

**EIGHTH AMENDMENT TO THE TRIBAL-STATE COMPACT  
FOR CLASS III GAMING BETWEEN  
THE JAMESTOWN S'KLALLAM TRIBE AND THE STATE OF WASHINGTON**

**INTRODUCTION**

The JAMESTOWN S'KLALLAM TRIBE (hereafter, "Tribe") and the STATE OF WASHINGTON (hereafter, "State") restated their Class III gaming compact on July 28, 2017, and further amended it on July 6, 2021 (the gaming compact, along with all appendices and amendments, hereafter the "Compact"), pursuant to the Indian Gaming Regulatory Act (hereafter, "IGRA"). At the request of the Tribe, the Tribe and State entered into negotiations for further amendments to the Compact. The parties have reached an agreement on Compact amendments as set forth in this document. The parties believe the conduct of Class III gaming under the terms and conditions set forth below will benefit the Tribe and the State and protect citizens of the Tribe and the State consistent with the objectives of IGRA.

**COMPACT AMENDMENT**

**1. Compact, Section II. O and V are amended to read as follows:**

- O. "Gaming Station" means one Gaming table of the general size and scope as commonly used in Nevada or Electronic Table Games, subject to Appendix G.
- V. "Pit" means the area enclosed or encircled by an arrangement of Gaming Stations, other than Electronic Table Games, in which Gaming Facility personnel administer and supervise the games played at the tables by the patrons located on the outside perimeter of the area.

**2. Compact Section III.G, as previously amended, is amended to read as follows:**

- G. Forms of Payment.  
All payment for wagers made in authorized forms of Class III Gaming conducted by the Tribe on its Tribal Lands, including the purchase of chips for use in wagering, shall be made by Cash or Cash Equivalent. Cash payments for wagers made through near-field communication (NFC) devices, EMV or smart cards, or similar secure payment technologies may be utilized upon agreement between the Tribe and the State Gaming Agency and documented in a Memorandum of Understanding. No credit shall be extended to any patron of the Gaming Facilities for gaming activities except as authorized in this Compact and Appendices.

**3. A new Section IV.B(4) is added to the Compact as follows:**

- (4) The Tribe may offer Electronic Table Games, subject to Appendix G.

4. Compact Section XII.B(2) is amended to read as follows:

(2) Tribal Jurisdiction

Civil disputes arising from the conduct of Gaming under the Gaming Code may be heard in the ~~Northwest Intertribal Court~~ Jamestown S’Klallam Tribal Court or appropriate administrative forum as established by the ~~Gaming~~ Jamestown S’Klallam Tribal Code.

5. A new Section XVII.G(6) is added to the Compact as follows:

(6) If the Washington State Lottery approves a purchase price per ticket greater than that provided under this Compact, the Gaming Operation may increase its maximum wagers and purchase price for scratch tickets or On-Line Lottery Wagers to match the Washington State Lottery increase, provided that the State and Tribe agree to incorporate into this Compact only the specific provisions and restrictions related to the purchase price, and such agreement will be documented in a memorandum of incorporation.

6. The Compact is hereby amended to add Appendix F—Limitations on Wagers, Credit, Facilities; Increasing Problem Gaming Resources and Contributions, in the form attached hereto, in its entirety.

7. The Compact is hereby amended to add Appendix G—Electronic Table Games, in the form attached hereto, in its entirety.

IN WITNESS WHEREOF, the Jamestown S’Klallam Tribe and the State of Washington have executed this Eighth Amendment to the Compact.

THE JAMESTOWN S’KLALLAM TRIBE

STATE OF WASHINGTON

BY: W. Ron Allen  
W. RON ALLEN  
Chairman, Jamestown S’Klallam Tribe

BY: Jay Inslie  
JAY INSLEE  
Governor

Dated: 7/28/2023

Dated: 8/16/23

**JAMESTOWN S'KLALLAM TRIBE  
and the  
STATE OF WASHINGTON  
CLASS III GAMING COMPACT**

**APPENDIX F  
LIMITATIONS ON WAGERS, CREDIT, FACILITIES;  
INCREASING PROBLEM GAMING RESOURCES AND CONTRIBUTIONS**

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1. Conditions and Limitations

This Appendix contains the concessions, limitations, and agreement of the Tribe and State with respect to the subject matter addressed herein. However, Compact provisions that are not addressed in this Appendix remain in full force and effect, unless and until they are subsequently amended pursuant to the processes set forth in the Compact. This Appendix contains interdependent conditions and consequences that must be accepted as a whole.

The Tribe and State agree that, although the Compact and its appendices become effective upon publication of notice of approval by the Secretary of the Interior of the United States in the Federal Register in accordance with 25 U.S.C. § 2710(d)(3)(B), the implementation of Appendix F shall be delayed until any of the provisions herein are implemented by the Tribe (“Implementation Date”). However, if certain provisions in this Appendix have been implemented through another appendix, the delayed implementation shall not apply to those provisions. The Tribe shall provide notice to the State if, as and when implementation of Appendix F commences.

All terms not defined herein shall have the same definitions as in the Tribe’s Compact and its amendments and appendices.

2. Higher Wager Limits at Gaming Stations

Due to the remote location, competitive market, and patron base of the Gaming Facility, the Tribe typically operates a limited number of Gaming Stations. While the Tribe does not expect to offer Gaming Stations with higher limits on a frequent basis, from time to time the Tribe would make such limits available to its patrons.

2.1. High Limit Room

2.1.1. “High Limit Room” means a clearly identified area of the Gaming Facility separated by a permanent, physical barrier or a separate room in the Gaming Facility. “Permanent, physical barrier” includes a partial wall, fence or similar separation. Stanchions or similar movable barriers are not considered a permanent, physical barrier.

2.1.2. The Gaming Operation may offer Gaming Station wager limits in the High Limit Room up to five thousand dollars (\$5,000) subject to the limitations of Section 2.4 below.

2.2. Flex High Limit Room

Due to the expected infrequency of higher limit play, constructing a High Limit Room may not be financially feasible for the Tribe. Constructing a High Limit Room could also unnecessarily stress operational and regulatory resources needed to protect these and other Gaming activities within the Gaming Facility without

substantial benefit to the Gaming Operation. For these reasons, the parties agree to the following provisions related to a Flex High Limit Room:

- 2.2.1. “Flex High Limit Room” means a multi-purpose room in the Gaming Facility that is used on a limited basis. The Flex High Limit Room must have the same operational requirements as a High Limit Room.
- 2.2.2. In the Flex High Limit Room, the Gaming Operation may offer up to four (4) Restricted Access Tables, subject to Section 5.1(3). Restricted Access Tables are Gaming Stations where wagers above \$500 and up to \$5,000 are allowed, subject to the limitations of Section 2.4 below.
- 2.2.3. The Gaming Operation may operate the Flex High Limit Room up to one hundred twenty (120) Gaming days per year.
- 2.2.4. The process for approving the schedule for use of Restricted Tables in the Flex High Limit Room will be outlined in the Internal Controls.

### 2.3. High Limit Tables

- 2.3.1. “High Limit Table” means a designated Gaming Station in the Gaming Facility separated by a movable or non-movable barrier, such as stanchions or partial wall, prominently labeled with signage designating it as a High Limit Table, and with wager limits higher than those provided in Section III.J of the Compact, subject to the requirements and limitations of this Appendix.
- 2.3.2. The Gaming Operation may offer Gaming Station wager limits not to exceed one thousand dollars (\$1,000) at the Gaming Facility’s High Limit Table(s) subject to the limitations of Section 2.4 below.

### 2.4. Limitations

- 2.4.1. Gaming at the Gaming Stations in the High Limit Room and the Restricted Access Tables shall be limited to customers pre-screened by the Gaming Operation. The pre-screening qualifications and screening process will be set forth in an MOU agreed upon by the State Gaming Agency and the Tribe, as may be amended from time to time.
- 2.4.2. No customers may participate in Gaming in the High Limit Room, in the Flex High Limit Room, or at a High Limit Table if they are known to the Gaming Operation to have a history of problem gambling, are barred for self-exclusion, or are identified by the Tribal Gaming Agency as demonstrating significant characteristics associated with problem gambling.

2.4.3. The Gaming Operation must follow the requirements of Title 31 (money laundering).

3. Extension of Credit

3.1. Notwithstanding Section III.G of the Compact, the Gaming Operation may extend credit to qualified patrons who seek an extension of credit and who meet the criteria set forth in credit procedures developed by the Gaming Operation. At a minimum, the credit procedures must specify:

3.1.1. All patrons requesting credit are required to submit a complete tribal credit application and be provided problem gambling information;

3.1.2. The minimum and maximum amount any patron can request;

3.1.3. The process for review and verification of the credit application. The review process shall include, at a minimum, proof of identity, obtaining a credit report, gaming report unless this is the first casino credit for the patron (from Central Credit Inc. or similar provider that provides information on the patron's prior casino credit), and bank verification of accounts;

3.1.4. When a patron's credit application will be reviewed after initial application and preapproval;

3.1.5. Patrons will not be extended credit if known to the Gaming Operation to have a history of problem gambling, if actively barred for self-exclusion, or if the Gaming Operation's review of a credit report indicates the patron is proposing to make wagers beyond his or her means;

3.1.6. How each patron's credit application information is kept confidential and secure from unauthorized access, including who is authorized to access the credit application information;

3.1.7. Information about patrons requesting credit are not shared or used for marketing or promotional purposes with entities outside the Gaming Operation;

3.1.8. How the preapproval amount is determined to be consistent with their credit report the preapproval amount is documented, and the patron is notified;

3.1.9. The preapproval is granted by a member of the management of the Gaming Operation that is independent of the patron; and

3.1.10. The repayment and debt collection requirements and notification includes:

3.1.10.1. Repayment timeframes not to exceed 90 days from the day of extension of credit.

3.1.10.2. Any late payment fees, penalties, interest charges, or similar fees or charges, settlement process and reports, and prohibition of further credit extension with an unpaid balance.

3.1.10.3. Following applicable federal debt collection laws.

3.1.10.4. Agreeing to the Jamestown S'Klallam Tribal Court for venue and personal jurisdiction.

3.2. The Tribal Gaming Agency shall forward to the State Gaming Agency a copy of approved credit procedures, and any changes to those procedures for review and concurrence prior to implementation per Section XI of the Compact.

4. Wagering Limits—Player Terminals.

4.1. Section 3.2.1(b) of Appendix X2 is amended as to read as follows:

All Scratch Tickets in a particular Game Set shall be of the same purchase price, ~~which shall not exceed \$5.00, with the exception that up to 15 percent of the Player Terminals in operation may have purchase prices of up to \$20.00 per Ticket.~~ The purchase price for a single ticket may not exceed \$30.00, provided that the minimum and maximum wagers must be visibly displayed on such machines. A single Ticket may offer an opportunity to enter another Game Set;

4.2. Section 4.1.4 of Appendix X2 is amended to read as follows:

Each On-line Lottery Game may offer more than one method of winning a prize, and each method may be represented by a separate wager, but each wager may not exceed ~~\$20.00~~ \$30.00. Wagers are deducted from the Game Play Credits displayed on the Player Terminal.

4.3 Section 7.1.10(b) of Appendix X2, "The percentage of Player Terminals offering wagers between \$5.01 and \$20," is stricken.

5. Facility Limits—Gaming Stations and Player Terminals.

5.1. If this Appendix is implemented, Gaming Station limits are as follows:

The maximum number of Class III Gaming Stations within the Gaming Facilities combined shall not exceed a total of one hundred twenty-five (125) Gaming Stations. The Tribe, in its sole discretion, shall determine the allocation of the 125 Gaming Stations between the Gaming Facilities.

- (1) At the option of the Tribe, one (1) additional Gaming Station (“the nonprofit station”) for every twenty-five (25) Gaming Stations allowed in a Gaming Facility. The proceeds from all nonprofit stations shall be dedicated to support nonprofit and charitable organizations and their activities located within Clallam County or the State of Washington. For purposes of determining “proceeds” from a nonprofit station only, proceeds shall mean the pro rata Net Win of the nonprofit station. The Gaming Code shall require regulations to be adopted concerning the types of bona fide nonprofit and charitable organizations or types of projects of such organizations that shall be supported by a nonprofit station.
- (2) The Tribe is required to obtain transfers of a Class III Gaming Station authorization from another tribe which has entered into a compact with the State for the use of Class III Gaming Stations, as defined in this Compact for any Class III Gaming Stations, except for nonprofit stations, beyond sixty (60) in total for all Gaming Facilities. The transfer of Class III Gaming Station authorization from another tribe shall be effectuated through the use of a “Class III Gaming Station Transfer Agreement” substantially in the form appended hereto as Appendix D of this Compact.
- (3) No more than thirty (30) of the maximum number of one-hundred twenty-five (125) Gaming Stations authorized above, or 25% of the total Gaming Stations in operation within a Gaming Facility, whichever is fewer, may be operated with wager limits greater than \$500.

5.2. Section 12.2.1 of Appendix X2 is amended to read as follows:

Subject to Section 12.4 below, the Tribe may operate no more than ~~2,500~~ 3,000 Player Terminals per facility (“Facility Limit”), and no more than a combined Player Terminal total (“Total Operating Ceiling”) of 3,000 Player Terminals in its Gaming Facilities. It is also agreed that upon the effective date of this Appendix, the Total Operating Ceiling for the Muckleshoot Tribe, Tulalip Tribes, and Puyallup Tribe shall be 3,500 for each of those three tribes until the third anniversary of the effective date of this Appendix, at which time it shall increase to 4,000 for each of those same three tribes. It is further agreed that the Tribe shall not be entitled as a matter of right to an increase in its Total Operating Ceiling based on the fact that the Muckleshoot Tribe, the Tulalip Tribes, and the Puyallup Tribe are entitled under this Appendix to operate up to the separate, higher Total Operating Ceiling(s) established specifically for them in this Appendix.

## 6. Contributions.

In order to provide for impacts to local community services that may arise as a result of the Gaming authorized under the Compact and this Appendix F, if any, the Tribe agrees to begin accruing funds at the new rates upon the effective date of this Appendix and



make payments as specified below if, as, and when this Appendix is implemented as provided in Section 1 above.

6.1. Section 14.6.1 of Appendix X2, is amended to read as follows:

Except in Sections 14.2 and 14.4, as used in Section 14, the term “net win” shall mean the total amount of Tribal Lottery System revenue after prizes or winnings have been paid out (i.e., the difference between the amount wagered or played and the amounts paid to winners) as reported as gaming revenue on the annual audited financial statements in accordance with Generally Accepted Accounting Principles (GAAP), ~~less any cost of developing, licensing, or otherwise obtaining the use of the Tribal Lottery System.~~ In Sections 14.2 and 14.4, the term “net win” shall mean the total amount of Class III gaming revenue after prizes or winnings have been paid out (i.e., the difference between the amount wagered or played and the amounts paid to winners) as reported as gaming revenue on the annual audited financial statements in accordance with GAAP, ~~less any cost of developing, licensing, or otherwise obtaining the use of the Class III games;~~

6.2. Section 14.4 of Appendix X2, as previously amended, is replaced in its entirety to read as follows:

Problem Gambling. The Tribe shall contribute an amount as described below to programs for problem gambling education, awareness, prevention, and treatment for tribal and non-tribal citizens in the State of Washington. Contributions shall be made to charitable and/or non-profit organizations, or to governmental organizations which may include the Health Care Authority’s Division of Behavioral Health and Recovery or a successor agency with expertise in providing awareness, prevention, education, outreach, treatment, and recovery support services for problem gambling. Beginning on the Implementation Date and until June 30, 2024, the Tribe shall accrue 0.20 percent (0.2%) of the net win derived from all Class III gaming activities, determined on an annual basis. Beginning on July 1, 2024, and subsequent fiscal years, the Tribe shall accrue 0.26 percent (0.26%). The percent of net win accrued shall be paid annually within one year of the close of the Tribe’s fiscal year.

6.3. Section 14.2 of Appendix X2 is amended to read as follows:

Charitable Donations. One-half of one percent (0.5%) of the Net Win derived from ~~Tribal Lottery System~~ all Class III Gaming activities, determined on an annual basis using the Tribe’s fiscal year, shall be donated to non-tribal bona fide non-profit and charitable organizations registered with the Secretary of State to provide services in the State of Washington, unless exempt from such registration by the Secretary of State.

- 6.4. Compact Section III.F(1) and Appendix X2 Section 14.1 are amended to read as follows:

Compact Section III

F. Community Contribution

- (1) The Tribe recognizes that activities directly and indirectly associated with the operation of its Gaming Facilities may impact Local Law Enforcement Agencies, emergency services, and other services and place an increased burden on them. The Tribe hereby agrees to establish an Impact Mitigation Fund for purposes of providing assistance to impacted non-Tribal Law Enforcement, emergency services, and/or service agencies (including those agencies responsible for traffic and transportation, as well as those that provide services to support problem or pathological gambling) showing demonstrated impacts by the Class III Gaming Facilities. The Tribe agrees to withhold and disburse up to ~~two percent (2.0%)~~ one and three quarters of one percent (1.75%) of the Net Win from Gaming Stations within the Gaming Facilities, except as otherwise excluded under the provisions of this Compact, for the Impact Mitigation Fund. Except as provided in Appendix X2, Section 14.1, no Tribal Lottery System activity revenues, proceeds from a nonprofit station as authorized under Section III.I, Class II gaming revenues, or non-gaming revenues, such as, but not limited to, food, beverage, wholesale or retail sales, shall be included, with the ~~two percent (2.0%)~~ one and three quarters of one percent (1.75%) as set forth in this section.

Appendix X2

- 14.1 Impact Costs. Up to ~~one-half of one percent (0.5%)~~ six tenths of one percent (0.6%) of the net win derived from Tribal Lottery System activities, determined on an annual basis using the Tribe's fiscal year, shall be added to any amounts payable and distributable from other Class III activities under the Compact in order to meet community impacts, to the extent such Compact amounts are insufficient to meet actual and demonstrated impact costs.

7. Problem, Pathological, and Responsible Gambling Programs.

- 7.1. The Tribe agrees to establish an education and awareness program for Tribal Lands and surrounding communities as required in Compact Section III.F.
- 7.2. The Tribe and State Gaming Agency recognize the importance of responsible gambling as part of the shared responsibility to protect the health, welfare, and

safety of the citizens of the Tribe and of the State. As part of that responsibility, the Tribe agrees to:

- 7.2.1. Create and maintain a responsible gambling policy that addresses at least the following areas:
  - 7.2.1.1. Annual training and education for all Gaming Employees to cover such topics as how to identify problem gamblers, how to provide assistance when asked, underage prevention, and unattended children; and
  - 7.2.1.2. Self-exclusion, to cover such topics as the receipt of marketing materials and access into the facility. Notwithstanding the foregoing, the Tribe has a policy that any individual self-barring shall not be readmitted to the Gaming Floor at any time unless accompanied by security on the way to and from a restaurant location.
- 7.2.2. Provide resources, to include such topics as posting hot line numbers, signage, educational brochures and materials on how to seek treatment.
- 7.2.3. Within five (5) years, or as soon as feasible thereafter based on reliable technological availability, include in the Tribe's education and awareness program an interactive responsible gambling application or program for players; such gambling application or program may be separate and need not be integrated into the authorized Class III Gaming Activities and other Gaming Activities.

**JAMESTOWN S'KLALLAM TRIBE  
and the  
STATE OF WASHINGTON  
CLASS III GAMING COMPACT**

**APPENDIX G  
ELECTRONIC TABLE GAMES**

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## SECTION 1. CONDITIONS AND LIMITATIONS

This Appendix contains the interrelated concessions, limitations, and agreement of the Tribe and State with respect to the subject matter addressed herein. Provisions of the Compact and appendices, as amended (together, the “Compact”), that are not addressed in this Appendix remain in full force and effect, unless and until they are subsequently amended pursuant to the processes set forth in the Compact. This Appendix contains interdependent conditions and consequences that must be accepted as a whole in order to adopt this Appendix.

The Tribe and State agree that, although the Compact and its appendices become effective upon publication of notice of approval by the Secretary of the Interior of the United States in the Federal Register in accordance with 25 U.S.C. § 2710(d)(3)(B), the implementation of this Appendix shall be delayed until any of the provisions herein are implemented by the Tribe (“Implementation Date”). However, if certain provisions in this Appendix have been implemented through another appendix, the delayed implementation shall not apply to those provisions. The Tribe shall provide at least ten (10) days advance notice to the State prior to implementation of this Appendix.

Any provisions of the Compact and Appendices that do not conflict with these standards shall apply to Electronic Table Games. To the extent they do not conflict, existing Internal Controls, game rules, and similar documents in effect as of the date of the publication of the Eighth Amendment remain in full force and effect unless and until they are subsequently modified pursuant to the process set forth in the Compact.

## SECTION 2. DEFINITIONS

The following terms apply to this Appendix. All capitalized terms not defined herein, to the extent they do not conflict, shall have the same definitions as in the Compact.

“Component” means Electronic Table Game Terminals, any dealer interface, the Electronic Wagering System, and hardware, software, and servers that function collectively to simulate table game operations and are necessary to operate the Electronic Table Game System.

“Communal Shoe” means a randomly shuffled and dealt deck or decks of cards, whether physical or electronic, that removes each card played until the round of play is completed according to the approved game rules.

“Electronic Table Game” or “ETG” means an electronic version of a Class III table game.

“Electronic Table Game System” or “ETG System” means a system that utilizes electronics in connection with the generation, collection, storage, and communication of game outcome, accounting, and significant event data, including all Components thereof, to operate Electronic Table Games.

“Electronic Table Game Terminal” or “ETG Terminal” means a computer housed in a cabinet with input device(s) and video screen(s) where a player may play Electronic Table Games.

“Electronic Wagering System” means a Component of the ETG System that includes a computer or server and any related hardware, software or other device that facilitates patron play at an Electronic Table Game.

### **SECTION 3. AUTHORIZATION**

- 3.1 The ETG System must be honest, fair, secure, reliable, auditable, and compliant with the Compact and the standards contained in this Appendix and any related MOU.
- 3.2 System Conditions. An ETG System is authorized when the ETG System does not allow a patron to play a device prohibited by RCW 9.46.0233(1)(b) and RCW 67.70.040(1)(a) (“Play Against the Machine”). An ETG System does not allow Play Against the Machine when:
  - 3.2.1 there is a human dealer involved in the play of the ETG (“Dealer Controlled”); or
  - 3.2.2 the play of the ETG does not involve a human dealer, and the ETG System is configured for play between two or more patrons against the same roll of dice or spin of the wheel, or a Communal Shoe of electronic cards (“Non-Dealer Controlled”); however, only one patron is needed to initiate game play; or
  - 3.2.3 it is a hybrid of Dealer Controlled and Non-Dealer Controlled, provided that any ETG played as Dealer Controlled follows applicable Dealer-Controlled standards set forth in this Appendix and any ETG offered as Non-Dealer Controlled follows applicable Non-Dealer Controlled standards set forth in this Appendix.
- 3.3 Specific Games
  - 3.3.1 The Tribe may offer for play an ETG version of any Class III table game approved in Compact Section IV.B. Pay table or odds offered on an ETG shall be consistent with game rules as approved in Section XI.C. ETG game rules must be displayed on each ETG Terminal.
  - 3.3.2 An ETG Terminal may allow for play any other Class III activity as authorized under the Compact and Appendices, other than the Tribal Lottery System or any Gaming activity with a limited allocation.
  - 3.3.3 Concurrent play. Patrons may play more than one ETG concurrently using a single ETG Terminal under the following requirements:

- a. An ETG Terminal must display clear information about each ETG available for play and such information must be available to a patron without the patron first placing a wager.
- b. An ETG Terminal must display each ETG selected for play by the patron.
- c. An ETG Terminal must display the decisions and outcomes of play for each ETG selected by the patron.
- d. An ETG may not be added to or removed from an ETG Terminal in use by a patron.

3.4 Wager Limits. Wager limits for ETGs shall not exceed \$500.

3.5 Electronic Wagering System. An ETG shall be activated with an Electronic Wagering System that meets the standards described in Section 5.1.2. An ETG Terminal shall not issue coin or U.S. currency at the conclusion of a patron's play.

#### **SECTION 4. NUMBER OF GAMING STATIONS**

Every nine (9) ETG Terminals shall constitute one Gaming Station. If the number of ETG Terminals put into play is not perfectly divisible by nine (9), then any remainder less than nine (9) will constitute a Gaming Station. For example, if ten (10) ETG Terminals are in operation, it will constitute two (2) Gaming Stations.

#### **SECTION 5. OPERATION AND REPORTING REQUIREMENTS**

5.1. Standards for Operation.

5.1.1 Any ETG must comply with the standards established by this Appendix and any applicable provision of the Compact, and must meet or exceed all applicable standards of Gaming Laboratories International's Standards GLI-24 (Electronic Table Game Systems) ("GLI-24") and GLI-25 (Dealer Controlled Electronic Table Games), as amended or modified. Any standards that contemplate features or functionalities of an ETG System that conflict with Section 3 of this Appendix are not applicable, and such features or functionalities are prohibited.

5.1.2 Any Electronic Wagering System must meet or exceed Gaming Laboratories International's Standard GLI-16 (Cashless Systems in Casinos), the standards established by this Appendix, any applicable provision of the Compact, including Cashless Transaction System as defined in Appendix X2, and any related MOU. Provided, any "Cashless Systems in Casinos" that would add money to or take money from a patron's account without a cashier or kiosk would require negotiations in Section XVII.G.

5.1.3 The Tribal Gaming Agency and the State Gaming Agency may mutually agree in writing to alternative standards for any ETG System authorized in Section 3 in lieu of the GLI standards described in Sections 5.1.1 and 5.1.2 above that maintain the integrity and security of the ETG System.

5.2. Internal controls. Prior to offering ETGs for play, the Tribe must implement Internal Controls as minimum operating standards to govern the operation and management of the ETG System.

5.2.1 Initial Internal Controls. The Tribal Gaming Agency shall forward to the State Gaming Agency its initial Internal Controls for ETG Systems for review and concurrence. The Tribal Gaming Agency shall detail how the Internal Controls meet or exceed the requirements described in this Appendix or any related MOU. The State Gaming Agency concurrence with the Tribal Gaming Agency proposal shall be deemed granted after twenty (20) days of receipt of the Tribal Gaming Agency proposal if no disapproval in writing is received from the State Gaming Agency. The State Gaming Agency shall only disapprove such portions of a proposal it finds would have a material adverse impact on public interest or on the integrity of ETG System(s) and shall detail the reasons for disapproval. If a dispute regarding this process cannot be resolved by the Tribal Gaming Agency and State Gaming Agency within thirty (30) days, the Parties may seek dispute resolution pursuant to the Compact.

5.2.2 Minimum Requirements. The Internal Controls will address the following, at a minimum:

- a. Description of Gaming Employees who perform essential functions, supervisory authority, handling payouts on winning vouchers.
- b. User access controls for ETG personnel;
- c. Segregation of duties;
- d. Procedures for receiving, investigation and responding to patron complaints;
- e. Accounting and audit procedures;
- f. Procedures to ensure the physical security of the ETG Systems, including key controls and Closed Surveillance System coverage;
- g. Procedures to ensure the integrity and security of all sensitive data and software;
- h. Procedures to ensure that access to sensitive data and software is limited to appropriate personnel;



- i. Procedures to ensure accurate accounting of wagers and payouts;
  - j. Procedures to ensure the logging of the events and the availability of records to permit an effective audit of the conduct of the ETG System and the reporting of revenue;
  - k. All existing Internal Controls are updated, as necessary, to ensure there are no conflicts with any Internal Controls governing ETG Systems; and
  - l. Any other internal controls deemed necessary by the State Gaming Agency and Tribal Gaming Agency.
- 5.3. Required Reports. Reports necessary to record information as deemed necessary by the Tribal Gaming Agency or as required by Internal Controls must be generated. These reports may include, but are not limited to, all applicable reports as outlined in Section 2.21 of GLI-24.
- 5.4. Training. A manufacturer's prototype (e.g., test cart) of the version of the ETG System that will be installed at the Gaming Facility will be delivered to the State Gaming Agency for training purposes prior to field testing. The State Gaming Agency will collaborate with the Tribal Gaming Agency to provide additional training opportunities related to ETG Systems. The Tribal Gaming Agency and the State Gaming Agency may mutually agree to an alternative to a prototype.

## **SECTION 6. APPROVAL OF ELECTRONIC TABLE GAME SYSTEMS**

- 6.1. Purpose. The general purpose of testing an ETG System pursuant to this Section 6 is to determine the compliance of the ETG System with this Appendix and any applicable MOU(s).
- 6.2. Independent Test Laboratory (ITL) Testing for ETG System, Upgrades, and New Equipment; Modifications.
- 6.2.1 ITL Requirement. Each new or upgraded ETG System may be offered for play only if it has been tested and certified as meeting the applicable standards of this Appendix and any related MOU by an ITL selected by the Tribe from SGA's approved ITL list.
  - 6.2.2 ITL Reports and Certification. At the conclusion of testing, the ITL shall provide to the Tribal Gaming Agency and the State Gaming Agency its certification and supporting documentation. If the ITL provides sufficient documentation that the ETG System or relevant Component has been tested and certified by that ITL in any other jurisdiction and it meets the requirements of this Appendix, without any subsequent modifications, that shall be sufficient to satisfy this requirement.
  - 6.2.3 Modifications. No substantive modification to any ETG System may be made after testing, certification, and approval without certification of the modification

by an ITL. The following modifications are not considered substantive and do not require ITL certification or notification to the State Gaming Agency: (a) changes to content not related to any regulated feature; (b) adding or removing users; (c) any system configuration changes that have no impact on the accuracy of report information including gaming revenue; and (d) minor modifications to hardware.

### 6.3. Field Testing for ETG Systems.

6.3.1 A new ETG System may only be offered for play subject to field testing at the Tribe's Gaming Facility as described below.

6.3.2 The terms, conditions, criteria, and objectives for each ETG System to be field tested must be jointly agreed to by TGA and SGA in writing prior to field testing and must include at least:

- a. The requirements and standards that must be met to determine successful field testing.
- b. The number of ETG Terminals to be included in the field test.
- c. The demarcation of the testing area of the gaming floor and necessary signage.
- d. The appropriate length of the testing period.
- e. Identification of who will receive updates, how the updates will be sent, and when they will be sent.

### 6.3.3 Approval.

- a. After a minimum of thirty (30) days of active operation of field testing free of substantial errors, the Tribal Gaming Agency and State Gaming Agency may end field testing and approve the ETG System if the final joint field testing report provides, at a minimum, the details of testing, any issues identified, the resolution of those issues, and overall performance and compliance of the ETG System with applicable standards.
- b. The ETG System shall be deemed approved after ninety (90) days of active operation unless disapproved in writing by the Tribal Gaming Agency or State Gaming Agency detailing the reasons for disapproval.
- c. Field testing may be suspended by the Tribal Gaming Agency, State Gaming Agency, or the manufacturer at any time for non-compliance.

Once the Tribal Gaming Agency and State Gaming Agency agree the non-compliance issue is resolved, field testing may resume.

- 6.4. Implementation of Approved ETG by another tribe. The Tribe may conduct their own field testing period as outlined above or, once field testing is completed for another tribe, the Tribe may rely on that testing for approval when its ETG System is the same and configured in the same way.

## **SECTION 7. COMMUNITY CONTRIBUTIONS**

“Net Win from Gaming Stations” as used in Section III.F of the Compact shall include Net Win from ETGs.

## **SECTION 8. PROBLEM AND RESPONSIBLE GAMING**

- 8.1 Monetary Contribution. Section 14.4 of Appendix X2, as previously amended, is replaced in its entirety to read as follows:

Problem Gambling. The Tribe shall contribute an amount as described below to programs for problem gambling education, awareness, prevention, and treatment for tribal and non-tribal citizens in the State of Washington. Contributions shall be made to charitable and/or non-profit organizations, or to governmental organizations which may include the Health Care Authority’s Division of Behavioral Health and Recovery or a successor agency with expertise in providing awareness, prevention, education, outreach, treatment, and recovery support services for problem gambling. Beginning on the Implementation Date and until June 30, 2024, the Tribe shall accrue 0.20 percent (0.2%) of the net win derived from all Class III gaming activities, determined on an annual basis. Beginning on July 1, 2024, and subsequent fiscal years, the Tribe shall accrue 0.26 percent (0.26%). The percent of net win accrued shall be paid annually within one year of the close of the Tribe’s fiscal year.

- 8.2 Commitment to Responsible Gaming. The Tribe and State Gaming Agency recognize the importance of responsible gambling as part of the shared responsibility to protect the health, welfare, and safety of the citizens of the Tribe and of the State. In addition to the requirements of Appendix S, Section 8, the Tribe agrees to display a commitment to responsible gambling and a link to the Gaming Operation’s responsible gambling policy on each ETG Terminal.

## **SECTION 9. ANTI-MONEY LAUNDERING**

The Tribe’s Internal Controls will describe how the Tribe will comply with applicable federal requirements including requirements imposed by the Federal Trade Commission (FTC), Office of the Comptroller of the Currency (OCC), Financial Crimes Enforcement Network (FinCEN), Consumer Financial Protection Bureau (CFPB), Office of Foreign Assets Control (OFAC) and the US Department of Treasury.