



STATE OF WASHINGTON
GAMBLING COMMISSION

"Protect the Public by Ensuring that Gambling is Legal and Honest"

Great Wolf Lodge
20500 Old Hwy 99 SW
Centralia, WA 98531
May 11 & 12, 2017

Please note, agenda items may be taken out of sequence at the discretion of the Chair

Public Meeting – May 11, 2017	
9:30	Welcome - Executive Session – <i>Bud Sizemore, Chair</i> Discuss Pending Investigations, Tribal Negotiations and Litigation
ADMINISTRATIVE PROCEDURE ACT PROCEEDINGS	
11:15	Break
Tab 1 11:30	Squaxin Island Compact Amendment <i>Julie Lies, Tribal Liaison</i> <i>Ray Peters, Squaxin Island Intergovernmental Tribal Council Liaison</i>
Tab 2 12:30	Presentation - Problem Gambling <i>William "Ted" Hartwell, Assoc. Research Scientist, Desert Research Inst., Nevada</i> <i>System of Higher Education</i> <i>Sydney Smith, LPC, LADC, NCGC, Ph.D. Candidate; CEO and Clinical Director, Rise</i> <i>Center for Recovery</i>
Tab 3 1:30	Presentation - Tribal Community Contributions <i>Cathy Harvey, Agent in Charge</i> <i>Kelly Main, Special Agent Supervisor</i>
	Other Business/General Discussion/Comments from the Public
	Meeting Adjourn Reconvene Tomorrow at 9:00 AM

Upon advance request, the Commission will pursue reasonable accommodations to enable persons with disabilities to attend Commission meetings. Questions or comments pertaining to the agenda and requests for special accommodations should be directed to Julie Anderson, Executive Assistant at (360) 486-3453 or TDD (360) 486-3637. Questions or comments pertaining to rule changes should be directed to the Rules Coordinator (360) 486-3447.



STATE OF WASHINGTON
GAMBLING COMMISSION

"Protecting the Public by Ensuring that Gambling is Legal and Honest"

March 20, 2017

Bud Sizemore, Chairman
Washington State Gambling Commission
PO Box 42400
Olympia, WA 98504

Dear Chairman Sizemore:

I am sending this correspondence to notify you that we have reached a tentative agreement with the Squaxin Island Tribe to amend their Class III Gaming Compact. Per RCW 9.46.360, when a tentative agreement with a Tribe is reached, a standing committee of the House of Representatives and the Senate will, within 45 days of receipt, hold a hearing and forward any comments to the Gambling Commission. The Gambling Commission, including ex officio members, then votes, usually after giving the public an opportunity to comment, to determine whether to forward the proposed compact to you for review and execution or return it to me with instructions for further negotiation.

The Gambling Commission plans to hold its public hearing concerning the proposed Class III Gaming Compact Amendment on May 11, 2017, at the Great Wolf Lodge, Grand Mound, Washington. The meeting will likely begin at 9am; the exact time will be available on our website (www.wsgc.wa.gov) on approximately May 8, 2017.

I have enclosed a summary and a copy of the proposed Class III Gaming Compact amendment. For additional information, please call me at 360 486-3512, or the Commission's Assistant Attorney General, John Meader at 360 586-3181.

Sincerely,

David E. Trujillo
Director

Enclosures:
Amendment
Summary of changes



Bud Sizemore, Chairman

March 20, 2017

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Duplicate Original:

The Honorable David Lopeman, Chair, Squaxin Island Tribe

The Honorable Bud Sizemore, Chair, Washington State Gambling Commission

The Honorable Michael Baumgartner, Chair, Senate Commerce, Labor & Sports Committee

The Honorable David Sawyer, Chair, House Commerce & Gaming Committee

cc: Commissioners and Ex-Officio Members, Washington State Gambling Commission

Cyrus Habib, Lieutenant Governor

The Honorable Frank Chopp, Speaker of the House

The Honorable Mark Schoesler, Senate Majority Leader

David Postman, Chief of Staff, Governor's Office

Nicholas Brown, General Counsel, Governor's Office

Drew Shirk, Executive Director, Legislative Affairs Office

Keith Phillips, Executive Director, Policy Office

Sheri Sawyer, Senior Policy Advisor for Government Operations, Office of Governor Jay Inslee

Annette L. Hayes, U.S. Attorney, Western District of Washington

John Meader, Counsel, Attorney General's Office

Mark Phillips, NW Regional Director of the National Indian Gaming Commission

Craig A. Bill, Executive Director, Governor's Office of Indian Affairs

Rick Garza, Director, Liquor and Cannabis Board

Bill Hanson, Director, Lottery Commission

Douglas Moore, Executive Secretary, Horse Racing Commission

Nathan Schreiner, Attorney, Squaxin Island Legal Department

Summary of the Squaxin Island Tribe Proposed Compact Amendment

The Indian Gaming Regulatory Act of 1988, 25 U.S.C. § 2701 *et. seq.*, provides that Indian tribes may conduct Class III gaming activities (*e.g.* Las Vegas-style games) on Indian lands when the gaming is conducted in conformance with a Tribal-State compact.

RCW 9.46.360 provides that the Director of the Gambling Commission shall negotiate gaming compacts for the State, and must give notice of the proposed compacts to the Gambling Commission and the Legislature once a tentative agreement is reached.

Within 45 days of receiving the proposed compact, a designated committee from each house of the Legislature must hold a public hearing on the compact and forward any respective comments to the Gambling Commission. Within 60 days of receiving the proposed compact, the Gambling Commission must vote on whether to forward the compact to the Governor for signature or to return it to the Director for further negotiations.

The Squaxin Island Tribe's Tribal-State Compact for Class III Gaming was originally signed on July 27, 1993, and has been amended four times. The Squaxin Island Tribe's proposed Fifth Amendment includes the following changes:

- Increases number of Class III gaming facilities from one (1) to two (2).
10 other tribes are authorized to operate at least two facilities.
- Includes the definition of Indian Lands from the Indian Gaming Regulatory Act to align Compact definition with the Act, and allow a gaming facility on qualifying land that is not within the reservation boundaries. *12 tribes have this definition in their compact.*
- Allows tribally chartered entities wholly-owned by the Tribe to own the Class III gaming facility.
2 Tribes have this provision.
- Adds the definitions of "Gaming" or "Gaming Activity" and "Gaming Promotions".
These are new definitions to try to clarify what is gaming and what is a promotion.
- Adds an annual reporting of how problem gambling funds from Appendix X2, Section 14.4 were used.
Updated language to provide more details on how problem gambling fund use.
- Adds Eligibility which gives the Tribe a larger role in licensing employees. The Tribe will conduct the initial background checks and license the employees. The state will no longer certify employees, but will continue to conduct its own background checks. Any employee determined by the state to be ineligible for licensing will not be licensed by the Tribe.
3 Tribes have Eligibility.
- Allows persons between the ages of 18 and 21 to play in accordance with applicable liquor laws.
12 Tribes have this provision.
- Housekeeping changes to some sections regarding reporting and removed confliction in the most favored nation amendment provision.

**FIFTH AMENDMENT TO THE TRIBAL-STATE COMPACT
FOR CLASS III GAMING BETWEEN
THE SQUAXIN ISLAND TRIBE AND THE STATE OF WASHINGTON**

INTRODUCTION

The SQUAXIN ISLAND TRIBE (hereafter "Tribe") and the STATE OF WASHINGTON (hereafter "State") entered into a Class III gaming compact (hereafter "Compact") on July 27, 1993, pursuant to the Indian Gaming Regulatory Act of 1988 (hereafter "IGRA"). At the request of the Tribe, the Tribe and State entered into negotiations for further amendments to the Compact. The parties have reached an agreement to amend the Compact as set forth in this document. The parties believe the conduct of Class III gaming under the terms and conditions set forth below will, from a regulatory perspective, benefit the Tribe and the State and protect members of the Tribe and citizens of the State consistent with the objectives of IGRA.

COMPACT AMENDMENT

1. Section II, G, H, I, M, N, V, and X are amended and AA, BB, and CC are added as follows:

- G. "Gaming Employee" means any individual employed in the operation or management of gaming in connection with the Tribe's gaming operation or ~~facility~~facilities, whether employed by or contracted to the Tribe or by or to any person or enterprise providing gaming operation or management services to the Tribe, including but not limited to, gaming operation managers and assistant managers; accounting personnel; surveillance and security personnel; cashiers; supervisors; dealers or croupiers, box men; floormen; pit bosses; shift bosses; cage personnel; collection personnel; gaming consultants; parimutuel clerks; management companies and their principals; and any person whose employment duties require or authorize access to areas of the gaming ~~facility~~facilities related to gaming which are not otherwise open to the public, or to areas designated by the Tribal and State Gaming Agencies.
- H. "Gaming Facility" or "Gaming Facilities" means the building or buildings or portion thereof in which Class III Gaming activities as authorized by this Compact are conducted on the Squaxin Island ~~Reservation~~ Tribal Lands.
- I. "Gaming Operation" means ~~the an~~ an enterprise operated by the Tribe ~~on the Squaxin Island Reservation~~ for the conduct of any Class III gaming in any gaming facility.
- M. "Local Law Enforcement Agency" means any non-Tribal law enforcement agency in the vicinity of the gaming operation and which has jurisdiction to enforce state laws on ~~the Squaxin Island Reservation~~ Tribal Lands, or is subject to the terms of a cross deputization agreement. Except as specifically provided in this Compact, nothing in this definition or

in any provision set forth herein, however, is intended to expand, waive or confer or limit any jurisdiction upon any law enforcement agency on ~~the Squaxin Island Reservation~~ Tribal Lands.

- N. "Management Entity" means any individual with whom, or other business entity with which, the Tribe has entered into a contractual agreement for financing, development or operation of any Class II or Class III gaming establishment on ~~the Squaxin Island Reservation~~ Tribal Lands.
- V. "Tribal Law Enforcement Agency" means any police force which may be established and maintained by the Tribe pursuant to the Tribe's powers of self-government to carry out law enforcement within ~~the Squaxin Island Reservation~~ Tribal Lands.
- X. "Tribe" means the Squaxin Island Tribe, its authorized officials, agents, and representatives, to include federally or tribally chartered entities wholly-owned by the Tribe.
- AA. "Gaming" or "Gaming Activity" means staking or risking valuable consideration upon the outcome of a contest of chance or a future contingent event not under the person's control or influence, upon an agreement or understanding that the person or someone else will receive something of value in the event of a certain outcome. Gaming does not include "Gaming Promotions", as that term is defined below.
- BB. "Gaming Promotions" means a way to encourage players to participate in a gaming activity. A gaming promotion cannot require the participants to give valuable consideration in order to participate in the promotion.
- CC. "Reservation" for the purposes of this Compact and all appendices and attachments thereto includes all Squaxin Island Tribal Lands.

2. Section III, A, B, E, F, G, H, I, J, K, L, M, and N are amended to read as follows:

- A. **Scope of Class III Gaming Activities.** The Tribal gaming operation may utilize in its gaming ~~facility-facilities~~, subject to the provisions of this Compact, any or all of the Class III gaming activities:
1. Baccarat;
 2. Beat My Shake;
 3. Beat the Dealer;
 4. Blackjack;
 5. Chemin De Fer;
 6. Chuck-a-luck;
 7. Craps;
 8. 4-5-6;
 9. Horses (stop dice);

10. Horse Race;
11. Money-wheel;
12. Satellite (off-track) wagering on horse races, subject to Appendix B;
13. Over/Under Seven;
14. Poker, including Pai Gow;
15. Red Dog;
16. Roulette;
17. Ship-Captain-Crew;
18. Sic-Bo;
19. Sweet Sixteen;
20. Punchboards and Pull Tabs, subject to Appendix B; and
21. Tribal Lottery Systems. Notwithstanding anything in this Compact which could be construed to be the contrary, Tribal Lottery Systems operated in conformity with Appendix X and Appendix X2 are hereby authorized.

- B. Punchboards and Pull Tabs and Washington State Lottery – Separate Locations.** In addition to the games authorized by Section III.A, the Tribe will utilize punchboards and pull tabs in the ~~facility~~ facilities and at other locations within Squaxin Island Tribal Lands subject to regulation by the Tribe. Punchboards and pull tabs operated outside of the Tribal gaming ~~facility~~ facilities shall be operated in a manner consistent with the sale of punchboards and pull tabs in the Tribal bingo facility. The operation of State lottery retail locations within Squaxin Island Tribal Lands shall be subject to the provisions of RCW 67.70, WAC 315, and Tribal Ordinance.
- E. Authorized Gaming Operation and ~~Facility~~ Facilities.** The Tribe may establish ~~one~~ a Class III gaming operation and two (2) gaming ~~facility~~ facilities, to be located on the Squaxin Island ~~Reservation~~ Tribal Lands for the operation of any Class III games authorized pursuant to this Compact.
- F. Forms of Payment.** All payment for wagers made in authorized forms of Class III gaming conducted by the Tribe ~~on the Squaxin Island Reservation~~, including the purchase of chips or tokens for use in wagering, shall be made by cash, cash equivalent, credit card or personal check. Except for said use of credit cards, no credit shall be extended to any patron of ~~the~~ a gaming facility for gaming activities.
- G. Size of Gaming Floor.** The actual size of the gaming floor devoted to Class III activities within ~~the~~ a gaming facility, including floor space used in connection with the conduct of satellite wagering, shall be determined by the Tribe.
- H. Number of Gaming Stations.** ~~For the initial period, the maximum number of Class III~~ The Tribe has the option to use a total of seventy-five (75) gaming stations authorized for use on the gaming floor within ~~the one~~ one gaming facility ~~shall be thirty one (31) and a total of fifty (50) gaming stations within a second facility~~. ~~Notwithstanding the foregoing,~~ However, the Tribe has the option to use ~~a total of one (1) additional nonprofit gaming station (“nonprofit station”) within the facility for every twenty-five (25) gaming stations allowed in a facility-if the proceeds from one (1) of those gaming nonprofit stations are~~ a total of one (1) additional nonprofit gaming station (“nonprofit station”) within the facility for every twenty-five (25) gaming stations allowed in a facility-if the proceeds from one (1) of those gaming nonprofit stations are

dedicated to support non-profit organizations and their activities within the State of Washington. ~~For the purpose of the determination of "proceeds" from the non-profit station~~ PROVIDED, that the tribe is required to obtain transfers of Class III gaming station authorization from another tribe which has entered into a compact with the State for the use of Class III gaming stations as defined in this Compact for any Class III gaming stations, except for nonprofit stations, beyond sixty (60) in total for all gaming facilities. PROVIDED FURTHER, that the transfer of Class III gaming station authorization from another shall be effectuated through the use of "Class III Gaming Station Transfer Agreement" appended hereto as Appendix C of this Compact. For purposes of determination of "proceeds" from the nonprofit stations only, proceeds shall mean the net win less the pro rata cost of regulation and operation, specifically excluding capital costs. The net win from the any nonprofit station is not subject to the community contribution established under Section XIV.C of this Compact. The Tribal Gaming Ordinance shall set forth regulations concerning the types of bona-fide non-profit organizations and/or the types of projects of such organizations which shall be supported by the non-profit station. If the gaming operation has met the conditions set forth in Section III.I.2 the number of gaming stations may be increased (excluding the non-profit station) to fifty (50). Notwithstanding the foregoing, the Tribe has the option to use a total of two additional gaming stations within the a facility if the proceeds from two (2) of those gaming stations are dedicated to support non-profit organizations and their activities within the State of Washington.

I. Wagering Limitations.

1. ~~For the initial period, the maximum wager shall not exceed two hundred and fifty dollars (\$250). If the gaming operation has met the conditions set forth in Section III.I.2., the~~ The maximum wager shall not exceed five hundred dollars (\$500).
2. ~~An increase in the authorized number of gaming stations, hours of operation and/or wager limits is conditioned on the following criteria:~~
 - a. ~~Continual operations of the Class III gaming facility for any six-month period in compliance with (b), (c), (d), (e), and (f) herein.~~
 - b. ~~There have been no violation(s) of the provisions of this Compact which have resulted in sanctions imposed by the Federal District Court or the National Indian Gaming Commission;~~
 - c. ~~There have been no violations of the Compact which are substantial or, due to repetition, would be deemed material;~~
 - d. ~~There have been no material adverse impacts on the public safety or welfare of the surrounding communities in the nature of criminal activities directly related to the operation of the Class III facility.~~
 - e. ~~There have been no material violations of Appendix A.~~

- f. ~~The Tribal Gaming Agency has implemented the provisions of Section VI.~~
3. ~~Should the State or any political subdivision thereof increase the wagering limits permitted for licensed fund-raising events or card games operated by any person for any purpose, upon thirty (30) days written notice to the State Gaming Agency from the Tribe, the Tribe may authorize the same wagering limits.~~
4. ~~Notwithstanding anything herein to the contrary, after six (6) months of continual operation of the Class III gaming facility, the Tribal and State Gaming Agencies will review the gaming operation and activities and, if there is no evidence under the conditions set forth in Section III.I.2 above and no other evidence to indicate that the operation should not expand the number of gaming stations and wager limits, the Tribal and State Gaming Agencies shall authorize an increase in the number of gaming stations and wager limits in conformity with the increases authorized in III.H.1 and III.I.2 above. If the State claims that any of the conditions in III.I.2. have not been met, the issue shall be subject to the provisions of Section XII. During this dispute resolutions process, the Tribe will be precluded from expansion of gaming stations within the existing facility.~~
- J. **Hours of Operation.** ~~For the initial period, except as set forth below, the maximum number of operating hours for the gaming operation shall not exceed an average of one hundred twelve (112) hours per week on an annualized basis. If the gaming operation has met the conditions set forth in Section III.I.2., the maximum number of operating hours for the gaming operation shall not exceed an average of one hundred forty (140) hours per week on an annualized basis. The Tribe may schedule the hours to best meet market conditions and may operate any day of the week. Class III gaming may not be conducted between the hours of 2:00 a.m. and 6:00 a.m., unless there is a written agreement of the Tribal Gaming Agency and the State Gaming Agency after consultation with the Mason County Sheriff, specifying a different four hour closing period. Provided further, upon thirty (30) days written notice to the State Gaming Agency and upon written mutual agreement between the State Gaming Agency and the Tribal Gaming Agency, the Tribe may, not more than three (3) times per calendar year, conduct continuous Class III operations for up to seventy two (72) hours. Hours of operation will be determined as outlined in Appendix X2, Section 16.~~
- K. **Ownership of Gaming Facility Facilities and Gaming Operation.** ~~The gaming operation and the gaming facility facilities shall be owned and operated by the Tribe, but the Tribe shall be entitled to contract for management of the gaming facility facilities and gaming operation. Any such contract shall subject the manager to the terms of this Compact, including annual certification and licensing.~~
- L. **Prohibited Activities.** ~~Any Class III gaming activity not specifically authorized in this Compact is prohibited. Unless subsequently authorized by a Federal District Court, the National Indian Gaming Commission, or the State, all Class III gambling devices are prohibited. Nothing herein is intended to prohibit or restrict otherwise lawful and~~

authorized Class II gaming activities on the Squaxin Island ~~Reservation~~ Tribal Lands or within ~~the~~ each gaming facility.

- M. **Age Limitations.** No person under the age of eighteen (18) shall participate in any gaming operation, or be allowed on the Class III gaming floor authorized by this Compact during actual hours of operation. ~~Should alcoholic beverages be offered on the gaming floor pursuant to applicable law, then no patron under the age of twenty one (21) shall be permitted on the gaming floor during actual hours of operation.~~ Persons who are at least eighteen (18) years of age and less than twenty one (21) years of age may patronize and participate in Class III gaming activities offered by the Tribe in its gaming facility, so long as such patrons do not purchase or consume alcoholic beverages on the premises.
- N. **Prohibition on Firearms.** The possession of firearms by any person within the gaming ~~facility~~ facilities shall be strictly prohibited. Provided, this prohibition shall not apply to authorized agents or officers of the Tribal Gaming Agency, Tribal Law Enforcement Agency, State Gaming Agency, or State and Local law enforcement agencies.

3. Section IV, A, B, and D are amended to read as follows:

- A. **Gaming Operation and ~~Facility~~ Facilities.** The gaming operation and gaming ~~facility~~ facilities authorized by this Compact shall be licensed by the Tribal Gaming Agency in conformity with the requirements of this Compact prior to commencement of operation, and annually thereafter. Verification of this requirement shall be made by the Tribal Gaming Agency and the State Gaming Agency and, as applicable to the satellite wagering facility and operation the Washington Horse Racing Commission, through a joint pre-operation inspection scheduled at least ten (10) days prior to the scheduled opening to the public. If ~~the~~ a facility does not meet the requirements, the Tribal Gaming Agency and/or State Gaming Agency must send a non-compliance letter within seven (7) working days after completion of the inspection. If the Tribal and State Gaming Agencies do not agree on whether ~~the~~ a facility meets the requirements, the agencies will meet within ten (10) working days from receipt of the non-compliance letter and work together to resolve concerns. If a dispute regarding this inspection cannot be resolved by the gaming agencies within a reasonable time, the parties may seek resolution pursuant to Section XII.C of this Compact. The actual costs of final inspection of ~~the~~ a facility under this Section shall be the responsibility of the Tribe.
- B. **Gaming Employees.** The Tribal Gaming Agency shall license Class III employees and may either work with State Gaming Agency to certify those employees as outlined in B1. below; or work with State Gaming Agency to determine eligibility as outlined in B2. below. The options outlined in sections B1. and B2. are mutually exclusive and cannot be utilized at the same time. Transfer between the options is allowed as described in Section V. 1.
1. **Certification.** Every gaming employee shall be licensed by the Tribal Gaming Agency and shall be certified by the State prior to commencement of

employment, and annually thereafter. Provided, the Tribal Gaming Agency may issue a license if the employee has a current Class III gaming certification issued by the State Gaming Agency, the employee consents to disclosure to the Tribal Gaming Agency of all information held by the state agency, and the State Gaming Agency certifies in writing prior to licensing that the employee is in good standing. If Class II and Class III table games are combined in a single facility, the parties agree that this could impact the regulatory scheme established under this Compact. In such event, all Class II table gaming employees shall be certified as if they were Class III gaming employees. This provision shall not be applicable to employees engaged in activities related to bingo, pull tabs and/or punchboards.

2. **Eligibility Determination.**

- a. Every Gaming Employee shall be licensed by the Tribal Gaming Agency and their eligibility for a license shall be verified by the State Gaming Agency prior to commencement of employment, and annually thereafter. The Tribal Gaming Agency may immediately issue a license if the employee has a current State Gaming license or Class III gaming certification issued by the State Gaming Agency, or the State Gaming Agency verifies that the prospective employee is in good standing, and the employee consents to disclosure of records to the Tribal Gaming Agency of all information held by the State Gaming Agency. The Tribal Gaming Agency may immediately issue a conditional, temporary license for a period of time no longer than six (6) months when the Tribal Gaming Agency determines that a Gaming Employee applicant does not pose a significant risk to the public and the gaming operation. The Tribal Gaming Agency shall submit a list of the licensed and temporarily licensed gaming employees to the State Gaming Agency at least annually. The Tribal Gaming Agency shall include the licensee's complete name, aliases, and date of birth in its submission to the State Gaming Agency. If Class II and Class III table games are combined in the same room in the Gaming Facility or Facilities, the parties agree that this could impact the regulatory scheme established under this Compact. In such event, the Class II gaming employees in such room shall be verified by the State Gaming Agency as if they were Class III gaming employees.
- b. Tribal Application Forms. All applicants required to be licensed by the Tribe shall complete forms furnished by the Tribal Gaming Agency and shall be accompanied by the application and investigative fees set forth in the Tribe's published schedule of fees. Such application forms shall require, but not be limited to, complete information and details with respect to the applicant's habits, character, Tribal activities, financial affairs, and Tribal associates, covering at least a seven (7) year period immediately preceding the date of filing of the application. In addition, all applicants shall provide information relating to their complete criminal history, as well as all civil or administrative violations of gambling laws or regulations.

- c. Key Personnel List. Prior to the transition date and annually thereafter, the Tribe shall provide the State Gaming Agency with information listing personnel who are key personnel in the gaming operation.

D. **Financiers.** Any party extending financing, directly or indirectly, to the gaming ~~facility~~ facilities or gaming operation shall be subject to the annual licensing requirements of the Tribal Gaming Agency, and shall be required to obtain State certification prior to completion of the financing agreement and annually thereafter. These licensing and certification requirements do not apply to financing provided by a federally regulated commercial lending institution, the Squaxin Island Tribal government, or the federal government. The source of all funds will be fully disclosed in accordance with IGRA and a copy provided to the State Gaming Agency and, as applicable to the satellite wagering facility and activities, to the Washington Horse Racing Commission.

4. Section V is amended to add new Section V.1 to establish procedures for transferring between certification and eligibility; renumber existing Sections V.A. through V.N. as Section V. 2. A through N; and add a new Section V. 3. for Eligibility procedures

1. The Tribal Gaming Agency shall license Class III employees and may either work with State Gaming Agency to certify those employees or work with State Gaming Agency to determine eligibility as described in Section IV. B.

If the Tribe chooses to transition from certification to the eligibility determination provisions of this Compact, they must operate under the eligibility provisions for at least two (2) years before returning to the certification provisions. If the tribe chooses to return to the certification provisions after at least two (2) years under the eligibility provisions, they must operate under the certification provisions for at least two (2) years before transferring back to eligibility.

The Tribe will submit the proposed transition date and proposed licensing and certification process plan to the State Gaming Agency at least ninety (90) days prior to transitioning from certification to eligibility or vice versa. This will provide the State Gaming Agency time to reprogram their systems and properly train staff in the new procedures.

A Memorandum of Understanding will be agreed upon by both the Tribe and State Gaming Agency that includes at a minimum, transition date, fees, the submittal process for eligibility determinations or state certification, annual review, and that all applications must be submitted online. The Parties may agree to change functions and responsibilities related to certification and eligibility provided any resulting agreement in a Memorandum of Understanding does not conflict with state or tribal law or alter or remove a function or responsibility required by the Compact. Should a dispute arise, the dispute will be resolved in accordance with Section XII.

2. [Original Compact language for Certification remains the same but is renumbered as Section V. 2. A through N]

3. Eligibility

A. Procedures for Tribal License Applications and State Certification. The Tribal Gaming Agency shall be primarily responsible for the conduct of background investigations for all applicants for employee gaming licenses. The State Gaming Agency shall be primarily responsible for the conduct of background investigations for all applicants for gaming financier, manufacturer and/or supplier certification. Each applicant for a Tribal gaming license including employee, financier, manufacturer and/or supplier of gaming goods or services, shall submit the completed application along with the required information and fees to the Tribal Gaming Agency. In addition, each financier, manufacturer and/or supplier of gaming goods or services shall apply for State gaming certification and shall submit the completed application along with the required information and fees to the State Gaming Agency. Each completed application shall include the applicant's fingerprint card(s), current photograph, and any other information required by the Tribal Gaming Agency. For applicants who are business entities, these provisions shall also apply to principals of the entity and their spouses.

B. Background Investigations of Gaming Employee Applicants.

1. Prior to hiring or licensing a prospective gaming employee, the Tribal Gaming Agency shall obtain sufficient information and identification from the applicant on forms to be furnished by the Tribal Gaming Agency to permit a thorough background investigation, together with such fees as may be required by the Tribe. The information obtained shall include, at a minimum, name (including any aliases), current address, date and place of birth, criminal arrest and conviction record, two sets of fingerprints, sex, height, weight, and two current photographs. When the Tribal Gaming Agency has completed its initial investigation of the gaming employee applicant, and has issued a temporary license, it will, within five (5) business days, forward the application, background results, a current photograph, and the fee required to the State Gaming Agency for a final criminal history record and non-conviction data review, as authorized under RCW 9.46.210(4) and as defined in RCW 10.97.030. The State Gaming Agency shall complete the review and thereafter notify the Tribal Gaming Agency that either: (1) the criminal history and non-conviction data review has revealed no information which would make the applicant ineligible for employment pursuant to Section V(D)(7) of this Compact; or (2) the criminal history and non-conviction data review has revealed that the applicant is ineligible for employment pursuant to Section V(D) of this Compact. An applicant who has been determined ineligible for licensing by the State Gaming Agency after criminal history and non-conviction

data review will not be licensed by the Tribal Gaming Agency except in conformity with Section V(D) of this Compact. When the Tribal Gaming Agency has completed its investigation, it will forward its investigative report and the FBI fingerprint check results to the State Gaming Agency. Upon completion of the necessary background investigation, and receipt of the State Gaming Agency notification of eligibility, the Tribal Gaming Agency shall either issue an employee gaming license to the applicant, or deny the application based on criteria set forth in this Compact, Tribal law and regulations. All background materials compiled by the State Gaming Agency in connection with the background investigation of any applicant for tribal licensing or state eligibility verification shall be available to the Tribal Gaming Agency at the State Gaming Agency office upon request, subject to any constraints imposed by the State Gaming Agency's accreditation as a law enforcement agency and status as a member of Law Enforcement Intelligence Unit.

The gaming operation shall not hire or continue to employ a gaming employee, and shall terminate any probationary gaming employee, if the Tribal Gaming Agency determines that the applicant or employee:

- a. Has been convicted of any offense related to gambling, or any felony (excluding juvenile convictions) relating to fraud, misrepresentation, deception or theft, within the past ten (10) years. Nothing herein shall be interpreted to prevent the Tribal Gaming Agency and/or the State Gaming Agency from considering such juvenile convictions in a suitability determination, nor shall be interpreted to excuse the applicant of its obligation to disclose juvenile convictions or arrests;
 - b. Has provided materially false statements or information on his or her employment application or misstated or otherwise attempted to mislead the Tribe or the State with respect to any material fact contained in the employment application;
 - c. Is a member or associate of organized crime or is of notorious or unsavory reputation; or
 - d. Has a reputation, habits or associations that might pose a threat to the public interest or to the effective regulation and control of gaming, or create or enhance the dangers of unsuitable, unfair, or illegal practices, methods and activities in the conduct of gaming or the carrying on of the Tribal and financial arrangements incidental thereto. It is intended that applicants and employees have the continuing burden to satisfy all doubts as to their fitness. Where doubt remains, an applicant or employee is not entitled to be hired or to remain employed.
2. Additionally, the Tribal Gaming Agency shall not grant an application for a license unless it is satisfied that:
- a. The applicant is of good character, honesty and integrity;

- b. The applicant's prior activities, criminal record (if any), reputation, habits, and associations do not pose a threat to the public interest of the Tribe or the State or the effective regulation and control of gaming pursuant to this Compact, or create or enhance the dangers of unsuitable, unfair or illegal practices, methods, and activities in the conduct of gaming or the carrying on of the Tribal and financial arrangements incidental thereto;
 - c. In all other respects, the applicant is qualified to be licensed or found suitable with the provisions and policies set forth in this Compact; and
 - d. The applicant has adequate Tribal probity, competence, and experience in gaming.

- C. Background Investigations of Gaming Employees. The Tribe and the State Gaming Agency shall retain the right to conduct such additional background investigations of any gaming employee at any time during the term of that person's employment. At any time, any gaming employee who does not establish that he or she satisfies all of the criteria set forth above shall be dismissed.

- D. State Gaming Agency Certification of Financiers, Manufacturers, and/or Suppliers of Gaming Goods and Services. Upon completion of the necessary background investigation, the State Gaming Agency shall either issue a gaming certificate to the financier, manufacturer, and/or supplier of gaming services or deny the application based on criteria set forth in this Compact or State law and regulations. The Tribal Gaming Agency shall forthwith provide copies of all gaming licenses issued and gaming license applications denied to the State Gaming Agency. The State Gaming Agency shall similarly forthwith provide copies of all gaming certificates issued and gaming certification applications denied to the Tribal Gaming Agency. If the application for certification is denied, a statement setting forth the grounds for denial shall be forwarded to the applicant in accordance with the provisions of Chapter 230-17 WAC or as hereafter amended, with a copy forwarded to the Tribal Gaming Agency. The State shall not apply to any applicant for certification required under this Compact a more rigorous standard than that actually applied in the approval of State licenses or certification in non-Tribal gaming activities regulated by the State.

- E. Grounds for Revocation, Suspension, or Denial of State Certification. The State Gaming Agency may revoke, suspend or deny a State certification under the provisions of RCW 9.46.075, and rules promulgated thereunder, for any reason or reasons it deems to be in the public interest. In addition, these reasons shall include, but shall not be limited to when an applicant or holder of certification or principal of an entity:
 - 1. Is determined to be a person who because of prior activities, criminal record, if any, or reputation, habits and associations poses a threat to the effective regulation of gaming or creates or enhances the chances of unfair or illegal practices, methods and activities being used in the conduct of the

- gaming activities permitted pursuant to this Compact;
2. Has violated, failed or refused to comply with the provisions, requirements, conditions, limitations or duties imposed by any provision of a Tribal-State Compact.
 3. Has failed to provide any information reasonably required to investigate the application for state certification or to reveal any fact which the applicant or holder knows or should reasonably know or is material to such application, or has furnished any information which is untrue or misleading in connection with such application.
 4. Has had a Tribal or State gaming license revoked or denied during the twelve (12) months prior to the date the State Gaming Agency received the application; is currently on probation imposed by any jurisdiction; or has demonstrated a willful disregard or failed to comply with the requirements of any gaming regulatory authority in any jurisdiction, including offenses that could subject the individual or entity to suspension, revocation or forfeiture of any gaming license. For the purpose of reviewing any application for a state certification or for considering the denial, suspension or revocation of any state certification, the State Gaming Agency may consider any prior criminal conduct or current probationary status of the applicant or holder of certification and the provisions of RCW 9.95.240 and of chapter 9.96A RCW shall not apply to such cases.
 5. Notwithstanding anything herein to the contrary, it shall not be grounds for suspension, revocation or denial for the applicant to have been involved in the operation of Class III gaming activities in the absence of a Tribal/State Compact. Nothing herein prevents the State from suspending, revoking or denying the certification to such an applicant on other grounds.
 6. The State Gaming Agency will consult with the Tribal Gaming Agency prior to denying certification to such an applicant who does not meet the criteria for certification. For Tribal members who are applicants for Class III licensing, the State and Tribal Gaming Agencies may waive, by mutual agreement, through a conditional Tribal license, certain criteria for such tribal members if the waiver does not pose an appreciable risk to the public or the lawful operation of the gaming facilities. If the Tribe can show extenuating circumstances why a tribal member who does not meet all criteria should be further considered for a conditional Tribal license, the Tribal and State Gaming Agencies may agree to a temporary Tribal license, based on specific conditions and a further detailed review of the applicant. Additional fees may be required to maintain a conditional Tribal license, which the Tribe agrees to pay.

- F. Right to Hearing for Revocation, Suspension, or Denial of State Certification. Any applicant for State certification or holder of a State certification shall be entitled to notice and a full hearing on any action by the State Gaming Agency which may result in the revocation, suspension, or denial of State certification.

The notice and hearing will be conducted in accordance with the procedures contained in the applicable provisions of Chapter 9.46 RCW, Chapter 34.05 RCW and Chapter 230-17 WAC. The State may, at its discretion, defer such actions to the Tribal Gaming Agency. Nothing herein shall prevent the Tribal Gaming Agency from invoking its own disciplinary procedures and proceedings at any time. The Tribe shall have the right to appear and present argument and/or evidence in any hearings held pursuant to this section. Nothing herein shall be interpreted to preclude the Tribe from invoking the dispute resolution provisions of this Compact to challenge a State Gaming Agency decision to revoke, suspend or deny State Certification.

- G. Denial, Suspension, or Revocation of Licenses Issued by Tribal Gaming Agency. The denial, suspension, or revocation of any Tribal gaming license by the Tribal Gaming Agency shall be in accordance with Tribal ordinances and regulations governing such procedures and the grounds for such actions shall not be less stringent than those of Section V.(E)(1)-(6). The Tribe shall notify the State Gaming Agency of any determination under this paragraph. In the event the State disagrees with the Tribe's licensing determination, the State may submit the matter to dispute resolution pursuant to the provisions of this Compact.
- H. Duration and Renewal of Tribal Issued Licenses, Eligibility Determinations, and State Certifications. Any Tribal license, eligibility determination, or State certification shall be effective for one year from the date of issuance unless, in the case of a license or certification, the holder is otherwise revoked or suspended. A licensed or certified employee or entity that has applied for renewal may continue to be employed under the expired Tribal license, eligibility determination, or State certification until the Tribal Gaming Agency or State Gaming Agency takes action on the renewal application or the license or certification is suspended or revoked. Applicants seeking renewal of a license, eligibility determination, or certification shall provide information updating originally submitted information as requested, on the appropriate renewal forms, but shall not be required to re-submit historical data already available to the Tribal Gaming Agency or the State Gaming Agency. Additional background investigation shall be required if new information concerning the applicant's continuing suitability or eligibility for a Tribal license, or a State certification is discovered by either the Tribal or State Gaming Commission. The State shall forward a copy of any updated information to the Tribe, subject to any constraints imposed by the State Gaming Agency's accreditation as a law enforcement agency and status as a member of Law Enforcement Intelligence Unit. Should any renewal application be denied, the State shall send to the Tribe a copy of the statement sent to the applicant setting forth the grounds for the non-renewal of the certification. In the event the State issues a letter of ineligibility for a renewal applicant, the Tribe may either withdraw the application or submit the matter to dispute resolution. Should a Tribal licensee become ineligible during the twelve (12) month licensure period, as determined from a review by the State, the Tribe may withdraw the application or submit the matter to dispute resolution.

- I. Identification Cards. The Tribal Gaming Agency shall require all gaming employees to wear, in plain view, identification cards issued by the Tribal Gaming Agency which include photo, first name and an identification number unique to the individual Tribal license and/or certification which shall include a Tribal seal or signature, and a date of expiration.
- J. Exchange of Tribal Licensing and State Certification Information. In an effort to ensure a qualified work force in all areas of Class III gaming, and in all types of gambling authorized under the laws of the State, upon completion of any administrative action or legal proceeding against a Tribal license or State certification, the final disposition shall be forwarded to either the Tribal Gaming Agency or the State Gaming Agency and maintained as part of both agencies' permanent licensing records.
- K. Fees for State Certification. The fees for initial and the renewal of State certification shall be determined pursuant to WAC 230-05-030 for service suppliers, manufacturers and distributors. Provided, should actual costs incurred by the State Gaming Agency exceed the stated fees, those costs will be assessed to the applicants during the investigation process. Payment in full to the State Gaming Agency will be required prior to beginning the investigation for the issuance of State certification. Notwithstanding any other provision of this Compact, the State Gaming Agency may modify any of the above fees consistent with like fees charged by the State Gaming Agency for non-Compact gaming elsewhere in the State by giving the Tribe sixty (60) days notice of intent to modify fees. Should a dispute arise under this section it shall be resolved pursuant to Section XII of this Compact. The fees for State eligibility verification are set forth in Section XIII.(E).
- L. Fees for Tribal License. The Tribal Gaming Agency shall establish the fees for Tribal gaming licenses.
- M. Summary Suspension of Tribal License. The Tribal Gaming Agency, pursuant to the laws of the Tribe, may summarily suspend any Tribal license if the continued licensing of a person or entity constitutes an immediate and potentially serious threat to the public health, safety or welfare.
- N. Summary Suspension of State Certification. The State Gaming Agency, pursuant to the laws of the State, may summarily suspend any State certification if the continued certification constitutes an immediate and potential serious threat to public health, safety or welfare.
- O. Submission to State Administrative Process. Applicants for State certification agree by submitting such application to submit to State jurisdiction to the extent necessary to determine qualification to hold such certification, including all necessary administrative procedures, hearings and appeals pursuant to RCW 9.46, WAC 230-17, and the State Administrative Procedures Act, RCW 34.05.

5. Section VI, A-1, A-2, A-3, A-4, A-5, A-7, C, and E are amended to read as follows:

- A. Tribal Gaming Agency. The primary responsibility for the on-site regulation, control and security of the gaming operation authorized by this Compact, and for the enforcement of this Compact on ~~the Squaxin Island Reservation Tribal Lands,~~ shall be that of the Tribal Gaming Agency. The Tribal Gaming Agency will develop a strong program of regulation and control demonstrating an adequate level of proficiency, which includes the hiring of trained Tribal Gaming Agents, and independent regulatory and reporting structure separate from that the gaming operation or tribal bodies, a thorough and developed system for reporting of Compact violations, and a strong and consistent presence with ~~the~~ each Class III facility. As part of its structure, the Tribal Gaming Agency shall perform the following functions:
1. Enforce in the gaming operation, including ~~the~~ each facility, all relevant laws;
 2. Ensure the physical safety of patrons in ~~the~~ each establishment;
 3. Ensure the physical safety of personnel employed by ~~the~~ each establishment;
 4. Ensure the physical safeguarding of assets transported to and from ~~the~~ each gaming facility and cashier's cage department;
 5. Protect the patrons and ~~the~~ each establishment's property from illegal activity;
 7. Record in a permanent and detailed manner any and all unusual occurrences within ~~the~~ any gaming facility. Each incident, without regard to materiality, shall be assigned a sequential number and, at a minimum, the following information shall be recorded ~~in indelible ink in a bound notebook from which pages cannot be removed and each side of each page of which is sequentially numbered~~ entered as a permanent record into the computer application by Tribal Gaming Agents (TGA) as part of their daily log:
- C. **Reporting of Violations.** A Tribal Inspector shall be present in ~~the~~ each gaming facility during all hours of gaming operation, and shall have immediate access to any and all areas of the gaming operation for the purpose of ensuring compliance with the provisions of this Compact and Tribal Ordinances. Any violation(s) of the provisions of this Compact, or of Tribal Ordinances by the Tribal gaming operation, a gaming employee, or any person on the premises whether or not associated with the Tribal gaming operation shall be reported immediately to the Tribal Gaming Agency ~~and forwarded to the State Gaming Agency.~~ The Tribal Gaming Agency shall notify the State Gaming Agency within seventy-two (72) hours of the time the violation(s) was noted.
- E. **Reporting to State Gaming Agency.** The Tribal Gaming Agency shall ~~forward~~ make available copies of all completed incident and investigation reports and final dispositions to the State Gaming Agency on a continuing basis. If requested by the Tribal Gaming

Agency, the State Gaming Agency shall assist in any investigation initiated by the Tribal Gaming Agency and provide other requested services to ensure proper compliance with the provisions of this Compact, Tribal Ordinances, laws of the Tribe, or applicable laws of the State.

6. Section VII, A and D. are amended to read as follows:

- A. Monitoring. The State Gaming Agency and, as applicable to the satellite wagering facility and activities, the Washington Horse Racing Commission, shall pursuant to the provisions of this Compact have the authority to monitor whether the Tribal gaming operation is conducted in compliance with the provisions of this Compact. In order to properly monitor the Tribal gaming operation, these agents of the State Gaming Agency and the Commission shall have free and unrestricted access to all areas of the gaming ~~facility~~ facilities during normal operating hours with or without giving prior notice to the Tribal gaming operation. Provided, that when possible, notice shall be giving to the Tribal Gaming Agency or to a Tribal gaming inspector in the facility, and the Tribal Gaming Agency may assign a Tribal agent or other representative to accompany the State agent while on ~~the Squaxin Island Reservation~~ Tribal Lands. Following the investigation, and to the extent such disclosure does not jeopardize the investigation or the personal safety of individuals, the State shall provide the Tribal Gaming Agency with a report of the investigation, including information about evidence gathered in connection with the investigation.
- D. **Cooperation with Tribal Gaming Agency.** The State Gaming Agency and the Commission shall meet periodically with the Tribal Gaming Agency and cooperate fully in all matters relating to the enforcement of the provisions of this Compact and promptly notify the Tribal Gaming Agency of any activity suspected or occurring whether within ~~the a~~ a gaming facility or not, which adversely affects State, Tribal or public interests relating to the gaming ~~facility~~ facilities and operation. Provided, such disclosure shall not compromise the interest sought to be protected.
- E. Jurisdictional Issues. Except as expressly set forth herein, nothing in this Compact is intended nor shall it confer upon the State or any other non-Tribal entity any jurisdiction or exclusive jurisdiction with respect to non-gaming related activities on ~~the~~ the Squaxin Island ~~Reservation~~ Tribal Lands. Except as expressly set forth herein, and then only to the extent expressly set forth herein, the terms of this document do not constitute a waiver of sovereign immunity and any such waiver is and shall be deemed to be only a limited waiver solely for the purposes set forth in this Compact. The terms of such limited waiver of sovereign immunity shall be strictly construed.

7. Section IX, A and D are amended to read as follows:

- A. **Investigative Authority.** The Tribal Gaming Agency, the Tribal Law Enforcement Agency, the Mason County Sheriff or law enforcement agencies cross deputized by the

Tribal Law Enforcement Agency, the Washington State Patrol, the State Gaming Agency, and the Commission shall have the authority to investigate any gambling and related crimes against the laws of Chapter 9.46 RCW or Chapter 67.16 RCW to the extent said State laws are expressly made applicable herein, and that occur on ~~the Squaxin Island Reservation~~ Tribal Lands. Except as expressly set forth in this Compact, nothing herein shall be or be deemed to be a consent, grant or waiver of any sovereign right or immunity of the Tribe with respect to the Tribe, ~~the Squaxin Island Reservation~~ Tribal Lands, members of the Tribe, or any other individuals or entities subject to Tribal jurisdiction.

- D. Exception to Consent. Except for the concurrent jurisdiction of the State with respect to gaming on ~~the Squaxin Island Reservation~~ Tribal Lands contained in this Section and elsewhere for acts of individuals, nothing in this Compact shall be deemed a consent or submission of or by the Tribe to the concurrent jurisdiction and/or application of other laws of the State.

8. Section XI, B-1, B-2, B-4, and B-7 are amended to read as follows:

- B. **Additional Operational Requirements Applicable to Class III Gaming.** The following additional requirements shall apply to the Class III gaming operation conducted by the Tribe:
1. To ensure integrity, the Tribal gaming operation shall maintain the following logs as written, or computerized records which shall be available for inspection by the State Gaming Agency in accordance with Section VII.B of this Compact: a surveillance log recording all surveillance activities in the monitoring room of ~~the~~ each gaming facility; a security log recording all unusual occurrences for which the assignment of a Tribal Gaming Agency employee is made.
 2. The Tribal Gaming Agency shall establish a list of persons barred from the gaming ~~facility-facilities~~ because their criminal history or association with career offenders or career offender organizations poses a threat to the integrity of the gaming activities of the Tribe. The Tribal Gaming Agency shall employ its best efforts to exclude persons on such list from entry into its gaming ~~facility-facilities~~. The Tribal Gaming Agency shall send a copy of its list on a quarterly basis to the State Gaming Agency make available the barring list to the State Gaming Agency on a continuing basis.
 4. The Tribal Gaming Agency shall notify the State Gaming Agency of the rules of each game operated by the Tribe and of any change in such rules. To the extent such rules have been adopted prior to the execution of this Compact they are set forth in Appendix B hereto and shall be deemed approved by the State. Summaries of the rules of each game relevant to the method of play and odds paid to winning bets shall be visibly displayed or available in pamphlet form in ~~the~~ each gaming facility. Betting limits applicable to any gaming station shall be

displayed at such gaming station. Rules for games identified in Section III, except as specified in Appendix B, shall be based upon such games as commonly practiced in Nevada, including wagering, as do not fundamentally alter the nature of the game as the Tribal Gaming Agency may approve. Rules for games identified in Section III, except as specified in Appendix B, shall be submitted to the State Gaming agency for review, to determine if the rules fundamentally alter the nature of the game. The Tribe will provide the State Gaming Agency ten (10) days advance notice of the rules of each game and any modifications thereof, and will provide adequate notice to patrons of ~~the~~ a gaming operation facility to advise them of the applicable rules in effect. In the event of a dispute, the matter will be handled in accordance with Section XII.C of this Compact.

7. The Tribal gaming operation shall provide the Tribal Gaming Agency and the State Gaming Agency with a description of its minimum requirements for supervisory staffing for each table gaming pit operated in ~~its~~ each gaming facility. In the event that the State Gaming Agency regards such supervisory staffing as inadequate, the Tribal Gaming Agency and State Gaming Agency shall promptly confer in good faith, in an effort to reach agreement on supervisory staffing requirements. If agreement cannot be reached between the State Gaming Agency and the Tribal Gaming Agency, the dispute shall be handled in accordance with Section XII.C of this Compact.

9. Section XII, B, C-3, and E are amended to read as follows:

- B. Injunction Against the Tribe, the Tribal Gaming Operation, or any Individual. The State Gaming Agency may bring an action to enjoin the Tribe, the Tribal gaming operation, or any individual, if the State determines that any gaming operation authorized by the provisions of this Compact is being conducted in violation of the provisions of this Compact or if any Class III activity is being conducted by others elsewhere on ~~the Squaxin Island Reservation~~ Tribal Lands in violation of the provisions of this Compact. Such action shall be brought in the U.S. District Court, pursuant to 25 USC §2710(d)(7)(A)(ii). Solely for the purpose of this remedy, the Tribe consents to such suit and hereby agrees to a limited waiver of sovereign immunity for the purposes set forth in this sub-section. Prior to bringing such action, the State Gaming Agency shall notify the Tribe, the Tribal Gaming Agency and the Tribal Gaming Operation of the alleged violation(s) and the parties shall meet and confer in a good faith attempt to correct the alleged violation before court action is sought.
- C-3. If the dispute is not resolved to the satisfaction of the parties within twenty (20) days of the first meeting, then the party may seek to have the dispute resolved by and in accordance with the policies and procedures of the Judicial Arbitration and Management Service of Seattle, Washington (JAMS), at sites which alternate between ~~the Squaxin Island Reservation~~ Tribal Lands and the State Gaming Agency or Commission offices after each arbitration dispute, i.e, the first arbitration dispute, until completed, shall be held on ~~the Squaxin Island Reservation~~ Tribal Lands; the next arbitration dispute, until completed, shall be held at the State Gaming Agency or Commission offices; and so forth.

- E. Method of Collection and Payment to Washington State Council on Problem Gambling. Any civil fines collected by the State Gaming Agency or the Tribal Gaming Agency pursuant to the provisions of this Compact shall be disbursed at the end of each fiscal year to the Washington State Council on Problem Gambling, a bona fide nonprofit organization, provided that the organization offers some program which takes affirmative steps to reach the Indian community in Washington State. In the event the Washington State Council on Problem Gambling does not have such an Indian program, or ceases to exist, or substantially changes its purpose, then the parties agree to meet and in good faith designate a successor recipient bona fide nonprofit organization whose primary purposes are related to addressing the ills of compulsive and/or problem gambling within the State, ~~the Squaxin Island Reservation Tribal Lands~~ and the neighboring communities. Provided, in the event a dispute arises, it will be resolved pursuant to Section XII.C of this Compact.

10. Section XIV, C is amended to read as follows:

- C. Community and Enforcement Impact Contribution. The Squaxin Island Tribe provides a police department and tribal court system to enforce criminal law and order codes against Squaxin Island tribal members and civil administrative codes against all persons within the Tribe's jurisdiction. The Tribe recognizes that adequate enforcement and the availability of support services and assistance is critical to the safe operation of the gaming activities and that activities directly and indirectly associated with the operation of gaming facilities on ~~the Squaxin Island Reservation Tribal Lands~~ may impact surrounding local law enforcement and other local governmental service agencies, and place an increased burden on them. To that end, the Tribe hereby agrees to establish a fund for purpose of providing assistance to non-tribal local law enforcement, emergency services and/or other local governmental service agencies (including those agencies responsible for traffic and transportation) impacted by ~~the~~ a Class III gaming facility and to withhold and disburse 2.0% of the Net Win from Class III gaming operation, with the exclusion of the satellite wagering activities, for this fund ("Community Contribution"). Further, the Tribe shall, on a quarterly basis beginning no more than three months from the date ~~the~~ a facility opens to the public, distribute this fund to non-tribal local law enforcement and local governmental service agencies materially impacted by the Class III gaming operation. Distributions from the fund shall be paid within forty-five (45) days following the end of each quarter (January 31, April 30, July 31, and October 31), beginning with the end of the first quarter following the date ~~the~~ a facility opens to the public, and quarterly thereafter. These funds shall be shared by all non-tribal local law enforcement and local governmental service agencies materially impacted by the gaming operation based on evidence of impacts presented by each agency; provided, however, the first priority for the distribution of this fund will be to the Mason County Sheriff in an amount sufficient to cover the cost of monitoring, routine patrol and response services. The Mason County Sheriff shall receive directly from the fund an amount sufficient to cover the expenses for any additional staffing required, including salary, benefits, training and vehicle costs.

A committee consisting of two (2) representatives of the Squaxin Island Tribal Government; a representative from Mason County; and a representative of the State Gaming Agency shall be

established. The composition of this committee may be altered by mutual agreement of the Tribal and State Gaming Agencies, if necessary. The committee shall meet at least annually to discuss impacts within the county and on ~~the Reservation~~ Squaxin Island Tribal Lands, the level of services provided, use of the funds, and to determine the distribution of the funds. Within six (6) months of the date of final approval of this Compact and annually thereafter, the Tribe and any impacted local service agencies seeking funds from the Community Contribution shall enter into a Memorandum or Memoranda of Understanding (MOU) delineating the anticipated governmental relationships, responsibilities, services to be provided during the following year, and utilization of the funds. The MOU(s) will prioritize the disbursements to mitigate off-reservation impacts and may include enforcement protocol or other similar agreements. The MOU(s) shall also provide that the committee may adjust annually the funds distributed to meet the impacts associated with Class III gaming. In the event of a dispute that cannot be resolved by agreement of the parties, either the State Gaming Agency or the Tribe may seek resolution through the arbitration provisions of Section XII.C of this Compact. The determination of the arbitrator shall be binding on all parties, including local government agencies. The MOU terms as determined by the arbitrator shall be promptly executed by the parties, and the funds disbursed. No Class II gaming revenues, satellite wagering revenues, or non-gaming revenues shall be included with the 2.0% budgeted and disbursed as set forth in this Section.

11. New Section XIV F. is added to read as follows:

- F. Tribal Problem-Gambling Program
The Tribe recognizes that Gaming activities can lead to compulsive behavior that has the same negative consequences as other behavioral addictions. The Tribe agrees to establish an education and awareness program for Tribal Lands and surrounding communities funded in part or whole with monies set aside under Appendix X2, Section 14.4 for that purpose. The Tribe will provide information about education, awareness, and treatment program services in its annual community investments and contributions report under Appendix X2, Section 14.7, which includes a certified statement regarding the date or time period of contributions, a brief description of programs and services, and an approximate dollar value of investments and contributions. The Parties agree to work together in good faith to share information related to problem gambling best practices and to meet promptly on the request of either party to discuss issues related to problem gambling.

12. The existing text of Section XIII is labeled subsection A, and new Section B is added to Section XIII to read as follows:

- B The Tribal Gaming Agency and the State Gaming Agency shall enter into a Memorandum of Understanding, which may be amended from time to time regarding fees and submittal process for eligibility determinations. Based on the initial fee, the State may adjust the fee based on efficiencies or cost increases.

13. Replace previously amended Section XV D.4. and D.6. with new Section XV D.4. as follows:

4. Authorization to Other Tribes. Notwithstanding any other provision of this Compact to the contrary, in the event that the State enters into or amends a compact with another tribe and such agreement gives such tribe more gaming facilities, activities, stations, or higher wager limits, more hours of operation, different games, or any combination thereof, than provided under the terms of this Compact, then this Compact shall be amended thereby upon approval and acceptance of any such increases by the Tribe and upon copies of the written incorporation of such amendments into this Compact being provided to the State. Further, in the event that the State enters into or amends a compact with another tribe and such agreement gives such tribe any other competitive advantage by means of an item not listed above, then this Compact shall be renegotiated and amended to incorporate that item to maintain competitive equality. To the extent the tribe receiving the competitive advantage is located East of the Cascade Mountains, the Tribe shall demonstrate the competitive advantage has resulted in adverse economic impacts to the Tribe's Class III operations before the Compact is renegotiated and amended.

IN WITNESS WHEREOF, the Squaxin Island Tribe and the State of Washington have executed this Third Amendment to the Compact.

SQUAXIN ISLAND TRIBE

STATE OF WASHINGTON

BY: _____
DAVID LOPEMAN
Chairman

BY: _____
JAY INSLEE
Governor

DATED: _____

DATED: _____

APPENDIX C
SQUAXIN ISLAND TRIBE
and the STATE OF WASHINGTON

CLASS III GAMING COMPACT

Class III Gaming Station Transfer Agreement

This Class III Gaming Station Transfer Agreement ("Agreement") is made and entered into between _____ ("Transferor"), and _____ ("Transferee"), and the State of Washington ("State") for purposes of transferring authority and use of Class III Gaming Stations between Tribes which have entered into Tribal - State Compacts for Class III Gaming with the State and as a Memorandum of Understanding between the State and Tribal parties authorizing and memorializing the transfer.

AGREEMENT

1. **TRANSFER.** Transferor hereby transfers and assigns to Transferee, for the Term set forth below, all of Transferor's Class III Gaming Station authority for the use of _____ Class III Gaming Stations to which Transferor is now or may hereafter become entitled during the Term of this Agreement.

2. **TERM.** The Term of this agreement, and all rights and authority granted hereby, shall be from _____, 20__ through _____, 20__ and shall commence at 12:01 A.M. on the first date entered above and expire 11:59 P.M. on the last date entered above unless other hours are so specified herein.

3. **REPRESENTATIONS AND AGREEMENTS.** Transferor represents and agrees that it is or will become at the commencement of the term of this Agreement, capable and authorized to utilize the number of Class III Gaming Stations noted above, that no other grant or

transfer of any rights relative to the number of Class III Gaming Stations which would conflict with the authority transferred hereby has occurred or will occur, and that it fully waives and surrenders the right to utilize the number of Class III Gaming Stations noted above for the term of this Agreement. Transferee represents and agrees that it is legally authorized to utilize Class III Gaming Stations and is capable and authorized to accept the transfer of authority herein. State represents and agrees that both Transferor and Transferee are authorized under its terms of valid Tribal - State Compacts to utilize Class III Gaming Stations, and, that upon execution of this Agreement by the parties, Transferor and Transferee may effectuate the transfer of authority for the use of the number of Class III Gaming Stations specified for the term of this Agreement.

4. ENTIRE AGREEMENT. This agreement contains the entire agreement of the parties as to the legal capabilities and authorizations for the transfer specified herein. No party is relying on any statement, representation or documentation which is not contained or referenced in this Agreement. Transferor and Transferee may enter into separate agreements related to the utilization of Class III Gaming Stations transferred hereby, PROVIDED, that the terms of such separate agreements shall not affect the legal capabilities and authorizations for the transfer specified herein.

IN WITNESS WHEREOF, the parties have duly executed this Class III Gaming Station Transfer Agreement.

Transferee _____

Transferor _____

By: _____

By: _____

**Washington State
Gambling Commission &
Squaxin Island Tribe
Compact Amendment Public Hearing
May 11, 2017**

Dave Trujillo, WSGC Director

Ray Peters, Squaxin Island Intergovernmental Tribal Council Liaison

Julie Lies, WSGC Tribal Liaison



Commissioners



Chair Bud Sizemore



Vice Chair Julia Patterson



Chris Stearns



Kelsey Gray



Ed Troyer



Ex Officio Members

Our Link to the Legislature



Senator
Steve Conway
29th District



Senator
Lynda Wilson
17th District



Representative
David Sawyer
29th District



Representative
Brandon Vick
18th District



“Protect the public by ensuring that gambling is legal and honest”



1988

Indian Gaming Regulatory Act

*Provide regulatory framework for Indian
gaming*

*Promote economic development, self
sufficiency, strong tribal governments*



***The State must negotiate
in good faith when a
compact or amendment is
requested by a Tribe***



Negotiation Topics

Casino-style gaming activities

Criminal and civil jurisdiction

Fees for state regulation

Remedies for breach of contract

Standards of operation



Tribal Gaming Compact Approval Process

Compact negotiated by Commission Director



Direction by state policy makers



Commission has 60 days to:

- 1) Hold public hearing;**
- 2) Forward amendment to Governor; or**
- 3) Return to Director for further negotiation**



Tribal Gaming Compact Approval Process

Compact submitted to Commission, Legislature



Legislature has 45 days to review and comment



Governor reviews and has final execution authority



Tribe forwards to Secretary of Interior





Public Protection Interests

No criminal involvement

Gaming conducted fairly, honestly

**Gaming limited to authorized
activities**

**Minimize negative impacts on local
law enforcement, emergency
services**

Squaxin Island Tribe

The people of the water:



Noo-Seh-Chatl of Henderson Inlet
Steh Chass of Budd Inlet
Squi-Aitl of Eld Inlet
Sawamish/T'Peeksin of Totten Inlet
Sa-Heh-Wa-Mish of Hammersley Inlet
Squawksin of Case Inlet
S'Hotle-Ma-Mish of Carr Inlet

**Squaxin Island
Indian
Reservation**

Olympia, WA



**Little Creek
Casino**



**Squaxin Island
Tribal Center**

Summary of Changes

Increases number of Class III gaming facilities from 1 to 2

Includes IGRA definition of “Indian lands” to allow a gaming facility on qualifying land not within reservation boundaries

Allows tribally chartered entities wholly-owned by the Tribe to own the Class III gaming facility

Defines “gaming,” “gaming activity,” “gaming promotions”



Summary of Changes

Adds eligibility, which allows the Tribe to conduct initial background checks and temporarily license employees. State to continue background checks

Allows persons 18-21 to play in accordance with applicable liquor laws

Adds annual reporting of how problem gambling funds from were used

Removed confliction in most favored nation amendment provision

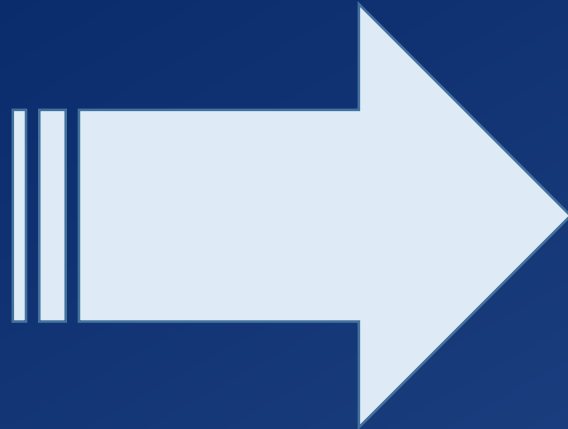


Next Steps

TODAY

Hold a hearing

**Answer
questions**



**MAY COMMISSION
MEETING**

Vote to:

- 1) Forward to Governor for review & final execution, or**
- 2) Return to Director for further negotiation**

Staff Recommendation

Vote to forward the proposed compact amendment to the Governor for review and final execution



Questions?



**Washington State
Gambling Commission &
Squaxin Island Tribe
Compact Amendment Public Hearing
May 11, 2017**

Dave Trujillo, WSGC Director

Ray Peters, Squaxin Island Intergovernmental Tribal Council Liaison

Julie Lies, WSGC Tribal Liaison



Problem Gambling Speakers Biographies:

William “Ted” Hartwell



Mr. Hartwell has a Masters degree in Anthropology from Texas Tech University and has been a member of the research faculty of the Desert Research Institute in Las Vegas since 1991. He is a problem gambler in long-term recovery (~9 and a half years), and he promotes awareness, prevention, and treatment of problem gambling as a consultant for Community Engagement for the Nevada Council on Problem Gambling. He has spoken publicly and personally on this issue on many occasions in support of organizations such as the Problem Gambling Center, where he serves on the Alumni Committee, and for Lanie’s Hope, a national advocacy group dedicated to humanizing and illuminating the disease of compulsive gambling.

As a recent graduate of Leadership Las Vegas (Class of 2011), Mr. Hartwell has been responsible for the inclusion of an informational session on problem gambling within the curriculum of Gaming and Tourism Day for the Las Vegas Chamber of Commerce’s Leadership Las Vegas program. He has also presented on advocacy at the Nevada State Conference on Problem Gambling, and is a member of the National Council on Problem Gambling. He helped introduce the Nevada Council’s youth problem gambling awareness program “Given the Chance” into the Middle and High Schools of the Clark County School District beginning in Fall, 2012, and has served at the pleasure of Governor Sandoval on the State Advisory Committee on Problem Gambling since November 2012. In 2014-2015 he organized a weekly problem gambling awareness session at Freedom House, a sober living facility in Las Vegas that helps recovering addicts who have been incarcerated to transition back into society.

He was the 2014 Shannon L. Bybee Award recipient for his continuing work on advocacy, outreach, and research on the issue of problem gambling. He is currently the Principal Investigator of a research study examining the impact of problem gambling in Native American tribal communities in Nevada.

Sydney Smith



Sydney Smith has a Masters degree in Clinical Psychology and is a PhD candidate in Clinical Psychology. She holds licensure as a LPC and LADC, and is also a Nationally Certified Gambling Counselor who trained under Johanna Franklin. Ms. Smith previously served as Clinical Director of Family Services for the International Problem Gambling Center in Las Vegas, NV, and as Clinical Director of Vencer Youth Services in Las Vegas. She is currently CEO and Clinical Director of RISE Center for Recovery in Las Vegas, Nevada, and hopes to open a residential treatment facility focused on disordered gambling in Las Vegas this year. She is the recipient of a Lander Endowment fellowship to conduct research at the Desert Research Institute in Las Vegas. Ms. Smith has spent the majority of her career working with co-occurring disorders as a substance abuse and gambling addiction therapist practicing in both inpatient and outpatient settings. She has a long-standing interest in the treatment of problem gamblers and their families and is active in clinical practice. She was the 2016 Shannon L. Bybee Award recipient for her continuing work on advocacy, education, and research on the issue of problem gambling.

Research and Community Outreach on Problem Gambling in Tribal Communities in Nevada

William "Ted" Hartwell, Associate Research Scientist, Desert Research Institute,
Nevada System of Higher Education

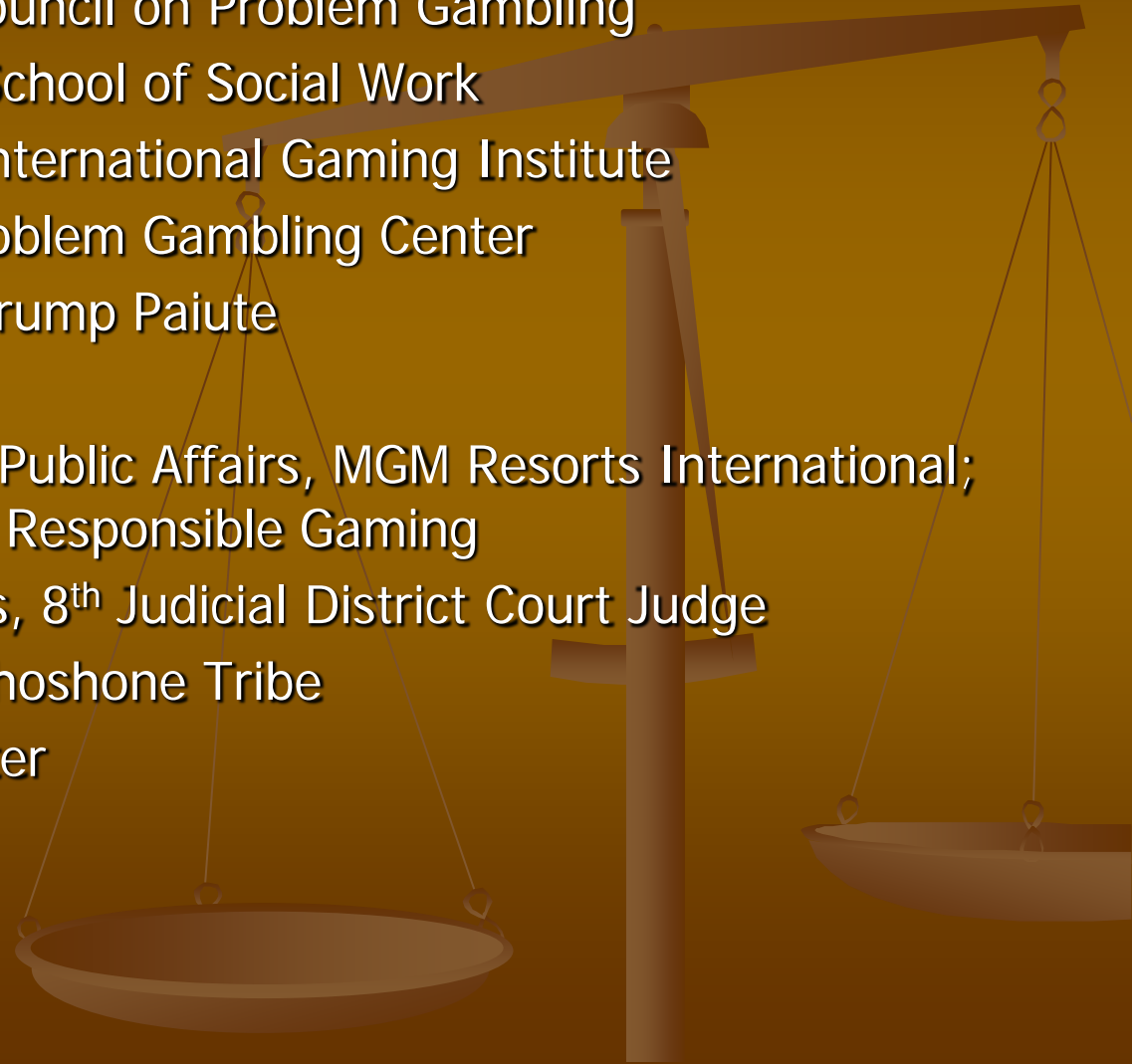
Sydney Smith, LPC, LADC, NCGC, Ph.D. Candidate; CEO and Clinical Director,
Rise Center for Recovery

A presentation to the Washington State Gambling Commission



Acknowledgments

- DEES Lander Endowment; DRI VPR funding
- Carol O'Hare - Nevada Council on Problem Gambling
- Dr. An-Pyng Sun, UNLV School of Social Work
- Dr. Bo Bernhard, UNLV International Gaming Institute
- Dr. Robert Hunter, LV Problem Gambling Center
- Richard Arnold, DRI; Pahrump Paiute
- Bea Aikens, Lanie's Hope
- Alan Feldman, Senior VP Public Affairs, MGM Resorts International;
Chair National Center for Responsible Gaming
- Honorable Cheryl B. Moss, 8th Judicial District Court Judge
- Tracy Mike, Duckwater Shoshone Tribe
- UNLV Canon Survey Center



**“Gambling is a Spirit That Talks in Your Ear So
That You Can’t Hear Your Heart”**





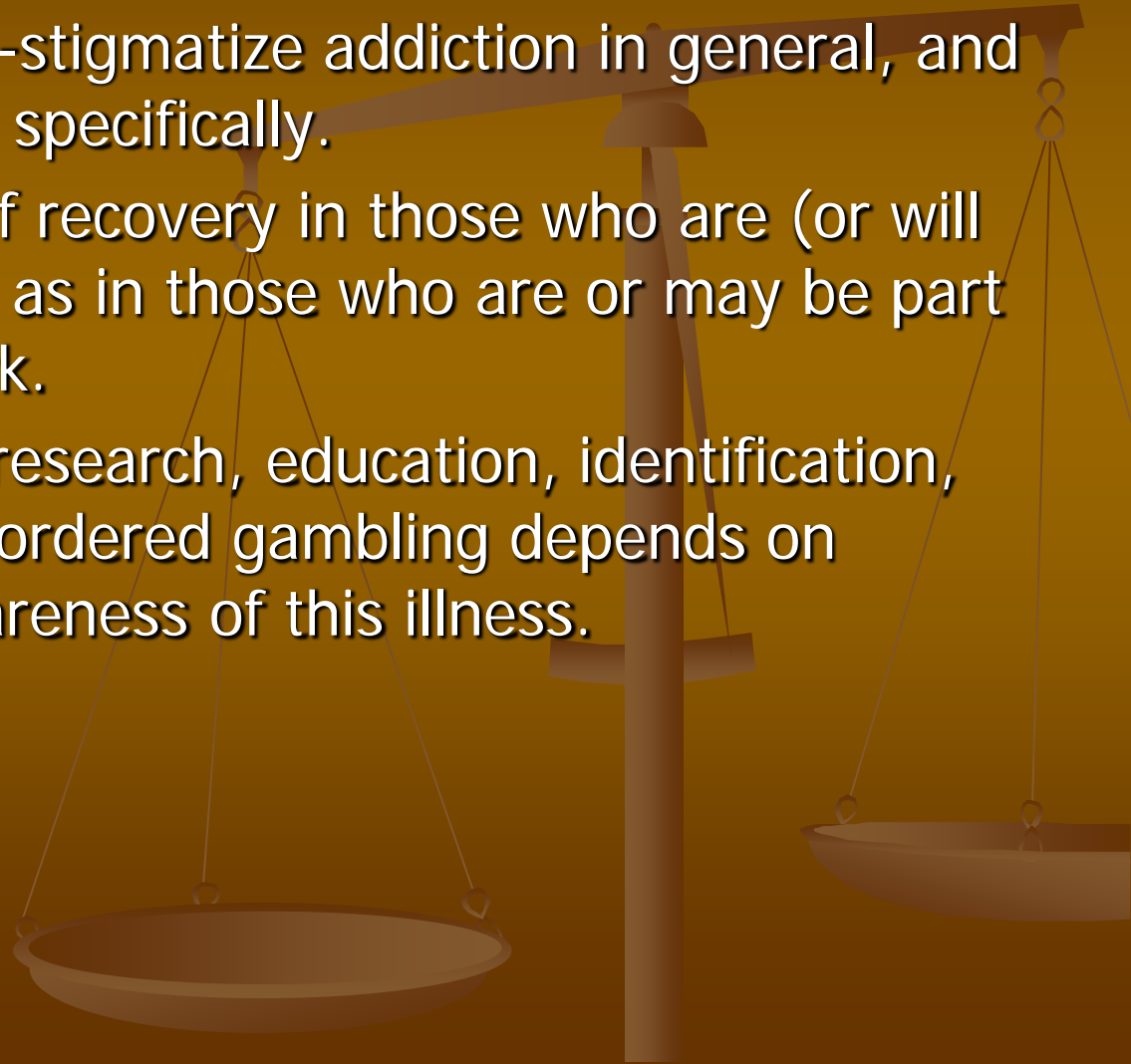
Ted's Story



- Numerous gambling experiences as a child
- Regular gambling by mid-teens
- 1991-1994: Gambling was fun!
- 1994: First recollection of gambling as an escape
- 1995-2005: Slow but progressive deterioration
- 2005-2007: Fast-track to self-destruction
- 2007: Sought treatment
- 2008: Security clearance suspended (and reinstated)
- 2009: Began advocacy efforts

Why is Public Advocacy Important?

- To demystify and de-stigmatize addiction in general, and disordered gambling specifically.
- To plant the seeds of recovery in those who are (or will be) suffering as well as in those who are or may be part their support network.
- Future **funding** for research, education, identification, and treatment of disordered gambling depends on increased public awareness of this illness.



Sydney's Story

Born and raised in Oklahoma

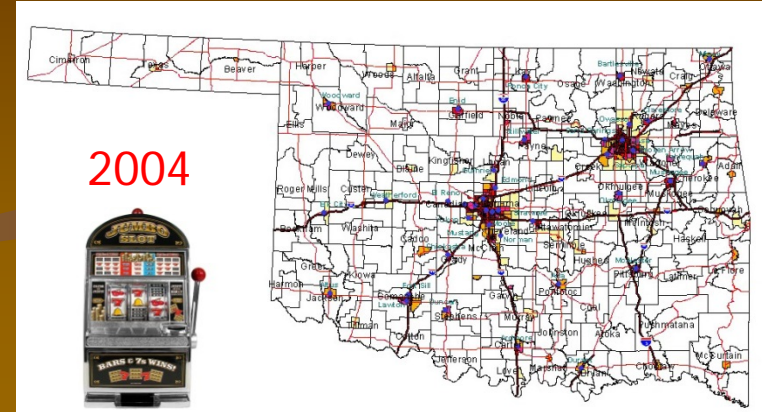
2001 Degree in Clinical Psychology

2004 Legalization of Class III Gaming

Start of Oklahoma Tribal Gaming and Casinos

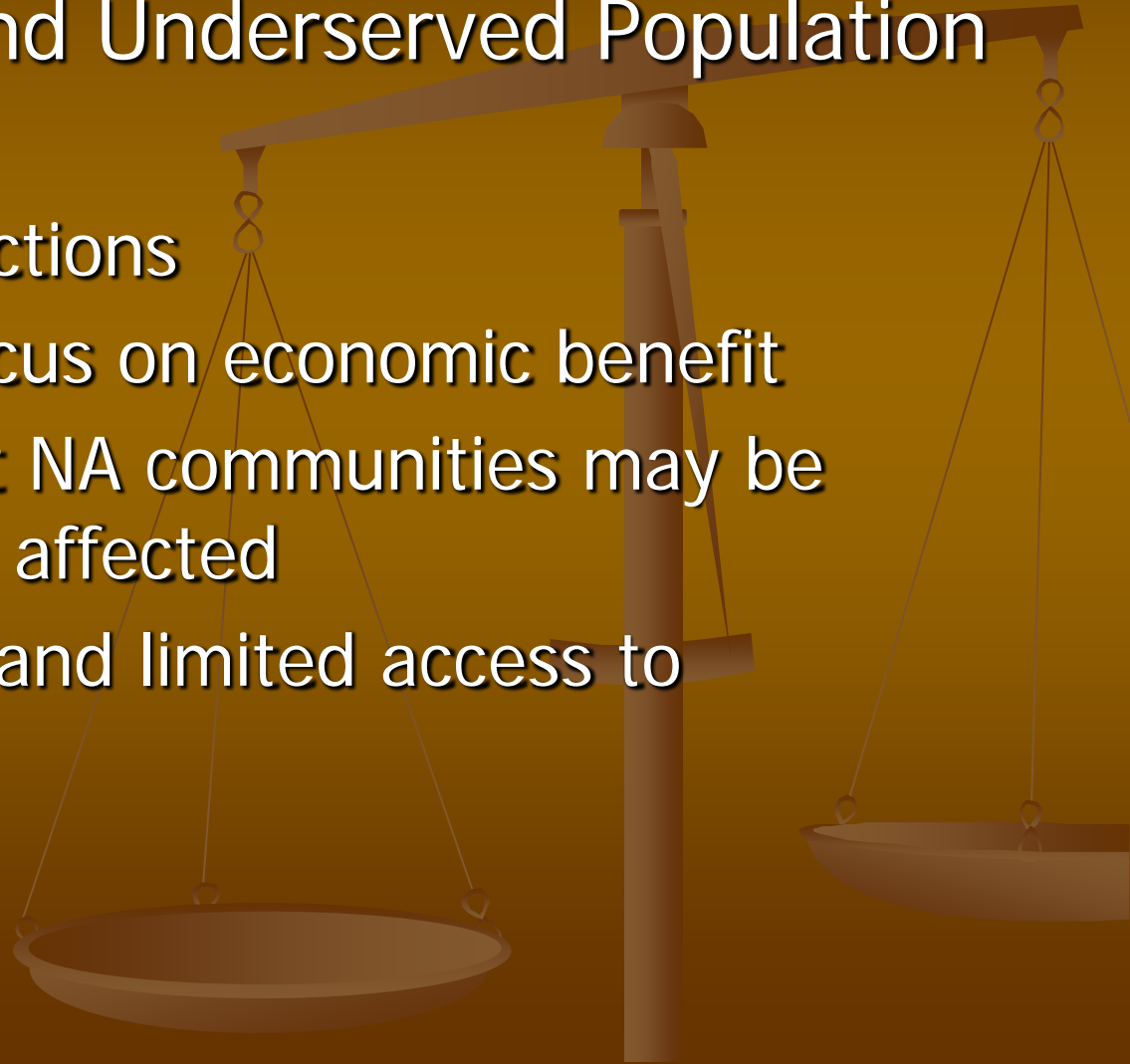
Witnessed the state both pre- and post-gaming and the positive and negative effects gambling brought to Oklahoma as well as problems within the tribal communities

Living with Problem Gambling in my Family



Why Tribal Communities?

- Understudied and Underserved Population
 - Personal connections
 - Most studies focus on economic benefit
 - Studies suggest NA communities may be more adversely affected
 - Rural locations and limited access to resources

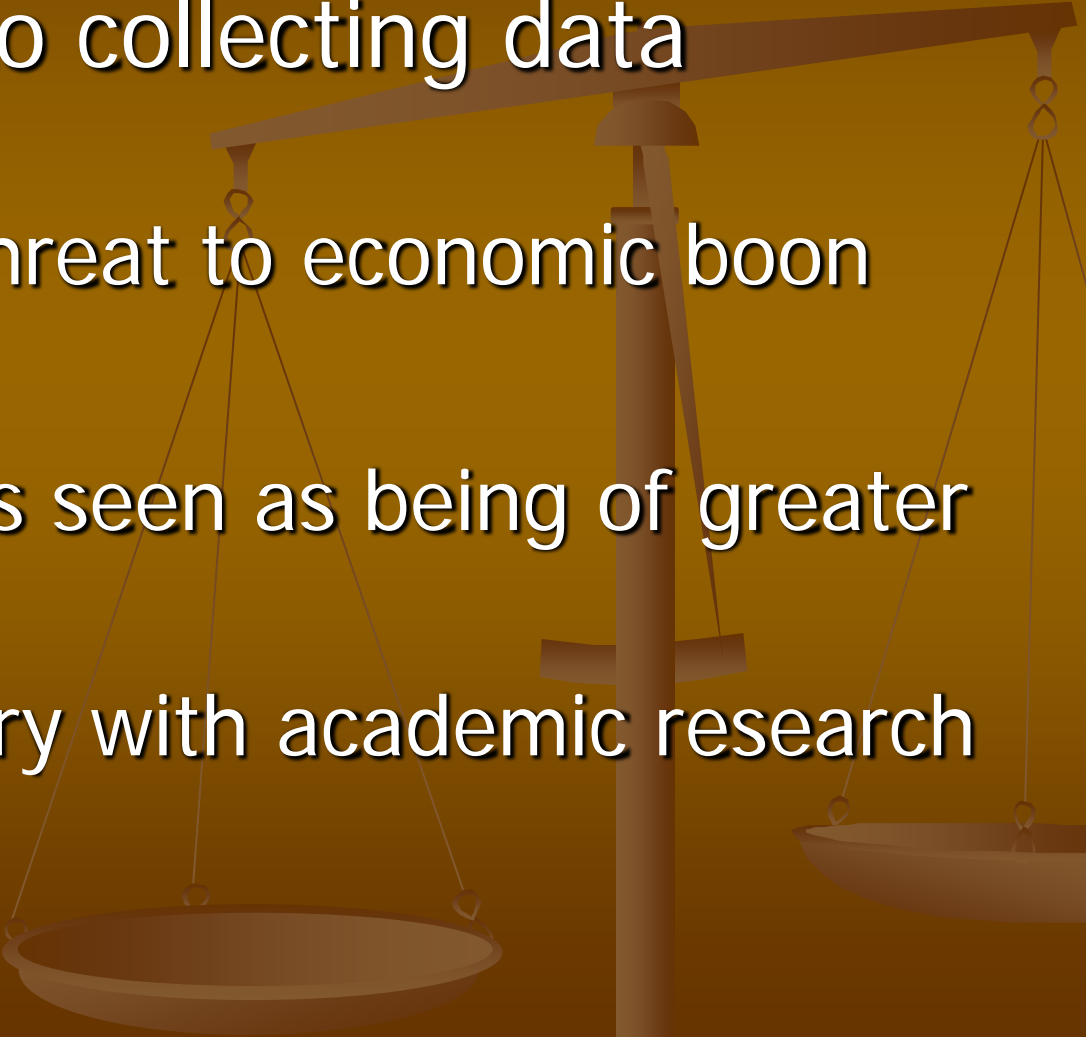


What Can Be Done to Help



- Increase accessibility to information, treatment providers, and resources for tribal communities
- Encourage a collaborative effort between the tribes
- Build relationships with affiliate Council on Problem Gambling, providers, etc. as a way to help improve overall services
- "Have the Conversation"

Barriers

- Challenges to collecting data
 - Perceived threat to economic boon
 - Stigma
 - Other issues seen as being of greater importance
 - Rocky history with academic research community
- 

Problem Gambling in Nevada

- Nevada has among highest rates of problem gambling in country---approximately 6.4% in 2000 prevalence study [Volberg 2002]; 2.7% converted past year rate [Williams, Volberg, & Stevens 2012]
- About 56,315 adult Nevadans (converted past year rate) meet criteria for disorder.
- For each problem gambler, approximately 7 others are significantly affected.
- Annual social costs estimated to be in the hundreds of millions of dollars to State of Nevada (bankruptcy, divorce, criminal and civil justice system, mental health and suicide, loss of job productivity, etc).

Methodology




- 1 ½ hour “community health presentation” (sample of convenience)
- Meal provided to incentivize attendance
- 57-question voluntary confidential survey instrument
- \$15 gift card to Target or Wal-Mart to incentivize survey completion
- 15-minute video “Damage Done” and 15-minute discussion
- 20 minutes of storytelling
- 10+ minutes discussion and feedback

Hypotheses



- 1) Disordered gambling prevalence will be higher in tribal communities than was exhibited in the general population from Nevada's previous prevalence study.
- 2) Higher prevalence rates will be found in tribal communities that are nearer to commercial gambling opportunities.
- 3) Disordered gambling may be associated with variables such as age, gender, socioeconomic status (education, family income, and employment), co-occurring disorders (e.g., substance abuse, depression), attitudes toward gambling, and spirituality.

Participants to Date (9 tribes)

 Outreach and surveys conducted



Ask to proofread!

YOU ARE INVITED "COMMUNITY HEALTH PRESENTATION"

Speaker: Ted Hartwell

Date: Friday, February 27th, 2015

Time: 6:00 – 7:30 p.m.

Location: Duckwater Senior Center

FREE DINNER WILL BE PROVIDED

Meatloaf, Salad, Mashed Potatoes & Gravy

...ALSO... For participants willing to fill out a survey, a chance to win a \$15.00 gift card from Wal-Mart or Target.

Feb 24 15 00

INTER
CO

Ask to proofread!

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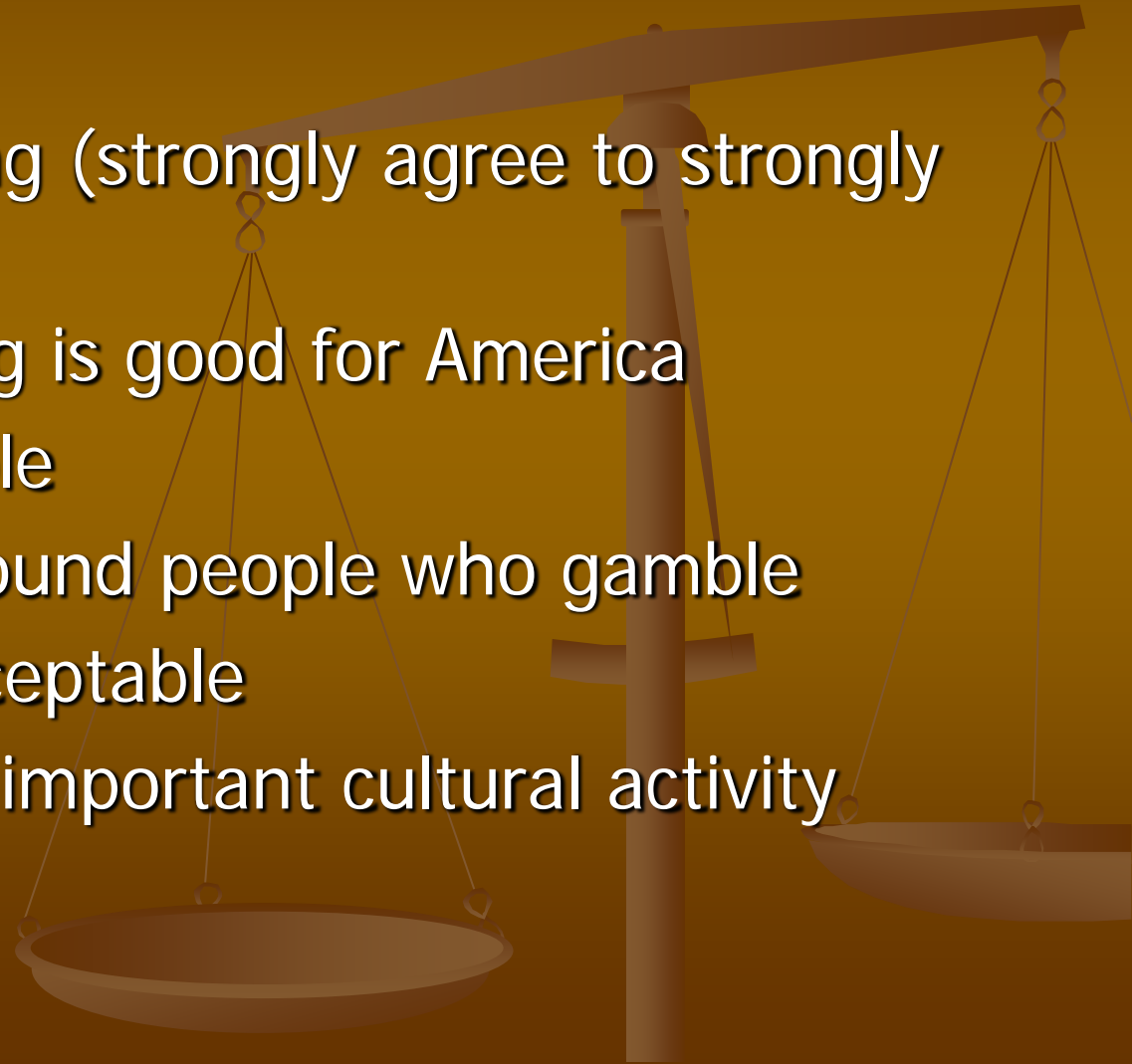
Demographic Data (n

- Age
- Gender
- Marital Status
- Tribal affiliation
- Employment status
- Educational level
- Household income
- Employed by gaming industry



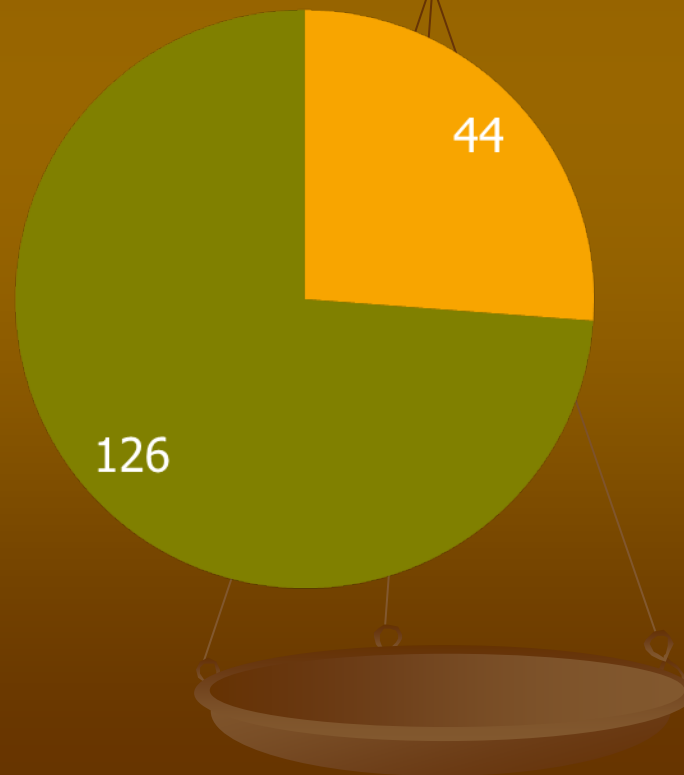
General attitudes about gambling and behavior

- Examples:
 - I enjoy gambling (strongly agree to strongly disagree)
 - I think gambling is good for America
 - I want to gamble
 - I feel exited around people who gamble
 - Gambling is acceptable
 - Gambling is an important cultural activity



Alcohol Consumption

5 or more drinks on a single occasion in past 3 months (encompassed 40% of problem gamblers)

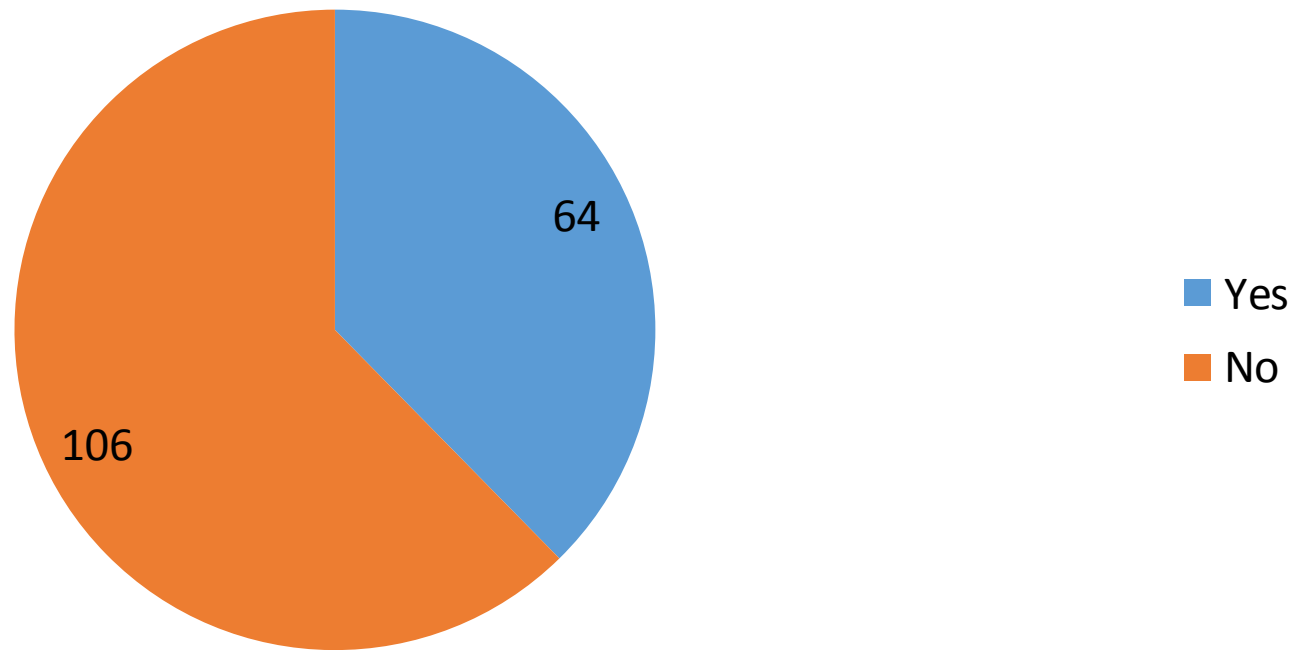


■ Yes
■ No

Depression

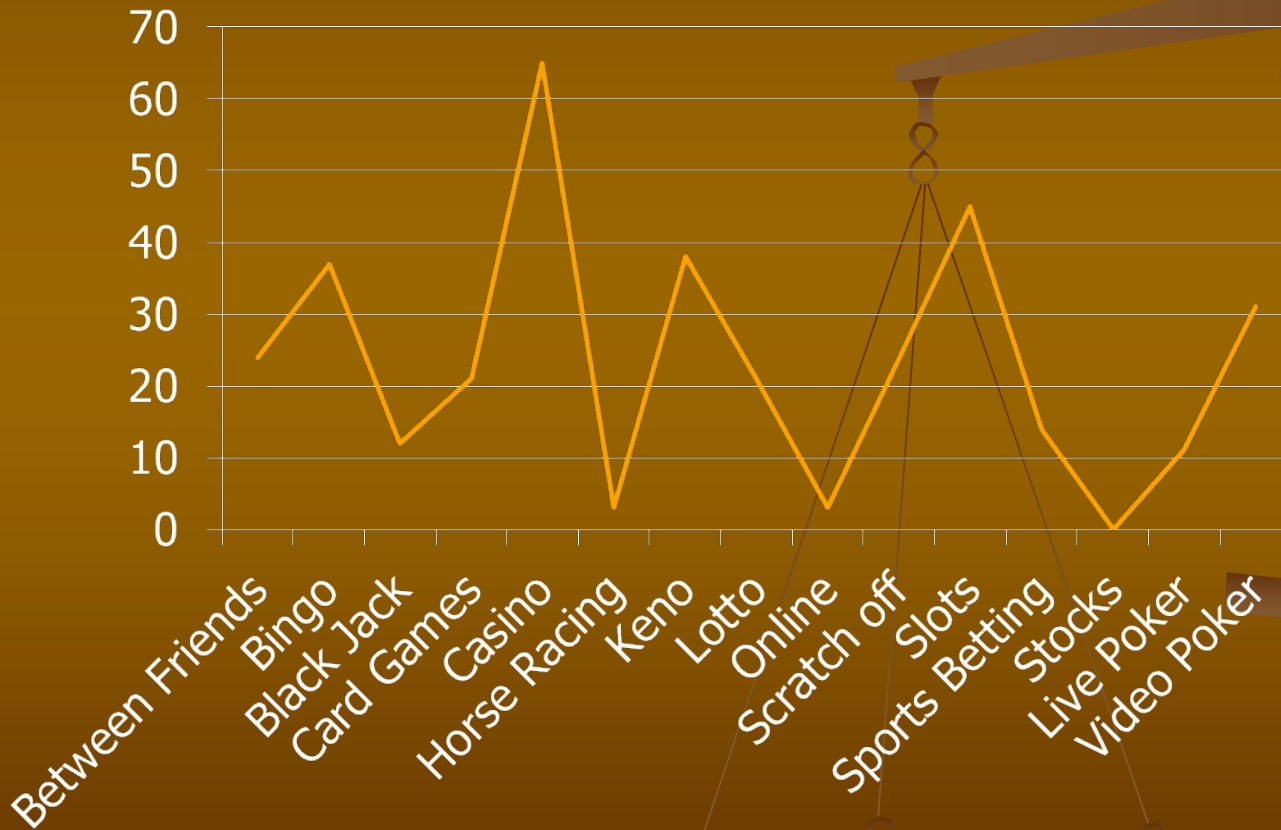
(Encompassed 65% of problem gamblers)

In past month, often bothered by feeling down, depressed, or hopeless



Gambling Behavior

Forms of Gambling

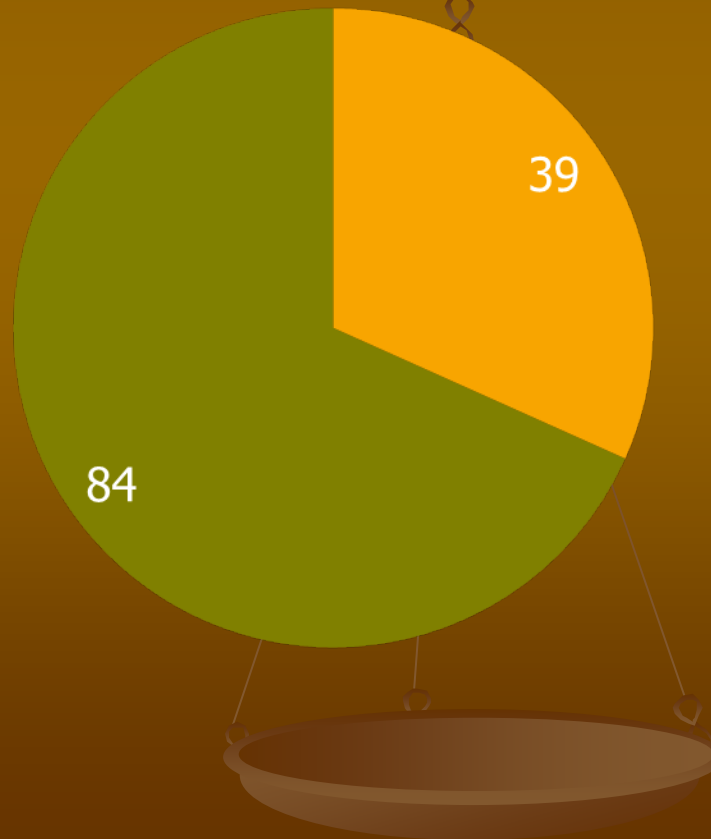


— Individuals



Gambling Behavior

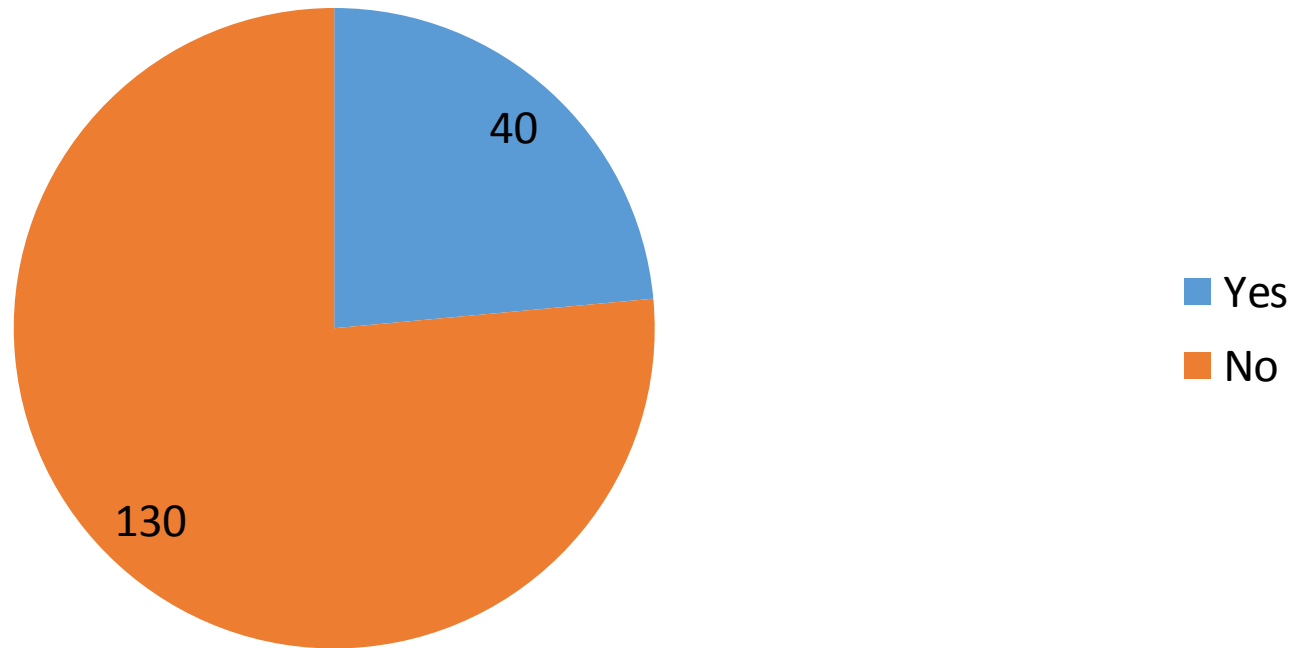
Do you consider gambling an important cultural activity?



■ Yes
■ No

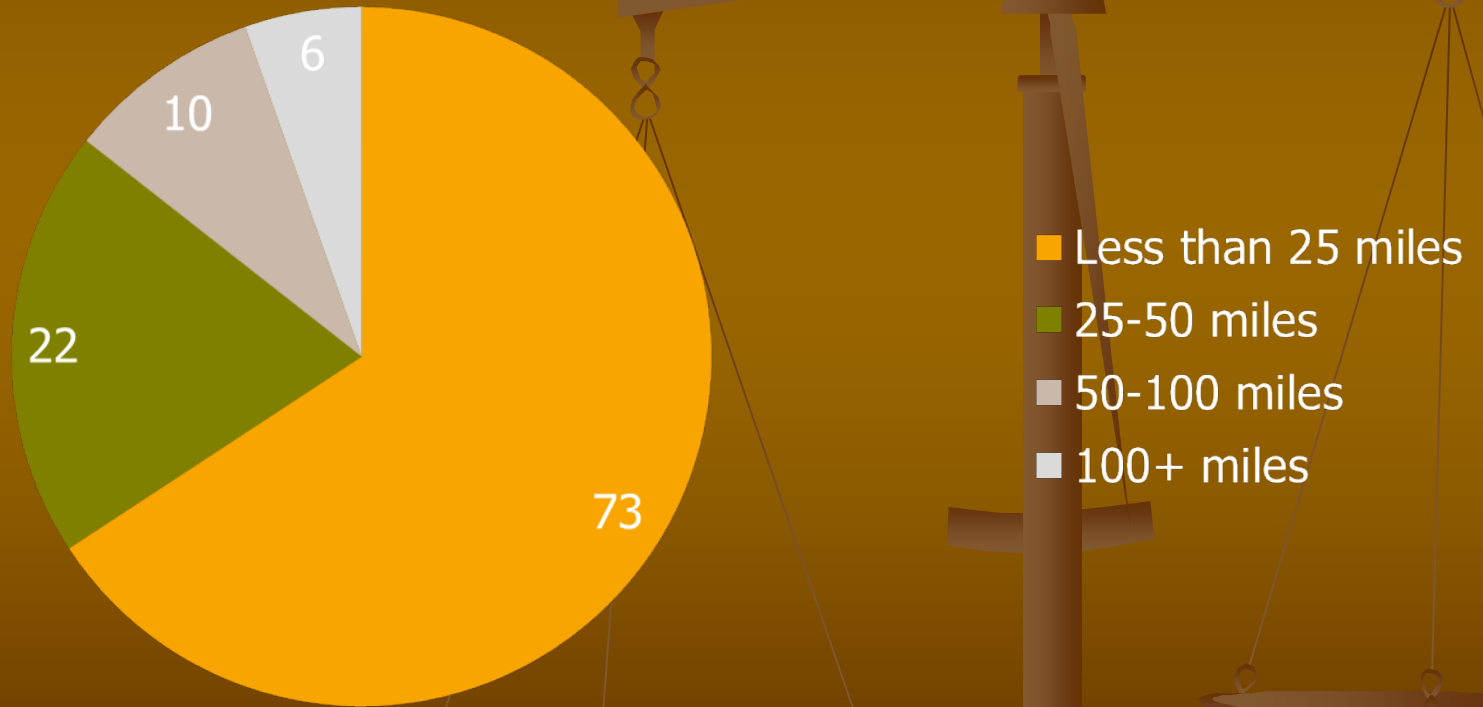
Gambling Behavior

Do you consider yourself to have won more money gambling than you have lost?



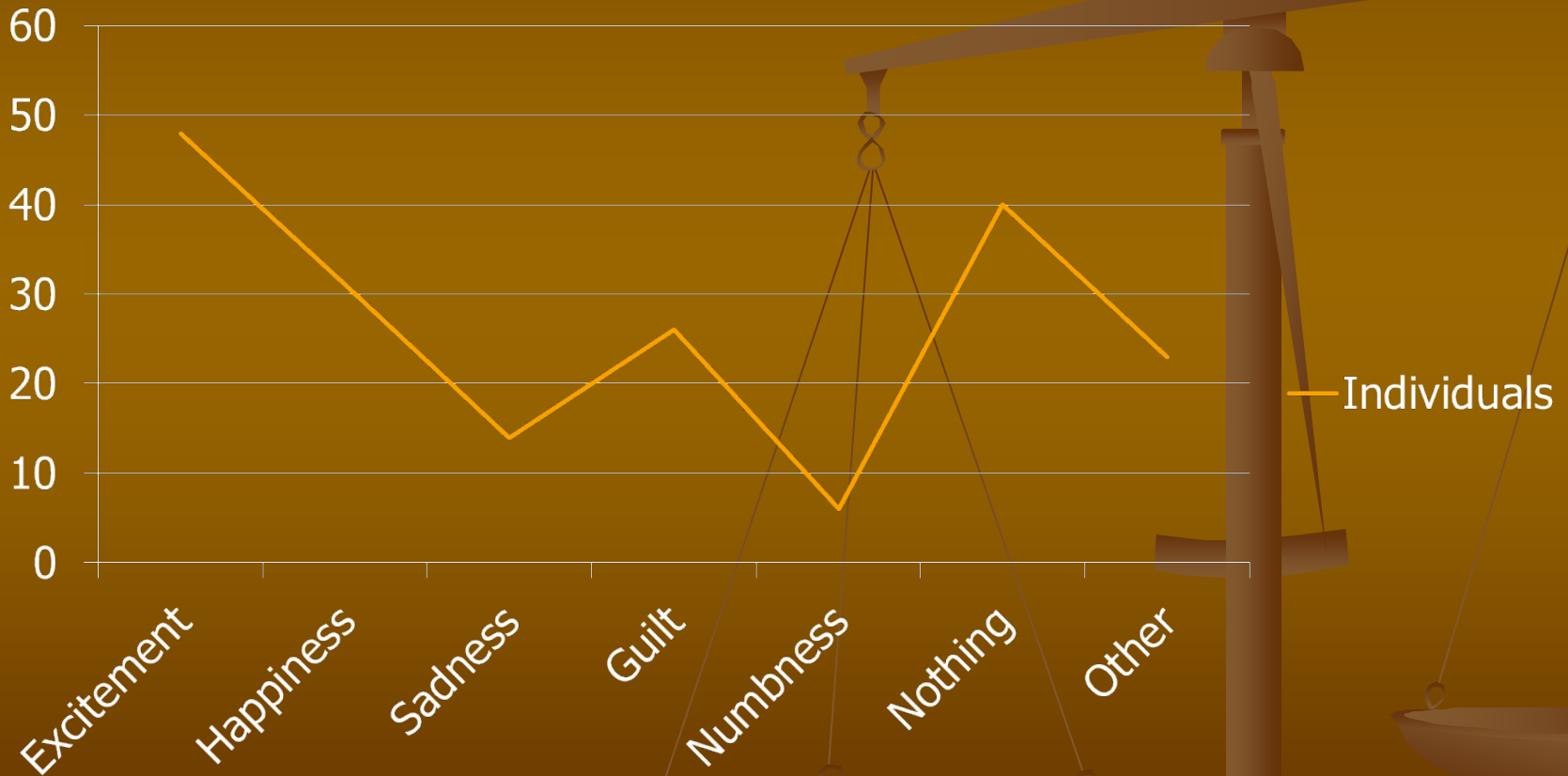
Gambling Behavior

How far do you typically travel to gamble?



Gambling Behavior

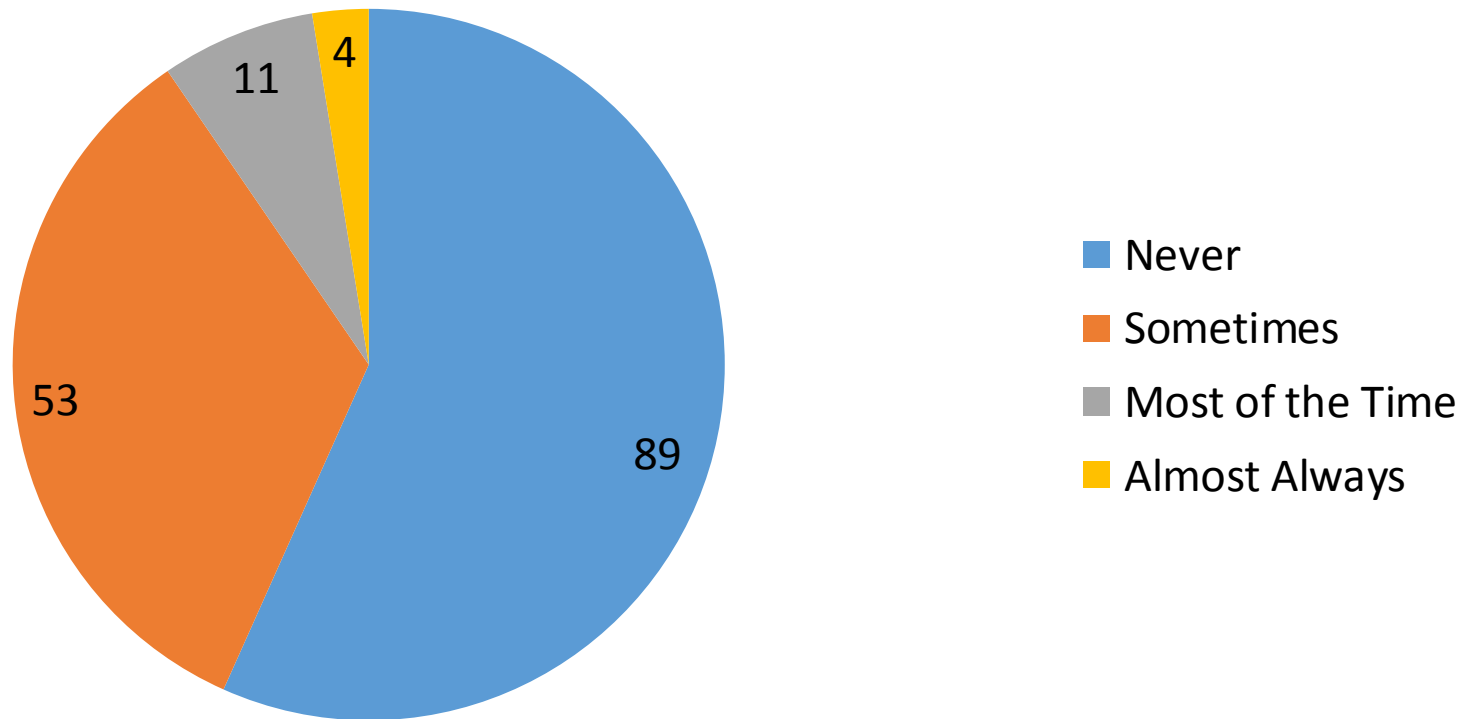
What do you feel when you gamble?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

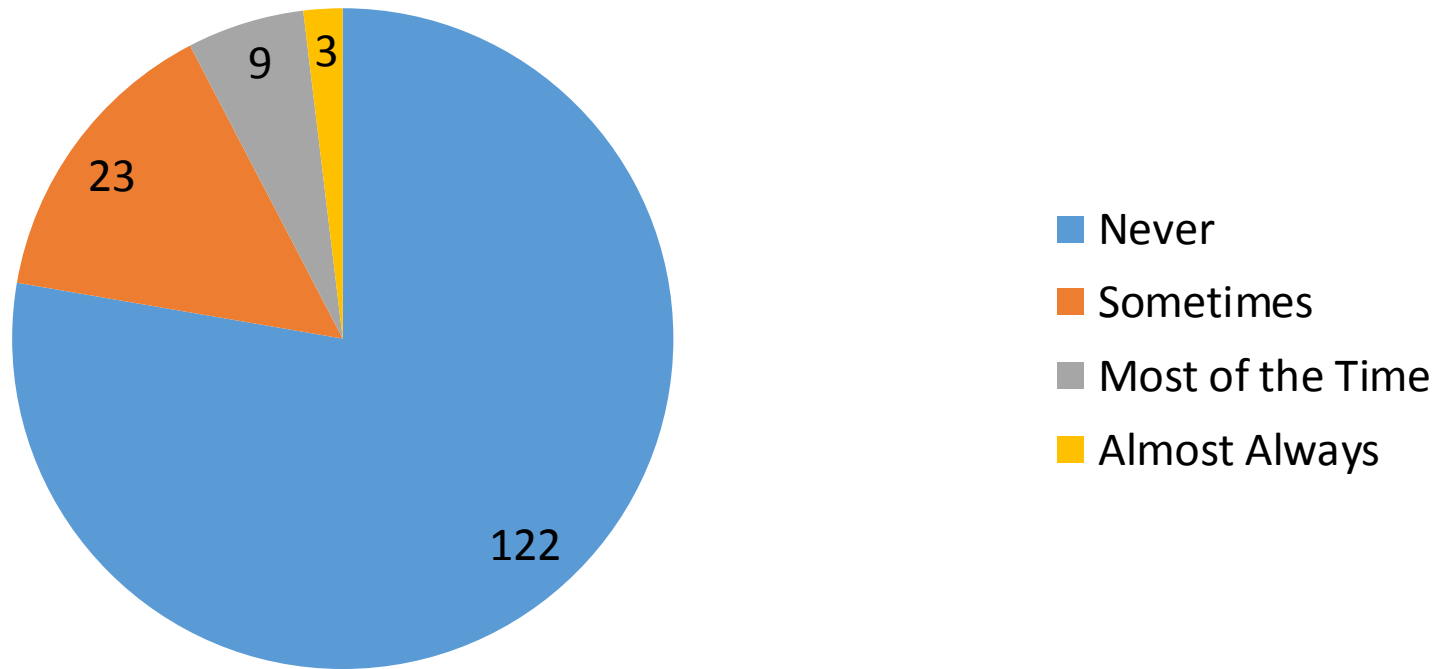
Have you bet more than you could really afford to lose?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

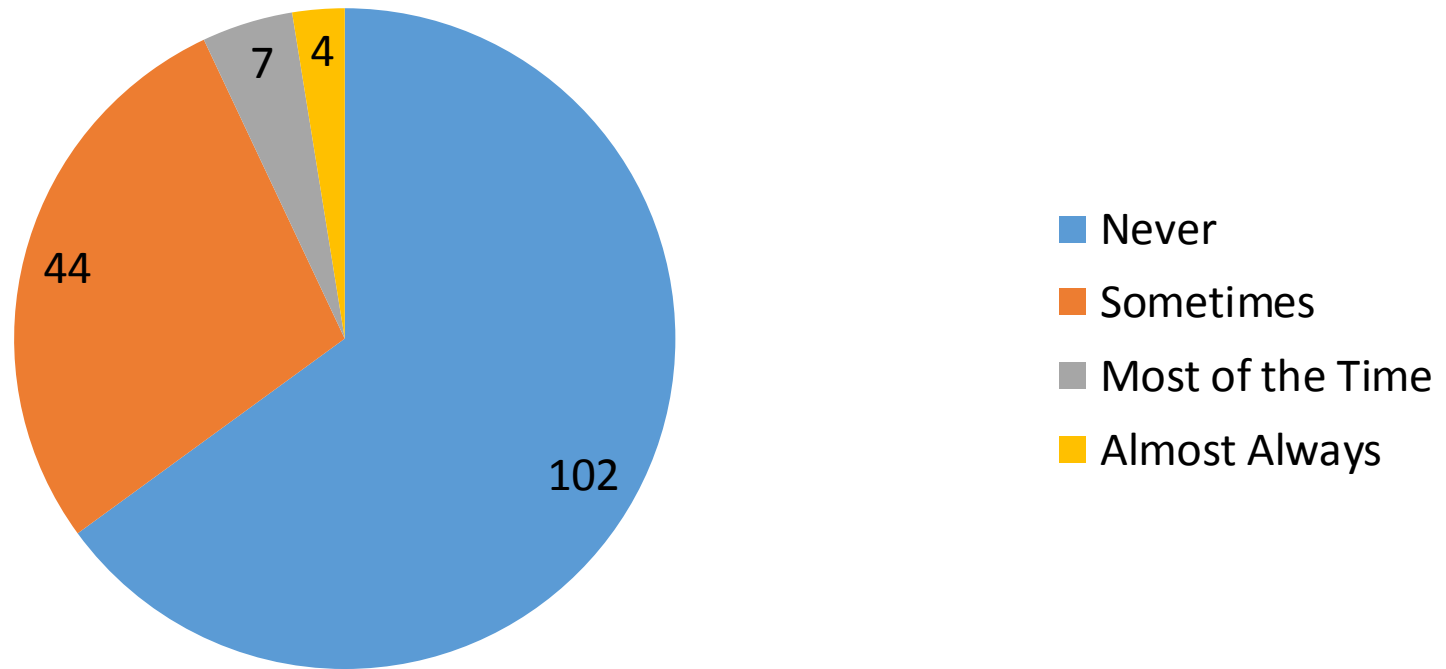
Have you needed to gamble with larger amounts of money to get the same feeling of excitement?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

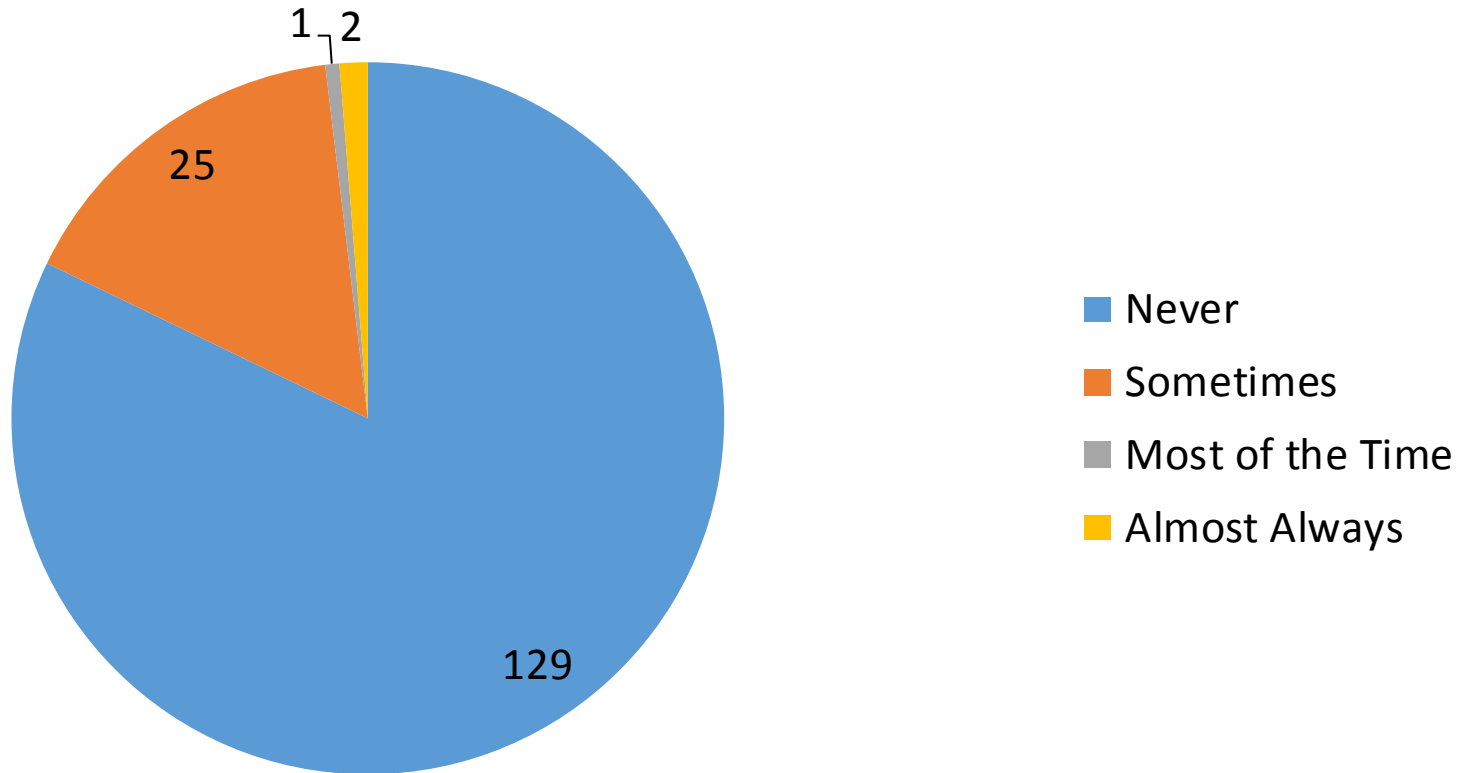
When you gambled, did you go back another day to win back the money you lost?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

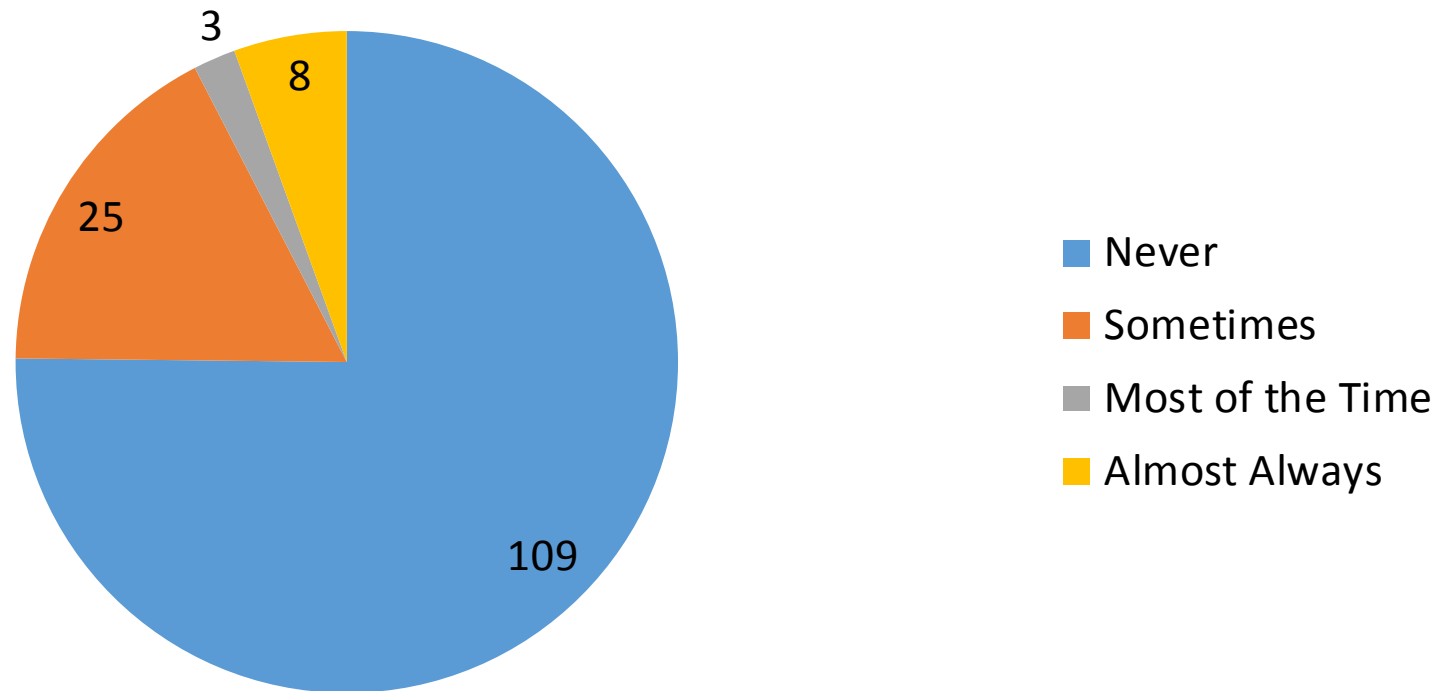
Have you borrowed money or sold anything to get money to gamble?



Canadian Problem Gambling Index

(Thinking about the past 12 months)

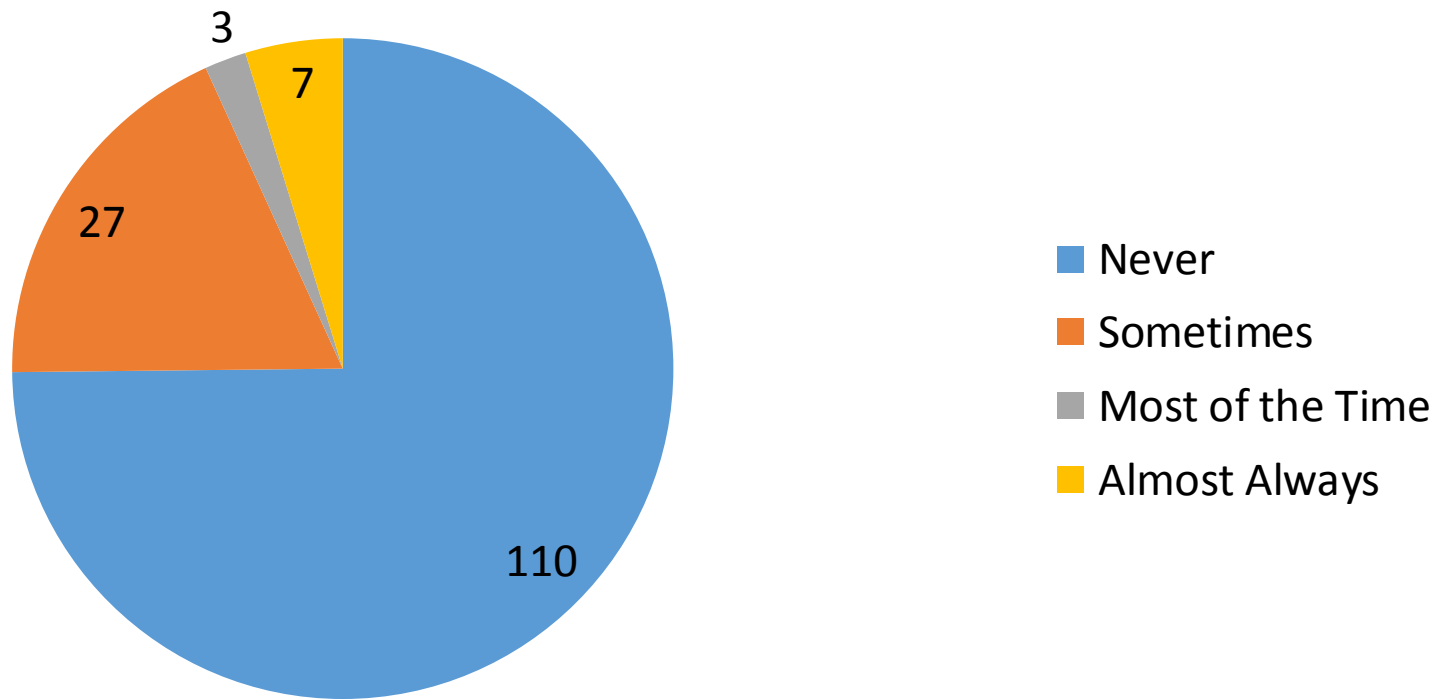
Have you felt that you might have a problem with gambling?



Canadian Problem Gambling Index

(Thinking about the past 12 months)

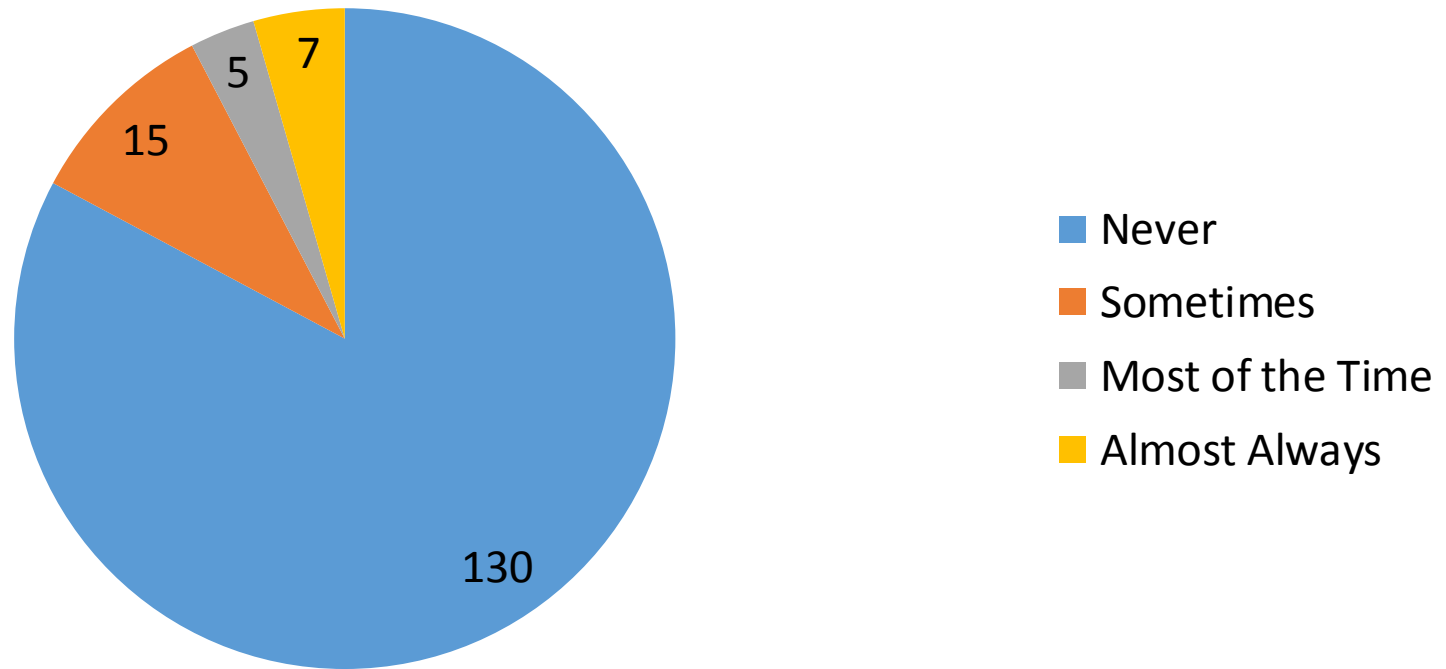
Has gambling caused you any physical or emotional health problems, including stress or anxiety?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

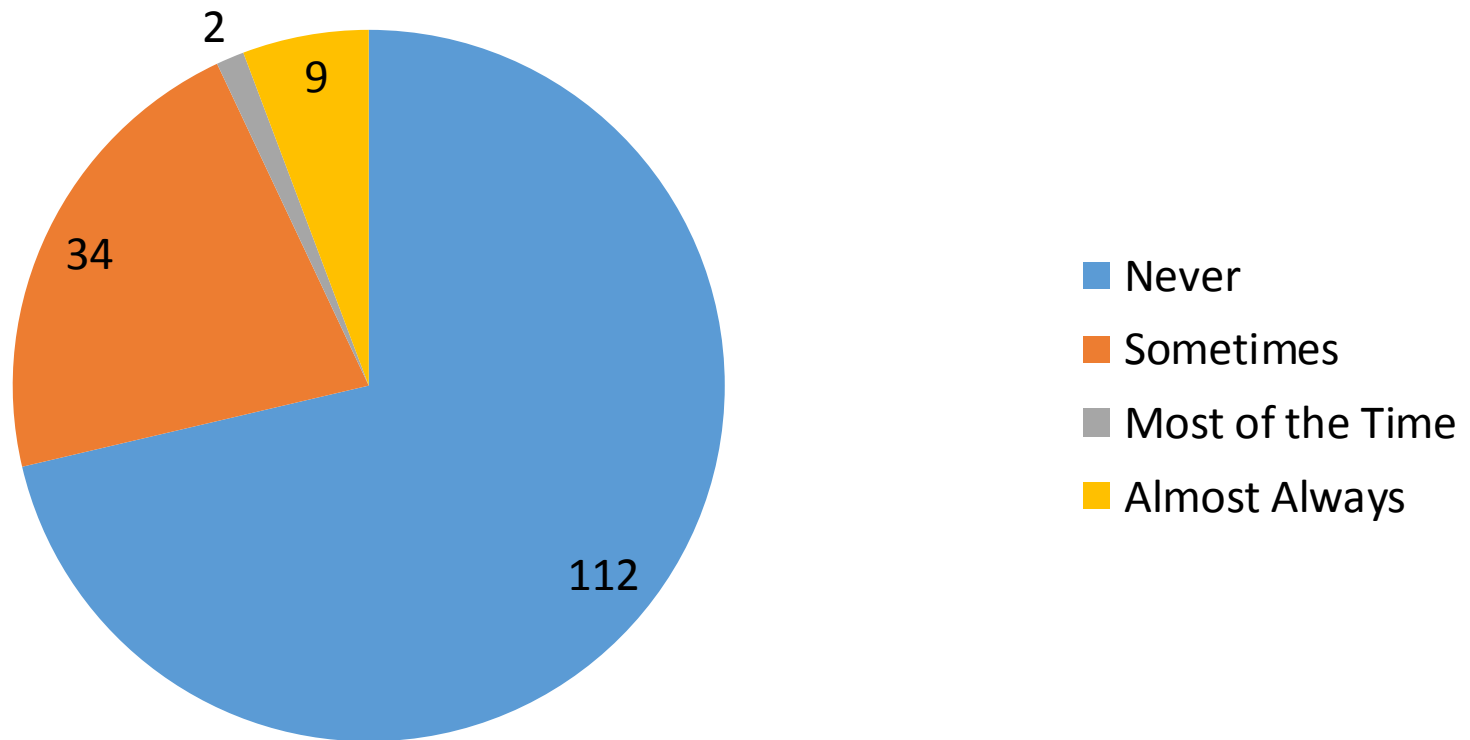
Have people criticized your betting or told you that you had a gambling problem, regardless of whether or not you thought it was true?



Canadian Problem Gambling Index

Problem Gambling Severity Index
(Thinking about the past 12 months)

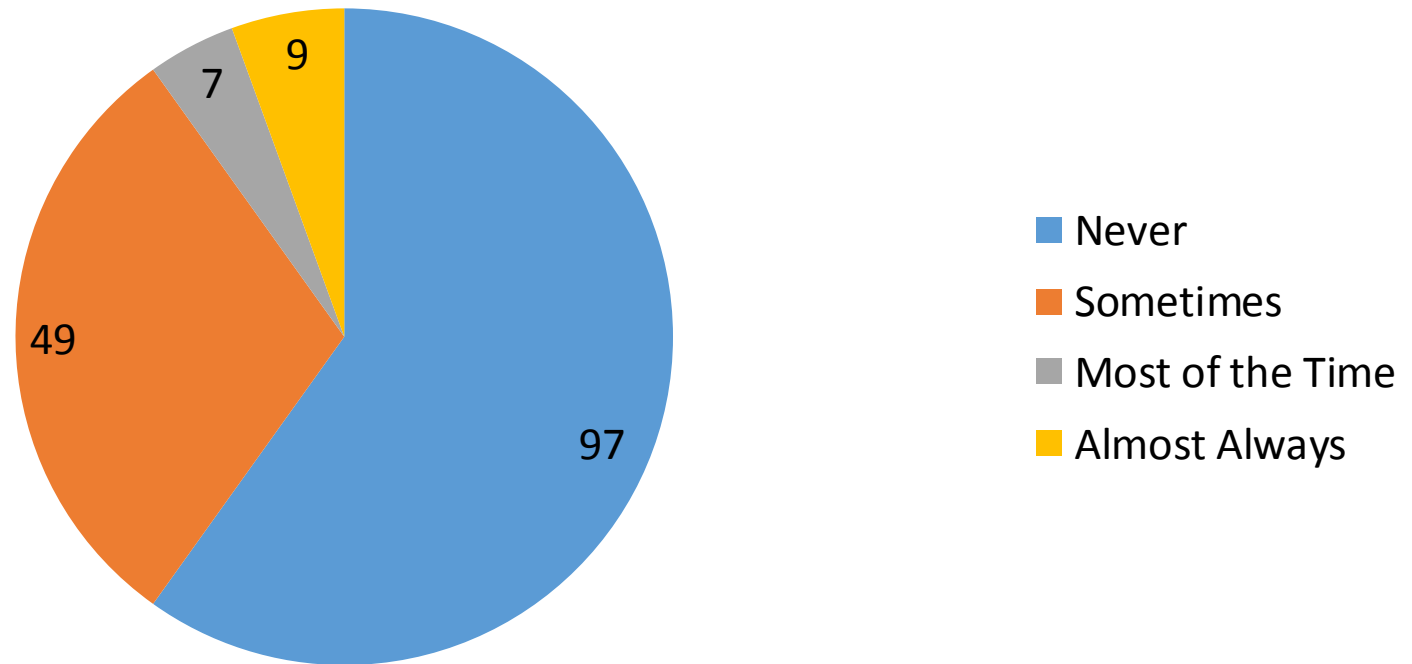
Has your gambling caused any financial problems for you or your family?



Canadian Problem Gambling Index

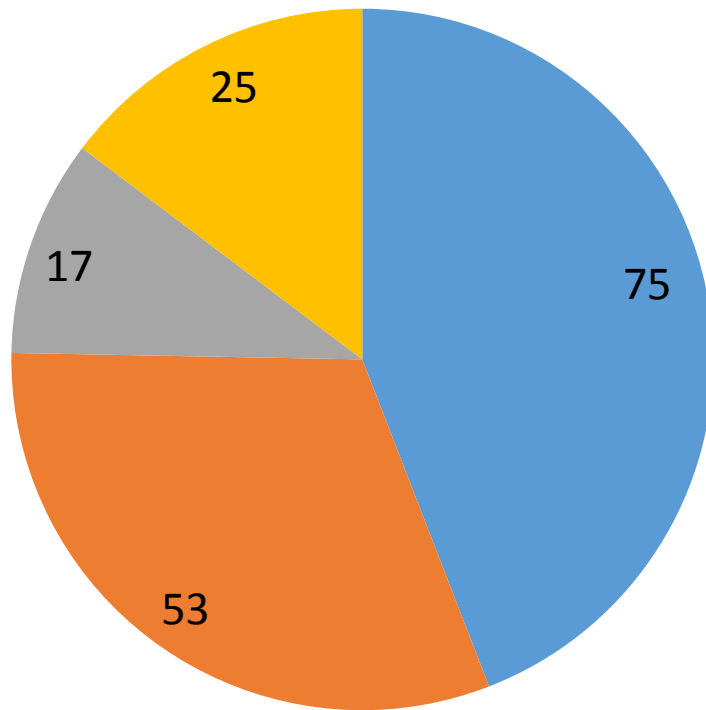
Problem Gambling Severity Index
(Thinking about the past 12 months)

Have you felt guilty about the way you gamble or what happens when you gamble?



Problem Gambling Severity Index

Individual Severity



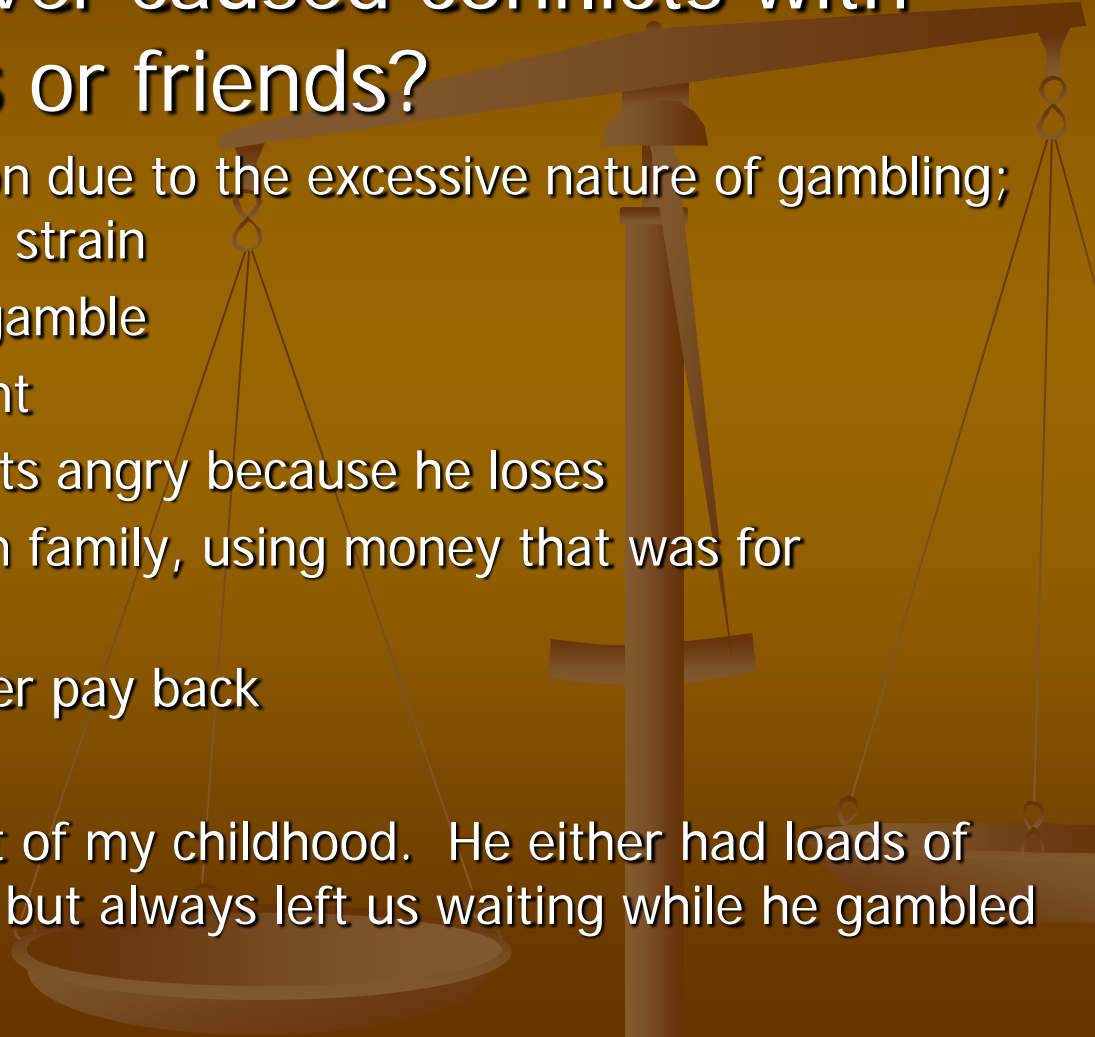
■ 0 = Non-problem gambling

■ 1-4 = Low level of problems

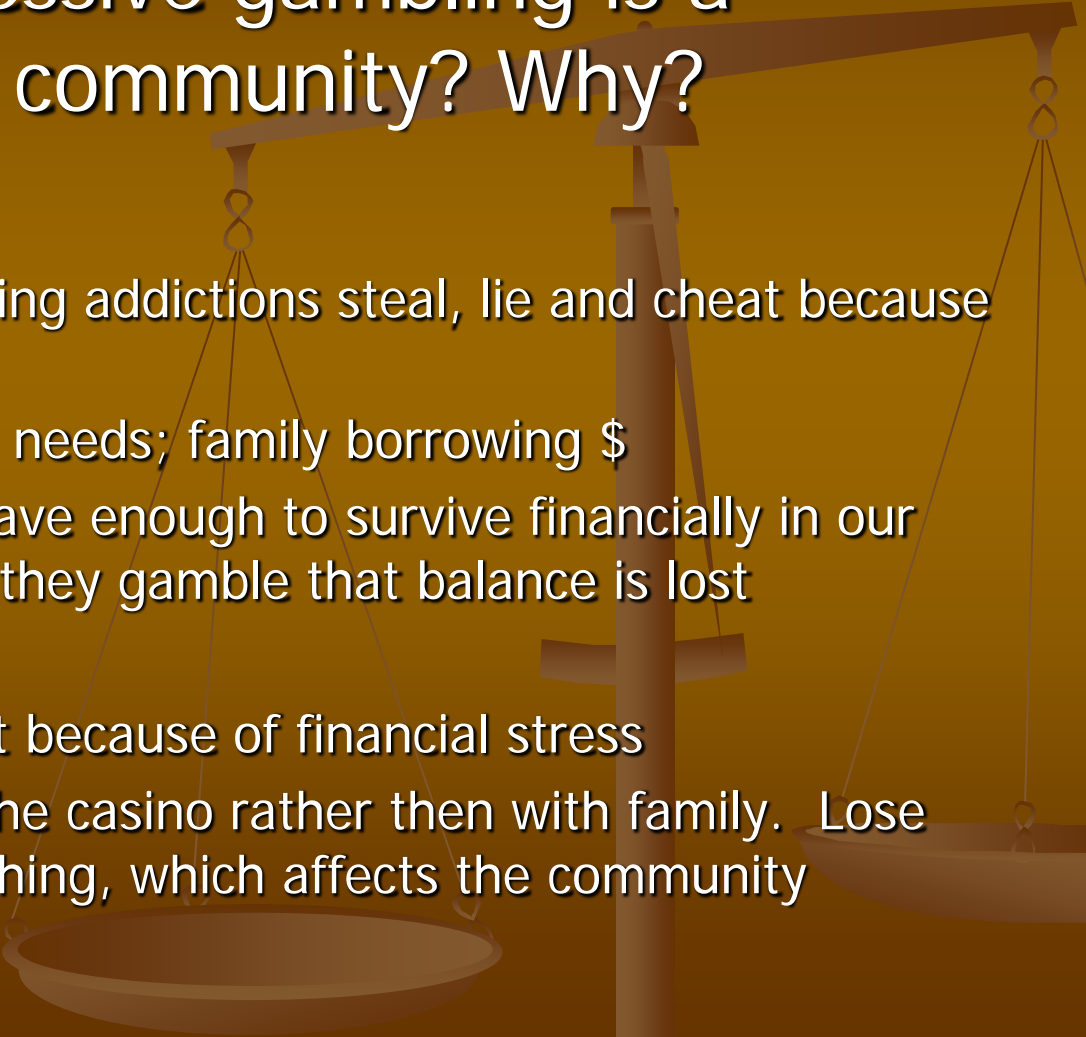
■ 5-7 = Moderate level of problems

■ 8+ = Problem gambling with negative consequences, possible loss of control

Open Ended Questions

- Has gambling ever caused conflicts with family members or friends?
 - Anxiety and depression due to the excessive nature of gambling; stress due to financial strain
 - Asking for money to gamble
 - Stealing/embezzlement
 - When my husband gets angry because he loses
 - Time spent away from family, using money that was for something else
 - They borrow and never pay back
 - Lying
 - My dad gambled most of my childhood. He either had loads of money, or none at all but always left us waiting while he gambled for hours
- 

Open Ended Questions

- Do you feel excessive gambling is a problem in your community? Why?
 - People with big gambling addictions steal, lie and cheat because of gambling
 - losing \$ for household needs; family borrowing \$
 - A lot of families just have enough to survive financially in our community and when they gamble that balance is lost
 - Kids go hungry
 - Families are torn apart because of financial stress
 - Elders spend time at the casino rather than with family. Lose out on traditional teaching, which affects the community
- 

Open Ended Questions

- Would you or your family be willing to participate in counseling to address a gambling problem (87 no, 75 yes) Why or why not?

YES

- To help another
- Yes, to help a family member but I don't know if they would go.
- **Gambling is a spirit that talks in your ear so you can't hear your heart**

NO

- Not a problem in my family
- I think that's something they need to work out on their own
- Because one person can't help the other if that person doesn't want help
- My family doesn't like to ask for help
- I have my own family now and no longer have to deal with my mother's addiction
- My entertainment
- I'm not a gambler. I hate gambling. I only go to spend time with my mom.
- We never have, on any problem.

Open Ended Questions

- If assistance is not available in your community, would you seek help for yourself or a loved one outside your community? (Gamblers Anonymous, Intensive Outpatient Programs, 1-on-1 therapy) (49 no, 109 yes) Why or why not?

NO

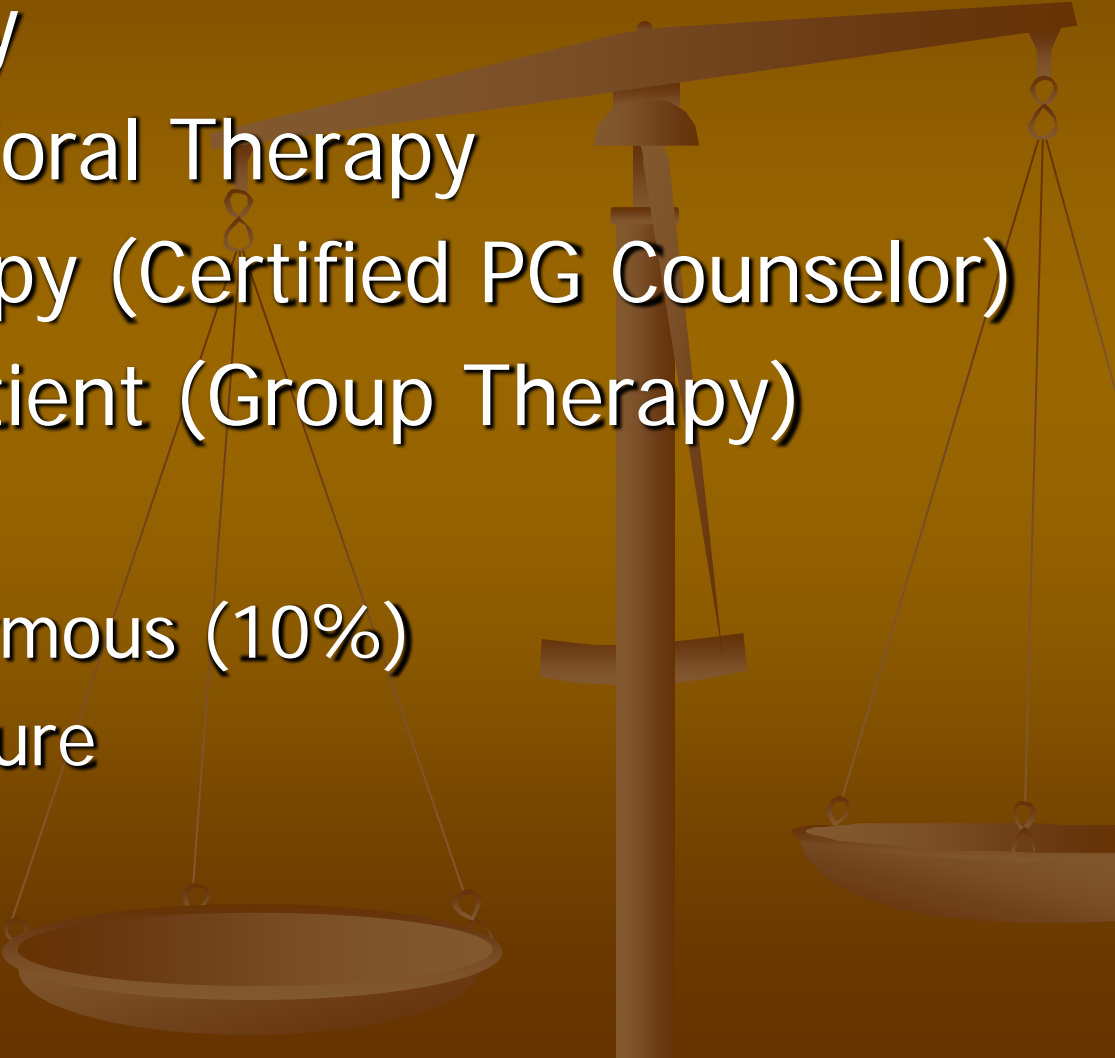
- Embarrassed
- Not my business
- I don't have a problem---they need to look out for themselves
- Because it wouldn't work. They would deny and refuse to go.
- Too far to go outside the community.
- **It's a possession. It's a ghost.**
- It'll probably cost too much to get there.

Treatment is Effective



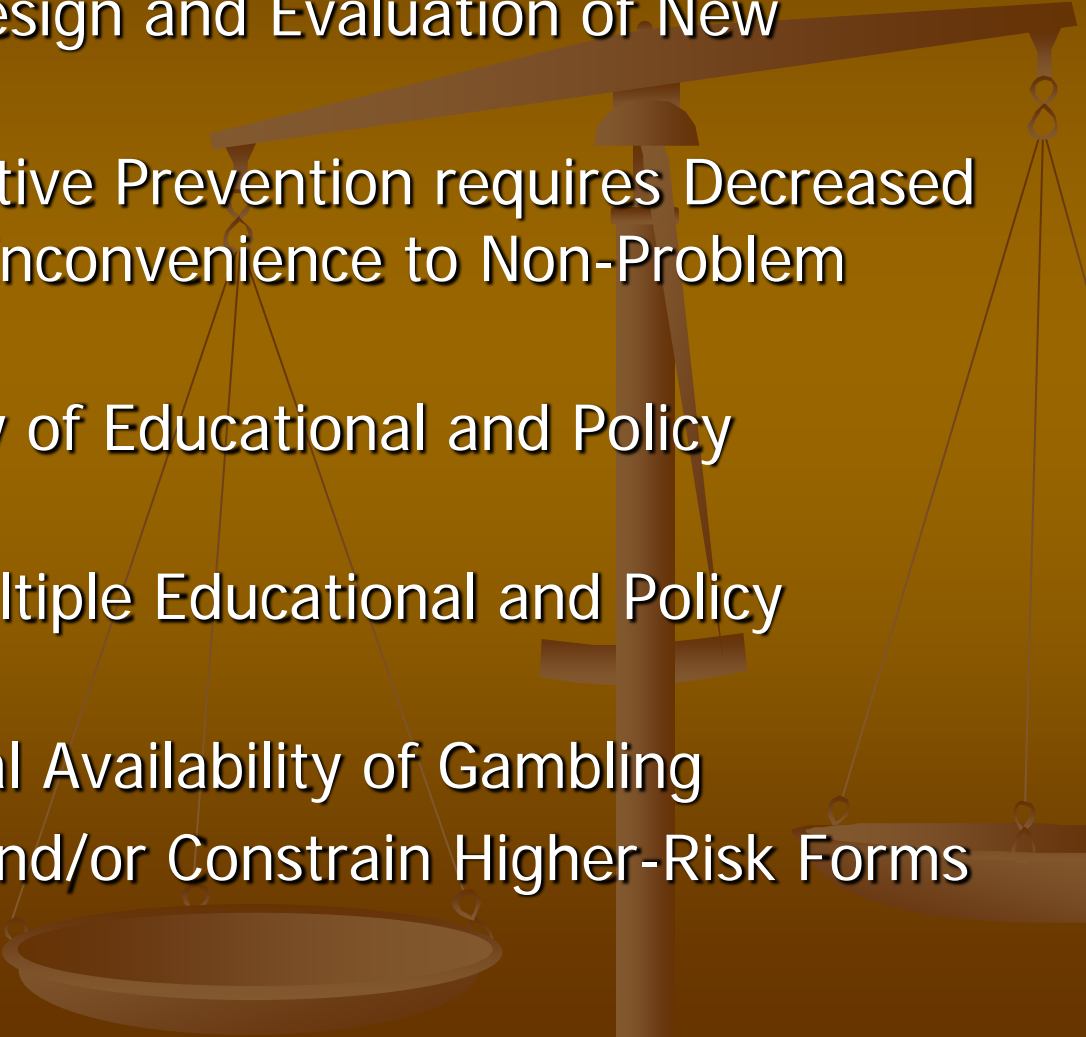
- Treatment saves lives and preserves families
- More than 4,000 adult Nevadans have received state-funded treatment since 2006
- UNLV research: 92% who receive treatment quit (52%) or reduce (40%) gambling
- Significant improvements in financial, housing, family, school, and work lives
- Average treatment cost per case is \$1,440
- Gambling Specific Treatment Providers

Treatment Best Practices---What Works? (no one-size-fits-all approach)

- Natural Recovery
 - Cognitive Behavioral Therapy
 - Individual Therapy (Certified PG Counselor)
 - Intensive Outpatient (Group Therapy)
 - Self-Help
 - Gamblers Anonymous (10%)
 - Self-Help Literature
 - Self-exclusion
- 

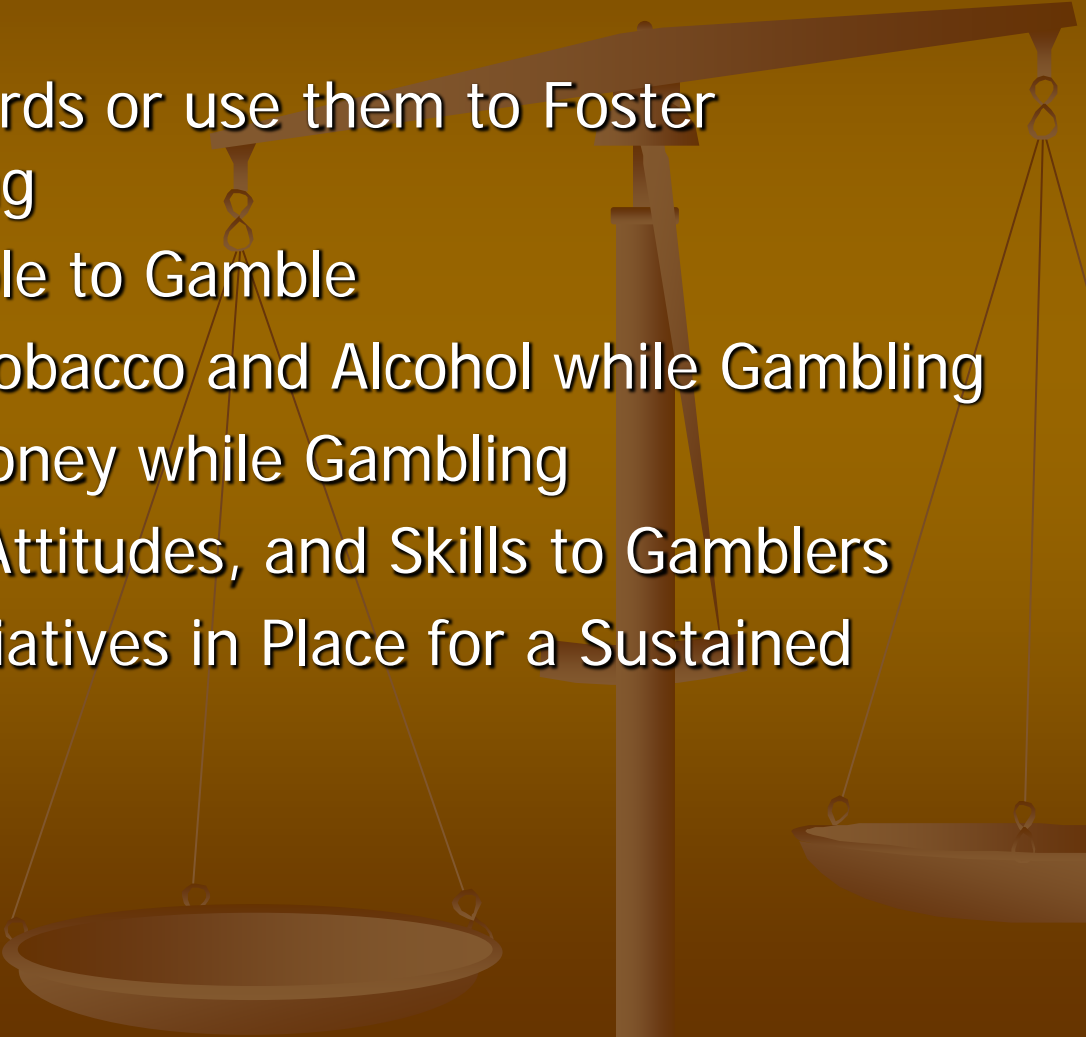
Prevention Best Practices

(Williams et al. 2012)

1. Strive for Optimal Design and Evaluation of New Initiatives
 2. Recognize that Effective Prevention requires Decreased Revenue and some Inconvenience to Non-Problem Gamblers
 3. Employ a Wide Array of Educational and Policy Initiatives
 4. Coordinate these Multiple Educational and Policy Initiatives
 5. Decrease the General Availability of Gambling
 6. Eliminate, Reduce, and/or Constrain Higher-Risk Forms of Gambling
- 

Prevention Best Practices

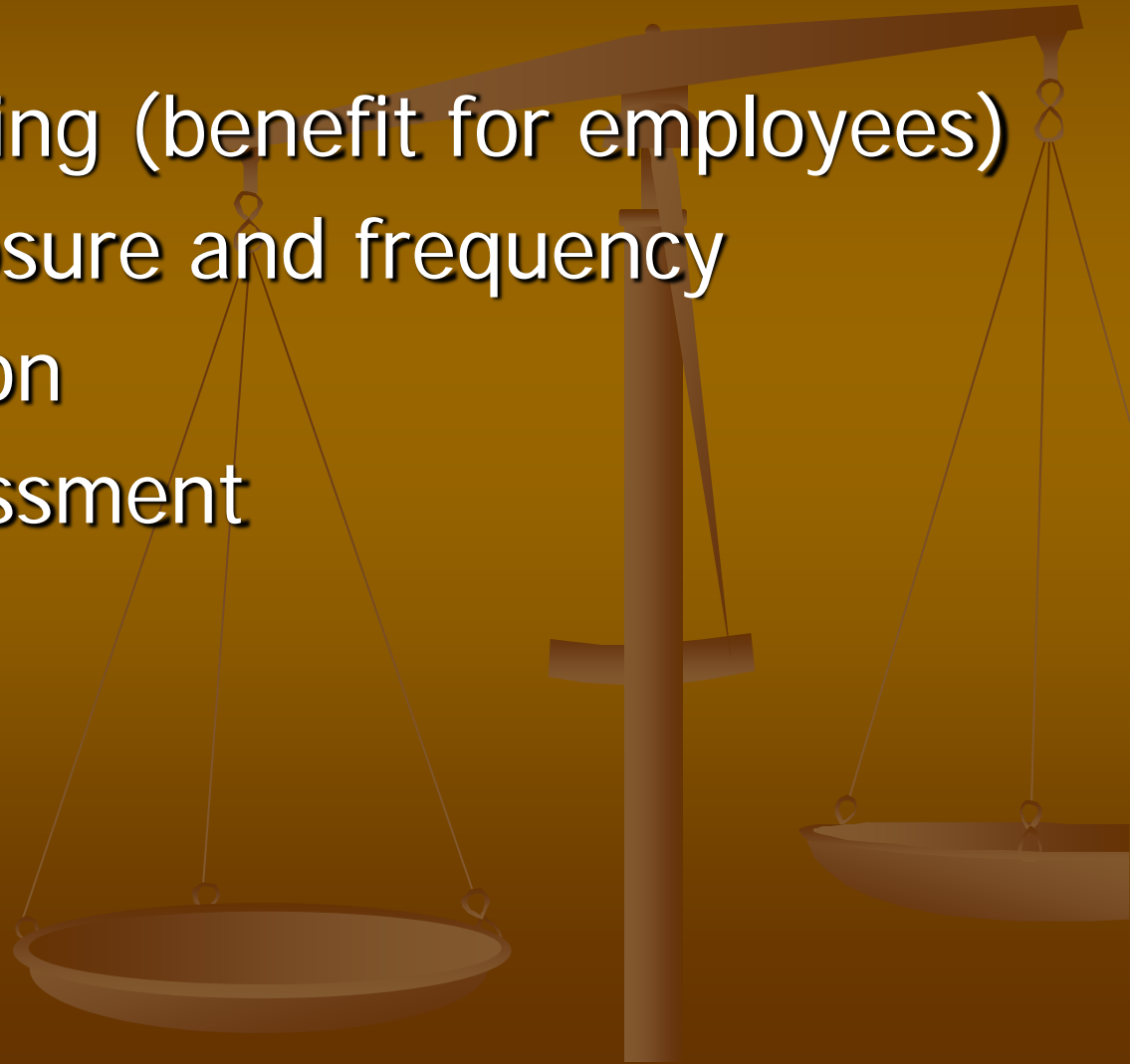
(Williams et al. 2012)

- 
7. Eliminate Reward Cards or use them to Foster Responsible Gambling
 8. Restrict who is Eligible to Gamble
 9. Restrict the use of Tobacco and Alcohol while Gambling
 10. Restrict Access to Money while Gambling
 11. Impart Knowledge, Attitudes, and Skills to Gamblers
 12. Keep Prevention Initiatives in Place for a Sustained Period

Prevention Best Practices

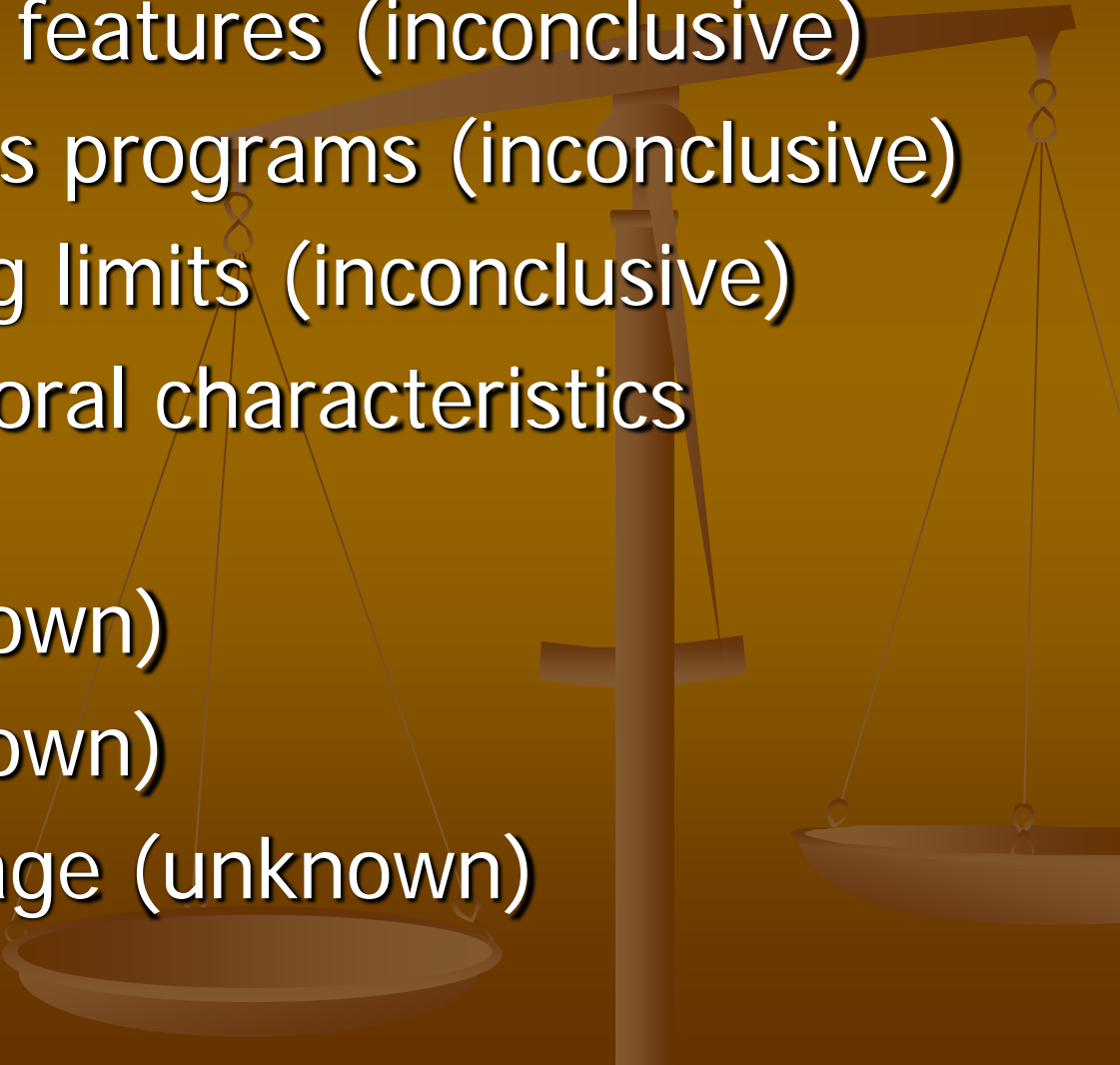
(Winters 2016)

- Employee Training (benefit for employees)
- Limit early exposure and frequency
- Brief Intervention
- Screening/Assessment

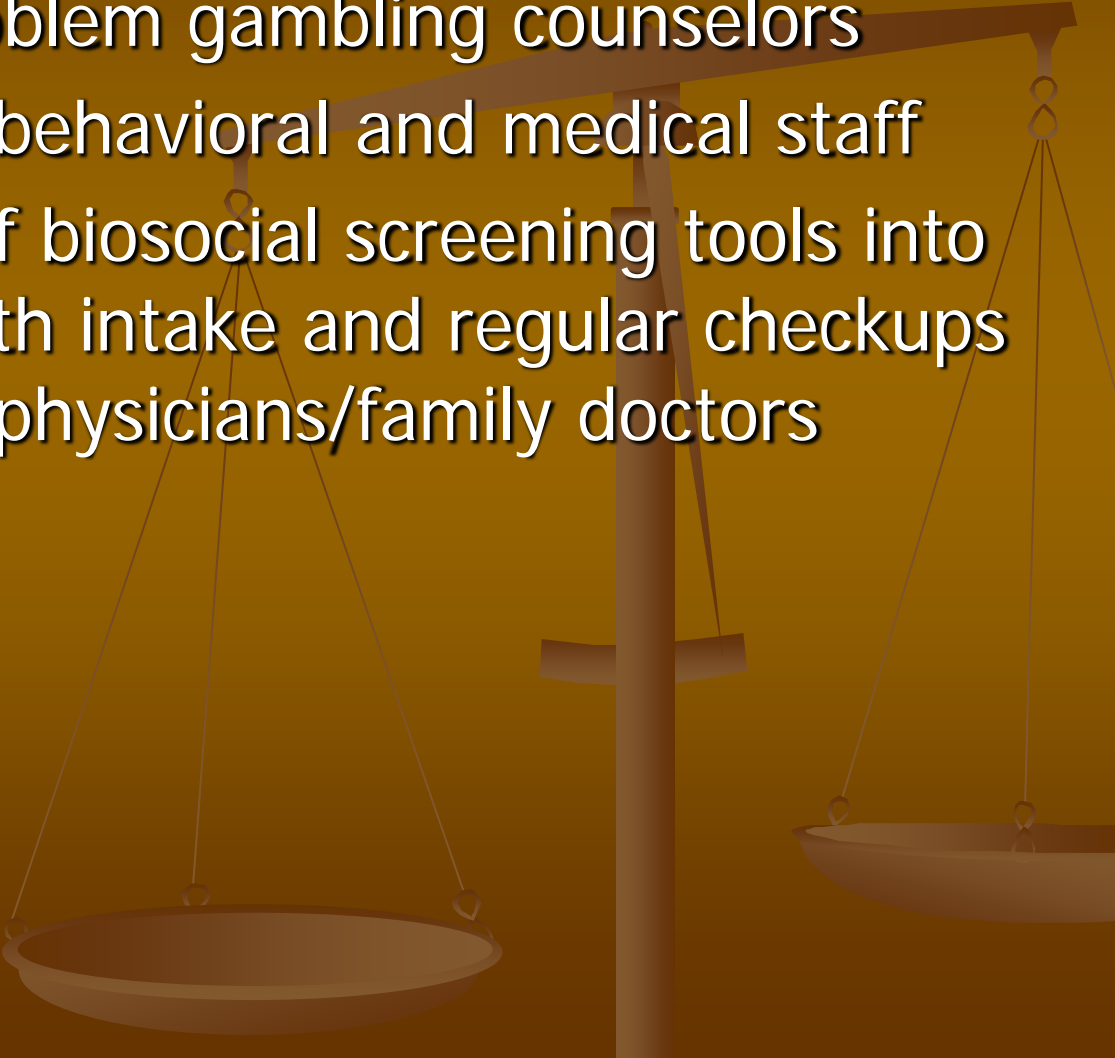


Inconclusive and Unknown

(Winters 2016)

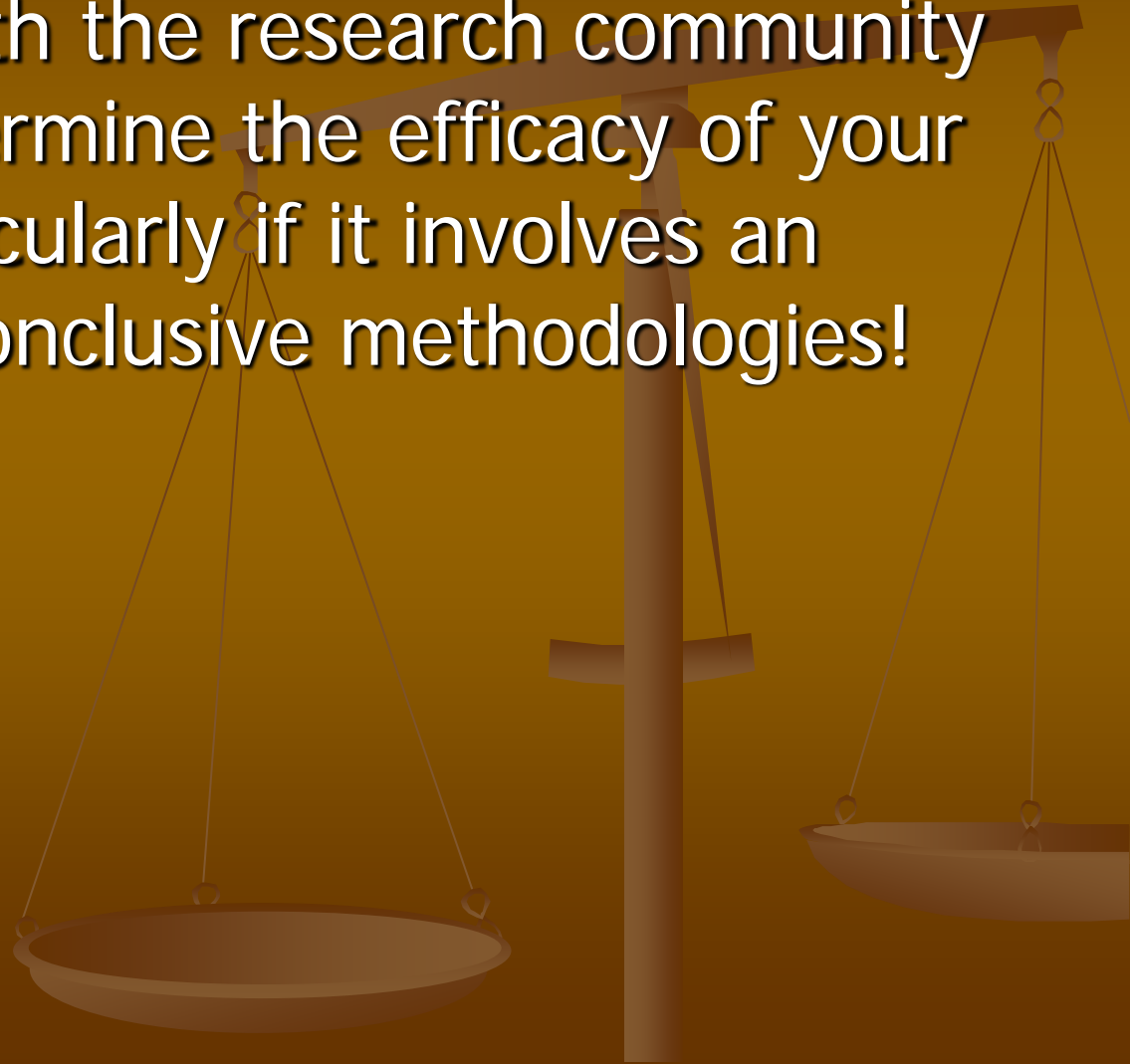
- Modifying game features (inconclusive)
 - Public awareness programs (inconclusive)
 - Setting gambling limits (inconclusive)
 - Tracking behavioral characteristics (inconclusive)
 - Entry fee (unknown)
 - Helplines (unknown)
 - Minimum legal age (unknown)
- 

Workforce Development

- Certification of problem gambling counselors
 - Briefings for *all* behavioral and medical staff
 - Integration of brief biosocial screening tools into all behavioral health intake and regular checkups with primary care physicians/family doctors
- 

Research

- Partnerships with the research community are vital to determine the efficacy of your programs, particularly if it involves an untested or inconclusive methodologies!



Q&A/Discussion



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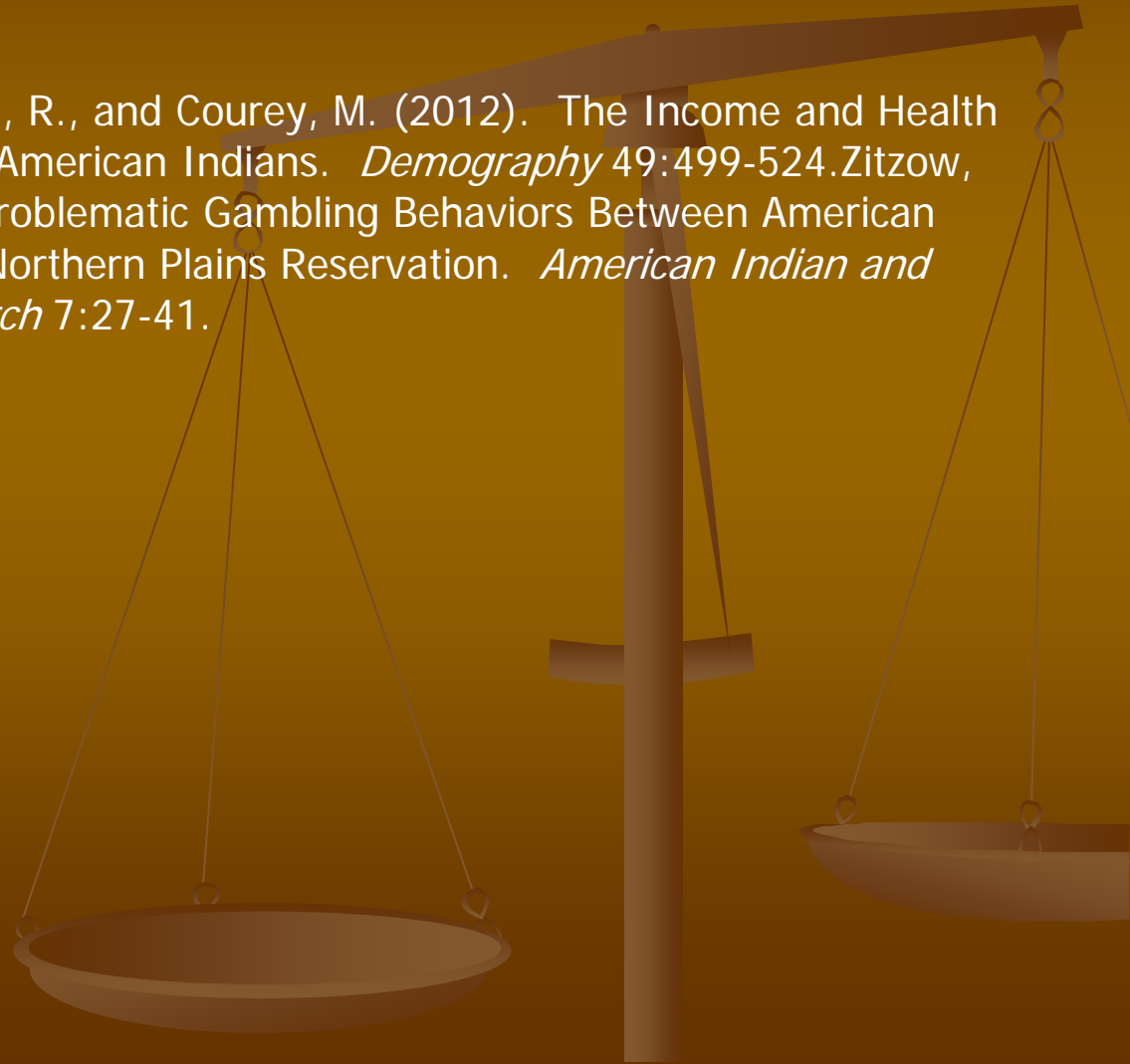
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Washington State Gambling Commission Tribal Community Contributions

May 2017

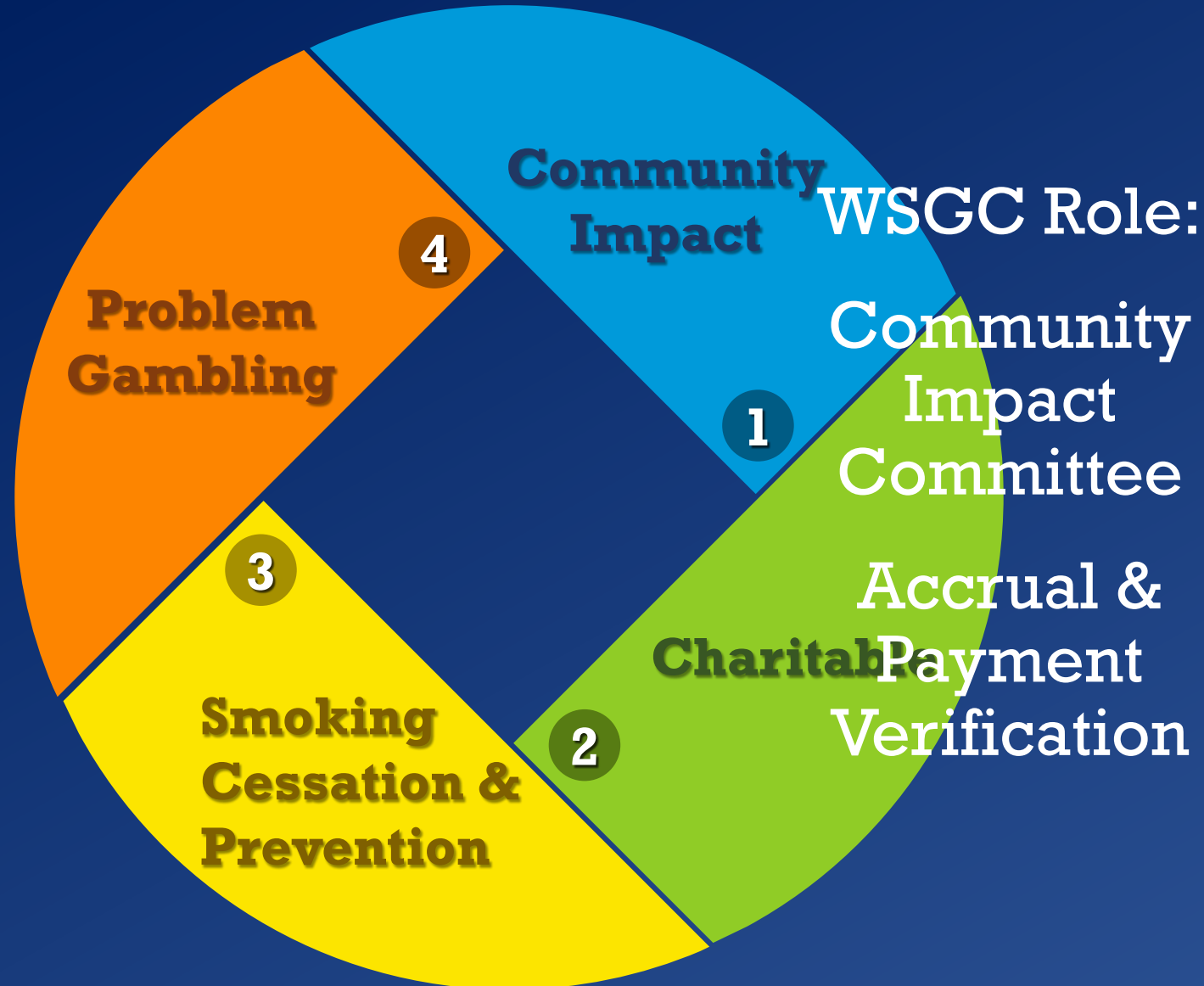
**Cathy Harvey, Agent in Charge
Kelly Main, Special Agent Supervisor
Tribal Gaming Unit**



“Protect the public by ensuring that gambling is legal and honest”



Tribal Contributions



Community Impact Contributions

Up to **2%** of table game net receipts

Paid to government agencies
impacted by casino



1

Funds generated at table games (net receipts)

2

Casino's accounting dept. determines accrual amount

3

Casino sends accrual amount to Tribe

6

2% impact distribution decisions: committee or Tribe

5

Organizations apply for 2% community impact funds

4

Accrual amounts audited by WSGC Tribal Gaming Unit (TGU)

7

Distribution approved by council resolution or MOU/financial agreement

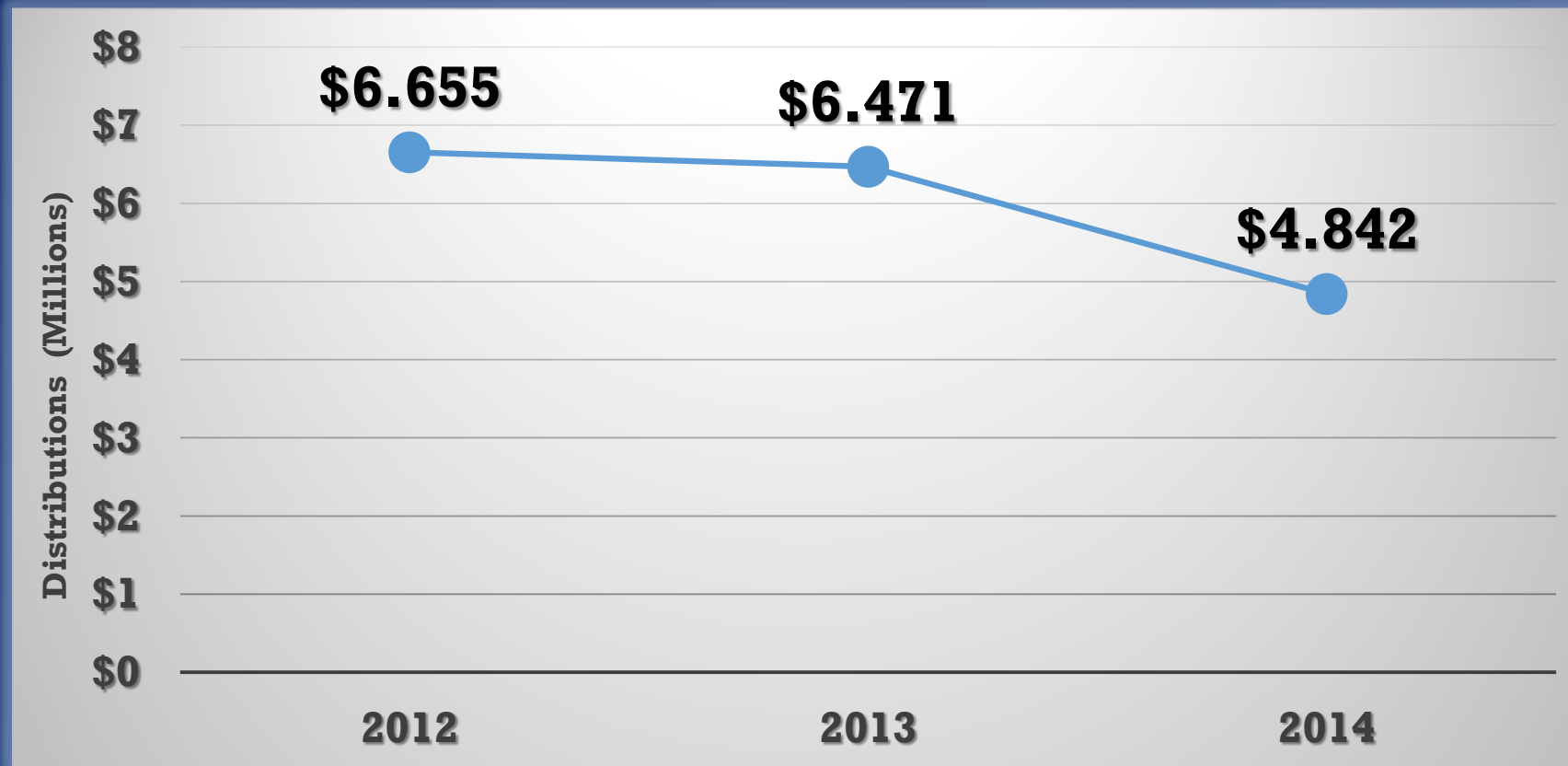
8

Tribe sends checks to recipients

9

TGU is notified of distributions; audit conducted to verify payments

Community Impact Funds Distributed



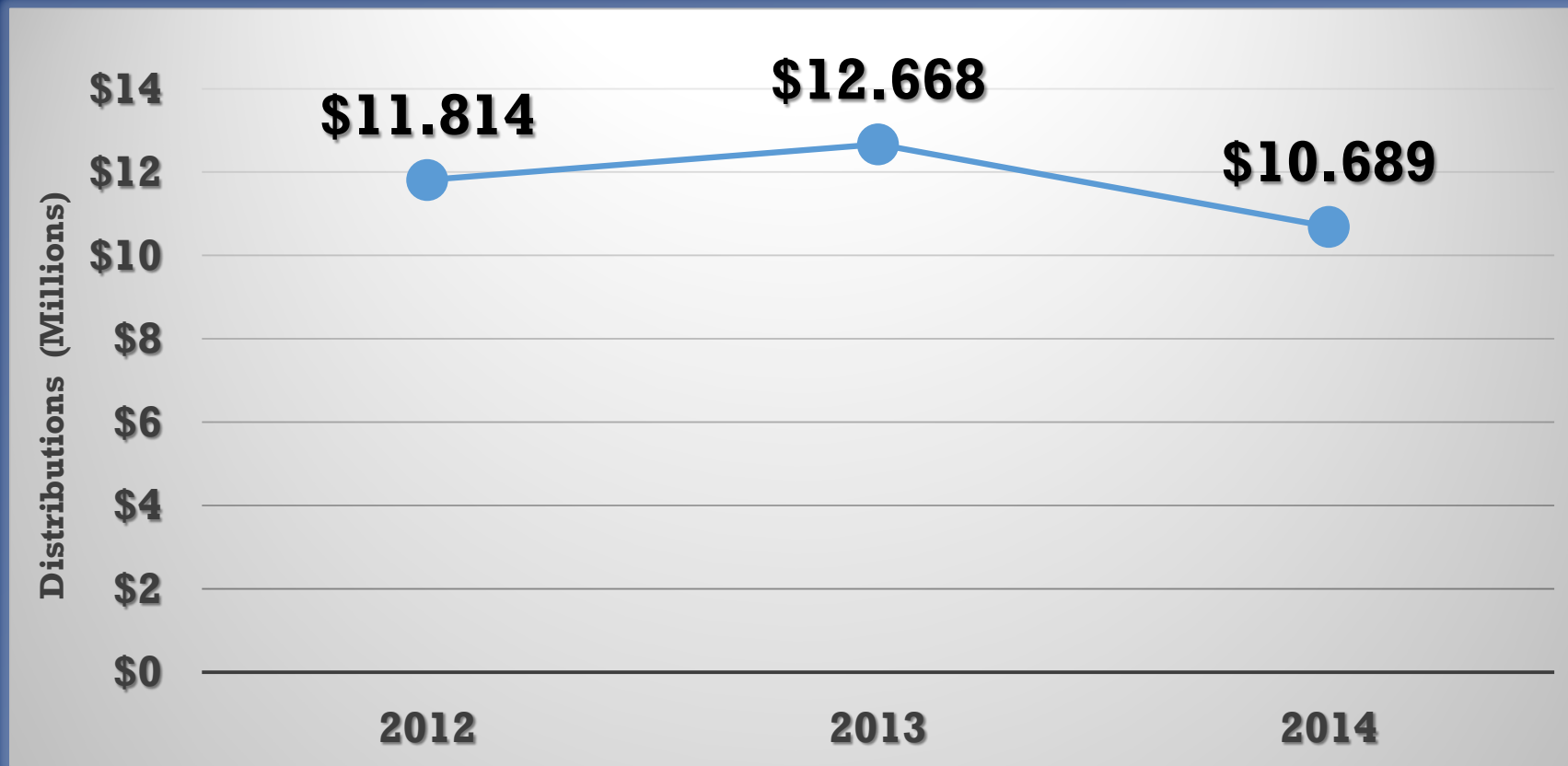
Charitable Distributions

0.5% of Tribal Lottery System (TLS) net receipts

Paid to non-profit/charitable organizations in WA



Charitable Distributions





march of dimes[®]
march for babiesSM



HOMES

HOPE

COMMUNITY


Habitat
for Humanity®
Seattle - King County



American Cancer Society
Relay For Life
Survivors - Celebrating Life!

Smoking Cessation Contributions

0.13% of Tribal Lottery System (TLS) net receipts

Paid to government or non-profit/charitable orgs. in WA
Cessation, Prevention, Education, Awareness, Treatment



1 Take the Survey
2 Get Free Access Code
3 Move Forward Use Code FOR FREE APP!

SmartQuit™ 4 FREE!

123

45



Smoking is prohibited within 25 feet of all building entrances.

Thank you for not smoking.



The Healthy Way to Be Smoke-Free

Stop Smoking Now

Smoking Cessation Distributions



Problem Gambling Contributions

0.13% of Class III net receipts

Paid to government or non-profit/charitable orgs. in WA
Education, Awareness, Treatment

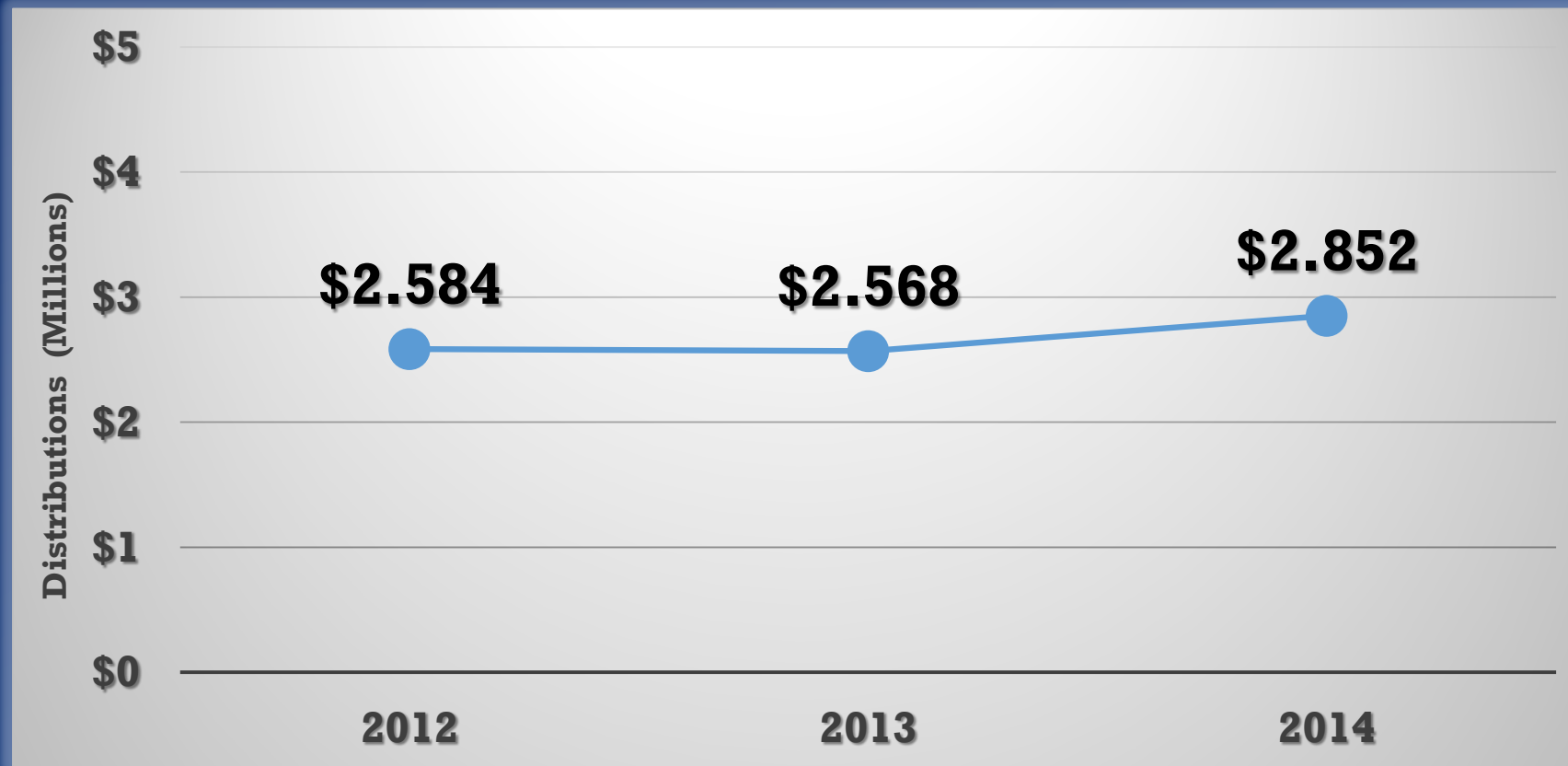


TULALIP TRIBES FAMILY SERVICES

Problem Gambling Program



Problem Gambling Distributions



Questions?





STATE OF WASHINGTON
GAMBLING COMMISSION

"Protect the Public by Ensuring that Gambling is Legal and Honest"

Great Wolf Lodge
20500 Old Hwy 99 SW
Centralia, WA 98531
May 11 & 12, 2017

Please note, agenda items may be taken out of sequence at the discretion of the Chair

Public Meeting – May 12, 2017		
9:00 AM	Welcome and Introductions	<i>Bud Sizemore, Chair</i>
Tab 4	Consent Agenda <ul style="list-style-type: none">• April 13, 2017 Minutes• New Licenses and Class III Employees Class III Employees/Snoqualmie Director's Update Legislative Update <ul style="list-style-type: none">• Memo	<i>David Trujillo, Director</i> <i>David Trujillo, Director</i> <i>Brian Considine, Legal and Legislative Manager</i>
ADMINISTRATIVE PROCEDURE ACT PROCEEDINGS		
Tab 5	Defaults <ul style="list-style-type: none">• Christina Jimenez - 2016-01329 Card Room Employee License Revocation• Chanthoeurn Sath - 2016-00234 Card Room Employee License Revocation• Khamphone Mounkhoun - 2016-00233 Card Room Employee License Revocation	<i>Haylee Mills, Staff Attorney</i>
Tab 6	Petition for Rule Change Petition from John McNutt, Fortune Casino	<i>Tina Griffin, Assistant Director</i>
	Election of Officers <ul style="list-style-type: none">• Chair• Vice Chair	
	Other Business/General Discussion/Comments from the Public	
	Meeting Adjourn	

Upon advance request, the Commission will pursue reasonable accommodations to enable persons with disabilities to attend Commission meetings. Questions or comments pertaining to the agenda and requests for special accommodations should be directed to Julie Anderson, Executive Assistant at (360) 486-3453 or TDD (360) 486-3637. Questions or comments pertaining to rule changes should be directed to the Rules Coordinator (360) 486-3447.



STATE OF WASHINGTON
GAMBLING COMMISSION

"Protect the Public by Ensuring that Gambling is Legal and Honest"

April Gambling Commission Meeting Minutes

Hampton Inn and Suites
4301 Martin Way E.
Olympia, WA 98516
April 13, 2017

Commissioners Present:

Bud Sizemore, Chair
Julia Patterson, Vice Chair
Chris Stearns
Ed Troyer
Kelsey Gray, PhD.

Staff Present:

David Trujillo, Director; Amy Hunter, Deputy Director; Tina Griffin, Assistant Director;
Brian Considine, Legal and Legislative Manager; Haylee Mills, Staff Attorney; John Meader,
Assistant Attorney General; Julie Anderson, Executive Assistant

-Public Meeting-

Chair Sizemore called the Gambling Commission meeting to order at 11:08 a.m. He welcomed everyone to the Hampton Inn and Suites in Olympia and introductions were made.

There were about 17 people in attendance.

Tab 1a

Consent Agenda:

March Commission Meeting Minutes/ /New Licensees

Commissioner Gray moved to approve the Consent Agenda as presented.

Commissioner Troyer seconded the motion.

The motion passed. 4:0 (Commissioner Stearns had not arrived yet)

Snoqualmie Class III Gaming Employees Licensees

Commissioner Patterson moved to approve Snoqualmie Class III Gaming Employees as presented.

Commissioner Troyer seconded the motion.

The motion passed. 4:0

Commissioner Stearns abstained. (He had just arrived, but does not vote for this item)

Director's Update:

Director Trujillo reported on the Gambling Commission's Headquarter Building / Move status. The agency is working with Department of Enterprise Services in an effort to reduce our

footprint and with that we are looking into a restacking model. This will allow us to stay in our current location with a smaller footprint. He also mentioned the upcoming job fairs and recruitment efforts our HR department has been involved with. Director Trujillo asked members of the audience if they knew of anyone that would be a good fit in the agency to please send them along.

Chair Sizemore commented on the agency's staff newsletter saying "It's a great looking document and it provides a lot of good information."

Tab 1b Legislative Update

Brian Considine presented the legislative report to the Commissioners. Brian mentioned that the Legislative cutoff date was yesterday (April 12, 2017) and many bills died but a lot have passed. And of the bills that passed were HB 1274 and HB 1475. HB 1274 (agency request legislation) removes the requirement that a bona fide charity or nonprofit have no less than 15 active members, each with the right to an equal vote in the election of the officers, or board members, if any, who determine the policies of the organization. HB 1475 (also agency request legislation) authorizes an officer of the gambling commission who is designated with police powers to take action to prevent physical injuries or substantial damages to a person or property under certain circumstances. Brian thanked Chair Sizemore for his assistance with HB 1475 and the prime sponsor Representative Irwin, who was also a great help to us.

Brian highlighted HB 2186 for the Commissioners. HB 2186 could raise the B&O tax an additional 20%. Our licensees will go from paying 1.5% in B&O tax to 1.8%. Brian will provide more information at the next Commission Meeting.

Finally, Brian announced the Gambling Commission now has a full Commission with ex officio members. Republican Senator Linda Wilson from Clark County was appointed in early April. Chair Sizemore mentioned that all Four Ex Officios should be at the May meeting.

Tab 2 Default

Haylee presented the materials for this tab.

Commissioner Patterson moved to revoke the Card Room License for Chandara Loeur.

Commissioner Stearns seconded the motion.

The motion passed. 5:0

Commissioner Troyer asked Haylee if Chandara Loeur has been charged with criminal charges. Haylee told the Commissioners that she would get back to them with an update regarding this case. Commissioner Troyer also asked if the Gambling Commission forwards the information on to the County Prosecutor. Commissioner Patterson asked if Haylee could let the Prosecutor know that the Gambling Commission was asking about consequences for the individuals who conspired with the licensee.

Commissioner Troyer announced that he attended the opening day at Emerald Downs and noticed the card room was now open. It is located where the Turf Club was. It does not have a

separate entrance. He said you can sit at the tables and play poker and look out the window and watch the races.

Other Business-

Public Comment- Victor Mena, President of the RGA and COO for Washington Gold Casinos. Victor spoke a little about HB 2186, the B&O tax bill. He stated that this bill could impact some of the House-Banked card rooms by \$15,000 a year. He mentioned the smaller card rooms are already feeling the pressure from the minimum wage increase.

Maureen Greeley from Evergreen Council on Problem Gambling spoke about an upcoming Problem Gambling conference April 25 & 26, 2017 in Olympia. Commissioner Stearns will be speaking at that conference. The National Problem Gambling Conference will be in Portland, Or. July 19-22, 2017.

No other public comment.

The Gambling Commission public meeting adjourned for Executive Session at 12:12, so the Commissioners could discuss Pending Investigations, Tribal Negotiations and Litigations. The meeting reconvened at 3:35 pm and was immediately adjourned.



HOUSE-BANKED PUBLIC CARD ROOM REPORT

Current House- Banked Locations Operating				48		
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
ACES CASINO ENTERTAINMENT	SPOKANE	Mar 13, 2014	Dec 31, 2017	7	00-23112	67-00325
ALL STAR CASINO	SILVERDALE	Jan 14, 1999	Jun 30, 2017	15	00-18357	67-00058
BLACK PEARL RESTAURANT & CARD ROOM	SPOKANE VALLEY	Jan 10, 2013	Sep 30, 2017	15	00-22440	67-00321
BUZZ INN STEAKHOUSE/EAST WENATCHEE	EAST WENATCHEE	Oct 10, 2002	Dec 31, 2017	10	00-11170	67-00183
CARIBBEAN CARDROOM	KIRKLAND	Aug 13, 2009	Mar 31, 2018	7	00-21882	67-00285
CASINO CARIBBEAN	YAKIMA	Mar 14, 2002	Dec 31, 2017	15	00-17603	67-00093
CASINO CARIBBEAN	KIRKLAND	Nov 15, 2005	Sep 30, 2017	15	00-20427	67-00238
CHIPS CASINO/LAKEWOOD	LAKEWOOD	Apr 8, 1999	Dec 31, 2017	12	00-17414	67-00020
CLEARWATER SALOON & CASINO	EAST WENATCHEE	Apr 9, 2015	Dec 31, 2017	11	00-23386	67-00328
CLUB HOLLYWOOD CASINO	SHORELINE	Sep 9, 2010	Jun 30, 2017	15	00-22132	67-00303
COYOTE BOB'S CASINO	KENNEWICK	Jul 10, 2009	Mar 31, 2018	13	00-21848	67-00282
CRAZY MOOSE CASINO II/MOUNTLAKE TERRACE	MOUNTLAKE TERRACE	Jul 10, 2009	Mar 31, 2018	15	00-21849	67-00283
CRAZY MOOSE CASINO/PASCO	PASCO	Jul 10, 2009	Mar 31, 2018	15	00-21847	67-00281
EMERALD DOWNS	AUBURN	May 11, 2017	Mar 31, 2018	15	00-23814	67-00335
FORTUNE CASINO	TUKWILA	Oct 8, 2015	Aug 31, 2017	12	00-23465	67-00329
FREDDIE'S CLUB	RENTON	Jan 8, 2015	Sep 30, 2017	15	00-23339	67-00327
GOLDIE'S SHORELINE CASINO	SHORELINE	May 13, 1999	Dec 31, 2017	15	00-17610	67-00016
GREAT AMERICAN CASINO/EVERETT	EVERETT	Nov 12, 1998	Dec 31, 2017	15	00-19513	67-00194
GREAT AMERICAN CASINO/LAKEWOOD	LAKEWOOD	Aug 14, 2003	Jun 30, 2017	15	00-19258	67-00184
GREAT AMERICAN CASINO/TUKWILA	TUKWILA	Jan 15, 1998	Sep 30, 2017	15	00-12554	67-00012
HAWKS PRAIRIE CASINO	LACEY	Jul 12, 2001	Jun 30, 2017	15	00-17579	67-00091
IRON HORSE CASINO	AUBURN	Jan 9, 2003	Dec 31, 2017	15	00-19477	67-00192

Current House- Banked Locations Operating

48

Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
JOKER'S CASINO SPORTS BAR & FIESTA CD RM	RICHLAND	Nov 12, 1998	Dec 31, 2017	12	00-15224	67-00006
LANCER LANES/REST AND CASINO	CLARKSTON	Nov 13, 2008	Sep 30, 2017	9	00-21681	67-00276
LAST FRONTIER	LA CENTER	Feb 11, 1999	Sep 30, 2017	15	00-11339	67-00055
LILAC LANES & CASINO	SPOKANE	Jul 12, 2007	Jun 30, 2017	12	00-21305	67-00267
LUCKY 21 CASINO	WOODLAND	Oct 8, 2013	Jun 30, 2017	15	00-22918	67-00322
MACAU CASINO	LAKEWOOD	Jul 12, 2007	Mar 31, 2018	15	00-20428	67-00239
MACAU CASINO	TUKWILA	Jan 12, 2012	Sep 30, 2017	15	00-22573	67-00319
NOB HILL CASINO	YAKIMA	Sep 12, 2001	Dec 31, 2017	7	00-13069	67-00173
PALACE CASINO LAKEWOOD	LAKEWOOD	Jan 14, 1999	Dec 31, 2017	15	00-16542	67-00028
PAPAS CASINO RESTAURANT & LOUNGE	MOSES LAKE	Aug 13, 1998	Jun 30, 2017	12	00-02788	67-00004
RC'S	SUNNYSIDE	Nov 18, 2004	Sep 30, 2017	9	00-20298	67-00232
RED DRAGON CASINO	MOUNTLAKE TERRACE	Aug 11, 2011	Jun 30, 2017	10	00-22459	67-00315
RIVERSIDE CASINO	TUKWILA	Aug 14, 2003	Jun 30, 2017	15	00-19369	67-00187
ROMAN CASINO	SEATTLE	Feb 10, 2000	Mar 31, 2018	15	00-17613	67-00057
ROXY'S BAR & GRILL	SEATTLE	Nov 18, 2004	Jun 30, 2017	12	00-20113	67-00231
ROYAL CASINO	EVERETT	Sep 9, 2010	Jun 30, 2017	15	00-22130	67-00301
SILVER DOLLAR CASINO/MILL CREEK	BOTHELL	Sep 9, 2010	Jun 30, 2017	15	00-22131	67-00302
SILVER DOLLAR CASINO/RENTON	RENTON	Sep 9, 2010	Jun 30, 2017	15	00-22134	67-00305
SILVER DOLLAR CASINO/SEATAC	SEATAC	Sep 9, 2010	Jun 30, 2017	15	00-22128	67-00299
SLO PITCH PUB & EATERY	BELLINGHAM	Aug 12, 1999	Jun 30, 2017	9	00-16759	67-00038
THE GETAWAY CASINO	WALLA WALLA	Mar 11, 2016	Jun 30, 2017	8	00-23485	67-00332
THE PALACE	LA CENTER	Apr 9, 1998	Jun 30, 2017	15	00-16903	67-00010
UBET CASINO	LONGVIEW	Oct 8, 1998	Jun 30, 2017	11	00-17449	67-00039
WILD GOOSE CASINO	ELLENSBURG	Apr 8, 2004	Dec 31, 2017	7	00-20009	67-00212
WIZARDS CASINO	BURIEN	Feb 11, 2010	Dec 31, 2017	15	00-21998	67-00287
Z'S RESTAURANT AT ZEPPOZ	PULLMAN	Nov 13, 2008	Mar 31, 2018	6	00-18777	67-00209

2

Licensed, But Not Operating						2
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
NEW PHOENIX	LA CENTER	Mar 12, 1998	Mar 31, 2018	1	00-12650	67-00005
PALACE TUKWILA	TUKWILA	Jul 14, 2016	Sep 30, 2017	12	00-23304	67-00333

Applications Pending						1
Licensee	City	Commission Approval Date	License Expiration Date	Tables	Org #	License #
GREAT AMERICAN CASINO/DES MOINES	DES MOINES			15	00-23795	67-00334

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Washington State Gambling Commission

Pre-Licensing Report

House-Banked Public Card Rooms

Part I Licensing/Organization Information

Type of Approval House-Banked Card Room	Premises/Trade Name/Address Emerald Downs 2300 Ron Crockett Drive Auburn, WA 98001
Date of Application October 17, 2016	

APPLICANT INFORMATION

Name Emerald Downs Racing, LLC	License Application # 67-00335	Business Phone # (253) 288-7000
Address 2300 Ron Crockett Drive Auburn, WA 98001		Liquor License: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

ACTIVE LICENSES ISSUED BY GAMBLING COMMISSION

Description/Class House-Banked Card Room - 15 tables	Exp. Date 03/31/2018	License Number(s) 67-00335
--	--------------------------------	--------------------------------------

COMMISSION STAFF

Licensing Specialist Cathee Gottfryd, Licensing Unit	Special Agents Julie Sullivan, Licensing Unit Keith Wittmers, Regulatory Unit
--	--

Background/Structure

General Information:

The State of Washington Secretary of State's Office issued a *Certificate of Formation* to Emerald Downs Racing, LLC, on November 21, 2014.

On October 17 10, 2016, Emerald Downs Racing, LLC, applied for a 15 table house-banked card room license.

Ownership Information:

Emerald Downs Racing, LLC

Title	Name	Ownership %	Units of Ownership
Sole Member	Muckleshoot Indian Tribe	100%	N/A
President	Philip Ziegler	0%	N/A

Muckleshoot Indian Tribal Council

Title	Name	Ownership %	Units of Ownership
Chair	Virginia Cross	0%	N/A
Vice-Chair	John Daniels Jr.	0%	N/A
Treasurer	Jaison Elkins	0%	N/A
Member	Anita Mitchell	0%	N/A
Member	Louie Ungaro	0%	N/A
Member	Kerri Marquez	0%	N/A
Member	Mike Jerry Sr.	0%	N/A
Member	Jessica Garcia	0%	N/A

Part II Licensing Investigations Summary

Special Agents from the Commission's Licensing Unit conducted criminal records investigations and financial investigations focusing on funding sources and beneficiaries for suitability in accordance with RCW 9.46 and WAC 230. The investigations found:

- No unreported people or businesses involved (i.e. substantial interest holders);
- No undisclosed ownership or undisclosed involvement in other activities/businesses;
- All funding sources were disclosed; and
- All substantial interest holders qualify.

An inspection and review was conducted of the accounting records, bank statements, contracts and agreements of Emerald Downs Racing LLC at their premises in Auburn, Washington. In addition, agents verified the funding sources, reviewed the financial records and discussed licensing requirements.

Source of Funds:

This business was funded by a loan from its parent company, the Muckleshoot Indian Tribe.

Part III Pre-Operational Review and Evaluation Summary

Special Agents from the Commission's Regulatory Unit completed an on-site Pre-Operational Review and Evaluation (PORE). The purpose of the PORE is to determine that the gambling operation complies with the requirements of RCW 9.46 and WAC 230. The review found that the following are in compliance:

- Nature, size, and scope of gambling operations;
- Regulations for the operation and management of gambling;
- Forms and recordkeeping;
- Surveillance and security; and
- Internal controls for surveillance, security, accounting, and gambling.

The applicant proposes to operate the following games:

- Spanish 21 – Match the Dealer
- Down Under Blackjack – Match the Dealer
- Emperor's Challenge
- High Card Flush
- Heads up Hold 'Em
- Lucky Ladies
- Dragon Bonus

Part IV Staff Recommendations

Based upon the licensing investigation and the PORE, staff recommends licensing Emerald Downs Racing, LLC as a house-banked card room to operate up to 15 tables in accordance with the wagering limits of WAC 230-15-140.

Prepared By
Tina Griffin, Assistant Director
Licensing, Regulation, and Enforcement

Signature



Date

4-14-17



COMMISSION APPROVAL LIST
(New Licenses & Class III Gaming Employees)
May 2017

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PAGES: 29

Based upon the licensing investigations, staff recommends approving all new Licenses and Class III employees listed on pages 1 to 29.

Pa

ORGANIZATION NAME

FILE NUMBER

PREMISES LOCATION

NEW APPLICATIONS**RAFFLE**

BOYS & GIRLS CLUB OF SW WA 00-22103 02-09020	B	1111 MAIN ST STE 605 VANCOUVER WA 98660
BRUINS COMMUNITY PARENTS 00-23896 02-20722	C	801 E CASINATTN; BCP FOOTBALL BO EVERETT WA 98203
CENTRAL WA AG MUSEUM 00-23881 02-20715	C	4508 MAIN ST UNION GAP WA 98903
ST MATTHEW CATHOLIC CHURCH 00-01344 02-02759	B	1230 NE 127TH ST SEATTLE WA 98125
WASHINGTON STATE PITA 00-23898 02-20723	A	15204 118TH AVE NW GIG HARBOR WA 98329
WASHINGTON'S NATIONAL PARK FUND 00-23882 02-20716	B	3126 NE 80TH ST SEATTLE WA 98115

PUNCHBOARD/PULL-TAB COMMERCIAL STIMULANT

BELLTOWN PUB 00-23817 05-21451	A	2322 1ST AVE SEATTLE WA 98121
PEABO'S SPORTS BAR & GRILL 00-23901 05-21477	A	1700 132ND ST SE STE D MILL CREEK WA 98012
TIM'S TAVERN 00-23879 05-21471	A	602 N 105TH ST SEATTLE WA 98133

COMBINATION LICENSE

SENIOR CENTER/MOUNT SI 00-11886 08-00293	B	411 MAIN AVE S NORTH BEND WA 98045
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SERVICE SUPPLIER LICENSE

HARTMANN GAMING GROUP WASHINGTON LLC 00-23878 26-00343		455 BOSTON POST RD 202B OLD SAYBROOK CT 06475
MONEY\$UIT INDUSTRIES LLC 00-23903 26-00345		406 BEDFORD AVE BELLMORE NY 11710

R

ORGANIZATION NAME

FILE NUMBER

PREMISES LOCATION

NEW APPLICATIONS

PUBLIC CARD ROOM (65)

BUZZ INN STEAKHOUSE/WENATCHEE

00-13877 65-07471

D

1112 N WENATCHEE AVE

WENATCHEE WA 98801

DRAFT CHOICE SPORTS BAR & GRILL

00-16364 65-07474

D

32201 KENT BLACK DIAMOND RD

AUBURN WA 98092

PUBLIC CARDROOM - HOUSE BANKED

EMERALD DOWNS

00-23814 67-00335

15

2300 RON CROCKETT DR

AUBURN WA 98001

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

DISTRIBUTOR REPRESENTATIVE

STINER, GERALD L
22-01241

NRT TECHNOLOGIES INC
LAS VEGAS NV 89118

MANUFACTURER REPRESENTATIVE

BROWN, CRAIG N
23-00279

ARISTOCRAT TECHNOLOGIES
LAS VEGAS NV 89119

CEBALLOS, KRISTYN M
23-02390

DITRONICS FINANCIAL SERVICES
LAS VEGAS NV 89113

CLARK, TIMOTHY J
23-02396

AGS LLC
LAS VEGAS NV 89118

DASINI, ANOOP
23-02391

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

FISHER, JOSHUA I
23-02388

EVERI PAYMENTS INC
LAS VEGAS NV 89113-2175

FLOOD, ANDREW A
23-02394

IGT
LAS VEGAS NV 89113

GALANG, ARIES S
23-02386

GALAXY GAMING
LAS VEGAS NV 89119

GILLENWATER, KATHARINE M
23-02395

IGT
LAS VEGAS NV 89113

GREGA, ALEXANDER V
23-02393

GALAXY GAMING
LAS VEGAS NV 89119

HECKER, TRACY L
23-02389

DITRONICS FINANCIAL SERVICES
LAS VEGAS NV 89113

HOPKINS, CASEY L
23-02400

IGT
LAS VEGAS NV 89113

KELLOWAY, SHEENA L
23-02404

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

PEPPER, JOSHUA D
23-02397

EVERI PAYMENTS INC
LAS VEGAS NV 89113-2175

SCHWARTZ, RUDOLF C
23-02387

GENESIS GAMING SOLUTIONS INC
SPRING TX 77386

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

MANUFACTURER REPRESENTATIVE

SINGH, VIJAY PRATAP
23-02392

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

WALTON, KEVIN W
23-02398

IGT
LAS VEGAS NV 89113

WILSON, MATTHEW R
23-02379

ARISTOCRAT TECHNOLOGIES
LAS VEGAS NV 89119

YOUNG, SHAWN D
23-02405

BALLY TECHNOLOGIES
LAS VEGAS NV 89118

CALL CENTER REPRESENTATIVE

BROOKS, MAYA C
32-00026

CORNERSTONE ADMINISTRATIVE SER
PORTLAND OR 97204

HUNDAL, MANDEEP K
32-00025

CORNERSTONE ADMINISTRATIVE SER
PORTLAND OR 97204

REDMOND, BRANDEN T
32-00027

CORNERSTONE ADMINISTRATIVE SER
PORTLAND OR 97204

NON-PROFIT GAMBLING MANAGER

SEEGARS, CLEVELAND D
61-04637

VFW 00051
SPOKANE WA 99201

SWANSON, SUSAN C
61-04638

AMERICAN LEGION 00149
BREMERTON WA 98312-2351

WORKMAN, ASHLEY R
61-04636

VFW 02455
MONTESANO WA 98563

SERVICES SUPPLIER REPRESENTATIVE

ANDERSEN, ANTHONY G
63-00765

MASQUE PUBLISHING
LONE TREE CO 80124

DUTTON, WILLIAM W
63-00766

SALISHAN-MOHEGAN LLC
VANCOUVER WA 98660

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

SERVICES SUPPLIER REPRESENTATIVE

GEORGE, ROY L
63-00769

SALISHAN-MOHEGAN LLC
VANCOUVER WA 98660

LAURENT, THEODORE J
63-00471

TECHPOWER SOLUTIONS
REDMOND WA 98052

LAWRENCE, JAMES R III
63-00609

RELIABLE SECURITY SOUND & DATA
EVERETT WA 98206-1295

RUBENSTEIN, ROBERT C
63-00767

SALISHAN-MOHEGAN LLC
VANCOUVER WA 98660

SHOCKEY, HELEN ANN
63-00768

SALISHAN-MOHEGAN LLC
VANCOUVER WA 98660

SURPRENANT, PAUL F
63-00770

SALISHAN-MOHEGAN LLC
VANCOUVER WA 98660

WILKS, CHRISTOPHER A
63-00763

HARTMANN GAMING GROUP WASHIN
OLD SAYBROOK CT 06475

PUBLIC CARD ROOM EMPLOYEE

ADAMS, WENDY M
68-34083

B

Z'S RESTAURANT AT ZEPPOZ
PULLMAN WA 99163

ALNASARI, WISSAM T
68-34123

B

THE PALACE
LA CENTER WA 98629

ARGUELLO, NICHOLAS C
68-34144

B

FORTUNE CASINO
TUKWILA WA 98168

ATCHISON, BRENDA D
68-34101

B

MACAU CASINO
LAKEWOOD WA 98499

BORU, QABBALE D
68-34115

B

CLUB HOLLYWOOD CASINO
SHORELINE WA 98133

BURKE, JOHN P V
68-34140

B

ROYAL CASINO
EVERETT WA 98204

CARLSON, MELINDA L
68-34054

B

EMERALD DOWNS
AUBURN WA 98001

CARLSON, VICKI L
68-34139

B

THE PALACE
LA CENTER WA 98629

PERSON'S NAME
LICENSE ISSUE NUMBER

EMPLOYER'S NAME
PREMISES LOCATION

NEW APPLICATIONS

PUBLIC CARD ROOM EMPLOYEE

CARPENTER, JD M 68-34150	B	LUCKY 21 CASINO WOODLAND WA 98674
CASSADY, DEADRA J 68-34136	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
CASTO, ANTHALONE 68-32879	B	EMERALD DOWNS AUBURN WA 98001
CHASE, CHADD M 68-34146	B	ROYAL CASINO EVERETT WA 98204
CHINN-CLIFFORD, SHERI J 68-34070	B	EMERALD DOWNS AUBURN WA 98001
CLARK, KIMBERLY C 68-34099	B	EMERALD DOWNS AUBURN WA 98001
COCKE, DOUGLAS A 68-34069	B	EMERALD DOWNS AUBURN WA 98001
COOPER, HAROLD J 68-34093	B	SLO PITCH PUB & EATERY BELLINGHAM WA 98225
CORNELL, JOSHUA D JR 68-34111	B	CASINO CARIBBEAN KIRKLAND WA 98034
DEL ROSARIO, AMBER L 68-34153	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
DI VALENTIN, PEARL E 68-34094	B	EMERALD DOWNS AUBURN WA 98001
EANG, CHANTHY 68-15126	B	EMERALD DOWNS AUBURN WA 98001
FARRELL, CATHERINE M 68-34068	B	EMERALD DOWNS AUBURN WA 98001
FRASER, ROBERT D JR 68-34067	B	EMERALD DOWNS AUBURN WA 98001
GETCHELL, LAURIE R 68-26061	B	RED DRAGON CASINO MOUNTLAKE TERRACE WA 9804
HAGAN, JOSHUA L 68-34078	B	CRAZY MOOSE CASINO/PASCO PASCO WA 99301

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NEW APPLICATIONS**PUBLIC CARD ROOM EMPLOYEE**

HAIFLEY, BRIAN K 68-34091	B	MACAU CASINO LAKEWOOD WA 98499
HENDERSON, MATTHEW R 68-34134	B	CLUB HOLLYWOOD CASINO SHORELINE WA 98133
HENRY, JOSEPH A 68-34066	B	EMERALD DOWNS AUBURN WA 98001
HODGE, JACK E JR 68-34065	B	EMERALD DOWNS AUBURN WA 98001
HOLLAND, DANIEL J 68-34057	B	EMERALD DOWNS AUBURN WA 98001
JACKSON, FARRON L 68-34132	B	EMERALD DOWNS AUBURN WA 98001
JACKSON, GREGORY B 68-34056	B	EMERALD DOWNS AUBURN WA 98001
JOANNETTE, NATASHA-JEAN 68-34064	B	EMERALD DOWNS AUBURN WA 98001
JOHNSON, VINCENT JR 68-34143	B	WIZARDS CASINO BURIEN WA 98166-2524
KAEA, DANIEL K JR 68-34149	B	RED DRAGON CASINO MOUNTLAKE TERRACE WA 9804
KELLEY, DEVIN P 68-34084	B	LUCKY 21 CASINO WOODLAND WA 98674
KORY, SREYLA 68-34122	B	RIVERSIDE CASINO TUKWILA WA 98168
LE, PHUONG H 68-34108	B	FREDDIE'S CLUB RENTON WA 98055
LEE, WAYNE 68-34112	B	CASINO CARIBBEAN KIRKLAND WA 98034
LOUIE, JOLENE F 68-11211	B	ALL STAR CASINO SILVERDALE WA 98383
MARTINEZ, ALICIA J 68-33450	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802

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PUBLIC CARD ROOM EMPLOYEE

MCMILLAN, TRAVIS J 68-34135	B	THE GETAWAY CASINO WALLA WALLA WA 99362
MERRILL, JACK R 68-34063	B	EMERALD DOWNS AUBURN WA 98001
MUNSON, KRISTOFFER L 68-34142	B	UBET CASINO LONGVIEW WA 98632-3024
MURRAY, KRISTIN L 68-34090	B	ROXY'S BAR & GRILL SEATTLE WA 98126
NGUYEN, CAM DUNG T 68-34096	B	EMERALD DOWNS AUBURN WA 98001
NGUYEN, TAI V 68-26467	B	ROYAL CASINO EVERETT WA 98204
OTTON, RICHARD L 68-34151	B	LUCKY 21 CASINO WOODLAND WA 98674
OUP, CHAN T 68-25674	B	UBET CASINO LONGVIEW WA 98632-3024
PARKER, MARGARET M 68-34055	B	EMERALD DOWNS AUBURN WA 98001
PARSONS, RYAN D 68-34107	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802
PAYNE, BARLOW F 68-33962	B	BUZZ INN STEAKHOUSE/EAST WENAT EAST WENATCHEE WA 98802
PHAN, LEE Q 68-28707	B	RIVERSIDE CASINO TUKWILA WA 98168
PIXLEY, GRETCHEN E 68-32595	B	Z'S RESTAURANT AT ZEPPOZ PULLMAN WA 99163
PROCTER, RICHARD A 68-34027	B	GREAT AMERICAN CASINO/EVERETT EVERETT WA 98204
PROM, SAVUT 68-34152	B	LUCKY 21 CASINO WOODLAND WA 98674
RADILLO OLIVERA, ARMANDO 68-34113	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802

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RODRIGUEZ BRAVO, CARLOS 68-34137	B	CLEARWATER SALOON & CASINO EAST WENATCHEE WA 98802
ROJAS MARTINEZ, ARNOLD E 68-34131	B	EMERALD DOWNS AUBURN WA 98001
RUAN, XIAO H 68-34086	B	LUCKY 21 CASINO WOODLAND WA 98674
RULE, KYLE T 68-34085	B	LUCKY 21 CASINO WOODLAND WA 98674
SAITTA, SARAH J 68-34062	B	EMERALD DOWNS AUBURN WA 98001
SALAS ANAYA, XOCHIQUETZAL 68-24846	B	EMERALD DOWNS AUBURN WA 98001
SAR, VISAL 68-34095	B	EMERALD DOWNS AUBURN WA 98001
SEO, BONG OCK 68-34097	B	EMERALD DOWNS AUBURN WA 98001
SEWELL, AARON A 68-34120	B	LUCKY 21 CASINO WOODLAND WA 98674
SMALLWOOD, HELINA C 68-32377	B	SILVER DOLLAR CASINO/RENTON RENTON WA 98057
SMITHMEYER, VIVIAN M 68-34061	B	EMERALD DOWNS AUBURN WA 98001
SONESOUPHAB, DANG P 68-03143	B	THE HIDEAWAY SHORELINE WA 98133-6524
SOTO, ALVARO A 68-34087	B	NOB HILL CASINO YAKIMA WA 98902
STROUD, RICHARD J 68-25892	B	ROMAN CASINO SEATTLE WA 98178
SUBER, CYNTHIA 68-34098	B	EMERALD DOWNS AUBURN WA 98001
SUTCH, GEORGE R III 68-34060	B	EMERALD DOWNS AUBURN WA 98001

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PUBLIC CARD ROOM EMPLOYEE

SYKES, KEVIN M 68-34059	B	EMERALD DOWNS AUBURN WA 98001
TEURN, MEISEAN 68-34110	B	RIVERSIDE CASINO TUKWILA WA 98168
THAO, SARAH T 68-34133	B	GOLDIE'S SHORELINE CASINO SHORELINE WA 98133
THAYER, MARIA K 68-25229	B	CRAZY MOOSE CASINO II/MOUNTLAK MOUNTLAKE TERRACE WA 9804
THORNHILL, MICHAEL A 68-32210	B	CRAZY MOOSE CASINO/PASCO PASCO WA 99301
TRAMOUNTANAS, TRIANTAFILLOS G 68-03326	B	THE HIDEAWAY SHORELINE WA 98133-6524
TRAN, PHONG T 68-34121	B	LUCKY 21 CASINO WOODLAND WA 98674
TRINH, MARRY 68-29633	B	FREDDIE'S CLUB RENTON WA 98055
WALKER, RICHARD E 68-32166	B	THE GETAWAY CASINO WALLA WALLA WA 99362
WEIL, BRANDON M 68-23516	B	EMERALD DOWNS AUBURN WA 98001
WILLIAMS, KIARA Y 68-34141	B	MACAU CASINO LAKEWOOD WA 98499
WILLIAMS, RANDY G 68-06380	B	EMERALD DOWNS AUBURN WA 98001
WRIGHT, DONNA J 68-34058	B	EMERALD DOWNS AUBURN WA 98001
YIM, LINH 68-11842	B	GREAT AMERICAN CASINO/TUKWILA TUKWILA WA 98168

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CLASS III GAMING EMPLOYEE

CHEHALIS CONFEDERATED TRIBES

KOHL, AMY E
69-42086

SWEELY, CRYSTAL L
69-33139

WILEY, RITA L
69-21151

COLVILLE CONFEDERATED TRIBES

ABRAHAMSON, JEREMIAH J
69-42073

BEST, THOMAS W
69-42075

BROOKS, ROBERT F
69-25978

HAWLEY, RENAE N
69-22848

MACCLAIN, SCOTT R
69-39233

MATT, NICHOLAS R
69-42071

NANAMKIN, KAILAH T
69-42072

OPPENHEIMER, TONY L
69-42074

ROSENBAUM, JOSEPH A
69-41863

COWLITZ INDIAN TRIBE

ADAMES-NAPIER, JEANETTE M
69-42128

ALBECK, ANGELA R
69-41657

ALBECK, TAJ M
69-41875

ALDRETE, CHERYLEE G
69-41891

ANDERSON, JERILYN J
69-41756

ARNOLD, DENISE A
69-42127

AUSTIN, THEODORE J
69-41893

BARROW, SANDRA M
69-42124

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

BEANE, AMANDA M
69-41856

BEEBE, AMANDA L
69-41927

BEEBE, DOUGLAS A
69-41926

BEMISDARFER, TRUDI L
69-41948

BENSON, RONALD E
69-41717

BERG, MELISSA J
69-42190

BLEHM, JOHN W
69-41946

BLOOM, JOSHUA M
69-41960

BOESCH, LIWEN
69-41984

BOLTON, ROBERT E
69-41896

BORN, IRENE A
69-41661

BRACKMAN, ANDREW L
69-41941

BRANDEBERRY-Q, DONIVAN L
69-42101

BREWER, DEBORAH A
69-42168

BROWDER, SHIHAHN
69-42170

BROWN, CASEY L
69-42163

BROWNE, FREDERICK H
69-41890

BROZEK, KATHRYN M
69-42125

BURTON, CHERYL L
69-42053

BURTON, TERESA L
69-41804

CAAYAMAN, MARIQUIT V
69-41823

CADWELL, CHARLES
69-41796

CADWELL, JARED D
69-42184

CALHOUN, ASHLEY S
69-41847

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

CALLAWAY, KIPSON S
69-41812

CARLSON, KILEY J
69-41833

CARPENTER, LESLIE Y
69-41886

CARRENO, DEBORA J
69-41986

CARTER, ASHLEY H
69-42174

CATAPANO, MICHAEL J
69-41827

CAUSEY, DAVID A
69-42141

CEBALLOS, SAM W
69-42108

CHEN, JAMES
69-41980

CHUN, DENNIS K
69-42061

CLARK, DAWN L
69-41955

CLINE, KRYSTLE M
69-41892

COLE-SMITH, PAYTON S
69-42066

COOPER, CHRISTINE M
69-42118

COWLES, EMILEE M
69-41682

CRAMER, ANDREW R
69-41912

CULVER, SHAYLIE E
69-41854

CUMIFORD, MICHELLE L
69-41845

CYR, JUSTIN A
69-42036

DALRYMPLE, CHRISTIAN T
69-41797

DANGLEIS, DAVID S JR
69-42013

DANIELSON, PATRICIA A
69-42179

DAVIES, JANICE M
69-41880

DEAN, MARVIN J JR
69-42026

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COWLITZ INDIAN TRIBE

DEL ROSARIO, VALISSA LYN D
69-41836DEXTER, CATHLEEN R
69-41811DEYOTT, RACHEL A
69-41982DODGE, CHRISTY J
69-42104DOUCETTE, DAVID B
69-41818DUART, DAYNA D
69-42105DUFFEY, JENNIFER L
69-42056DURAND, STEVEN P
69-41987DURLING, TEELA M
69-42130EARL, ALLEN R
69-42117EASTER, PAMELA R
69-41954EHRHORN, LAKEN K
69-42111ELLING, MARY ROSE
69-41972EVERTS, DONNY L
69-41958EWALD, RYAN H
69-42167FELIX RHINE, JASON D
69-42171FIFE, BRIAN L
69-42120FLEMING, EUREKA N
69-42031FLOWERS, JEFFREY R
69-41807FLOWERS, NATALIE A
69-41806FOGARTY, WILLIAM E
69-41903FOHR, TAYLOR L
69-42103FOX, AMBER D
69-42017FRIGON, BRANDI A
69-42033

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NEW APPLICATIONS**CLASS III GAMING EMPLOYEE**

COWLITZ INDIAN TRIBE

FUGATE, DEAN W
69-42129GAGNER, CRAIG W
69-42182GALIOTO, JONATHAN D
69-41846GALLUP, BREE E
69-41909GASH, DAVID D
69-41920GERARDO, RANDIE L
69-41981GOFF, ANNA M
69-41940GONZALEZ RUELAS, CHRISTIAN E
69-42038GRATRIX, ROSSANA P
69-42025GRAY, EUGENE S
69-42055GREENAWAY, MARK J
69-41919GRINDEAN, GRIGORE
69-41894GROCE, SAMANTHA C
69-42047GROVER, KRYSTAL F
69-41898GUTHRIE, SHANE C
69-41760HADLEY, TIFFANY A
69-41887HALL, NICHOLAS H
69-41923HALLER, MATTHEW S
69-41878HANDY, DUSTIN J
69-41905HENDERSON, JOSEPH A
69-42064HENDERSON, LISA M
69-42065HERNANDEZ, JENNA R
69-41943HICKS, ANTHONY D
69-42189HIETT, KIRSTEN P
69-41799

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

HILL, MORGAN L
69-42029

HISTED, JAMES R
69-41830

HOGSETT, CARA M
69-41961

HOLT, JENNIFER R
69-41749

HOPPE, EMILY W
69-42133

HORNE, GERALDINE M
69-41971

HUDSON, ROYLETHA A
69-41900

HUGDAHL, BRENDA L
69-28609

HUNTER, JOSHUA C
69-41911

IHANDER, FREDERICK P
69-41819

JACOX, SAMANTHA R
69-41676

JAMES, DAVID B
69-41970

JASPER, KIMBERLY D
69-41798

JENKINS, DESIREE F
69-42051

JENNINGS-GEORGE, ANGELA A
69-41915

JOHNSON, FRANKLIN J
69-41945

KAILING, MELISSA A
69-41817

KAMMLER, JANICE M
69-42142

KELLY, WHITNEY A
69-42181

KINSMAN, KIMBERLEY A
69-42032

KNAPP, CARL W II
69-42136

KOHL, APRIL A
69-41815

KOHLMAN, TANIA M
69-41852

LANFORD, BENJAMIN N
69-42121

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

LANGAN, CATHERINE D
69-41742

LARKINS, RENEE H
69-42132

LEAKE, JOSHUA A
69-41962

LECKINGTON, CHAD E
69-41877

LEE, ROBERT N
69-42012

LEITZELL, DUSTIN E
69-42186

LELO, SAMANTHA P
69-42027

LEONTI, DEANNA L
69-42134

LEV DEN, KRYSTAL L
69-41974

LEWTON, LEVI S
69-41879

LOGUE, MELODY K
69-41964

LOPEZ, GABRIEL J
69-42139

LUKESH, PETER N
69-41834

LUSBY, DEAN R
69-41921

LUTZ, SAMUEL A
69-41947

LYNCH, JULIE A
69-41816

LYNCH, PATRICIA E
69-41855

MAGMER, CHRISTINA M
69-41848

MALVAEZ, JESSICA M
69-41822

MARASSE, GINGER K
69-41832

MARQUEZ, SUMILKA A
69-41826

MARTIN, ADRIENNE D
69-41944

MATHENEY, AMBER L
69-41664

MATIAS, EDWARD M IV
69-42122

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NEW APPLICATIONS**CLASS III GAMING EMPLOYEE**

COWLITZ INDIAN TRIBE

MATOUSEK, WHITNEY R
69-42126MCCALLUM, CHRISTIE L
69-41925MCCARLEY, CLIFTON C
69-42102MCCARTY, DANA M
69-42138MCCUAN, KRISTINA L
69-42023MCDONALD, CANDY L
69-41883MCKETHAN, DEANNA R
69-41897MCNAMARA, SHAWN W
69-42116MCNERNEY, JENNIFER A
69-42187MEADOWS, BETTINA R
69-41977MELTON, MICHELLE E
69-41820MENDOZA, BRENDA L
69-42172MENDOZA, GUSTAVO B
69-42042MEYER, LINDA A
69-41942MEYER, MICHAEL R
69-41969MICHEL, AMANDA R
69-42014MILLER, APRIL M
69-42058MITCHELL, GREGORY D
69-41842MITCHELL, LINDLE L
69-41824MJELDE, MADISON P
69-41975MOHAR-QUATERMAS, JAMILYN M
69-41983MOHR, HILLEARY C
69-41949MOORE, ETHEL C
69-41916MOORE, THOMAS J
69-41917

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COWLITZ INDIAN TRIBE

MORFIN, CESAR M
69-42022

MORNINGSTAR, LOCHLAN A
69-41821

MORRIS, NATASHA S
69-42050

MORRIS-PINSON, HANNA M
69-42045

MORROW, CONNOR M
69-42183

MORROW, MARIAH R
69-41803

MOUA, MACY N
69-41924

MULARGIA, MONICA
69-41976

MURRAY, SEAN G
69-42165

NAUDON, MICHAEL G
69-42107

NELSON, PARKER D
69-42039

NELSON, SCOTT R
69-41902

NGUYEN, ALEXANDER S
69-41662

NGUYEN, JEREMY V
69-41985

NIX, WILLIAM A
69-42067

NOLAN, PRESTON S
69-41959

NOVAK, DIANE M
69-42035

NOVAK, JOELLEN C
69-41922

OCHOA, MADISON A
69-42114

ORNELAS, SHARON L
69-42024

ORTIZ, SEBASTIAN J
69-42030

O'SULLIVAN, WENDY M
69-41952

OVERBYE, BRYANA L
69-41724

PALERMO, CHRISTOPHER J
69-42112

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

PALMER, KANNVY K
69-41814

PARK, PATRICIA A
69-41904

PENNEY, CHRISTOPHER R
69-41733

PERCIFIELD, CHRISTIAN P
69-41906

PEREZ, LISA M
69-42106

PERSELS, PEGGY L
69-41715

PETERSEN, CARLY R
69-42049

PETERSON, BRENDAN N
69-42137

PETERSON, HOLLY K
69-42048

PETIT, JOSEPH E
69-41901

PLAZA, BREANNA F
69-41907

PORTER, DAVID P
69-41963

PORTER, SANDRA Y
69-41967

PRATT, WOODLEY W
69-41950

PREUSS, KENDRA T
69-42188

QUARTERMAN, JOSEPH S
69-41895

RADER, KELA A
69-41888

RAFFELSON, JENNA D
69-42109

RAMOS, MARIA
69-42131

RAMOS, REYNARD S
69-42177

RATLIFF, BRENDA S
69-42021

RATOWSKI, DAVID W
69-42135

RAYMOND, RAMONA A
69-41968

REIMANN, TONYA C
69-41953

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

REYNOLDS, JILL L
69-41965

RHINEHART, JEROD D
69-41802

RICHARDSON, KARA J
69-41957

ROBINSON, JESSICA L
69-41910

ROBLES RIVERA, LUIS A
69-41825

RODRIGUEZ, WENDY H
69-42018

ROGERS, HAYLEE E
69-42019

ROGERS, LINDSEY JR
69-41754

ROLAND, DESIREE M
69-41726

ROSE, LISA F
69-00331

ROSELLE, BRADLEY N
69-41801

ROSS, PATRICIA C
69-42062

RUSH, ROBERT W
69-41918

SCHLANGEN, RONALD J
69-42020

SEDA, ASHLEY L
69-42140

SHAFER, MADISON L
69-41808

SHERMAN, CHRISTA L
69-41831

SILVA, TIMOTHY A
69-41840

SIN, KRISTINA A
69-42043

SMITH, BRITTNEY G
69-42046

SMITH, KAREN L
69-42015

SMITH, TERESA L
69-42113

SOUVANNAKASY, PHITSAMAY
69-06637

SPRACHER, BRANDON J
69-41876

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

SPREADBOROUGH, MARGARGET E
69-42040

STACK, SAMUEL E
69-41881

STADTFELD GREY, TAMMY A
69-41853

STEVENSON, JEROT L
69-41966

STEWART, LINDA K
69-41951

STRANO, NIKI L
69-42057

STROUP, ANTHONY D
69-42169

SULLIVENT, DIANE P
69-41988

SWANSON, CAROLYN J
69-42054

SZESCILA, RAYMOND E JR
69-41838

TEESDALE, DENISE M
69-41899

TERESKCHENKO, KATHRINE S
69-42123

THIEL, GERALD T JR
69-42175

TRAN, LAURA T
69-42119

TROXEL, MISTY A
69-41794

TVERBERG, MELISSA M
69-42028

TYLER, MARISSA J
69-42180

U'REN, BARBARA R
69-42115

URQUHART, KARAH A
69-41735

VANRIPER, KAYLA K
69-42185

VICTORIANO, EMELYN M
69-41978

VICTORIANO, KAERELS D
69-41841

VICTORIANO, MICHAEL M
69-41829

VILHAUER, LAURA E
69-42037

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CLASS III GAMING EMPLOYEE

COWLITZ INDIAN TRIBE

VIOLA, ABIGAIL
69-41837

VOGEL, LISA G
69-42041

WACKER, TRACY L
69-41885

WALLACE, QUWONTAE J
69-41956

WALZ, CURT R
69-42178

WANN, JORDAN L
69-41884

WASTRADOWSKI, PHILLIP J
69-41889

WEBSTER, MADISON L
69-41908

WELLS, BRYANNA L
69-42034

WHITFIELD, QUINTA A
69-41755

WILBER, BRADLEY M
69-41914

WILLIAMS, CARSON D
69-32204

WILLIAMS, HEATHER K
69-41843

WILLIAMS, PEGGY A
69-42110

WIRKKALA, CHRISTOPHER A
69-41805

WOOD, ALISHA N
69-42060

WOODARD, PAIGE R
69-41683

WOODS, REBEKAH S
69-41913

WRICK, JORDAN A
69-41882

WYATT, CARMELLA J
69-41716

YU, WING CHUEN
69-42052

ZIEMBA, SHELLISA M
69-42044

ZWERLE, JESSICA M
69-41973

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NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

JAMESTOWN S'KLALLAM TRIBE

BRUNNER, SOFIE F
69-42148

OWENS, CHRISTINA R
69-41868

KALISPEL TRIBE

GARCIA, SUSANA O
69-41931

MARCUS, MICHAEL J
69-41933

PALMANTEER, DALLAS E
69-30370

PIPER, ANDREW W
69-25776

SMITH, JULIANN M
69-42144

STARK, OLGA J
69-41932

VANKEUREN, BRIDGET K
69-41934

VAZQUEZ GONZALEZ, JUAN A
69-42145

LUMMI NATION

BROWN, JAMES L JR
69-42097

CAFARELLA, SARAH K
69-21436

CAI, HONG L
69-42003

DIXON, MONICA L
69-41761

JEFFERSON, GERALD M
69-42098

PERKINS, SARAH H
69-42004

PLASTER, CLARA I
69-42005

RODRIGUEZ, ADRIANA
69-42159

STOCK, CASEY A
69-21979

MUCKLESHOOT INDIAN TRIBE

NGUYEN, MIKE V
69-41780

OSOTEO, HENRY L
69-01743

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

MUCKLESHOOT INDIAN TRIBE

SARIM, CHHANNARY
69-41775

TWINN, WALTER D JR
69-42152

NISQUALLY INDIAN TRIBE

MUNOZ, KIMBERLY J
69-41705

VILLAVICENCIO, JOHANN A
69-41869

PORT GAMBLE S'KLALLAM TRIBE

CHADWICK, CASSIE R
69-41998

CUTLER, JENNIFER J
69-42001

DIBARTOLOMEO, JULIA L
69-42000

DOLEMAN, LORRAINE M
69-25844

DOVRE, RYAN G
69-41993

FULTON, SARAH J
69-41994

JONES, DREMA A
69-42070

KELLNER, ERRON W
69-41995

NARTE, ANGELINE M
69-41997

OLSEN, CHERRY C
69-32559

TALMADGE, TIMOTHY ANDREW F
69-41996

PUYALLUP TRIBE OF INDIANS

AQUINO, JOSE FLOR A
69-41935

BRADLEY, CHEAYLA J
69-42069

ENRIQUEZ, DANILO D
69-42162

KIDDER, PATRICK M
69-41866

MCKENZIE, ROGER
69-41861

PAGEL, AMANDA N
69-41991

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

PUYALLUP TRIBE OF INDIANS

PLETCHER, RILEY P
69-42150

RODRIGUEZ, PASCUAL
69-16003

ROQUE, JEREMY S
69-41862

TAYLOR MCCLOUD, SI-AB A
69-09785

QUINAULT NATION

BELZ, ELIZABETH K
69-32321

FREEBY, JAIME S
69-42084

GARMAN, ELIZABETH M
69-41989

HAIRE, AUDIE L
69-42085

POUKKULA, MICHAEL R
69-11568

RUIZ GARCIA, RUPERTO S
69-42160

SKOKOMISH TRIBE

SALISBURY, HEATHER C
69-41701

SOLANO, JOSEPH R
69-41702

SPOKANE TRIBE

HARMON, ANGELA M
69-29829

KEEDY, RACHEL J
69-41634

LONG, KENNETH B
69-42099

MICHAEL, KAYLEE E
69-42007

WALTERS, JAMES M
69-42006

WATERS, SUSAN M
69-42143

SQUAXIN ISLAND TRIBE

BOE, GARY E
69-20485

COLEY, KIRA N
69-41929

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

SQUAXIN ISLAND TRIBE

FITZGERALD, O'NEAL W
69-42095

KUNTZ, ELIZABETH A
69-42094

PUHN, ROBERT P
69-41773

RANSOM, CHARLES E
69-42093

STILLAGUAMISH TRIBE

GIPE, LAURA M
69-15070

KARLBURG, JOHNATHAN M
69-42204

LONGANECKER, OLGA V
69-42087

MARQUEZ DIAZ, HARVEY
69-42205

PETERS, ANNE L
69-42068

WILLIAMS, TAYLOR J
69-41930

SUQUAMISH TRIBE

BRATT, MARLEY A
69-42076

FOGELBERG, RYAN J
69-42077

MORELLO, MICHAEL E
69-42149

SORIANO, ERIC JASON D
69-42212

SWINOMISH INDIAN TRIBAL COMMUNITY

BERMUDEZ, MARIA E
69-42002

CRAHAN, JORDAN P
69-41992

CREELMAN, PAUL J
69-22706

GOOD, LOREE A
69-39527

SHANNON, DEVON L
69-42191

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

THE TULALIP TRIBES

ALBIN, GERRIT P
69-41700

CHOY, ANDY G
69-28810

HELMICK, JASON C
69-23295

KNUTSON, NELSON K
69-42016

LEWIS, MILTON O
69-37523

MALKINSON, MARION C
69-10478

PRUITT, BRENNAN T
69-42196

ROBERTS, CHRISTOPHER D
69-33009

SCHUMAKER, CHRISTOPHER M
69-37294

STABACK, SANDRA
69-42059

STUBBLEFIELD, BLAKE A
69-41864

THOMAS, BEVERLY A
69-00864

UPPER SKAGIT INDIAN TRIBE

CROXTON, JEFFREY A
69-42211

FURLONG, NIKKI O
69-41990

LATIMER, TUCKER A
69-42210

MENDOZA, ERICA C
69-42209

OCONNOR, HEATHER M
69-42146

PURPURA, MONIKA
69-42147

YAKAMA NATION

ATKINS, IDA JO M
69-42161

BENNETT, TESIA L
69-34883

CURTIS, ADRIENNE F
69-42081

DAVE, CHARLES L
69-42158

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

YAKAMA NATION

DAVIS, KYLE J
69-41778

EAGLE SPEAKER, MERLE W
69-42078

GARDEE, TANARA A
69-41777

GOMEZ, CECILIA M
69-42151

GREGG, TIERA L
69-27686

JIM, JACOB D
69-42157

JOHNSON, IRENE M
69-42156

LOGIE, JASON A
69-33740

NICOLAS, FIDENCIO F
69-42080

PAUL, LUSHY E
69-41865

SENATOR, DELORA J
69-41776

TAKHEAL, SHOSHANNA R
69-42154

TONEY, JULIE A
69-42153

WAHCHUMWAH, SHANEE P
69-41637



COMMISSION APPROVAL LIST
(Class III Gaming Employees)

May 2017

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SNOQUALMIE CLASS III GAMING EMPLOYEE	1

PAGES: 1

Based upon the licensing investigations, staff recommends approving all new Class III employees listed on pages 1.

PERSON'S NAME

LICENSE ISSUE NUMBER

NEW APPLICATIONS

CLASS III GAMING EMPLOYEE

SNOQUALMIE TRIBE

ASAD SANGABI, ALI REZA
69-42197

BERNLOEHR, GORDON L
69-31159

BEST, WENDY M
69-41857

BRALLEY, MATTHEW A
69-42082

CLARK, KEVIN L
69-42089

HUYNH, JULIE C
69-42199

KORSMOE, KIM R
69-41858

SCHECTERSON, RYAN G
69-41860

SHAW, AMBER L
69-30077

SMITH, MARY F
69-42090

THORNTON, LISA M
69-42091

YEUNG MA, SHA WAI
69-42200



STATE OF WASHINGTON
GAMBLING COMMISSION

“Protect the Public by Ensuring that Gambling is Legal and Honest”

May 2, 2017

TO: **COMMISSIONERS** **EX OFFICIO MEMBERS**
Bud Sizemore, Chair Senator Steve Conway
Julia Patterson, Vice-Chair Representative Brandon Vick
Kelsey Gray, Ph. D. Representative David Sawyer
Christopher Stearns Senator Lynda Wilson
Ed Troyer

FROM: Brian J. Considine, Legal and Legislative Manager

SUBJECT: **LEGISLATIVE UPDATE**

Bill Summaries

The Legislature adjourned on April 23, 2017 and the Governor called a special session on April 24, 2017. The special session will go until May 23, 2017. Below is a summary of relevant bills that made it to the Governor.

Agency Request Legislation

1. HB 1274, Concerning the voting member requirement for charitable and nonprofit organizations

HB 1274 was voted out of the Senate 49-0 on April 11, 2017. It was then signed into law by the Governor on April 27, 2017. It will go into effect on July 23, 2017.

2. HB 1475, Clarifying the limited authority of gambling commission officers

HB 1475 was passed out of the Senate 41-8 on April 11, 2017. It was then signed by the Governor into law on April 25, 2017. It will go into effect on July 23, 2017.

Agency Adjudicative or Rule-making Authority Bills

3. 2SHB 1120, Concerning the regulatory fairness act

2SHB 1120 implements State Auditor recommendations to Small Business Economic Impact Statements (SBEIS) used in agency rule-making. It passed out of the Senate 49-0 and was signed by the Governor on April 19, 2017. We expect that it will have a positive impact on how we develop SBEIS for future agency rules. It will go into effect on July 23, 2017.

State Government Bills

4. HB 1717, Concerning state agency collection, use, and retention of biometric identifiers

SHB 1717 relates to biometric identifiers, including fingerprints. The bill requires an agency to provide notice of collecting a biometric identifier (fingerprints) and obtain consent before taking a biometric identifier. An agency also cannot sell the identifier and provides restrictions on the use, sharing, review and retention of these identifiers. Lastly, it exempts biometric identifiers from the Public Records Act.

The bill passed the House 97-1 and the Senate 49-0. It has been delivered to the Governor, but it has not been signed yet. This bill impacts the agency because we currently collect and run fingerprints in our licensing and employment processes. The FBI, through the Washington State Patrol, has requirements on how we collect and manage fingerprints in our licensing process. We also have procedures for our hiring process.

General law enforcement agencies were exempted from the bill but not limited law enforcement agencies. We have some concerns because this bill adds additional procedures to our licensing process and possibly impact how we obtain criminal history information for our law enforcement activities. Several agencies, including the Attorney General's Office (AGO), Department of Corrections, and Liquor and Cannabis Board, have expressed similar concerns. The Governor's Office and Prime Sponsor Representative Norma Smith held a workgroup on May 1, 2017 to discuss the agencies' concerns. A technical fix can likely happen and the AGO is taking the lead on drafting legislation that can hopefully be passed during the special session.

Public Meeting / Public Disclosure Bills

5. ESHB 1594, Improving public records administration

ESHB 1594: (1) identifies the topics the current Public Records Officer training should cover; (2) clarifies how agencies can respond to unclear records requests under the Public Records Act (PRA); (3) requires a feasibility study on a statewide records portal that all public records requests and disclosures would go through; (4) defines records held by certain volunteers as records not disclosable under the PRA; (5); requires agencies to keep a PRA log and identifies the information it should contain; (6) requires agencies with PRA staff and legal costs of more than \$100,000 annually to report certain information to JLARC; and (7) other public records assistance for local governments.

The bill passed the Senate 40-7-2 with amendments and the House concurred on final language 80-18 on April 17, 2017. It has been delivered to the Governor for signature. We are still determining how the agency is impacted by (6) above, but it will likely create more work for staff when tracking PRA requests and disclosures. The remaining aspects of the bill should have either a positive or no impact to the agency.

6. EHB 1595, Concerning costs associated with responding to public records requests

EHB 1595: (1) requires agencies to go through agency rule-making procedures before it publishes a schedule of costs for producing public records; (2) allows agencies to charge for providing electronic copies of public records and sets a default fee schedule for such records; (3) authorizes a customized service charge for locating and preparing public records for exceptional complex requests; (4) provides that a request for all or substantially all agency records is not a valid request for identifiable records under the Public Records Act; and (5) allows agencies to deny frequent automatically generated requests for public records that would interfere with the other essential functions of the agency.

The bill passed the Senate 43-4-2 with amendments and the House concurred on final language 80-18 on April 17, 2017. It has been delivered to the Governor for signature.

Clarification of what an agency can charge a requestor when producing paper or electronic records is a positive impact, but the agency will likely need to publish a rule identifying the charges should it decide to charge for the production of paper or electronic records. The remaining aspects of the bill should have either a positive or no impact to the agency.

7. Budget Bills

The state budget outlook remains the same. We continue to expect the Gambling Revolving Account to be left whole, and we do not expect general fund appropriations for the agency at this time. I will continue to monitor the budget negotiations during the special session(s) and will update you on any changes as they become available.

8. 2018 Agency Request Legislation

It is time to start considering topics for 2018 agency request legislation. My hope for our May Commission Meeting is to have a short conversation with you on topics you would like staff to explore for possible legislation during the 2018 legislative session. Once I've collected your ideas, I will conduct a preliminary outreach to our stakeholders for their input.

I will have more concrete proposals for your consideration at our July Commission Meeting. Then, we will need to have you vote at the August or September Commission meeting on final agency request legislation to be submitted to the Governor's Office. Submissions to the Governor's Office are usually due the middle of September.



STATE OF WASHINGTON
GAMBLING COMMISSION

“Protect the Public by Ensuring that Gambling is Legal and Honest”

April 28, 2017

TO: COMMISSIONERS:
Bud Sizemore, Chair
Julia Patterson, Vice Chair
Kelsey Gray, Ph.D.
Christopher Stearns
Ed Troyer

FROM: Haylee P. Mills, Staff Attorney
Legal and Records Division

SUBJECT: Christina R. Jimenez, CR 2016-01329
Final Order – May 12, 2017 Commission Meeting

Ms. Christina R. Jimenez has a gambling license authorizing Public Card Room Employee activity at Wizard’s Casino in Burien, Washington. Her license expires on June 9, 2017.

Ms. Jimenez has been a licensed card room employee and was the subject of administrative charges in 2008 after she failed to disclose her criminal history, and didn’t quash warrants for her arrest after being instructed to do so by the Commission. Ms. Jimenez eventually allowed her license to expire.

In 2011, Ms. Jimenez obtained a new license. In 2015, she disclosed active warrants for her arrest with her renewal application. She again failed to quash warrants as instructed by the Commission. In June 2016, Ms. Jimenez failed to disclose new charges of Driving While License Suspended Third Degree and Operating Motor Vehicle Without Insurance, and a new active warrant for her arrest.

Also in June, 2016, Ms. Jimenez was charged with Theft Third Degree in another court, and in July a warrant was issued for her failure to appear. In September, a new warrant was issued for her prior charges.

Director Trujillo issued Notice of Administrative Charges on January 31, 2017. Ms. Jimenez was sent the charges by regular and certified mail to her last known address on February 2, 2017. The mailings were returned as undeliverable and, as of the date of this memo, the Commission has not received a response from Ms. Jimenez.

As Ms. Jimenez did not respond to the charges or request a hearing, Ms. Jimenez has waived her

Default Hearing – Christina R. Jimenez

May 12, 2017

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right to a hearing in Case No. CR 2016-01329, and you may enter a final order revoking her gambling license.

Based on her unlawful actions, including a pending theft charge, Ms. Jimenez cannot show by clear and convincing evidence that she is qualified to keep her gambling license. Therefore, staff recommends that the Commission sign the proposed final order and revoke Christina Jimenez's Public Card Room Employee license, License Number 68-09118.



STATE OF WASHINGTON
GAMBLING COMMISSION

“Protect the Public by Ensuring that Gambling is Legal and Honest”

April 28, 2017

TO: COMMISSIONERS:
Bud Sizemore, Chair
Julia Patterson, Vice Chair
Kelsey Gray, Ph.D.
Christopher Stearns
Ed Troyer

FROM: Haylee P. Mills, Staff Attorney
Legal and Records Division

SUBJECT: Chanthoern Sath, CR 2016-00234
Final Order – May 12, 2017 Commission Meeting

Ms. Chanthoern Sath had a gambling license authorizing Public Card Room Employee activity at Roxy’s Casino in Seattle, Washington. Her license expired on February 20, 2017.

Ms. Sath was involved in a cheating scheme at the Macau Casino in December, 2015, and January, 2016. Ms. Sath conspired with four other people, including her husband, Khamphone Mounkhoun, who was a shift manager at the Macau, to cheat at mini baccarat at Macau Casino.

On at least six different occasions, a dealer at the Macau and Ms. Sath’s husband, conspired to place pre-arranged cards into the dealing shoe at the table where Ms. Sath and two other co-conspirators were playing mini baccarat. At the table, Ms. Sath’s husband cleared error messages on the shuffler indicating insufficient cards to be shuffled. Ms. Sath then played mini baccarat and placed large winning bets for herself, as well as token bets for the dealer involved in the conspiracy. The two additional co-conspirators also placed large winning bets during the play involving the pre-arranged cards.

The casino lost \$20,900 as a result of Ms. Sath’s cheating.

Ms. Sath also under-reported tips while working at Roxy’s Casino in October, 2015. She reported having received \$89 when in fact, she received \$189. This was her second violation for under-reporting of tips—she received a Notice of Violation and Settlement for the same conduct in 2013.

A Notice of Administrative Charges was issued January 31, 2017. Ms. Sath was sent the charges by regular and certified mail to her last known address on February 3, 2017. As of the date of this memo, the Commission has not received a response from Ms. Sath.

Default Hearing – Chanthoeurn Sath

May 12, 2017

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As Ms. Sath did not respond to the charges or request a hearing, Ms. Sath has waived her right to a hearing in Case No. CR 2016-00234, and you may enter a final order revoking her gambling license. Based on her unlawful actions, Ms. Sath cannot show by clear and convincing evidence that she is qualified to keep her gambling license. Therefore, staff recommends that the Commission sign the proposed final order and revoke Chanthoeurn Sath's Public Card Room Employee license, License Number 68-13522.



STATE OF WASHINGTON
GAMBLING COMMISSION

“Protect the Public by Ensuring that Gambling is Legal and Honest”

April 28, 2017

TO: COMMISSIONERS:
Bud Sizemore, Chair
Julia Patterson, Vice Chair
Kelsey Gray, Ph.D.
Christopher Stearns
Ed Troyer

FROM: Haylee P. Mills, Staff Attorney
Legal and Records Division

SUBJECT: Khamphone Mounkhoun
Final Order – May 12, 2017 Commission Meeting

Mr. Khamphone Mounkhoun has a gambling license authorizing Public Card Room Employee activity at the Macau Casino in Lakewood and Roxy’s Bar & Grill in Seattle, Washington. His license expires on September 14, 2017.

Mr. Mounkhoun was involved in a cheating scheme at the Macau Casino in December, 2015, and January, 2016. Mr. Mounkhoun conspired with four other people, including his wife, Chanthoern Sath, and a dealer at the Macau, to cheat at mini baccarat at Macau Casino. Mr. Mounkhoun was working as a shift manager at the time.

On at least six different occasions, Mr. Mounkhoun placed pre-arranged cards into the dealing shoe at the table where his wife and two other co-conspirators were playing mini baccarat. He cleared error messages on the shuffler indicating insufficient cards to be shuffled, and partially blocked the indicators from being seen by surveillance. The dealer at the table would then hold the pre-arranged cards back so that the shuffled cards could be placed into the shoe behind them. Mr. Mounkhoun’s wife and two other co-conspirators then played mini baccarat and placed large winning bets, as well as token bets for the dealer involved in the conspiracy.

On one of the dates, Mr. Mounkhoun was observed by surveillance putting cards into his pocket and going into the restroom for an extended period of time. Upon his return to the table, pre-arranged cards were observed in the front of the dealing shoe.

The casino lost \$20,900 as a result of Mr. Mounkhoun’s unlawful actions.

Default Hearing – Khamphone Mounkhoun

May 12, 2017

Page 2

On January 8 and 16, 2016, Mr. Mounkhoun was also working as a shift manager when he approved overstatements on table inventory slips.

A Notice of Administrative Charges was issued March 22, 2017. Mr. Mounkhoun was sent the charges by regular and certified mail to his last known address on March 22, 2017. As of the date of this memo, the Commission has not received a response from Mr. Mounkhoun.

As Mr. Mounkhoun did not respond to the charges or request a hearing, Mr. Mounkhoun has waived his right to a hearing in Case No. CR 2016-00233, and you may enter a final order revoking his gambling license. Based on his unlawful actions, Mr. Mounkhoun cannot show by clear and convincing evidence that he is qualified to keep his gambling license. Therefore, staff recommends that the Commission sign the proposed final order revoking Mr. Mounkhoun's Public Card Room Employee license, License Number 68-11209.



Petition for Rule Change
New or Amended Rules to be Determined

May 2017 – Request to Initiate Rule-Making

Tab 6: May 2017 Commission Meeting Agenda.	Statutory Authority 9.46.070
Who Proposed the Rule Change?	
John McNutt, Fortune Casino, a 12 table house-banked card room in Tukwila.	
Describe the Petitioner’s Request and Staff’s Position	
<p>Mr. McNutt submitted a petition for rule change to allow licensees to purchase pre-shuffled cards from a licensed manufacturer to be used for Baccarat. The petitioner did not submit any rule language with his petition.</p> <p>Staff have talked to the petitioner about working with him, other house-banked card room licensees and licensed card manufacturers to develop the:</p> <ul style="list-style-type: none"> • Process staff would take to verify the integrity of the manufacturing process of pre-shuffled cards, which would include such things as documenting the manufacturer’s procedures to ensure pre-shuffled decks are complete, free of any markings, and are in a random order; • Internal controls a house-banked card room would be required to have prior to using pre-shuffled cards; • Rule(s) needed to allow pre-shuffled cards be used for playing Baccarat; and • Rule(s) outlining the quality control standards card manufacturers would need to follow. <p>The petitioner has agreed to this process.</p>	
Staff Recommendation	
<p>Under the requirements of the Administrative Procedure Act, the Commission must take action on a petition within 60 days of receiving it. Your options are:</p> <ol style="list-style-type: none"> 1) Initiate rule-making proceedings. After working with the stakeholders as outlined above, staff would bring rules to you to file for further discussion, which would also require a vote; 2) Deny the petition in writing, stating the reasons for the denial and specifically address the concerns stated in the petition. If appropriate, the Commission must indicate alternative means by which the agency will address the concerns raised in the petition; or you could also, 3) Propose an alternative version of the rule. 	



PETITION FOR ADOPTION, AMENDMENT, OR REPEAL OF A STATE ADMINISTRATIVE RULE

Print Form

In accordance with [RCW 34.05.330](#), the Office of Financial Management (OFM) created this form for individuals or groups who wish to petition a state agency or institution of higher education to adopt, amend, or repeal an administrative rule. You may use this form to submit your request. You also may contact agencies using other formats, such as a letter or email.

The agency or institution will give full consideration to your petition and will respond to you within 60 days of receiving your petition. For more information on the rule petition process, see Chapter 82-05 of the Washington Administrative Code (WAC) at <http://apps.leg.wa.gov/wac/default.aspx?cite=82-05>.

CONTACT INFORMATION *(please type or print)*

Petitioner's Name John McNutt
Name of Organization Fortune Tukwila D.B.A. Fortune Casino
Mailing Address Interurben Ave S.
City Tukwila State Wa Zip Code _____
Telephone 253-405-0816 Email john.mcnutt@tilgaming.com

COMPLETING AND SENDING PETITION FORM

- Check all of the boxes that apply.
- Provide relevant examples.
- Include suggested language for a rule, if possible.
- Attach additional pages, if needed.
- Send your petition to the agency with authority to adopt or administer the rule. Here is a list of agencies and their rules coordinators: <http://www.leg.wa.gov/CodeReviser/Documents/RClist.htm>.

INFORMATION ON RULE PETITION

Agency responsible for adopting or administering the rule: _____

1. NEW RULE - I am requesting the agency to adopt a new rule.

The subject (or purpose) of this rule is: _____

The rule is needed because: _____

The new rule would affect the following people or groups: _____

2. AMEND RULE - I am requesting the agency to change an existing rule.

List rule number (WAC), if known: 230-15-115

I am requesting the following change:

Pre-shuffled 8 deck card vaults from the factory can be used on Baccarat as long as they are verified prior to dealing by WSGC approved card recognition software.

This change is needed because:

Dealers must prepare cards prior to use in a Baccarat game, which makes the casino vulnerable to card switching, cooler insertion, marked cards and card theft.

The effect of this rule change will be:

Cards become very secure and the card room is well protected against the dealers using the card preparing process to chest the casino.

The rule is not clearly or simply stated:

3. REPEAL RULE - I am requesting the agency to eliminate an existing rule.

List rule number (WAC), if known: _____

(Check one or more boxes)

It does not do what it was intended to do.

It is no longer needed because: _____

It imposes unreasonable costs: _____

The agency has no authority to make this rule: _____

It is applied differently to public and private parties: _____

It conflicts with another federal, state, or local law or rule. List conflicting law or rule, if known: _____

It duplicates another federal, state or local law or rule. List duplicate law or rule, if known: _____

Other (please explain): _____

WAC 230-15-115**Standards for cards.**

- (1) Card game licensees must:
 - (a) Supply cards of conventional size and design to maximize the integrity of the card games; and
 - (b) Safeguard all cards; and
 - (c) Not allow cards that have been modified or marked in any manner.
- (2) For Class E, Class F, and house-banked games, the cards must:
 - (a) Be made by a licensed manufacturer; and
 - (b) Be purchased from a licensed manufacturer or distributor.
- (3) Cards with the house name or logo must be used for house-banked card games.

[Statutory Authority: RCW **9.46.070**. WSR 09-11-087 (Order 648), § 230-15-115, filed 5/18/09, effective 7/1/09; WSR 07-09-033 (Order 608), § 230-15-115, filed 4/10/07, effective 1/1/08.]