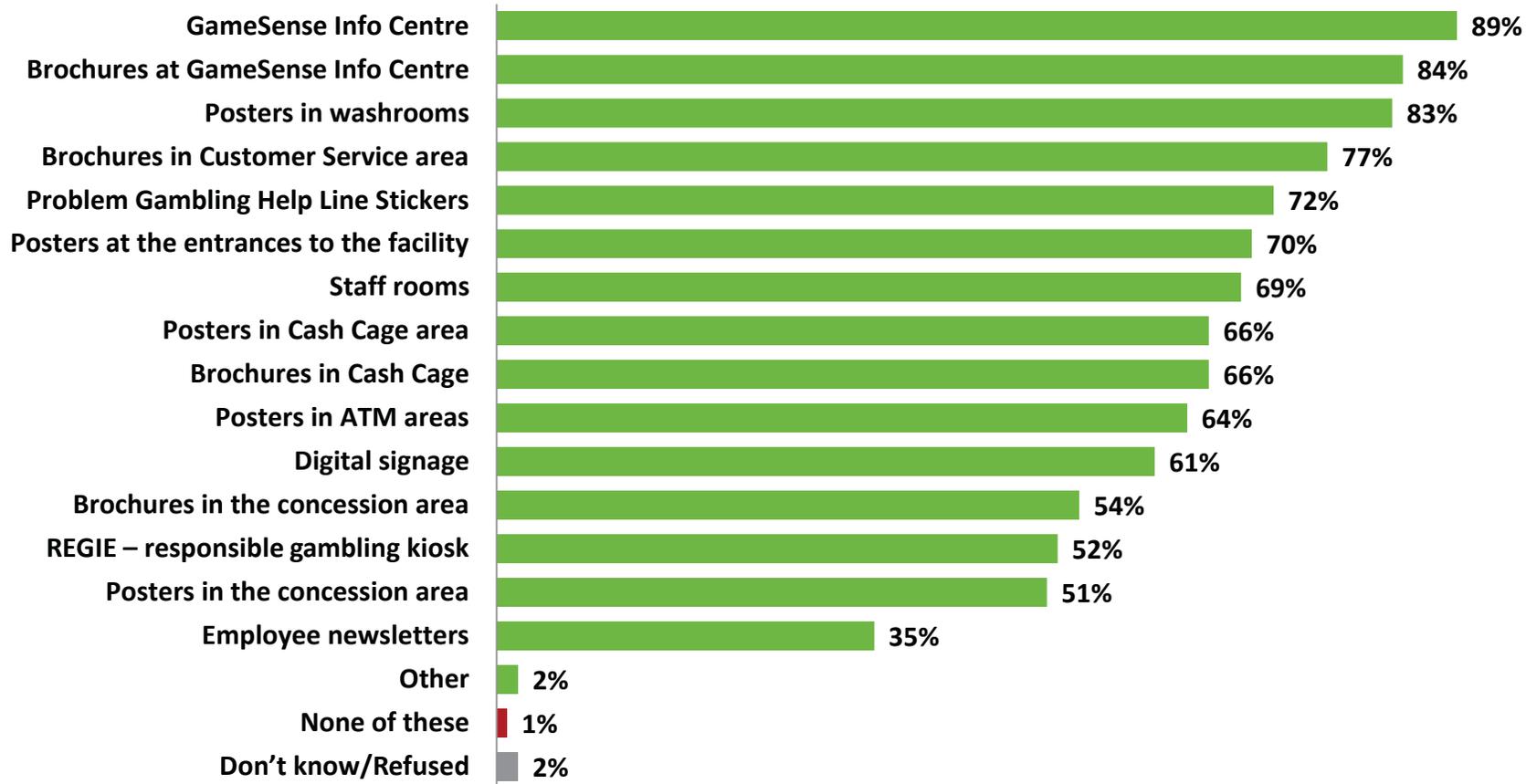
Q25. In the last 12 months, where have you read, seen or heard messages about responsible gambling or responsible gambling programs/resources in British Columbia?

Base: All respondents (n=547)



Where Recall Responsible Gambling Messages in Workplace in Past 12 Months

Respondents had read, seen or heard messages about responsible gambling or responsible gambling programs/resources in many places at their workplace in the past year. The highest recall was for GameSense Info Centres (89%), brochures at GameSense Info Centres (84%) and posters in washrooms (83%). In addition to these, 11 other workplace sources of responsible gambling messages/resources were recalled by a majority of respondents.



Q26. In the last 12 months, where have you read, seen or heard messages about responsible gambling or responsible gambling programs/resources at your workplace?

Base: All respondents (n=547)



Where Recall Responsible Gambling Messages in Workplace in Past 12 Months by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
GameSense Info Centre	89%	89%	89%	85%
Brochures at GameSense Info Centre	84%	83%	93% AC	81%
Posters in washrooms	83%	81%	91% A	81%
Brochures in Customer Service area	77%	75%	88% A	76%
Problem Gambling Help Line Stickers	72%	71%	74%	74%
Posters at the entrances to the facility	70%	69%	78%	70%
Staff rooms	69%	68%	76%	68%
Posters in Cash Cage area	66%	64%	82% AC	62%
Brochures in Cash Cage	66%	64%	82% AC	59%
Posters in ATM areas	64%	61%	68%	72%
Digital signage	61%	57%	74% A	65%
Brochures in the concession area	54%	53%	67% AC	46%
REGIE – responsible gambling kiosk	52%	51%	62%	49%
Posters in the concession area	51%	51%	57%	46%
Employee newsletters	35%	32%	51% AC	32%
Other	2%	2%	5%	3%
None of these	1%	1%	0%	0%
Don't know/Refused	2%	2%	1%	1%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

61

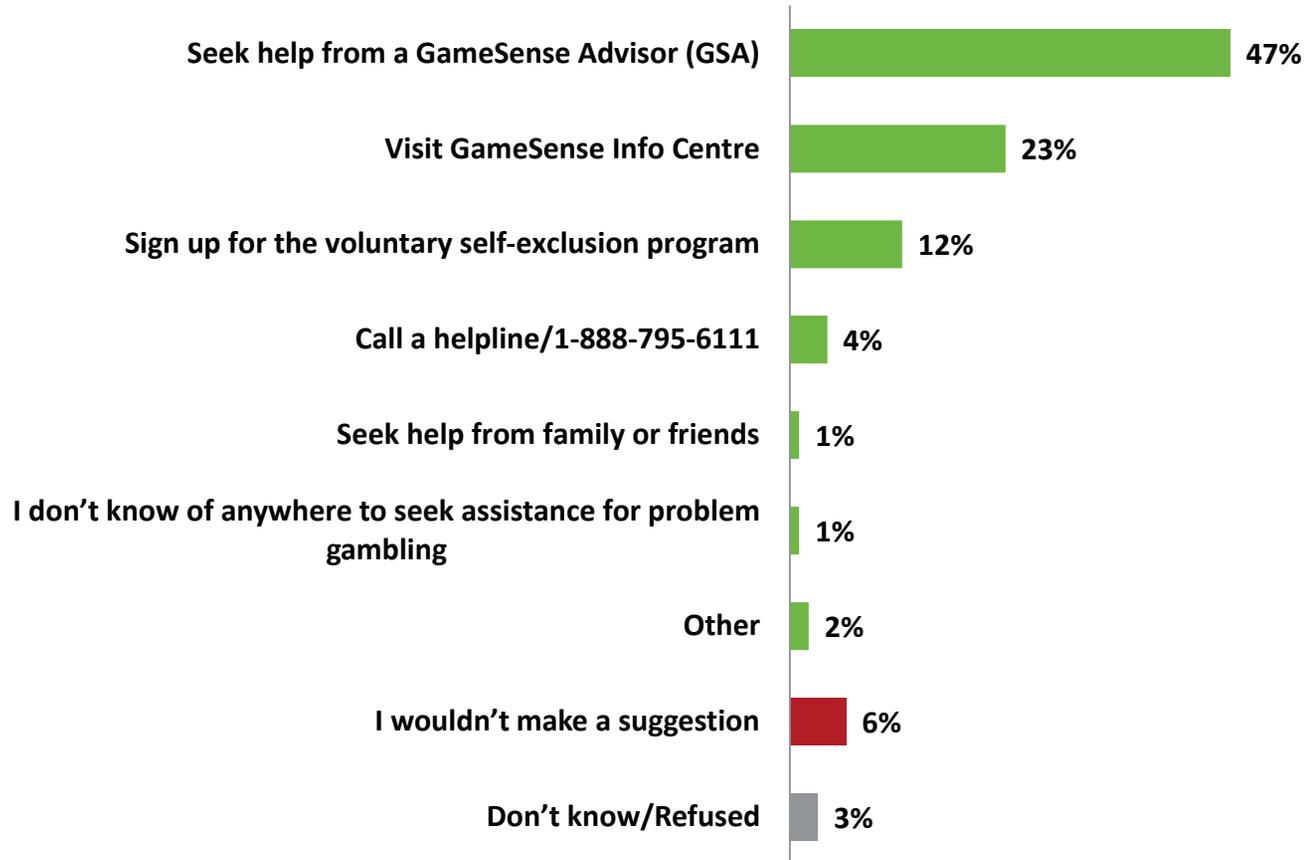
Q26. In the last 12 months, where have you read, seen or heard messages about responsible gambling or responsible gambling programs/resources at your workplace?

Base: All respondents (n=547)



Most Likely Suggested Assistance for Others with Possible Gambling Problem

About half (47%) of respondents said they would be most likely to suggest seeking help from a GameSense Advisor (VGA) if they felt a patron, or someone they knew might have a gambling problem. Other top suggestions included a GameSense Info Centre (23%), the voluntary self-exclusion program (12%) and calling a helpline (4%). Only 6% of respondents said they would not make a suggestion.



Q19. If you felt a patron, or someone you knew might have a gambling problem, where would you be most likely to suggest they seek assistance?

Base: All respondents (n=547)

Most Likely Suggested Assistance for Others with Possible Gambling Problem by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Seek help from a GameSense Advisor (GSA)	47%	47%	46%	43%
Visit GameSense Info Centre	23%	24%	26%	19%
Sign up for the voluntary self-exclusion program	12%	11%	12%	19%
Call a helpline/1-888-795-6111	4%	4%	7%	3%
Seek help from family or friends	1%	2%	0%	0%
I don't know of anywhere to seek assistance for problem gambling	1%	1%	3%	0%
Other	2%	2%	3%	5%
I wouldn't make a suggestion	6%	7%	3%	8%
Don't know/Refused	3%	3% B	0%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

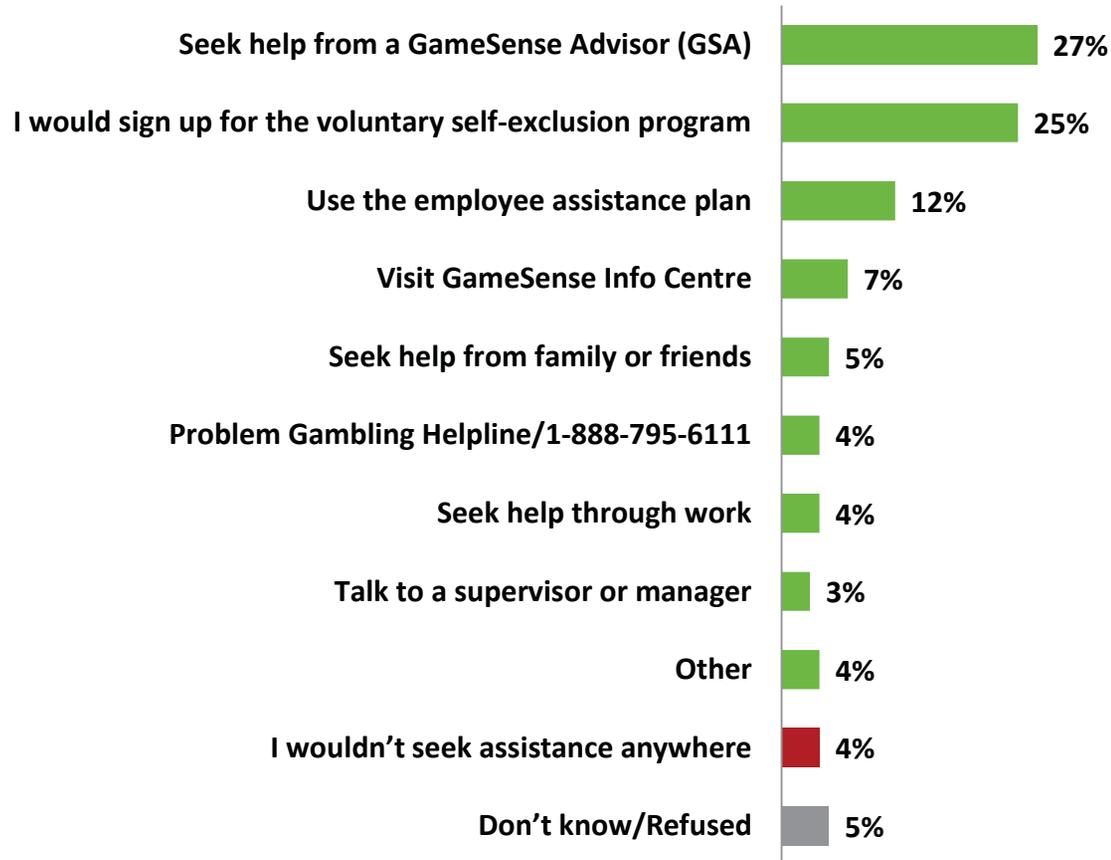
Q19. If you felt a patron, or someone you knew might have a gambling problem, where would you be most likely to suggest they seek assistance?

Base: All respondents (n=547)



Most Likely Assistance for Self with Possible Gambling Problem

Past year gamblers said that if they thought they might have a gambling problem, they would be most likely to seek assistance from a GameSense Advisor (27%) or they would sign up for the voluntary self-exclusion program (25%). Slightly more than one-in-ten (12%) said they would use an employee assistance plan. Only 4% said they wouldn't seek assistance anywhere.



64 Q20. If you felt you may have a gambling problem, how would you be most likely to seek assistance?
Base: Past year gamblers (n=502)



Most Likely Assistance for Self with Possible Gambling Problem by CPGI

	Past Year Gamblers (n=502)	Non-Gamblers+ Non-Problem (n=352)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Seek help from a GameSense Advisor (GSA)	27%	31% B	13%	20%
I would sign up for the voluntary self-exclusion program	25%	21%	30%	34% A
Use the employee assistance plan	12%	14%	9%	7%
Visit GameSense Info Centre	7%	6%	7%	8%
Seek help from family or friends	5%	4%	12% A	7%
Problem Gambling Helpline/1-888-795-6111	4%	4%	4%	1%
Seek help through work	4%	4%	8%	4%
Talk to a supervisor or manager	3%	3%	5%	3%
Other	4%	4%	4%	5%
I wouldn't seek assistance anywhere	4%	3%	4%	7%
Don't know/Refused	5%	5%	3%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

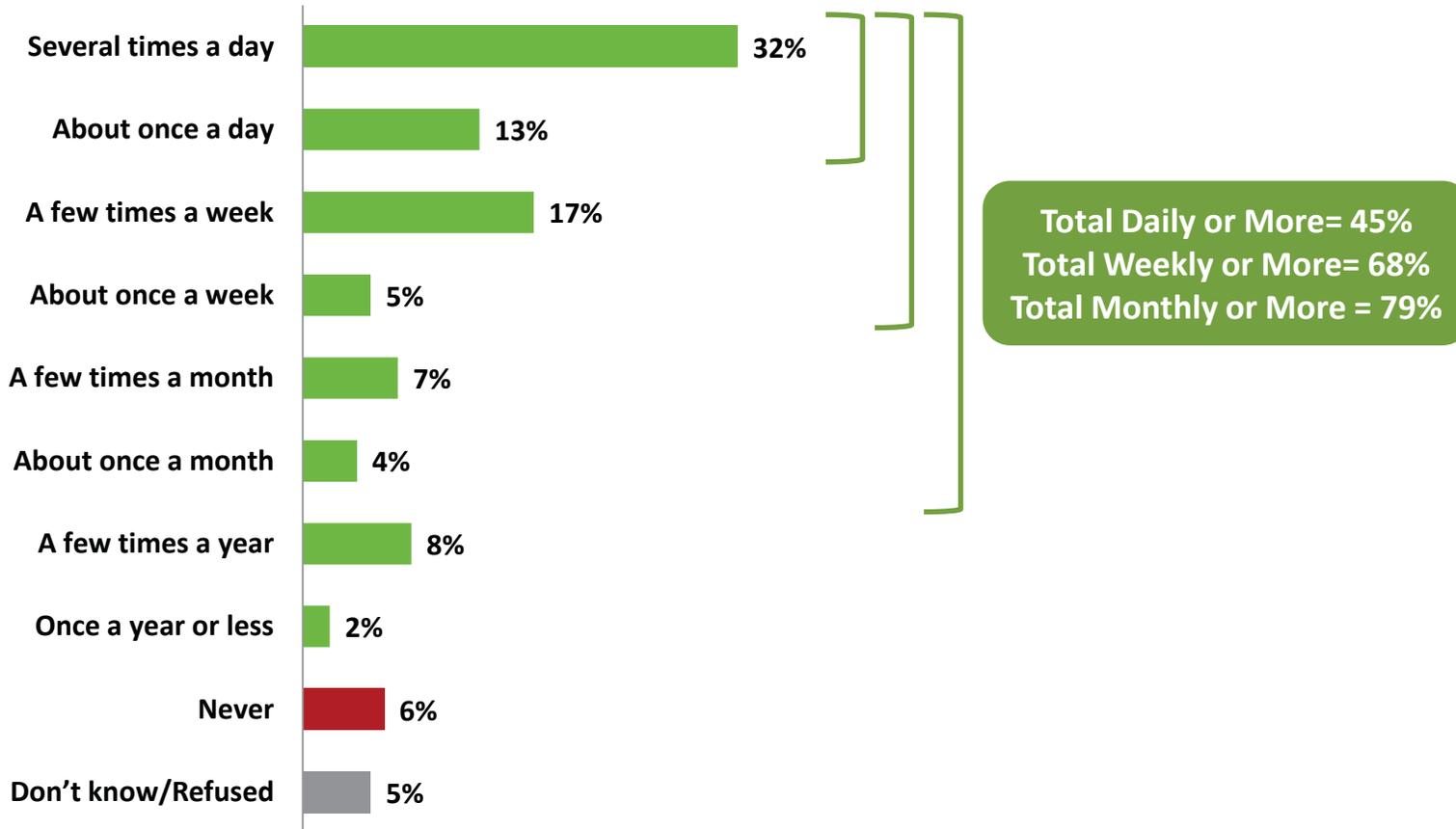
65 Q20. If you felt you may have a gambling problem, how would you be most likely to seek assistance?
Base: Past year gamblers (n=502)



OTHER QUESTIONS

Frequency of Seeing Patrons with Gambling Problem

Nearly half (45%) of respondents said they see patrons who they would consider to have a gambling problem on a weekly basis. This expands to two-thirds (68%) if the time frame is extended to weekly and eight-in-ten (79%) if extended to monthly. Only 6% of respondents said they never see a patron who they would consider to have a gambling problem.



67 Q10. When you are at work, how frequently do you see patrons who you would consider to have a gambling problem?
Base: All respondents (n=547)



Frequency of Seeing Patrons with Gambling Problem by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Total daily or more	45%	44%	42%	53%
Total weekly or more	68%	66%	64%	80% AB
Total monthly or more	79%	78%	78%	86%

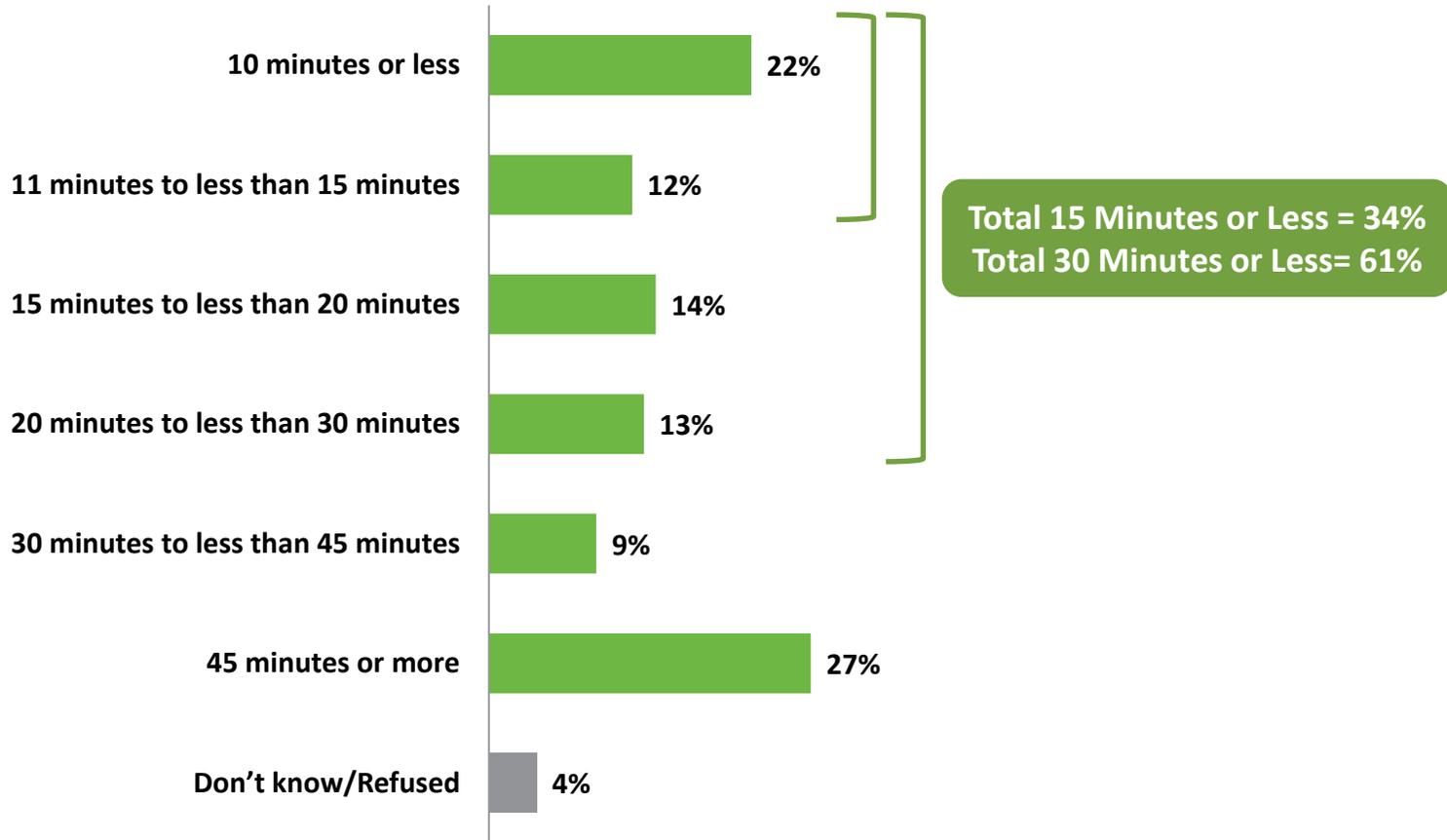
A/B/C denotes result statistically higher than column indicated by letter ($p < .05$)

68 Q10. When you are at work, how frequently do you see patrons who you would consider to have a gambling problem?
Base: All respondents (n=547)



Travel Time to Nearest Gambling Facility

Roughly one-third (34%) of respondents said they live within 15 minutes travel time from the nearest gambling facility. Six-in-ten (61%) respondents said they live within 30 minutes or less of a gambling facility.



Q14. Using your typical mode of transportation, about how long does it take you to go from your residence to the nearest facility where you are allowed to gamble?

Base: All respondents (n=547)



Travel Time to Nearest Gambling Facility by CPGI

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Total 15 minutes or less	34%	33%	33%	34%
Total 30 minutes or less	61%	60%	66%	59%

A/B/C denotes result statistically higher than column indicated by letter ($p < .05$)

70

Q14. Using your typical mode of transportation, about how long does it take you to go from your residence to the nearest facility where you are allowed to gamble?

Base: All respondents (n=547)





SAMPLE PROFILE BY CPGI

Sample Profile by CPGI (Slide 1 of 5)

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Work Location (Q2)				
Lower Mainland	40%	38%	46%	46%
Southeast	35%	38%	28%	28%
Vancouver Island	11%	11%	13%	11%
Northern	11%	11%	13%	12%
Southwest	<1%	<1%	0%	0%
Don't know/Refused	2%	2%	0%	3%
Facility Type (Q3)				
Casino	64%	62%	70%	70%
Chances/Community Gaming Centre	34%	36%	28%	30%
Bingo Hall	1%	1%	3%	0%
Don't know/Refused	1%	1%	0%	0%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Sample Profile by CPGI (Slide 2 of 5)

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Area of Work (Q5)				
Supervisory/Management	31%	27%	50% A	35%
Cage and Coin	13%	13%	18%	9%
Security	10%	12%	7%	5%
Table Games	7%	6%	7%	19% AB
Surveillance	6%	8% C	3%	1%
Customer Service/Host	6%	7%	4%	3%
Slot Operations	6%	5%	7%	7%
Service Staff	5%	6%	1%	5%
Food & Beverage	3%	3%	1%	3%
Other Admin/Accounting	3%	3% C	1%	0%
Facilities & Maintenance	2%	2%	1%	4%
Human Resources	1%	2%	0%	1%
Bingo	1%	1%	0%	4% A
Other	4%	5% B	0%	1%
Don't know/Refused	1%	1%	0%	1%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Sample Profile by CPGI (Slide 3 of 5)

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Industry Experience (Q4)				
<1 year	12%	13% C	9%	5%
1-5 years	26%	28%	21%	19%
6-10 years	23%	23%	21%	23%
11-19 years	27%	25%	33%	32%
20+ years	12%	10%	16%	19% A
Don't know/Refused	<1%	0%	0%	1%
Average	9.6 years	9.0 years	10.9 years	11.5 years A
Most Frequent Shift (Q8)				
Morning/Day	40%	42%	34%	38%
Rotating	26%	24%	33%	26%
Nighttime/Grave	19%	19%	20%	20%
Afternoon/Swing	15%	15%	13%	16%
Don't know/Refused	<1%	<1%	0%	0%

A/B/C denotes result statistically higher than column indicated by letter ($p < .05$)

Sample Profile by CPGI (Slide 4 of 5)

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Time Interacting with Patrons (Q7)				
0%	8%	10% B	1%	5%
1-20%	18%	18% C	27% C	9%
21%-40%	10%	9%	11%	15%
41%-60%	10%	8%	13%	15%
61%-80%	17%	17%	20%	15%
81%-100%	36%	37%	28%	41%
Don't know/Refused	1%	1%	0%	0%
Average	54.7%	54.2%	51.8%	60.2%
Other Questions				
Yes, manage people (Q6)	64%	61%	78% A	64%
Yes, Encore Rewards member (Q28)	34%	28%	53% A	50% A
Yes, registered on PlayNow.com (Q29)	17%	12%	28% A	35% A

A/B/C denotes result statistically higher than column indicated by letter (p<.05)

Sample Profile by CPGI (Slide 5 of 5)

	Total Sample (n=547)	Non-Gamblers+ Non-Problem (n=397)	Low-Risk (n=76)	Moderate+ High-Risk (n=74)
		A	B	C
Age (Q27)				
19-24 years	8%	9%	4%	4%
25-34 years	27%	28%	28%	26%
35-44 years	25%	21%	39% A	28%
45-54 years	20%	20%	13%	28% B
55-64 years	14%	16% C	16%	7%
65+ years	4%	5% B	0%	4%
Don't know/Refused	1%	1%	0%	3%
Average	41.5 years	41.7 years	40.0 years	41.7 years
Gender (Q30)				
Female	54%	56%	50%	50%
Male	44%	43%	50%	46%
Other	<1%	<1%	0%	1%
Don't know/Refused	1%	1%	0%	3%

A/B/C denotes result statistically higher than column indicated by letter (p<.05)



CONTACT

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Senior Vice-President

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📞 778.373.5130



From: Dave Magee [mailto:dimagee@hawkspiraiecasino.com]
Sent: Friday, September 22, 2017 10:59 AM
To: AgencyWebsite (GMB) <agency.website@wsgc.wa.gov>
Cc: Dolores Chiechi <DChiechi@rga-wa.org>
Subject: comments on attached rule change petition

<<...>>

It is probably true that there are some managers and business owners who pressure employees to gamble, eat or in some other manner patronize their place of employment, this however is not specific to card rooms, I know it happens in other industries.

If there are card rooms doing this and they have employees who gamble a lot (whether they gamble within their means or not) that card room would be faced with a choice if this law was adopted. They would have to choose, is this person more valuable to me as a player or as an employee serving drinks or working in the cage or dealing. Some of the operators who are coercing employees would probably choose to fire the employee knowing it's easy to replace them and the terminated employee will more than likely soon find other employment and still be able to gamble in their business. This of course would not be a desired byproduct of the law and of course the card room would come up with other creative reasons for the termination.

I suspect this petition is mostly intended to focus on table games play however as it is written it would stop employees from being able to have a drink and buy a handful of pull tabs after work. It would also stop them from playing poker on a day off, poker is a social game and \$100 can last for hours and even result in a small profit, obviously they're playing against the other players and not the house.

It doesn't appear that this petition is intended to curtail problem gambling but if it is, preventing someone from gambling where they work will not fix their addiction.

Instead of making a law that applies to all card rooms including the ones that are not coercing their employees to gamble or patronize their business, there needs to be a law that corrects the wrong behavior rather than punishes card rooms and their employees because of something another card room does. This would be punishing all of the right behavior to make sure any potential wrong behavior is also punished.

There should be an anonymous way for employees to report this if it happens, that reporting process would be required to be posted in all break rooms for all employees to see along with a statement and HR policy stating that management in no way expects their employees to gamble or spend money at their place of employment and there will never be disciplinary actions if an employee does not patronize their place of employment.

If there are complaints on the WSGC tip line about a particular casino forcing employees to patronize their place of employment; there could be a 30 day ban on their employees gambling there, the next time there are complaints it could be a 90 ban and mandatory meetings with all employees explaining that the casino in no way expects their employees to gamble, spend money in their restaurant or patronize their place of employment in any way, a WSGC representative could attend and verify that the proper message is being conveyed. Fines could also be added. There of course would need to be a process to make sure that complaints are not all coming from disgruntled employees.

This really is another form of harassment and there are already many policies and laws pertaining to harassment so we don't need to recreate the wheel, we could use those processes in some form to address this.

This reminds me of times when managers would ask me for a memo or policy change because 1 of 70 dealers was doing something that needed to be stopped. This is just one example but my answer was always the same, if one person is doing something that needs to be corrected then correct the behavior of that one person instead of creating situations or policies that could create unwarranted stress or inconvenience for many. In this case it would be, correct the offending card room(s) but don't handicap, punish or inconvenience the card rooms and their employees that are doing nothing wrong.

Integrity of Gaming was also mentioned as a reason for this rule, this is a broad statement which is difficult to address without more detail however if it is pertaining to potential cheating or collusion when a dealer deals to another employee or a pull tab seller sells pull tabs to another employee there a couple things to be considered.

- 1) Collusion can and does happen between two employees but much more often it is between an employee and a customer or an employee and a partner who doesn't work there. Operators should and most do pay closer attention when an employee is serving or dealing to another employee than when they're serving or dealing to someone who appears to be just another customer.
- 2) It is the operators responsibility to learn how to detect when dealers or food and beverage staff or pull tab sellers are in collusion with a customer, a friend, a partner or even another employee.
- 3) This petition should not be considered as a way to help or to ensure game protection or game integrity. The WSGC has pages of rules and policies to protect the integrity of the game and educated operator have written many more of their own all of which work very well when adhered to.

Dave Magee

Hawks Prairie Casino

General Manager

8318 Quinault DR NE



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Rule Changes / Fee Simplification (Package #1)

Various Rules in:

- Chapter 230 – 05 WAC – Fees
- Chapter 230 – 03 WAC – Permitting and Licensing rules

October 2017 – Up for Further Discussion

September 2017 – Discussion and Possible Filing

Tab 5: OCTOBER 2017 Commission Meeting Agenda.	Statutory Authority 9.46.070
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Who Proposed the Rule Change?

Staff

Describe the Rule Changes

Bold/Underline = Changes made after the September 2017 Commission Meeting

This is the first of at least three packages to make changes to the Commission’s licensing fee structure.

In this first package, rule changes are being recommended in the following chapters:

- Chapter 230 – 05 WAC – Fees
- Chapter 230 – 03 WAC – Permitting and Licensing rules

Rules package #2 includes due dates for activity reports and deletes references to the term “classes.” While the current fee structure is based on a “classes” system, the new proposed system is based primarily on gross gambling receipts.

A third rules package will include any corrections or updates to the rules filed in packages #1 or #2. This package will likely be on your January agenda.

Some of the key rules in Chapter 05 (Fees) in this first package are:

- New proposed fee schedule (WAC’s 230 –05–160, 230–05–165 and WAC 230–05–170);
- Calculating quarterly license fees (WAC 230–05–122);
- Penalties for late filing of quarterly license reports or late payment of quarterly license fees (WAC 230-05-132);
- New definitions needed for this new way of calculating fees; for example:
 - Defining “base license fee.” (WAC 230–05–104)
 - Defining “maximum annual license fee.” (WAC 230–05–106)
 - Defining “quarterly license fees.” (WAC 230–05–108)
 - Defining “gross gambling receipts rate.” (WAC 230 – 05 – 110)

Some rules are simply being moved from Chapter 06 (Rules for all licensees) to Chapter 05 (Fees).

Most of the key rules in Chapter 03 (Permitting and Licensing rules) in this first package are being made because the current fee schedule includes descriptions of what a license authorizes, rather than simply stating the fee for the license.

Minor changes were made to the following rules and are highlighted in yellow in the attached packet: WACs 230-05-101, 230-05-112, 230-05-116, and 230-03-235.

Attachments:

Current Fee Schedule:

Fee Schedule – Bona Fide Charitable / Nonprofit Organization (Pink)

Fee Schedule – Commercial Stimulant / Profit-Seeking Organization (Blue)

WAC Chapters 230-03 and 230-05 containing changes.

Stakeholder feedback

Policy Considerations

The Commissioners began considering changing the fee structure in 2014. The current fee structure was created over 40 years ago. It began with 25 fees. Today the Gambling Commission has approximately 194 different fees for Commercial and Non-Profit organizations and individuals. This fee schedule is typically based on a “class” system, which can be cumbersome for licensees and agency staff. The Gambling Commission is looking to simplify this current system to allow it to be easier to navigate and have a licensing fee schedule that is more predictable for both the agency and its licensees.

Stakeholder Feedback

Ric Newgard, Seattle Junior Hockey – testified at the September commission meeting that he appreciated being able to participate in the process.

John McSweeney, Gambling Manager, past President Shoreline Elks – see attached.

Scott Johnson, Spokane Pulltab – see attached.

Staff Recommendation

Further discussion.

Staff anticipates asking for an effective date of May 1, 2018.

WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – BONA FIDE CHARITABLE / NONPROFIT ORGANIZATION

NOTE: Refunds of application and license fees will be sent directly to the applicant / licensee.

SEE RCW 9.46.070 AND CHAPTER 230-05 WAC

(1) AMUSEMENT GAMES (03)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>
Class A	Premises Only	\$ 61
Class B	Up to \$ 10,000.....	\$ 61
Class C	Up to \$ 25,000.....	\$ 338
Class D	Up to \$ 50,000.....	\$ 544
Class E	Over \$ 50,000.....	\$ 948

(4) CARD GAMES (60)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class A	Nonhouse-Banked – Fee to Play.....	\$ 679
Class B	Limited card games – hearts, rummy, pitch, pinochle, and cribbage – Fee to Play.....	\$ 196
Class C	Tournament only – no more than thirty consecutive days per tournament	\$ 61
Class D	Nonhouse-Banked – No Fee to Play	\$ 61

(2) BINGO (01 / 11)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>	<u>One Time Variance*</u>
Class A	Up to \$ 25,000	\$ 61	\$ 1,000
Class B	Up to \$ 75,000	\$ 196	\$ 1,000
Class C	Up to \$ 150,000	\$ 403	\$ 2,000
Class D	Up to \$ 350,000	\$ 1,088	\$ 4,000
Class E	Up to \$ 650,000	\$ 1,836	\$ 8,000
Class F	Up to \$ 1,500,000	\$ 3,696	\$ 15,000
Class G	Up to \$ 2,000,000	\$ 5,330	\$ 23,000
Class H	Up to \$ 3,000,000	\$ 7,126	\$ 30,000
Class I	Up to \$ 4,000,000	\$ 8,904	\$ 38,000
Class J	Up to \$ 5,000,000	\$ 10,684	\$ 45,000
Class K	Up to \$ 6,000,000	\$ 11,984	\$ 53,000
Class L	Up to \$ 7,000,000	\$ 13,698	\$ 60,000
Class M	Up to \$ 8,000,000	\$ 15,416	\$ 65,000
Class N	Up to \$ 9,000,000	\$ 16,768	\$ 70,000
Class O	Up to \$ 10,000,000	\$ 18,502	\$ 75,000
Class P	Up to \$ 11,000,000	\$ 20,236	\$ 80,000
Class Q	Up to \$ 12,000,000	\$ 24,282	\$ 85,000
Class R	Up to \$ 13,000,000	\$ 27,752	\$ 90,000
Class S	Up to \$ 14,000,000	\$ 31,222	\$ 95,000

* See WAC 230-06-130, Exceeding License Class

(5) PUNCH BOARDS / PULL-TABS (04)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>	<u>One Time Variance*</u>
Class A	Up to \$ 50,000	\$ 648	\$ 5,000
Class B	Up to \$ 100,000	\$ 1,156	\$ 5,000
Class C	Up to \$ 200,000	\$ 2,186	\$ 10,000
Class D	Up to \$ 300,000	\$ 3,178	\$ 10,000
Class E	Up to \$ 400,000	\$ 4,106	\$ 10,000
Class F	Up to \$ 500,000	\$ 4,958	\$ 10,000
Class G	Up to \$ 600,000	\$ 5,746	\$ 10,000
Class H	Up to \$ 700,000	\$ 6,466	\$ 10,000
Class I	Up to \$ 800,000	\$ 7,126	\$ 10,000
Class J	Up to \$ 1,000,000	\$ 8,078	\$ 20,000
Class K	Up to \$ 1,250,000	\$ 8,968	\$ 25,000
Class L	Up to \$ 1,500,000	\$ 9,794	\$ 25,000
Class M	Up to \$ 1,750,000	\$ 10,474	\$ 25,000
Class N	Up to \$ 2,000,000	\$ 11,094	\$ 25,000
Class O	Up to \$ 2,500,000	\$ 12,190	\$ 30,000
Class P	Up to \$ 3,000,000	\$ 12,952	\$ 35,000
Class Q	Up to \$ 4,000,000	\$ 15,264	\$ 40,000
Class R	Up to \$ 5,000,000	\$ 17,344	\$ 50,000
Class S	Up to \$ 6,000,000	\$ 19,658	\$ 60,000
Class T	Up to \$ 7,000,000	\$ 21,972	\$ 70,000
Class U	Up to \$ 8,000,000	\$ 24,282	\$ 80,000
Class V	Over \$ 8,000,000	\$ 26,596	\$ 80,000

* See WAC 230-06-130, Exceeding License Class

(3) CHARITABLE OR NONPROFIT GAMBLING MANAGER (61)

<u>License</u>	<u>Fee</u>
Original	\$ 196
Renewal	\$ 93
Change of Employer.....	\$ 93

If a license expires while an individual is on active military service, the individual may apply to have their license reissued at the renewal fee. The application must be received within six months after completing their active military service. The applicant must provide evidence of the completion date of active military service.

(6) RAFFLES (02)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>
Class A	Up to \$ 5,000	\$ 61
Class B	Up to \$ 10,000	\$ 196
Class C	Up to \$ 25,000	\$ 403
Class D	Up to \$ 50,000	\$ 679
Class E	Up to \$ 75,000	\$ 1,088
Class F	Over \$75,000.....	\$ 1,632

ENHANCED RAFFLES (30)

<u>License</u>	<u>Fee</u>
Annual	\$ 6,360
Additional fee per Enhanced Raffle.....	\$ 8,268

WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – BONA FIDE CHARITABLE / NONPROFIT ORGANIZATION

(7) COMBINATION LICENSE (08)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class A	Allows gross gambling receipts of up to \$25,000 from bingo, \$7,500 from raffles, and \$7,500 from amusement games, not to exceed \$30,000 combined gross gambling receipts from all such activities. Allows Class D card games.	\$ 122
Class B	Allows gross gambling receipts of up to \$60,000 from bingo, \$15,000 from raffles, and \$15,000 from amusement games, not to exceed \$75,000 combined gross gambling receipts from all such activities. Allows Class D card games.	\$ 318
Class C	Allows gross gambling receipts of up to \$125,000 from bingo, \$30,000 from raffles, and \$30,000 from amusement games, not to exceed \$150,000 combined gross gambling receipts from all such activities. Allows Class D card games.	\$ 738

(8) SPECIAL PROPERTY BINGO (06)

(Bingo Occasion)	\$ 29
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(9) PERMITS

AGRICULTURAL FAIR – BINGO (41)	\$ 29
RECREATIONAL GAMING ACTIVITY (RGA) (19)	\$ 61

(10) CHANGES

<u>Type</u>	<u>Fee</u>
Name	\$ 29
Location	\$ 29
FRE Date or Time	\$ 29
License Class	\$ 29
Duplicate License	\$ 29

(11) FUND-RAISING EVENT (09)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class A	One event – not more than 24 consecutive hours	
	First time applicant	\$ 403
	Previously licensed applicant	\$ 236
Class B	One event – not more than 72 consecutive hours	
	First time applicant	\$ 679
	Previously licensed applicant	\$ 417
Class C	Additional participant in joint event – not lead organization	\$ 196
Class D	Limited fund-raising event – one event – not more than six consecutive hours	
	First time applicant	\$ 177
	Previously licensed applicant	\$ 118

FUND-RAISING EVENT EQUIPMENT DISTRIBUTOR (29)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class E	Rents or leases equipment for no more than ten times per year	\$ 268
Class F	Rents or leases equipment more than ten times per year	\$ 679

(12) SPECIAL FEES

<u>Type</u>	<u>Fee</u>
Replacement Identification Stamps	\$ 29
Failing to Apply for License Class Upgrade (WAC 230-06-135) ...Up to fifty percent of the difference between our fees for the licensee's present license class and the new license class or one thousand dollars, whichever is less, plus	\$ 28
Review, Inspection and / or Evaluation of Equipment, Paraphernalia, Services, or Schemes	Deposit and Fees as Required

(13) TWO PART PAYMENT PLAN PARTICIPATION

Annual Participation	\$ 29
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NOTE: Please note that all fees are subject to change. In that event, you will be notified by WAC rules, your next renewal notice, and by the commission newsletter.

**** RETAIN THIS SCHEDULE FOR YOUR RECORDS ****

**WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – COMMERCIAL STIMULANT / PROFIT-SEEKING ORGANIZATION**

NOTE: Refunds of application and license fees will be sent directly to the applicant / licensee.

SEE RCW 9.46.070 AND CHAPTER 230-05 WAC

(1) CARD GAMES – NONHOUSE-BANKED (65)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class B	Up to five tables of limited card games – hearts, rummy, pitch, pinochle, and / or cribbage - fee to play charged	200
Class C	Tournament only, no more than thirty consecutive days per tournament.	
C-5	Up to five tables	200
C-10	Up to ten tables	367
C-15	Up to fifteen tables	611
Class D	Up to five tables - No fee to play	63
Class E	Fee to play	
E-1	One table only	488
E-2	Up to two tables	840
E-3	Up to three tables	1,398
E-4	Up to four tables	2,804
E-5	Up to five tables	4,220
Additional tables	Per Table – up to a maximum of fifteen	1,222
Class F	Endorsement / upgrade of Class E includes permission to use alternative fee collections and use of player-supported jackpots	1,836

(2) CARD GAMES – HOUSE BANKED (67)

All tables within a card room operating any house-banked card game shall be licensed under this license class.

<u>License</u>	<u>Fee</u>
Annual	7,362
Additional fee per table – up to fifteen tables	1,836

(3) COMMERCIAL AMUSEMENT GAMES (52 / 53)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>
Class A	Premises only..... * \$	347 / 159
Class B	Up to \$ 50,000	488
Class C	Up to \$ 100,000	1,256
Class D	Up to \$ 250,000	2,804
Class E	Up to \$ 500,000	4,918
Class F	Up to \$ 1,000,000	8,446
Class G	Over \$ 1,000,000	10,568

* We reduce the license fee when you apply for additional licenses at the same business premises, apply for multiple licenses at the same business premises, or a licensee is renewing an annual license.

(4) PUBLIC CARD ROOM EMPLOYEE (68) ①

<u>License</u>	<u>Fee</u>
Class A – Performs card room employee duties in a Class E card room	
Original	200
Renewal	95
Class B – Performs card room employee duties in enhanced and house-banked card rooms	
Original, In-State	273
Original, Out-of-State	339
Renewal	167
Transfer / Additional Employee / Conversion / Emergency Waiver Request	65

(5) PUNCH BOARDS / PULL-TABS (05)

<u>License</u>	<u>Annual Gross Gambling Receipts</u>	<u>Fee</u>	<u>One Time Variance*</u>
Class A	Up to \$ 50,000	\$ 666	\$ 5,000
Class B	Up to \$ 100,000	\$ 1,190	\$ 5,000
Class C	Up to \$ 200,000	\$ 2,244	\$ 10,000
Class D	Up to \$ 300,000	\$ 3,266	\$ 10,000
Class E	Up to \$ 400,000	\$ 4,220	\$ 10,000
Class F	Up to \$ 500,000	\$ 5,094	\$ 10,000
Class G	Up to \$ 600,000	\$ 5,904	\$ 10,000
Class H	Up to \$ 700,000	\$ 6,646	\$ 10,000
Class I	Up to \$ 800,000	\$ 7,320	\$ 10,000
Class J	Up to \$ 1,000,000	\$ 8,302	\$ 20,000
Class K	Up to \$ 1,250,000	\$ 9,214	\$ 25,000
Class L	Up to \$ 1,500,000	\$ 10,064	\$ 25,000
Class M	Up to \$ 1,750,000	\$ 10,766	\$ 25,000
Class N	Up to \$ 2,000,000	\$ 11,402	\$ 25,000
Class O	Up to \$ 2,500,000	\$ 12,530	\$ 30,000
Class P	Up to \$ 3,000,000	\$ 12,952	\$ 35,000
Class Q	Up to \$ 4,000,000	\$ 15,264	\$ 40,000
Class R	Up to \$ 5,000,000	\$ 17,344	\$ 50,000
Class S	Up to \$ 6,000,000	\$ 19,658	\$ 60,000
Class T	Up to \$ 7,000,000	\$ 21,972	\$ 70,000
Class U	Up to \$ 8,000,000	\$ 24,282	\$ 80,000
Class V	Over \$ 8,000,000	\$ 26,596	\$ 80,000

* See WAC 230-06-130, Exceeding license class

(6) DISTRIBUTOR (21)

<u>License</u>	<u>Annual Gross Sales</u>	<u>Fee</u>
Class A	Non-Punch Board / Non-Pull-Tab Only	\$ 699
Class B	Up to \$ 250,000	\$ 1,398
Class C	Up to \$ 500,000	\$ 2,100
Class D	Up to \$ 1,000,000	\$ 2,804
Class E	Up to \$ 2,500,000	\$ 3,654
Class F	Over \$ 2,500,000	\$ 4,498

(7) FUND-RAISING EVENT EQUIPMENT DISTRIBUTOR (28)

<u>License</u>	<u>Description</u>	<u>Fee</u>
Class A	Rents or leases equipment for fund-raising event or recreational gaming activity up to 10 times per year	\$ 276
Class B	Rents or leases equipment for fund-raising event or recreational gaming activity more than 10 times per year	\$ 699

(8) MANUFACTURER (20)

<u>License</u>	<u>Annual Gross Sales</u>	<u>Fee</u>
Class A	Pull-tab dispensing devices only	\$ 699
Class B	Up to \$ 250,000	\$ 1,398
Class C	Up to \$ 500,000	\$ 2,100
Class D	Up to \$ 1,000,000	\$ 2,804
Class E	Up to \$ 2,500,000	\$ 3,654
Class F	Over \$ 2,500,000	\$ 4,498

WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – COMMERCIAL STIMULANT / PROFIT-SEEKING ORGANIZATION

(9) SERVICE SUPPLIERS

GAMBLING SERVICE SUPPLIER (26)

<u>License</u>	<u>Fee</u>
Annual	\$ 728
Financing, Consulting, and Management Contract Review	\$ 152

PUNCH BOARD AND PULL-TAB SERVICE BUSINESS (27)

<u>Description</u>	<u>Fee</u>
Initial application fee	\$ 250
Renewal	\$ 59

(10) PERMITS

<u>Type / Description</u>	<u>Fee</u>
<u>AGRICULTURAL FAIR (51)</u>	
One location and event only	\$ 29
Annual permit for specified different events and locations	\$ 200
<u>RECREATIONAL GAMING ACTIVITY (RGA) (19)</u>	\$ 63
<u>MANUFACTURER'S SPECIAL SALES PERMIT (25)</u> ..	\$ 224

(11) GAMING REPRESENTATIVE ①

Distributor (22), Manufacturer (23), Gambling Service Supplier (63), Call Center for Enhanced Raffles (32), or Linked Bingo Prize Provider (64) Rep.

<u>License</u>	<u>Fee</u>
Original	\$ 276
Renewal	\$ 167
Change of Employer	\$ 167

(12) LINKED BINGO PRIZE PROVIDER (07)

<u>License</u>	<u>Fee</u>
Annual	\$ 4,680

(13) COMMERCIAL GAMBLING MANAGER (62) ①

<u>License</u>	<u>Fee</u>
Original	\$ 200
Renewal	\$ 95
Change of Employer	\$ 95

(14) CHANGES

<u>Application</u>	<u>Description</u>	<u>Fee</u>
Name		\$ 29
Location		\$ 29
Business Classification (Same Owners)		\$ 63
Exceeding License Class (<u>WAC 230-06-130</u>) New class fee, less previous fee paid, plus ..		\$ 29
Duplicate License		\$ 29
Corporate Stock / Limited Liability Company Shares / Units		\$ 63
License Transfers		\$ 63

(15) SPECIAL FEES

<u>License</u>	<u>Fee</u>
Defective Punch Board / Pull-Tab Cost Recovery Fees	Up to \$ 106
Investigation (<u>See RCW 9.46.070</u>)	As required
Identification & Inspection Services Stamps (<u>See RCW 9.46.070</u>)	As required
Quality Control Inspection Fees (<u>See RCW 9.46.070</u>)	As required
Failing to Apply for License Class Upgrade (<u>WAC 230-06-135</u>) Up to fifty percent of the difference between our fees for the licensee's present license class and the new license class or one thousand dollars, whichever is less, plus \$	29
Review of Gambling Equipment, Supplies, Services or Games	Cost reimbursement
Out-of-State Records Inquiry (<u>See RCW 9.46.070</u>)	As required
Replacement of Identification Stamps	\$ 28
Electronic Card Facsimile Table Identification Stamp Annual	\$ 404.39

(16) TWO-PART PAYMENT PLAN PARTICIPATION

Annual Participation	\$ 29
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(17) CALL CENTERS FOR ENHANCED RAFFLES (31)

<u>License</u>	<u>Fee</u>
Annual	\$ 4,770

NOTE: All fees are subject to change. The Commission will notify licensees of amended fee amounts by letter and / or newsletter. License renewal notices to licensees will list the correct fee. Per RCW 9.46.070, in addition to the fees on this schedule, the Commission will assess applicants all actual investigative and inspection costs required for initial certification.

***** RETAIN THIS SCHEDULE FOR YOUR RECORDS *****

① If a license expires while an individual is on active military service, the individual may apply to have their license reissued at the renewal fee. The application must be received within six months after completing their active military service. The applicant must provide evidence of the completion date of active military service.

Chapter 230-05 WAC

FEEES

Last Update: 3/30/16

WAC

RULES FOR PERMITS OR LICENSE YEARS ENDING BEFORE JUNE 30, 2018

- 230-05-001 Prorating or refunding of fees.
- ~~230-05-005 Fees for review of gambling equipment, supplies, services, or games.~~
- 230-05-010 Returned payments.
- 230-05-015 Two-part payment plan for license fees.
- ~~230-05-016 Exceeding license class.~~
- ~~230-05-017 Failing to apply for license class upgrade.~~
- ~~230-05-018 Partial refund of license fees if gambling receipts limit not met.~~
- 230-05-020 Charitable or nonprofit organization fees.
- 230-05-025 Commercial stimulant fees.
- 230-05-030 Fees for other businesses.
- 230-05-035 Individuals license fees.

RULES FOR PERMITS OR LICENSE YEARS ENDING ON OR AFTER JUNE 30, 2018

- 230-05-101 Implementation of new permit and license fees - effective dates.
- 230-05-104 Defining "base license fee."
- 230-05-106 Defining "maximum annual license fee."

203-05-108 Defining "quarterly license fees."

230-05-110 Defining "gross gambling receipts rate."

230-05-112 Defining "gross gambling receipts."

230-05-114 Defining "net win."

230-05-116 Defining "quarterly license report."

230-05-120 Paying annual license fee.

230-05-122 Calculating quarterly license fees.

230-05-124 Quarterly license fees and license reports.

230-05-126 Online filing and payments required with waivers available upon request for good cause.

230-05-128 Renew your license in a timely manner.

230-05-132 Late filing of quarterly license reports or late payment of quarterly license fees - penalties.

230-05-134 Amending quarterly license reports and changes to quarterly license fees paid.

230-05-136 Prorating and refunding fees.

230-05-138 Returned payments.

230-05-140 Outstanding fees.

230-05-142 Fees for review of gambling equipment, supplies, services or games.

230-05-160 Charitable or nonprofit organization fees.

230-05-165 Commercial stimulant organization fees.

230-05-170 Fees for other businesses.

~~230-05-175 Individual license fees.~~ (Changes will be part of rules at November Meeting)

~~WAC 230-05-005 Fees for review of gambling equipment, supplies, services, or games. You must apply to us if you want to submit gambling equipment, supplies, services, or games for our review. You must pay the application deposit before we perform the review. You must also reimburse us for any additional costs of the review.~~

~~[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-05-005, filed 3/22/06, effective 1/1/08.]~~

NEW SECTION

WAC 230-05-016 Exceeding license class. (1) Licensees must not exceed the gross gambling receipts limits for their license class during any annual license period.

(2) Licensees must apply a projection of year-to-date receipts to the remaining period of their license and, if it indicates that it is reasonably likely that they may exceed their license, they must immediately:

(a) Apply for a license that authorizes the anticipated level of gross gambling receipts; and

(b) Submit the fee required for the new license, minus the amount originally submitted for the previous license, plus a change of classification fee.

(3) If we issue a license upgrade, it is valid only for the remainder of the original term of the license.

(4) Licensees may exceed license class limits once, by the amount shown in the fees table, without having to upgrade or pay the penalties as long as they upgrade to the higher license class the next time they renew their license.

[]

NEW SECTION

WAC 230-05-017 Failing to apply for license class upgrade. (1) If licensees fail to apply for a license class upgrade and exceed the license class limit within a present or previous license year, we assess an additional fee. We charge an additional fee of up to fifty percent of the difference between the fee for the present license class and the new license class, or one thousand dollars, whichever is less.

(2) Licensees must pay any required license class upgrade fee, plus any additional fee required by subsection (1) of this section, within thirty days of our notification.

(3) Failure to pay the fees may result in an immediate summary suspension of all licenses.

[]

NEW SECTION

WAC 230-05-018 Partial refund of license fees if gambling receipts limit not met. (1) Licensees may apply for a partial refund of their license fee when their annual gross gambling receipts are less than the minimum for the class of license we issued to them.

(2) Licensees may receive a refund for the difference between the fees actually paid and the fees that would normally apply to the level of gross gambling receipts actually received during the period less our processing costs.

(3) Licensees may make their request for refund after the end of any annual license period and before the end of the next annual license period.

[]

RULES FOR PERMITS OR LICENSE YEARS ENDING ON OR AFTER JUNE 30, 2018

NEW SECTION

WAC 230-05-101 Implementation of new permit and license fees. WAC

230-05-104~~2~~ through 230-05-175 apply to all:

(1) Permits or license years ending on or after June 30, 2018;

and

(2) Permits or licenses issued on or after July 1, 2018.

(3) Other fees assessed in this chapter on or after July 1, 2018.

[]

NEW SECTION

WAC 230-05-104 Defining "base license fee." (1) "Base license

fee" is the fee you pay us when you:

(a) Apply for an organization license or permit; or

(b) Renew your organization's license or annual permit.

(2) "Base license fee" is the minimum annual license fee a licensed organization or permit holder will pay for operating an authorized activity.

[]

NEW SECTION

WAC 230-05-106 Defining "maximum annual license fee." "Maximum annual license fee" is the most you will pay to operate an authorized activity for the license year, which includes the:

- (1) Base license fee; and
- (2) Quarterly license fees.

[]

NEW SECTION

WAC 230-05-108 Defining "quarterly license fees." "Quarterly license fees" means the licensee's gross gambling receipts from the previous quarter multiplied by the gross gambling receipts rate.

[]

NEW SECTION

WAC 230-05-110 Defining "gross gambling receipts rate." "Gross gambling receipts rate" is the rate listed in this chapter that licensees use to calculate their quarterly license fees.

[]

NEW SECTION

WAC 230-05-112 Defining "gross gambling receipts." (1) "Gross gambling receipts" means the amount due to any operator of an authorized activity as described in subsection (5) of this section.

(2) The amounts must be stated in U.S. currency.

(3) The value must be before any deductions for prizes or other expenses, such as over/short.

(4) "Gross gambling receipts" does not include fees from players to enter player-supported jackpots. However, any portion of wagers deducted for any purpose other than increasing current prizes or repayment of amounts used to seed prizes are "gross gambling receipts."

(5) Gross gambling receipts for authorized activities:

Activity:	Gross gambling receipts include amounts due to any operator for:
(a) Punchboard and pull-tab	Purchasing chances to play.
(b) Raffles and enhanced raffles	Purchasing chances to enter.
(c) Bingo	Fees or purchase of cards to participate.
(d) Amusement games	<ul style="list-style-type: none">• Fees to participate;• Rent or lease payments paid to licensees for allowing operation of an amusement game on their premises.
(e) Card games	<ul style="list-style-type: none">• "Net win" from house-banked card games;• Tournament entry fees;• Administrative fees from player-supported jackpots;• Fees to participate in nonhouse-banked card games.

Activity:	Gross gambling receipts include amounts due to any operator for:
(f) Manufacturers and distributors	<p>(a) Fees from sales, rentals, leases, royalties, and service fees collected for the following gambling equipment in Washington to include, but not limited to:</p> <ul style="list-style-type: none"> • Punchboards and pull-tabs; • Devices for dispensing pull-tabs; • Electronic devices for conducting, facilitating or accounting for the results of gambling activities; • Cards; • Dice; • Gambling chips; • Cash exchange terminals; • Progressive meters; • Gambling software; • License agreements; • Card shuffling devices; • Graphical game layouts for table games; • Ace finders or no-peek devices; • Roulette wheels; • Keno equipment; • Tables manufactured exclusively for gambling purposes; • Bet totalizers; • Electronic devices for reading or displaying outcomes of gambling activities; • Tribal lottery systems and components thereof. <p>(b) Fees from the service, repair and modification of gambling equipment in Washington to include, but not limited to:</p> <ul style="list-style-type: none"> • Charges for labor and parts for repairing gambling equipment; • Service fees related to gambling operations; • Training or set-up fees; • Maintenance contract fees related to gambling equipment and operations.

Activity:	Gross gambling receipts include amounts due to any operator for:
(g) Gambling service suppliers	Fees from gambling-related services provided in or to be used in Washington to include, but not limited to: <ul style="list-style-type: none"> • Consulting, advisory or management services related to gambling; • Interest from financing the purchase or lease of gambling equipment, infrastructure or facilities or equipment that supports gambling operations; • Acting as a lending agent, loan services or placement agent; • Assembly of components for gambling equipment to be used under a contract with a licensed manufacturer; • Ongoing financial arrangements for gambling related software with a licensed manufacturer; • Installing, integrating, maintaining, or servicing digital surveillance systems that allow direct access to the operating system; • Training individuals to conduct authorized gambling activities; • Performing testing and certification of tribal lottery systems in meeting requirements specified in the tribal-state compacts; • Providing nonmanagement related recordkeeping or storage services for punchboard and pull-tab operators. • Ownership of proprietary games or equipment.
(h) Punchboard/pull-tab service businesses	Providing nonmanagement related recordkeeping or storage services for punchboard and pull-tab operators.
(i) Fund-raising event distributors	Fees from contracts to organize and conduct recreational gaming activities.
(j) Fund-raising events and agricultural fairs	Fees received from the operation of bingo, amusement games, raffles, lotteries, contests of chance, and/or net win from table games operated at a fund-raising event.

[]

NEW SECTION

WAC 230-05-114 Defining "net win." "Net win" means gross wagers received from gambling activities or fund-raising events minus the:

- (1) Amount paid to players for winning wagers; and
- (2) Accrual of prizes for progressive jackpot contests; and
- (3) Repayment of amounts used to seed guaranteed progressive jackpot prizes.

[]

NEW SECTION

WAC 230-05-116 Defining "quarterly license report." "Quarterly license report" means the report:

- (1) Filed by each licensed organization, annual permit holder and fund-raising event licensee thirty days after the end of each quarter; and

- (2) Which includes the licensee's gross gambling receipts from the previous quarter multiplied by the gross gambling receipts rate to calculate the quarterly license fee due to the commission.

[]

NEW SECTION

WAC 230-05-120 Paying annual license fee. (1) All licensed organizations will pay annual license fees in up to five payments. The annual license fee includes:

(a) A base license fee paid with your:

(i) Initial application for a new license or permit; or

(ii) License renewal or annual permit application; and

(b) Quarterly license fees based on the gross gambling receipts reported on your quarterly license report.

(2) Licensed organizations starting a new activity will begin paying quarterly license fees on that activity upon completion of the first quarter, whether a partial or full quarter, after your license or annual permit was issued.

(3) Individual licensees will pay an annual license fee with their initial application or license renewal application.

[]

NEW SECTION

WAC 230-05-122 Calculating quarterly license fees. (1) The quarterly license fee is calculated based on the gross gambling receipts

from the previous quarter as reported on your quarterly license report.

(2) Each license year, the quarterly license fees will be offset by the base license fee. (For example, if your base license fee is sixty-five dollars and your quarterly license fee is forty-five dollars for the first quarter, no additional amount is due for the first quarter. You would offset any future quarterly license fees by the remaining twenty dollars of your base license fee.)

[]

NEW SECTION

WAC 230-05-124 Quarterly license fees and license reports. All licensed organizations must submit quarterly license fees and license reports to us for each licensed gambling activity beginning with the first quarter of their license year. The quarterly license fee is due with the quarterly license report.

~~(1)~~ The quarterly license reports must be in the format we require and must:

(1)

Cover the period:	Be received by us no later than:
January 1 through March 31	April 30
April 1 through June 30	July 30
July 1 through September 30	October 30

Cover the period:	Be received by us no later than:
October 1 through December 31	January 30

(2) Be received online at our administrative office or postmarked no later than the dates indicated in the table in subsection (1) of this section; and

(3) Be submitted even if there is no quarterly license fee payable to us; and

(4) Be accurate; and

(5) Be completed by the highest ranking executive officer or a designee. If someone other than the licensee or an employee prepares the report, the preparer must include his or her name and business telephone number on the report; and

(6) Be submitted for any period of time the license was valid, even if there was no gambling activity or the gambling license was not renewed.

[]

NEW SECTION

WAC 230-05-126 Online filing and payments required with waivers available upon request for good cause. (1) All licensees must submit the following online:

(a) Renewal application and base license fees; and

(b) Quarterly license fees; and

(c) Quarterly license reports.

(2) We may waive these requirements if a licensed organization can show good cause. The reasons for good cause include:

(a) You do not have access to the internet using your own computer or similar equipment; or

(b) You do not have a bank account; or

(c) Your bank is unable to send electronic fund transactions; or

(d) Some other circumstance or condition exists that, in our judgment, prevents you from submitting online.

(3) We may waive these requirements if a licensed individual can show good cause. The reasons for good cause include:

(a) You do not have access to the internet using your own computer or similar equipment; or

(b) You do not have a bank account or credit card; or

(c) Your bank is unable to send electronic fund transactions; or

(d) Some other circumstance or condition exists that, in our judgment, prevents you from submitting online.

(4) You must request a waiver when applying for a new license or permit.

(5) A waiver will cover all fees and reports required under subsection (1) of this section.

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NEW SECTION

WAC 230-05-128 Renew your license in a timely manner. (1) You must renew online, unless you have received a waiver as outlined in this chapter and allow enough time to:

(a) Print the license prior to midnight before the license expires; or

(b) Have us print the license and mail it to you so you receive it before your license expires.

(2) If you have a waiver and are not renewing your license online, you must ensure a properly completed renewal application and all applicable base license fees are received at our administrative office in Lacey at least fifteen days before the expiration date on the license.

(3) If you do not submit a properly completed renewal application and all fees and your license expires, you must immediately stop the gambling activity covered by your license.

(4) If your license expires, you must submit an application and you must not operate any gambling activity until a new license is issued.

[]

NEW SECTION

WAC 230-05-132 Late filing of quarterly license reports or late payment of quarterly license fees—Penalties. (1) Licensees who do not file their quarterly license reports and/or pay quarterly license fees within thirty days from the end of each quarter are in violation of this chapter.

(2) Licensees will be afforded one thirty-day late filing and payment period after their quarterly license reports and quarterly license fees are due. However, a twenty-five dollar fee will be charged for each day a licensee fails to file a quarterly license report or pay quarterly license fees during the thirty-day late filing and payment period.

(3) A licensee's failure to file quarterly license reports or pay quarterly license fees during the thirty-day late filing and payment period could result in administrative action against your licenses.

(4) Licensees will be responsible for paying any outstanding license fees including, but not limited to, late fees and any additional costs associated with the collection of these fees before a license suspension is lifted or a new licensing application is approved.

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NEW SECTION

WAC 230-05-134 Amending quarterly license reports and changes to quarterly license fees paid. (1) You must amend any previously submitted quarterly license reports immediately upon discovering a discrepancy in reporting your gross gambling receipts or quarterly license fees paid.

(2) Any additional quarterly license fees due as a result of an amended quarterly license report will be due immediately upon filing your amended quarterly license report. You may face administrative action against your license for failing to accurately report. If you overpaid your quarterly license fees, you may receive a refund after we deduct our processing costs.

(3) You must submit amended quarterly license reports and any related payments to us online unless you have a waiver.

[]

NEW SECTION

WAC 230-05-136 Prorating or refunding fees. (1) We may prorate organization license fees when we adjust expiration dates to schedule our workload.

(2) We may adjust expiration dates to end on the same day for organizations licensed for more than one activity. Whenever we adjust license expiration dates under this provision, we may prorate the required fees.

(3) We will not prorate or refund fees when:

(a) You discontinue your gambling activities; or

(b) You voluntarily surrender your license or permit; or

(c) We suspend or revoke your license.

(4) We keep a portion of your application or license fees for processing costs when:

(a) We deny or administratively close your application; or

(b) You withdraw your application; or

(c) You overpaid us; or

(d) We received duplicate license fees.

[]

NEW SECTION

WAC 230-05-138 Returned payments. (1) If your bank returns your payment to us for any reason, you must:

(a) Pay us in full, by certified check, money order, or cash, within five days of notification; and

(b) Reimburse our processing costs which would include, but not be limited to, time spent notifying you and seeking payment.

(2) If you fail to pay within five days of notification:

(a) We will administratively close your application; or

(b) Your license expires and all gambling activity must stop.

(3) If we administratively close your application or your license expires, you must give us a new application with fees paid by certified check, money order, or cash in order to be considered for a license.

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NEW SECTION

WAC 230-05-140 Outstanding fees. (1) You must pay all outstanding fees assessed and owed if you:

(a) Stop operating the gambling activity; or

- (b) Close your business; or
- (c) Surrender your license; or
- (d) Do not renew your license; or
- (e) Your license is revoked.

(2) If you do not pay, we may take action against other licenses you hold or refer the debt to collections, or both.

(3) Licensees will be responsible for paying any outstanding license fees, including late fees, and any additional licensing or processing costs associated with the collection of these fees before a licensing suspension is lifted or any new licensing application is approved.

[]

NEW SECTION

WAC 230-05-142 Fees for review of gambling equipment, supplies, services, or games. (1) You must submit gambling equipment, supplies, services, or games for our review.

(2) You must pay the application deposit before we perform the review.

(3) You must also reimburse us for any additional costs of the review.

[]

NEW SECTION

WAC 230-05-160 Charitable or nonprofit organization fees. Bona fide charitable and nonprofit organizations must pay the following fees:

(1) Annual licenses:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Amusement games	\$65 plus \$65 per approved location	0.730%	\$1,000
Bingo	\$65	0.460%	\$11,000
Card games - House-banked	\$10,000	1.462%	\$40,000
Card games - Nonhouse-banked	\$65	0.430%	\$1,000
Combination	\$125	-	-
Fund-raising equipment distributor	\$270	1.430%	\$700
Punchboard/pull-tabs	\$650	1.430%	\$10,000
Raffles	\$65	3.380%	\$2,000
Enhanced raffles	\$5,000	0.430%	\$32,000

(2) Event licenses or permits:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Fund-raising event	\$180	3.130%	\$1,000
Recreational gaming activity	\$65	-	-
Special property bingo/change of bingo premises	\$30	-	-

(3) Change fees:

Change of:	Fee
Name	\$100
Location	\$100
Fund-raising event location, date, or time	\$50

(4) Other fees:

Transaction	Fee
Add a new amusement game location	\$65
Duplicate license	\$50
Review, inspection, and/or evaluation of gambling equipment, supplies, services, games, or schemes	Deposit and cost reimbursement

[]

NEW SECTION

WAC 230-05-165 Commercial stimulant organization fees. All commercial stimulant organizations must pay the following fees:

(1) Annual licenses:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Card games - Nonhouse-banked	\$65	1.462%	\$20,000
Card games - House-banked	\$10,000	1.462%	\$40,000
Punchboards/pull-tabs	\$700	1.430%	\$13,000

(2) Change fees:

Change of:	Fee
Name	\$100
Location	\$100
Business classification (same owners)	\$100
Corporate stock/limited liability company shares/units	\$100
License transfers	\$100

(3) Other fees:

Transaction	Fee
Duplicate License	\$50

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NEW SECTION

WAC 230-05-170 Fees for other businesses. All other business or-
ganizations must pay the following fees:

(1) Annual licenses or permits:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Agricultural fair bingo (annual permit)	\$200	-	-
Call centers for enhanced raffles	\$4,800	-	-
Commercial amusement games	\$500 plus \$65 per approved location	1.130%	\$11,000
Distributor	\$700	1.430%	\$7,000
Fund-raising event distributor	\$280	1.430%	\$1,000
Manufacturer	\$1,500	1.430%	\$25,000
Manufacturer's special sales permit	\$250	-	-
Punchboard/pull-tab service business permit	\$250	-	-
Gambling service supplier	\$300	1.430%	\$7,000

(2) Events or permits:

License or Permit Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Recreational gaming activity	\$65	-	-
Special property bingo	\$30	-	-

(3) Change fees:

Change of:	Fee
Name	\$100
Location	\$100
Business classification (same owners)	\$100
Corporate stock/limited liability company shares/units	\$100
License transfers	\$100

(4) Other fees:

Transaction	Fee
Add a new amusement game location	\$65
Defective punchboard/pull-tab cost recovery fees	Up to \$100
Duplicate license	\$50
Pre- and post-licensing investigations	Cost reimbursement
Review, inspection, and/or evaluation of gambling equipment, supplies, services, games, schemes, or group 12 amusement games	Deposit and cost reimbursement

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Chapter 230-03 WAC

PERMITTING AND LICENSING RULES

Last Update: 9/8/16

WAC

ACTIVITIES REQUIRING A PERMIT AND RULES FOR THOSE ACTIVITIES

- 230-03-005 Permits for recreational gaming activities.
- 230-03-010 Fund-raising equipment distributors must report recreational gaming activities.
- 230-03-015 Permits to conduct bingo at agricultural fairs.
- 230-03-018 One annual change of bingo premises allowed.
- 230-03-020 Punchboard and pull-tab service business permit.
- 230-03-025 Applying for a manufacturer's special sales permit.

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- 230-03-030 Other licenses, certificates, inspections, or permits needed to be considered for a gambling license.
- 230-03-035 Applying for a license.
- 230-03-040 Signing the application.
- 230-03-045 Defining substantial interest holder.
- 230-03-050 Additional information required from applicants for licensing.
- 230-03-052 Registered agent to be appointed by out-of-state applicants and licensees.
- 230-03-055 Reporting changes to application.
- 230-03-060 Fingerprinting.

230-03-061 Fingerprinting persons holding an interest in the building of house-banked card room li-
censees or charitable or nonprofit licensees in regulatory groups III, IV, or V.

230-03-065 Spouses must also be qualified.

230-03-070 Training required for licensing.

230-03-075 Withdrawing your application.

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230-03-080 License approval process.

LICENSE DENIAL, SUSPENSION, OR REVOCATION

230-03-085 Denying, suspending, or revoking an application, license or permit.

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230-03-090 Defining "agricultural."

230-03-095 Defining "athletic."

230-03-100 Defining "charitable."

230-03-105 Defining "civic."

230-03-110 Defining "educational."

230-03-115 Defining "fraternal."

230-03-120 Defining "patriotic."

230-03-125 Defining "political."

230-03-130 Defining "religious."

230-03-135 Defining "social."

230-03-140 Full and regular membership requirements.

- 230-03-145 Additional requirements for charitable and nonprofit licensing.
- 230-03-150 Additional requirements for branches or chapters of eligible parent organizations.
- 230-03-152 Additional requirements for enhanced raffles.
- 230-03-155 Submitting a proposed plan of operations for charitable and nonprofit organizations.
- 230-03-160 Licensed charitable or nonprofit organizations prohibited from managing or operating commercial gambling activities.
- 230-03-161 Applying for a combination license.
- 230-03-162 Applying for a fund-raising event license.

~~ADDITIONAL REQUIREMENTS FOR COMMERCIAL LICENSING AMUSEMENT GAME LICENSE APPLICANTS~~

- 230-03-163 Applying for a charitable or nonprofit amusement game license.
- 230-03-164 Applying for a commercial amusement game license.
- 230-03-165 Information required with license application for commercial amusement games.

~~ADDITIONAL REQUIREMENTS FOR COMMERCIAL STIMULANT APPLICANTS~~

- 230-03-170 Defining "business premises."
- 230-03-175 Requirements for commercial stimulant businesses.

~~ADDITIONAL LICENSING REQUIREMENTS-CARD ROOM LICENSE APPLICANTS~~

- 230-03-177 Applying to operate nonhouse-banked card games - charitable or nonprofit organizations.
- 230-03-178 Applying to operate nonhouse-banked card games and/or a Class F endorsement - commercial organizations.
- 230-03-179 Applying to operate a house-banked card game.
- 230-03-180 Additional information required for a house-banked card room application.

~~ADDITIONAL REQUIREMENTS FOR MANUFACTURER, DISTRIBUTOR, AND GAMBLING SERVICE SUPPLIER LICENSE APPLICANTS~~

~~REQUIREMENTS FOR LICENSING MANUFACTURERS AND DISTRIBUTORS APPLICANTS~~

- 230-03-185 Applying for a manufacturer license.
- 230-03-190 Applying for a distributor license.
- 230-03-192 Applying for a fund-raising equipment distributor license.
- 230-03-195 Additional information required from manufacturer and distributor license applicants.
- 230-03-200 Defining "gambling equipment."

~~REQUIREMENTS FOR LICENSING GAMBLING SERVICE SUPPLIERS APPLICANTS~~

- 230-03-210 Applying for a gambling service supplier license.
- 230-03-211 Defining "lending agent," "loan servicer," or "placement agent."
- 230-03-212 Defining "regulated lending institution."
- 230-03-215 Gambling service suppliers prohibited from assuming ultimate responsibility.
- 230-03-220 Marketing level restrictions for punchboard or pull-tab manufacturers, distributors, or operators.
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- 230-03-230 Applying for linked bingo prize provider license.

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~~REQUIREMENTS FOR INDIVIDUAL LICENSES~~

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230-03-240 Working before receiving a charitable or nonprofit gambling manager license.
230-03-245 Licensing period for charitable or nonprofit gambling manager.

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230-03-250 Applying for a commercial gambling manager license.
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230-03-260 Licensing period for commercial gambling manager.

~~LICENSING~~-CARD ROOM EMPLOYEES

230-03-265 Applying for a card room employee license.
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230-03-280 Substantial interest holders not required to be licensed as card room employees.
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~~LICENSING~~-~~REPRESENTATIVE~~~~SE~~~~REPRESENTATIVE~~-~~LICENSING~~

230-03-300 Applying for a manufacturer's representative license.
230-03-305 Applying for a distributor's representative license.
230-03-310 Applying for a gambling service supplier's representative license.
230-03-315 Applying for a linked bingo prize provider representative license.
230-03-317 Applying for an enhanced raffle call center representative license.
230-03-320 Substantial interest holders not required to be licensed as representatives.
230-03-325 Office, clerical, or warehouse workers not required to be licensed as representatives.

- 230-03-330 Representing one or more licensed businesses.
- 230-03-335 Representatives must not work before receiving a license.
- 230-03-340 Gambling service supplier representative must report conflicts of interest.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

- 230-03-001 "We," "our," and "us" mean the commission and staff. [Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-001, filed 3/22/06, effective 1/1/08.] Repealed by WSR 07-21-116 (Order 617), filed 10/22/07, effective 1/1/08. Statutory Authority: RCW 9.46.070.
- 230-03-051 Incorporated cities and towns exempt from some information requirements for application. [Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-051, filed 3/22/06, effective 1/1/08.] Repealed by WSR 07-21-116 (Order 617), filed 10/22/07, effective 1/1/08. Statutory Authority: RCW 9.46.070.
- 230-03-290 Card room employees working for additional employer or changing employer. [Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-290, filed 3/22/06, effective 1/1/08.] Repealed by WSR 08-21-087 (Order 633), filed 10/14/08, effective 1/1/09. Statutory Authority: RCW 9.46.070.

AMENDATORY SECTION (Amending WSR 06-07-157, filed 3/22/06, effective 1/1/08)

WAC 230-03-015 Permits to conduct bingo at agricultural fairs.

(1) You must apply to us if you wish to operate bingo games at agricultural fairs licensed to conduct bingo. You may apply for either:

(a) An annual permit to conduct bingo games at different agricultural fairs; or

(b) A special property bingo permit to conduct bingo games at a single agricultural fair.

(2) Each agricultural fair is fully responsible for the operation of bingo conducted under its license.

(3) A commercial or charitable or nonprofit organization may apply for a special property bingo permit.

[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-015, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 06-07-157, filed 3/22/06, effective 1/1/08)

WAC 230-03-035 Applying for a license. (1) You must fully complete the license application form we provide in order to be consid-

ered for a license. You must return it, along with the appropriate fees, to our headquarters office.

(2) If your application is incomplete, you must provide us with the required items within thirty days of notification or we may administratively close the application.

(3) Applicants for a new organization license or permit will submit the base license fee for each authorized activity they are applying for with their application.

(4) Applicants for a new individual license will submit the annual license fee they are applying for with their application.

[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-035, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 13-19-056, filed 9/16/13, effective 10/17/13)

WAC 230-03-060 Fingerprinting. (1) The following persons must submit fingerprints and undergo a national criminal history background check:

(a) Substantial interest holders of commercial businesses and charitable or nonprofit organizations who live or have lived out of the state in the last ten years; and

(b) Card room employees, commercial and nonprofit gambling managers, and manufacturer, distributor, service supplier, call centers for enhanced raffles, and linked bingo prize provider representatives; and

(c) Any other substantial interest holder when we have information they may not be qualified for licensure or to participate in a gambling activity. ~~and~~

(2) (~~Applicants or licensees for the following activities~~) Recreational gaming activity and agricultural fair permit holders do not need to submit fingerprints(~~(Recreational gaming activities, agricultural fair permits, and Class A commercial amusement games)~~).

[Statutory Authority: RCW 9.46.070 and 9.46.0209. WSR 13-19-056 (Order 692), § 230-03-060, filed 9/16/13, effective 10/17/13. Statutory Authority: RCW 9.46.070(7). WSR 13-17-018 (Order 690), § 230-03-060, filed 8/9/13, effective 9/9/13. Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-060, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 16-19-015, filed 9/8/16, effective 10/9/16)

NEW SECTION

WAC 230-03-161 Applying for a combination license. (1) Charitable or nonprofit organizations may apply for a combination license to operate one or more of the following gambling activities:

(a) Authorized nonhouse-banked card games without collection of a fee to play; and

(b) Raffles with gross gambling receipts up to two thousand dollars during the license year; and

(c) Bingo with gross gambling receipts up to twenty-five thousand dollars during the license year; and

(d) Amusement games, owned and operated by the organization, with gross gambling receipts up to seven thousand five hundred dollars during the license year.

(2) You must apply for a separate license if any of the gambling activities in subsection (1)(b) through (d) of this section you operate will exceed the gross gambling receipt limits specified during your license year.

NEW SECTION

WAC 230-03-162 Applying for a fund-raising event license. (1)

Charitable or nonprofit organizations may offer fund-raising events as authorized by RCW 9.46.0233.

(2) Your organization must apply for a fund-raising event license to operate gambling activities for:

(a) One event not to exceed twenty-four consecutive hours; or

(b) One event not to exceed seventy-two consecutive hours; or

(c) Participation in joint fund-raising events; or

(d) One limited fund-raising event not to exceed six consecutive hours.

[]

NEW SECTION

WAC 230-03-163 Applying for a charitable or nonprofit amusement game license. You must apply for a charitable or nonprofit amusement game license if your organization owns, leases or rents approved amusement games and:

(1) Operates the approved amusement games in your licensed location; or

(2) Rents or leases approved amusement games for operation in approved locations.

[]

NEW SECTION

WAC 230-03-164 Applying for a commercial amusement game license.

(1) You must apply for a commercial amusement game license if your business:

(a) Owns and operates approved amusement games in your licensed location; or

(b) Rents or leases approved amusement games for operation in approved locations.

(2) Your business can operate approved amusement games in multiple approved locations under the same license as long as the locations are owned by the same business.

[]

WAC 230-03-177 Applying to operate nonhouse-banked card games—

Charitable or nonprofit organizations. (1) You must apply for a nonhouse-banked card game license if you are a charitable or nonprofit organization that wants to:

(a) Offer for play authorized nonhouse-banked card games, whether a fee to play is charged or not; and/or

(b) Conduct approved tournaments.

(2) You may not operate more than fifteen card tables.

[]

NEW SECTION

WAC 230-03-178 Applying to operate nonhouse-banked card games and/or a Class F endorsement—Commercial organizations. (1) You must apply for a nonhouse-banked card game license if you are a commercial stimulant business that wants to:

(a) Offer for play authorized nonhouse-banked card games, whether a fee to play is charged or not; and/or

(b) Conduct approved tournaments.

(2) You must apply for a Class F endorsement to use:

(a) Authorized alternative fee collections; and/or

(b) Player-supported jackpots.

(3) You may not operate more than fifteen card tables.

[]

NEW SECTION

WAC 230-03-179 Applying to operate house-banked card games. (1)

You must apply for a house-banked card game license if you want to offer for play authorized house-banked card games.

(2) With this license, you may also:

(a) Offer for play nonhouse-banked card games; and/or

(b) Conduct approved tournaments; and/or

(c) Offer player-supported jackpots; and/or

(d) Use alternative fee collection.

(3) You may not operate more than fifteen card tables.

[]

WAC 230-03-185 Applying for a manufacturer license. (1) You must apply for a manufacturer license if you:

(a) Make or assemble a completed piece or pieces of gambling equipment for use in authorized gambling activities; or

(b) Convert, modify, combine, add to, or remove parts or components of any gambling equipment for use in authorized gambling activities; or

(c) Manufacture group 12 amusement games approved or modified after May 1, 2016. Manufacturers of group 12 amusement games that were approved before the effective date of this rule must apply by May 1, 2016, and be licensed by December 31, 2016. Manufacturers of group 12 amusement games can sell or lease group 12 amusement games to a licensed distributor or (~~a Class B or above~~) an amusement game licensee.

(2) You must demonstrate your ability to comply with all manufacturing, quality control, and operations restrictions imposed on authorized gambling equipment that you want to manufacture or market for use in Washington state.

(3) The licensing process may include an on-site review of your manufacturing equipment and process for each separate type of authorized gambling equipment to ensure compliance capability.

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-19-015, § 230-03-185, filed 9/8/16, effective 10/9/16; WSR 16-08-033 (Order 718), § 230-03-185, filed 3/30/16, effective 4/30/16. Statutory Authority: RCW 9.46.070. WSR 09-11-085 (Order 646), § 230-03-185, filed 5/18/09, effective 7/1/09; WSR 06-07-157 (Order 457), § 230-03-185, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 16-19-015, filed 9/8/16, effective 10/9/16)

WAC 230-03-190 Applying for a distributor license. You must apply for a distributor license if you:

(1) Buy or otherwise obtain a finished piece of gambling equipment for use in authorized gambling activities from another person and sell or provide that gambling equipment to a third person for resale, display, or use; or

(2) Are a manufacturer who sells or provides gambling equipment you do not make to any other person for resale, display, or use; or

(3) Service and repair authorized gambling equipment. However, distributors must not add, modify, or alter the gambling equipment; or

(4) Modify gambling equipment using materials provided by manufacturers to upgrade equipment to current technology.

(5) Buy or lease a group 12 amusement game from another licensee and sell or lease the group 12 amusement game to (~~a Class B and above~~) an amusement game licensee.

[Statutory Authority: RCW 9.46.070, 9.46.0201. WSR 16-19-015, § 230-03-190, filed 9/8/16, effective 10/9/16; WSR 16-08-033 (Order 718), § 230-03-190, filed 3/30/16, effective 4/30/16. Statutory Authority: RCW

9.46.070. WSR 06-07-157 (Order 457), § 230-03-190, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 06-07-157, filed 3/22/06, effective 1/1/08)

NEW SECTION

WAC 230-03-192 Applying for a fund-raising equipment distributor

license. (1) You must apply for a fund-raising equipment distributor license if you are a commercial or charitable or nonprofit organization and want to:

(a) Rent or lease gambling equipment to:

(i) Fund-raising event license holder; or

(ii) A qualified organization, business or association for recreational gaming activities; or

(b) Organize and conduct recreational gaming activities.

(2) Fund-raising equipment distributors cannot make their own gambling equipment.

[]

WAC 230-03-235 Applying for charitable or nonprofit gambling man-

ager license. You must apply for a charitable or nonprofit gambling manager license if you are an employee or member of a charitable or nonprofit organization who:

(1) Will have control to a material degree over a (~~Class D and above~~) bingo (~~license~~) or punchboard and pull-tab licensee with ~~these~~ gross gambling receipts ~~overare more than~~ one hundred fifty thousand dollars in ~~at~~their previous licensing year; or

(2) (~~Will have control to a material degree over a Class C and above punch boards and pull tabs license; or~~

~~(3))~~ Will be the supervisor of gambling managers who manage (~~a Class D and above bingo license or Class C and above~~): A bingo or punch board(~~s~~) and pull-tab(~~s~~ license) licensee with gross gambling receipts ~~over more than~~ one hundred fifty thousand dollars in ~~at~~their previous license year; or

(~~(4))~~ (3) Will be assigned the highest level of authority by the officers or governing board of directors to manage the day-to-day affairs of the organization and is responsible for safeguarding assets purchased with gambling funds and/or managing the disbursement of gambling funds when the organization:

(a) Is licensed to receive more than three hundred thousand dollars in gross gambling receipts; or

(b) Has established a trust and/or endowment fund to which gambling receipts in excess of one hundred thousand dollars have been contributed; or

~~((5))~~ (4) Will be the supervisor of the operation of progressive jackpot pull-tab games.

[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-235, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 06-07-157, filed 3/22/06, effective 1/1/08)

WAC 230-03-265 Applying for a card room employee license. You must apply for a card room employee license:

(1) If you will be involved in the operation of a

~~(1) Class E card room; or~~

~~(2) Class F card room; or~~

~~(3) House-banked card room; and~~

~~(4)) nonhouse-banked card room, Class F endorsed nonhouse-banked card room, or house-banked card room; and~~

(2) You perform any of the following functions:

(a) Collecting fees; or

(b) Dealing; or

(c) Supervising any card game or other card room employee, such as acting as a pit boss, floor person, or section supervisor; or

(d) Selling or redeeming chips; or

(e) Performing cashier or cage duties such as counting and handling chips or cash, completing credit slips, fill slips, or inventory slips, or accounting for other card room receipts in the cage; or

(f) Observing dealers and card games to detect cheating or control functions; or

(g) Controlling card room funds including keys to secure locations; or

(h) Taking part in the operation of a card game.

(3) A Class B card room employee license is required to work at a house-banked card room and Class F endorsed nonhouse-banked card room.

(4) A Class A card room employee license is required to work at a nonhouse-banked card room.

[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-265, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 06-07-157, filed 3/22/06, effective 1/1/08)

WAC 230-03-285 Class III gaming employee working as card room employee. A certified Class III gaming employee must submit an ((add/transfer)) application and pay a fee before beginning work for a public card room.

[Statutory Authority: RCW 9.46.070. WSR 06-07-157 (Order 457), § 230-03-285, filed 3/22/06, effective 1/1/08.]

AMENDATORY SECTION (Amending WSR 09-24-012, filed 11/20/09, effective 12/21/09)

WAC 230-03-330 Representing one or more licensed businesses. (1)

If you are a licensed distributor representative, gambling service supplier representative, or a linked bingo prize provider representative or applying for one of these representative licenses, you must represent only one licensed distributor, gambling service supplier, or linked bingo prize provider at a time.

(2) If you are a licensed manufacturer representative, you may represent more than one licensed manufacturer.

(3) If the owner you represent owns more than one licensed business, you may represent the owner in all those licensed businesses, including licensed manufacturers(~~(, without applying for another representative license))~~).

(4) You must submit an application and pay a fee before beginning work at a new or additional employer.

[Statutory Authority: RCW 9.46.070. WSR 09-24-012 (Order 664), § 230-03-330, filed 11/20/09, effective 12/21/09; WSR 06-07-157 (Order 457), § 230-03-330, filed 3/22/06, effective 1/1/08.]

STAKEHOLDER FEEDBACK

From: [Hunter, Amy \(GMB\)](#)
To: [FeeWorkGroup \(GMB\)](#); [Songer, Heather \(GMB\)](#); [Rancour, Michelle \(GMB\)](#)
Subject: Fwd: Feedback
Date: Friday, September 15, 2017 7:08:03 PM

Hi Michelle. Heather and I talked about cc'ing the fee work group email whenever we respond so whoever is checking the box will know if a response is gone out or not. Thanks so much to both of you for all of your help!

Sent from my Verizon 4G LTE smartphone

----- Original message -----

From: "Rancour, Michelle (GMB)" <michelle.rancour@wsgc.wa.gov>
Date: 9/13/17 9:33 AM (GMT-08:00)
To: "McSweeney, John (GMB)" <mcsweeneyjw@yahoo.com>
Cc: "Hunter, Amy (GMB)" <amy.hunter@wsgc.wa.gov>
Subject: RE: Feedback

Hi John,

Thank you so much for the positive feedback. I will definitely forward this on to our Commissioners and staff.

Have a wonderful day.

Sincerely,

Michelle Rancour
Assistant to Deputy Director
Washington State Gambling Commission
(360) 486-3447

-----Original Message-----

From: John McSweeney [<mailto:mcsweeneyjw@yahoo.com>]
Sent: Friday, September 08, 2017 4:47 PM
To: FeeWorkGroup (GMB) <feeworkgroup@wsgc.wa.gov>
Subject: Feedback

You are awesome! This will be a great improvement. The work performed prior to the tribal lawsuit seemed like it was being honored by the commission. That was an uplifting feeling. The restructuring objectives are admirable.

I can't attend the Spokane meeting but I'll bug the small pulltabs rep.

Thanks again for your efforts.

John Mcsweeney
Gambling Manager
Past President, Shoreline Elks
Elks Care, Elks Share

From: [Hunter, Amy \(GMB\)](#)
To: [FeeWorkGroup \(GMB\)](#)
Subject: FW: Fee Simplification Work Continues - Ready for rulemaking
Date: Thursday, September 28, 2017 11:53:29 AM
Attachments: [image002.png](#)
[image004.png](#)

From: Hunter, Amy (GMB)
Sent: Wednesday, September 13, 2017 8:37 PM
To: 'Scott Johnson' <scott@spokanepulltab.com>
Subject: RE: Fee Simplification Work Continues - Ready for rulemaking

Thanks, Scott. I appreciate you getting back to me. I don't know if it helps with scheduling, but the Commissioners are meeting Friday morning also. If you wanted to come and address them, you would sure be welcome. I will pass along your comments.

Take care,
Amy

From: Scott Johnson [<mailto:scott@spokanepulltab.com>]
Sent: Wednesday, September 13, 2017 1:06 PM
To: Hunter, Amy (GMB) <amy.hunter@wsgc.wa.gov>
Subject: RE: Fee Simplification Work Continues - Ready for rulemaking

Thank you for the information, unfortunately I will not be able to attend the meeting.

Personally I would prefer the simple fee as opposed to a percentage of sales. If you want to make it easy and simple make it easy and simple.

I wish I could be there tomorrow, but there is my 2 cents.

Thank you
Scott

Scott Johnson
Spokane Pulltab & Bingo Supply

Spokane, Wa. 99202

509-747-1339

From: Hunter, Amy (GMB) [<mailto:amy.hunter@wsgc.wa.gov>]
Sent: Wednesday, September 13, 2017 10:38 AM
To: Scott Johnson
Subject: Fee Simplification Work Continues - Ready for rulemaking

Hi, Scott:

I hope you are doing well. First, please accept my apologies for emailing you much later than I had planned. I met with you last year about a proposal the Gambling Commission was considering to restructure its fees. That proposal has changed quite a bit based on the feedback received while meeting with you and other stakeholders. We created a workgroup, which included all segments of the gambling industry (commercial, nonprofit, and tribal). Wendy Winsor, of WOW Distributing, represented the distributors. All workgroup members are listed [here](#). Based on the feedback, the workgroup recommended the fee proposal I shared with you be changed significantly.

Here are a few highlights of the now-revised proposal:

- License fees will be based on a percentage per gambling activity, rather than the very simplified approach we were working with before where there was one percentage for organizations and one percentage for vendors.
- There will be a maximum fee, as well as a minimum fee. Here are some examples by activity:

Commercial Activities:

Distributors: Exact fee is still being worked out, but minimum fee will likely be in the neighborhood of \$700 (it's \$699 now) and the maximum fee will likely be in the neighborhood of \$7,000. Right now, a Class F Distributor fee is \$4,498.

Punchboard/Pull-tabs: Minimum fee \$700/Max. fee \$13,000

Charitable/Nonprofit Activities:

Bingo: Minimum fee \$65/Max. fee \$11,000

Punchboard/Pull-tabs – Minimum fee \$650/Max. fee \$10,000

Aspects of the proposal that have not changed:

- Fees will be paid quarterly (compared to annually or semi-annually now).
- Licensees will be able to use My Account to calculate and pay their fees.

At *tomorrow's* September 14 Commission meeting in Spokane the Commissioners will be discussing the revised proposal and voting on whether to *begin* the rulemaking process to change the fee system. This discussion will likely occur about 2:00 p.m. Staff will be

available to answer any questions you might have earlier that day, around 12:45 p.m. If the fee system is changed, changes would likely go into effect in July 2018. Because this is the only meeting the Commissioners have planned in Eastern Washington over the next several months, I wanted to make sure you were aware of the meeting. Our website is also the best place to check for general updates.

If you would like to come to the meeting and share any comments with the Commissioners directly, the meeting will be held at:

Red Lion River Inn Hotel
700 N. Division Street
Spokane, WA 99202

If you have any questions, please let me know. My cell # is 360-701-1269.

Again, my apologies for this later than planned email.

Sincerely,

Amy

Amy B. Hunter
Deputy Director
Washington State Gambling Commission
(360) 486-3463





Staff Request for New Rule

WAC 230-17-192 Submission of electronic or mechanical gambling equipment during rule-making.

**October 2017 – Further Discussion
September 2017 – Discussion and Possible Filing**

Tab 6: October 2017 Commission Meeting Agenda.	Statutory Authority 9.46.070
Who Proposed the Rule Change?	
Staff	
Describe the Rule Change	
<p><u>Bold/Underline = Changes made after the September 2017 Commission Meeting</u></p> <p>Staff is requesting a new rule to require a manufacturer or its designee to submit electronic or mechanical gambling equipment for evaluation during rule-making.</p> <p><u>This rule change would allow staff to request and evaluate equipment during the rule-making process.</u></p> <p><u>The manufacturer would not be required to submit an application or fees for this evaluation during the rule-making process.</u></p> <p><u>If the new rule or rule change is adopted, thereby authorizing the use of such gambling equipment, the manufacturer would be required to submit an application and fees to us for our review and approval prior to selling or leasing the gambling equipment in the state, as outlined in WAC 230-06-050.</u></p> <p><u>Attachments:</u></p> <ul style="list-style-type: none"> • <u>WAC 230-06-050 Review of electronic or mechanical gambling equipment.</u> 	
Policy Considerations	
<p>When a petition for rule-making involves new gambling equipment or a new concept involving gambling equipment, staff feels it is necessary to include a review of the gambling equipment as part of the rule-making process. A review of the gambling equipment during the rule-making process would ensure:</p> <ul style="list-style-type: none"> • Staff has a clear understanding of how the equipment works; • Regulatory guidelines can be addressed during the rule-making process; and • More effective rule-making can occur as a result of understanding how the gambling equipment operates. 	
Stakeholder Feedback	
<p><u>Victor Mena – Testified at the September commission meeting with concerns this new rule would be costly for small manufacturers and inhibit new gambling equipment and games in the state.</u></p>	

NEW SECTION

WAC 230-17-192 ~~Submission of~~ Rule making that involves new or novel ~~electronic or mechanical gambling equipment~~ during rule-making. (1)

A manufacturer or its designee is required to submit electronic or mechanical gambling equipment for evaluation during rule-making when:

~~(a) The equipment or intended use of the equipment is new or novel in Washington state; and~~

~~(b) t~~The commission is considering~~has~~ taking~~en~~ action to adopt, change, or repeal a rule in order to authorize use of the gambling equipment.

(2) When we are ready to begin our equipment evaluation, we will notify the manufacturer or its designee in writing. The manufacturer or its designee will have thirty days from the date of our written request to submit the requested electronic or mechanical gambling equipment to our headquarters, directly or through a designee, or we may administratively close our review and deny the requested rule change.

(3) Manufacturers or their designee must submit:

(a) The gambling equipment, including all relevant software, that is identical or substantially similar to what will be marketed, distributed, and deployed in Washington;

(b) A copy of detailed technical materials and diagrams associated with the equipment and software, and all of the operational procedures and manuals, including relevant hardware and software manuals; and

(c) Other technical specifications as requested by the commission.

(4) The manufacturer or its designee must install, configure, and support the equipment/software to allow us to fully evaluate its operation. Evaluation may include, but is not limited to, interoperability, communication, security, and player protection issues.

(5) We will notify the manufacturer or their designee in writing if we require additional equipment or information for our evaluation. The manufacturer or its designee must provide us with the requested equipment or information within thirty days from the date of our written request or we may administratively close our review and deny the requested rule change.

[]

WAC 230-06-050 Review of electronic or mechanical gambling equipment.

(1) When you submit gambling equipment, supplies, services, or games for our review to verify compliance with chapter [9.46 RCW](#) and Title 230 WAC, you must pay the application deposit before we perform the review. You must also reimburse us for any additional costs of the review. All costs must be paid in full prior to the completion of the review.

(2) The gambling equipment submitted for review must be identical or substantially similar to what will be marketed, distributed, and deployed in Washington. If the equipment is not sufficient for testing and review, we may require additional equipment or information.

(3) If your application is incomplete or we request additional information, you must provide us with the required items within thirty days of notification or we may administratively close your application.

(4) You can begin selling or leasing the gambling equipment when you are licensed and the gambling equipment has been approved by the director or director's designee.

(5) We may include security or surveillance requirements as part of gambling equipment approval.

(6) Gambling equipment must operate as approved by the director or director's designee.

(7) We may keep equipment submitted for review to allow for continued testing and training as long as the equipment remains in play in Washington. We are not liable for any damage to equipment while in our possession.

(8) If you do not agree with the director or director's designee's decision, you may file a petition for declaratory order with the commission according to [RCW 34.05.240](#) and chapter [230-17 WAC](#).

[Statutory Authority: [RCW 9.46.070](#). [WSR 14-09-037](#) (Order 696), § 230-06-050, filed 4/11/14, effective 7/1/14; [WSR 07-21-116](#) (Order 617), § 230-06-050, filed 10/22/07, effective 1/1/08; [WSR 06-17-132](#) (Order 601), § 230-06-050, filed 8/22/06, effective 1/1/08.]



Petition for Rule Change

WAC 230-11-087 Other pricing plans for members-only raffles.

October 2017 – Further Discussion
September 2017 – Further Discussion
August 2017 – Further Discussion
July 2017 – Discussion and Possible Filing

Tab 7: October 2017 Commission Meeting Agenda. Statutory Authority 9.46.070

Who Proposed the Rule Change?

Scott Eisenhauer, State Chairman, Ducks Unlimited Washington. As of June 9, 2017, there were 52 Ducks Unlimited chapters in Washington that held raffle licenses.

Attachments:

- RCW 9.46.0277 “Raffle.”
- WAC 230-11-086 Discounted pricing plans for tickets to members-only raffles.
- Petition for Adoption, Amendment or Repeal of a State Administrative Rule received on May 23, 2017.
- Letter from John Tierney, Sr. Regional Director Northwest Washington, dated August 29, 2017 with attachments.

Petitioner’s Request

Mr. Eisenhauer submitted a petition for rule change “to increase the maximum value of a raffle ticket sold as part of a members-only raffle from \$25 to \$100. This rule change is necessary because it increase(s) predictability for licensees that a members-only (raffle), which involves selling tickets as part of a multi-component package, will result in a net profit for the licensee. By raising the maximum price of a raffle ticket from \$25 to \$100 for raffle packages containing multiple components, the proceeds of the raffle are far more likely to exceed the fair market value of the awarded prize.”

At the July 2017 Commission meeting, representatives from Ducks Unlimited explained that their rule change request would allow them to assign a value of up to \$100 for the raffle portion of their Bronze Sponsorship package. With this change the Bronze Sponsorship package would be \$350, of which \$250 goes to conservation efforts. The ability to assign a higher value to the raffle tickets included in this package would allow the licensee to offset the cost of the raffle prize offered.

A Small Business Economic Impact Statement was unnecessary because the rule does not impose any costs on the industry.

Policy Considerations

Our rules only authorize members-only raffles to offer multi-component packages to include a raffle ticket.

In 2009, the Legislature increased the cost of raffle tickets from \$25 to \$100. Today, when selling raffle tickets, bona fide and charitable organizations can charge up to \$100 for a raffle ticket. When using a

pricing scheme, bundling tickets or discounting tickets, such organizations must follow WAC rules that authorize such alternative methods of selling tickets. The petitioner is requesting to modify the WAC to address the petitioners pricing scheme.

Licensees could include multiple tickets at \$25 each as part of a multi-component package under the current rule. For example, Ducks Unlimited could include four raffle tickets in their multi-component package at \$25 each.

AMENDATORY SECTION (Amending WSR 06-20-040, filed 9/26/06, effective 1/1/08)

WAC 230-11-087 Other pricing plans for members-only raffles.

(1) Licensees may sell multiple tickets to enter one or more drawings as a package if the total price of the package does not exceed twenty-five dollars.

(2) Licensees may include tickets to enter a raffle as a part of a package that includes dues, entertainment, or other fund-raising activities if:

(a) The package discloses the value of each component of the package to the purchaser; and

(b) The value of each individual raffle ticket does not exceed (~~twenty-five~~) one hundred dollars.

RCW 9.46.0277 "Raffle."

"Raffle," as used in this chapter, means a game in which tickets bearing an individual number are sold for not more than one hundred dollars each and in which a prize or prizes are awarded on the basis of a drawing from the tickets by the person or persons conducting the game, when the game is conducted by a bona fide charitable or nonprofit organization, no person other than a bona fide member of the organization takes any part in the management or operation of the game, and no part of the proceeds thereof inure to the benefit of any person other than the organization conducting the game.

[2009 c 133 § 1; 1995 2nd sp.s. c 4 § 1; 1987 c 4 § 20. Formerly RCW 9.46.020(19).]

WAC 230-11-086 Discounted pricing plans for tickets to members-only raffles.

In discounted pricing plans, licensees may sell tickets for a discounted price based on the number of tickets a player purchases if:

- (1) The amount of the discount is set before any raffle tickets are sold; and
- (2) Participants are allowed to purchase a single ticket; and
- (3) There is only one discount plan for each raffle; and
- (4) The cost of a single ticket, without a discount, does not exceed ten dollars; and
- (5) The total cost of a discount package does not exceed twenty-five dollars; and
- (6) The cost of a single ticket is printed on each ticket (for example, one dollar each); and
- (7) The discounted tickets are identified by a unique ticket audit numbering system; and
- (8) The licensee establishes an audit system that includes internal controls and procedures to determine gross gambling receipts from the sale of tickets using a discounted pricing plan.

[Statutory Authority: RCW [9.46.070](#). WSR 06-20-040 (Order 602), § 230-11-086, filed 9/26/06, effective 1/1/08.]



PETITION FOR ADOPTION, AMENDMENT, OR REPEAL OF A STATE ADMINISTRATIVE RULE

Print Form

In accordance with [RCW 34.05.330](#), the Office of Financial Management (OFM) created this form for individuals or groups who wish to petition a state agency or institution of higher education to adopt, amend, or repeal an administrative rule. You may use this form to submit your request. You also may contact agencies using other formats, such as a letter or email.

The agency or institution will give full consideration to your petition and will respond to you within 60 days of receiving your petition. For more information on the rule petition process, see Chapter 82-05 of the Washington Administrative Code (WAC) at <http://apps.leg.wa.gov/wac/default.aspx?cite=82-05>.

CONTACT INFORMATION *(please type or print)*

Petitioner's Name Scott Eisenhauer, State Chairman
Name of Organization Ducks Unlimited Washington
Mailing Address 19727 SE Petrovitsky Road
City Maple Valley State WA Zip Code 98038
Telephone (425) 891-0964 Email majike@msn.com

COMPLETING AND SENDING PETITION FORM

- Check all of the boxes that apply.
- Provide relevant examples.
- Include suggested language for a rule, if possible.
- Attach additional pages, if needed.
- Send your petition to the agency with authority to adopt or administer the rule. Here is a list of agencies and their rules coordinators: <http://www.leg.wa.gov/CodeReviser/Documents/RClint.htm>.

INFORMATION ON RULE PETITION

Agency responsible for adopting or administering the rule: Washington State Gambling Commission

1. NEW RULE - I am requesting the agency to adopt a new rule.

The subject (or purpose) of this rule is: _____

The rule is needed because: _____

The new rule would affect the following people or groups: _____

2. AMEND RULE - I am requesting the agency to change an existing rule.

List rule number (WAC), if known: WAC 230-11-087

I am requesting the following change: See Attached.

This change is needed because: See Attached.

The effect of this rule change will be: See Attached.

The rule is not clearly or simply stated: _____

3. REPEAL RULE - I am requesting the agency to eliminate an existing rule.

List rule number (WAC), if known: _____

(Check one or more boxes)

It does not do what it was intended to do.

It is no longer needed because: _____

It imposes unreasonable costs: _____

The agency has no authority to make this rule: _____

It is applied differently to public and private parties: _____

It conflicts with another federal, state, or local law or rule. List conflicting law or rule, if known: _____

It duplicates another federal, state or local law or rule. List duplicate law or rule, if known: _____

Other (please explain): _____

PETITION FOR AMENDMENT

Scott Eisenhauer
State Chairman
Ducks Unlimited Washington
19727 SE Petrovitsky Rd.
Maple Valley, WA 98038

May 22, 2017

Washington State Gambling Commission
ATTN: Rules Coordinator
P.O. Box 42400
Olympia, WA 98504-2400

SENT VIA REGISTERED MAIL, ELECTRONIC MAIL, AND FACSIMILE

Re: Petition for Amendment of WAC 230-11-087

Dear Sir or Ma'am:

On behalf of the nearly 12,000 members of Ducks Unlimited ("DU") in Washington state, I respectfully submit the below information in support of this Petition for Amendment of WAC 230-11-087. This Petition for Amendment is submitted pursuant to RCW 34.05.330.

Identity of Petitioners

Founded in 1937 by Joseph Knapp, DU is a 501(c)(3) nonprofit corporation dedicated to conserving, restoring, and managing wetlands and associated habitats for North America's waterfowl. DU has a worldwide membership of approximately 700,000 individuals dedicated to this purpose. Complete information on DU's history and mission may be found here: <http://www.ducks.org>.

Petition for Amendment of WAC 230-11-087

I am requesting the following change (continued):

DU respectfully requests that the Washington State Gambling Commission ("WSGC") amend WAC 230-11-087(2)(b) to increase the maximum value of a raffle ticket sold as part of a members-only raffle from \$25.00 to \$100.00.

The change is needed because (continued):

This amendment is necessary because it increases predictability for licensees that a members-only raffle, which involves selling tickets as part of a multi-component package, will result in a net profit for the licensee. By raising the maximum price of a raffle ticket from \$25.00 to \$100.00 for raffle packages containing multiple components, the proceeds of the raffle are far more likely to exceed the fair market value of the awarded prizes.

PETITION FOR AMENDMENT

The text of the rule as it currently exists is as follows:

WAC 230-11-087

Other pricing plans for members-only raffles

- (1) Licensees may sell multiple tickets to enter one or more drawings as a package if the total price of the package does not exceed twenty-five dollars.
- (2) Licensees may include tickets to enter a raffle as a part of a package that includes dues, entertainment, or other fund-raising activities if:
 - (a) The package discloses the value of each component of the package to the purchaser; and
 - (b) The value of each individual raffle ticket does not exceed twenty-five dollars.

The proposed amended rule is as follows:

WAC 230-11-087

Other pricing plans for members-only raffles

- (1) Licensees may sell multiple tickets to enter one or more drawings as a package if the total price of the package does not exceed twenty-five dollars.
- (2) Licensees may include tickets to enter a raffle as a part of a package that includes dues, entertainment, or other fund-raising activities if:
 - (a) The package discloses the value of each component of the package to the purchaser; and
 - (b) The value of each individual raffle ticket does not exceed ~~twenty-five~~ *one hundred* dollars.

At least three reasons directly support the proposed rule change. First, the amendment increases licensee predictability that a raffle conducted pursuant to WAC 230-11-087 will ultimately be profitable. In DU's case, this issue is acutely observed when conducting the DU sponsor board raffles. These raffles typically involve the sale of a ticket as part of a package pursuant to WAC 230-11-087, with winners usually receiving a firearm. Historically, the package included an opportunity for DU members to pay a portion of their existing dues or pledged support. The relatively small number of tickets purchased for the sponsor board raffles, combined with the relatively high value of the prizes awarded, results in the individual sponsor board raffle potentially being unprofitable. The proposed rule change is designed to address the issue for DU and other similarly-situated charitable or nonprofit organizations

Second, amending the provision as specified above promotes regulatory conformity in that it brings the amended WAC 230-11-087 into conformity with the \$100.00 maximum raffle ticket price limitation found at WAC 230-11-014. Such an amendment simplifies the rules applicable to raffles, thereby assisting licensees in ensuring compliance. Additionally, such an amendment

PETITION FOR AMENDMENT

facilitates the legislative authorization and intent found in RCW 9.46.010 and 9.46.0311 regarding raffle activities for nonprofit organizations.

Third, an increase in the maximum value of raffle tickets sold as part of a package is overdue, at least in part, as a standard adjustment for inflation. The \$25.00 limitation was first imposed in 1998, and, based upon research conducted to date, does not appear to have been adjusted since that date.

The effect of this rule change will be (continued):

The effect of the proposed rule change is that a raffle ticket may be sold for \$100.00 under WAC 230-11-087 as part of a package that includes other component, such as dues. This change would likely increase the revenue received from the raffle, thereby increasing the likelihood that the raffle will ultimately be profitable in light of the firearms (or other prizes) that are awarded.

The rule is not clearly or simply stated (continued):

DU believes the rule, as currently drafted, is sufficiently clear and simply stated. The proposed amendment to WAC 230-11-087 seeks only to change the specific dollar amount specified under the rule.

Additional factors under RCW 34.05.330:

RCW 34.05.330 encourages petitioners seeking repeal or amendment of an administrative rule to address a series of issues. The considerations identified in RCW 34.05.330, and DU's responses, are as follows:

- (1) Whether the rule is authorized.

DU response: *DU believes that the proposed amendment to the rule is authorized pursuant to RCW 9.46.070 and other existing statutory provisions.*

- (2) Whether the rule is needed.

DU response: *As articulated above, DU believes the amended rule is necessary to promote predictability for licensees, promote regulatory conformity with WAC 230-11-014, and reflect a periodic adjustment for inflation.*

- (3) Whether the rule conflicts with or duplicates other federal, state, or local laws.

DU response: *DU is unaware of any conflicts or duplication created by the proposed amended WAC 230-11-087.*

- (4) Whether alternatives to the rule exist that will serve the same purpose at less cost.

DU response: *DU has researched and proposed a regulatory interpretation under the existing version of WAC 230-11-087 that would address the concerns stated in this Petition for Amendment. Consultations with WSGC staff have occurred, and it is believed that the proposed amended WAC 230-11-087 satisfactorily addresses the concerns identified herein.*

PETITION FOR AMENDMENT

- (5) Whether the rule applies differently to public and private entities.
DU response: *WAC 230-11-087 applies only to members-only raffles conducted by nonprofit or charitable organizations.*
- (6) Whether the rule serves the purposes for which it was adopted.
DU response: *The proposed amended WAC 230-11-087 supports the legislative authorization and intent articulated at RCW 9.46.010 and RCW 9.46.0311.*
- (7) Whether the costs imposed by the rule are unreasonable.
DU response: *Any costs associated with the proposed amended WAC 230-11-087 are believed to be minimal or non-existent.*
- (8) Whether the rule is clearly and simply stated.
DU response: *As articulated above, DU believes the existing WAC 230-11-087 is clearly and simply stated, and seeks only to amend the specified maximum value of the raffle ticket. DU believes the proposed amended rule is clearly and simply stated.*
- (9) Whether the rule is different than a federal law applicable to the same activity or subject matter without adequate justification.
DU response: *DU is unaware of any substantive difference with federal laws or regulations on this subject matter.*
- (10) Whether the rule was adopted according to all applicable provisions of law.
DU response: *DU does not contend the existing version of WAC 230-11-087 was improperly adopted.*

Conclusion

Thank you for your consideration. Please do not hesitate to contact me if you have any questions or concerns. I may be reached at (425) 891-0964 or majike@msn.com. Alternatively, you may contact Hunter Abell at Williams Kastner & Gibbs at (206) 253-2885.

Very truly yours,



Scott Eisenhauer
State Chairman
Ducks Unlimited Washington

cc: John Tierney
Dave Marrone
Hunter Abell



John R. Tierney
Senior Regional Director • Northwest Washington

24250 SE Tiger Mountain Road • Issaquah, WA 98027 • 425.391.1521 • cell 425.891.2302 • jtierney@ducks.org

August 29, 2017

Washington State Gambling Commission
Attn: Ms. Tina Griffin
P.O. Box 42400,
Olympia, WA 98504

Dear Ms. Griffin:

The purpose of this letter is to provide information requested in your correspondence to Mr. Scott Eisenhauer on August 23, 2017. Specifically, this letter addresses how Washington State Ducks Unlimited is using raffle proceeds, how much is going to our efforts in Washington, and how raffle proceeds are used within the state.

Raffle proceeds are used to further our non-profit organizational mission to conserve, restore, and manage wetlands and associated habitats for North America's waterfowl. These habitats also benefit other wildlife and people. Since 1937, Ducks Unlimited, Inc., a 501(c) 3 organization, has conserved over 14 million acres in North America. Ducks Unlimited, Inc. has a membership base of 11,849 people within the state of Washington. In calendar year 2016, sixty-three volunteer coordinated local chapters raised over \$400 thousand dollars in raffle revenue at fund-raising events in Washington. In fact, for the fiscal year ending June 30, 2016, eighty-four (84) percent of funds raised by Ducks Unlimited, Inc. were directly leveraged to conservation work so vital to wetlands, waterfowl, other wildlife, and people.

Here in Washington State, raffle proceeds have assisted in conserving over 66,356 acres of critical habitat. As of January 1, 2017, Ducks Unlimited has spent over \$69.3 million dollars on habitat projects in Washington. These conservation projects occur throughout the state, are often completed in concert with the Washington State Department of Fish & Wildlife and the U.S. Fish & Wildlife Service. A prime example of this cooperation exists at the Billy Frank Jr. Nisqually National Wildlife Refuge. Here, in 2009, Ducks Unlimited led efforts to restore 762 acres of estuary tidelands in the Nisqually Delta - the largest such restoration project ever completed in the Pacific Northwest.

Enclosed to this letter are two documents that further illustrate our wetlands conservation mission: Washington State Ducks Unlimited Fact Sheet and Ducks Unlimited National Fact Sheet (January 2017).

Thank you in advance for your support of our rules petition and please contact me if I can assist further.

Sincerely,



FACT SHEET

WASHINGTON

(Based on numbers available 01/01/17)

MEMBERSHIP

GRAND TOTAL: 11,849

• Members: 11,571

General Members: 10,000

Greenwings: 355

Legacy Greenwing Members: 535

Sponsor Members: 681

• Major Sponsors: 278

Life Sponsor: 161

Diamond Life Sponsor: 44

Sponsor in Perpetuity: 21

Diamond Sponsor in Perpetuity: 12

Heritage Sponsor: 16

Diamond Heritage Sponsor: 3

Benefactor Roll of Honor: 10

Gold Benefactor Sponsor: 2

Diamond Benefactor Sponsor: 2

Legacy Sponsor: 4

Gold Legacy Sponsor: 1

Platinum Legacy Sponsor: 1

Diamond Legacy Sponsor: 1

Conservation Pioneer Sponsor: 0

Waterfowl Patron Sponsor: 0

Wetland Guardian Sponsor: 0

FEATHER SOCIETY MEMBERS: 116

• Silver: 95

• Gold: 10

• Emerald: 4

• Platinum: 2

• Diamond: 5

• Legacy Conservation Pioneer: 0

• Legacy Waterfowl Patron: 0

• Legacy Wetlands Guardian: 0

U.S. CONSERVATION PROGRAMS

• Total acres conserved in flyway: 1,109,763

• Total acres conserved in this state: 66,356

• Total technical assistance acres in flyway: 3,032,456

• Total technical assistance acres in state: 61,609

• Amount spent to date on projects in flyway: \$482,661,859

• Amount spent to date on projects in state: \$69,356,063

• Primary waterfowl species that benefit from DU's efforts include:
Canada Goose; Green-Winged Teal; Blue-Winged Teal; Wood Duck; Mallard; American Wigeon; Cinnamon Teal; Lesser Snow Goose

STATE FUND-RAISING EFFORTS

• Total event income dollars raised: \$1,250,713

• Number of committees: 63

• Number of fund-raising events: 135

YOUR STATE GOVERNMENT'S CONTRIBUTION TO DU FOR CANADIAN PROJECTS:

• Total contributions granted: \$55,000

Location of projects: Alberta

WASHINGTON STATE CHAIRMAN

Scott Eisenhauer, State Chairman

19727 SE Petrovitsky Road, Maple Valley, WA 98038

H (425) 413-8873 B (425) 586-1659 C (425) 891-0964

majike@msn.com

FOR INFORMATION ON DUCKS UNLIMITED EVENTS

CONTACT:

John R. Tierney, Senior Regional Director, NW WA

24250 SE Tiger Mountain Road, Issaquah, WA 98027-7336

(425) 391-1521

jtierney@ducks.org

Garrett Coussens, Regional Director, W SW & E WA

5290 Pinehurst Street, West Richland, WA 99353

(509) 423-3954

gcoussens@ducks.org

Jason Rounsaville, DFVR, Region 1

(530) 526-1011

jrounsaville@ducks.org

FOR INFORMATION ON BECOMING A MAJOR SPONSOR

CONTACT:

P. Michael Drake, Director of Development – Pacific NW

(360) 885-2011

mdrake@ducks.org

Steve Schmitt, Managing Director of Development

(360) 885-2011

sschmitt@ducks.org

FOR INFORMATION ON DU'S GIFT PLANNING PROGRAM

CONTACT:

Chris Cole, Managing Director of Gift Planning

(901) 758-3763

ccole@ducks.org

FOR INFORMATION ON DU'S CONSERVATION PROGRAMS

CONTACT:

Chris Bonsignore, Manager of Conservation Programs – N Basin

(509) 893-2834

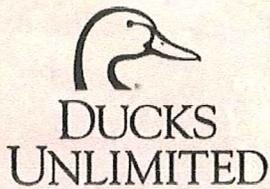
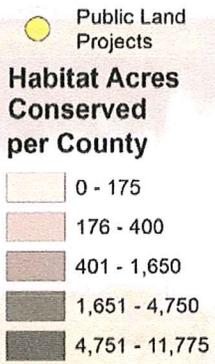
cbonsignore@ducks.org

Greg Green, Manager of Conservation Programs – Pacific NW

(360) 885-2213

ggreen@ducks.org

WASHINGTON



This illustration is intended for informational purposes only and the features depicted herein are not intended to be relied on as a survey, directional map, or legal instrument. Ducks Unlimited disclaims any liability associated with the use or misuse of this information. Please consult state and/or federal wildlife agencies for hunting regulations specific to any public hunting area in your state. For more information on National Wildlife Refuge Hunting Areas, visit: <http://www.ducks.org/hunting/2016/2016-hunting>. For more information on hunting regulations, visit: www.wa.gov

Data Current as of December 31, 2016

FACT SHEET


DUCKS
UNLIMITED

80 Years of Conservation



BACKGROUND

Ducks Unlimited got its start in 1937 during the Dust Bowl when North America's drought-plagued waterfowl populations had plunged to unprecedented lows. Determined not to sit idly by as the continent's waterfowl dwindled beyond recovery, a small group of sportsmen joined together to form an organization that became known as Ducks Unlimited. **Its mission: habitat conservation.**

Thanks to 80 years of abiding by that single mission, Ducks Unlimited is now the world's largest and most effective private, nonprofit, waterfowl and wetlands conservation organization. DU is able to deliver its work through a series of partnerships with private individuals, landowners, agencies, scientific communities and other entities.

Waterfowl are not the only beneficiaries of DU's habitat work. Wetlands improve the overall health of our environment by recharging and purifying groundwater, moderating floods and reducing soil erosion. Wetlands are North America's most

productive ecosystems, providing critical habitat to more than 900 wildlife species and invaluable recreation opportunities for people to enjoy. Unfortunately, the United States alone has lost more than half of its original wetlands, and tens of thousands of wetland acres continue to be lost—at an accelerating rate—each year.

MEMBERSHIP

Ducks Unlimited is a grassroots, volunteer-based organization. Its members are conservationists and outdoor enthusiasts who live primarily throughout the United States, Canada and Mexico.

For the fiscal year ending June 30, 2016, DU volunteers hosted more than 3,900 grassroots fundraising events such as member and sponsor banquets, shooting and fishing tournaments and golf outings. Event dollars represent some of DU's most important sources of revenue. Since event dollars are typically unrestricted, DU is able to spend these funds in our highest priority areas and use them to leverage additional funds from other sources.

OUR MISSION

is to conserve, restore and manage wetlands and associated habitats for North America's waterfowl. These habitats also benefit other wildlife and people.

HABITAT CONSERVATION PROGRAMS (As of January 1, 2017)

Acres conserved in Canada:	6,439,113	Acres Influenced in North America:	143,757,356*
Acres conserved in Mexico:	1,953,824	Total Acres Influenced and Conserved in North America	157,660,148
Acres conserved in the U.S.:	5,509,855		
Total Acres Conserved in North America:	13,902,792		

**Acres conserved in Canada through retention/restoration with agreements of <10 years or that DUC has influenced through policy measures.*

As of January 1, 2017, Ducks Unlimited Inc. had 620,853 adult members and 45,357 youth members. Ducks Unlimited Mexico had 4,662 members, while Ducks Unlimited Canada had more than 130,000 supporters.

HABITAT ACRES CONSERVED

BY DUCKS UNLIMITED AND OUR PARTNERS DURING FISCAL YEAR 2016



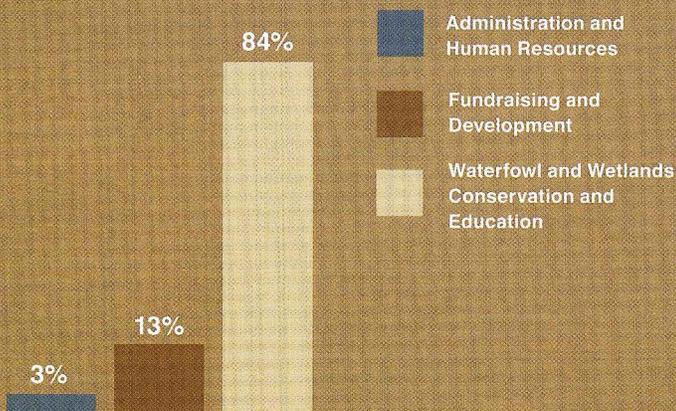
- Level I Breeding
- Level I Non-Breeding
- Additional Landscape Conservation Priorities

Ducks Unlimited habitat projects are in all 50 U.S. states, every Canadian province and in key areas of Mexico. The Landscape Conservation Priorities represent the most critical habitats in North America, where DU spends the majority of its resources.

WHERE THE MONEY GOES

(July 1, 2015 – June 30, 2016)

During the past fiscal year, 84 percent of DU's expenditures were converted directly to conservation work vital to ducks, geese and other wetland-dependent wildlife.



FUNDRAISING (U.S. ONLY)

(July 1, 2015 – June 30, 2016)

Revenue and support in 2015-2016 \$221.7 million
Revenue and support since 1937 \$4.5 billion

SOURCES OF SUPPORT AND REVENUE

(July 1, 2015 – June 30, 2016)

