



WASHINGTON STATE GAMBLING COMMISSION

LOCATION: 4565 7th Avenue SE, Lacey WA 98503
MAILING ADDRESS: P.O. Box 42400, Olympia WA 98504-2400
TELEPHONE: 360-486-3440 / FAX NUMBER: 360-486-3631
TOLL-FREE: 1-800-345-2529 / TDD: 360-486-3637
WEB SITE: www.wsgc.wa.gov

DISCLOSURE OF CORPORATE OFFICERS / STOCKHOLDERS

1. **Corporate Name:** _____

Business Mailing Address: _____

_____ City _____ State _____ Zip

_____ County UBI#: _____

Telephone: _____-_____-_____-_____-_____ FAX: _____-_____-_____-_____-_____

Cell (Optional): _____-_____-_____-_____-_____

E-Mail Address: _____

@ _____

Trade Name: _____

2. **Total Stock of Corporation:** _____ Total Shares Issued: _____

3. CORPORATE OFFICERS / STOCKHOLDERS: In the space provided, list each corporate officer and ALL stockholders.

a. Highest Ranking Officer:

Last Name: _____

First Name: _____ MI: _____

Title: _____

Social Security #: _____-_____-_____-_____-_____ Birthdate: _____-_____-_____-_____-_____

Mailing Address: _____

_____ City _____ State _____ Zip

_____ County Number of Shares Owned: _____

Percentage of Stock Ownership: _____% Date Acquired: _____-_____-_____-_____-_____

b. Treasurer:

Last Name: _____

First Name: _____ MI: _____

Social Security #: _____-_____-_____-_____-_____ Birthdate: _____-_____-_____-_____-_____

Mailing Address: _____

_____ City _____ State _____ Zip

_____ County Number of Shares Owned: _____

Percentage of Stock Ownership: _____% Date Acquired: _____-_____-_____-_____-_____

3. CORPORATE OFFICERS / STOCKHOLDERS: (Continued)

c. Chairperson of the Board:

Last Name: _____

First Name: _____ MI: _____

Social Security #: _____ Birthdate: _____

Mailing Address: _____

City State Zip

County Number of Shares Owned: _____

Percentage of Stock Ownership: _____% Date Acquired: _____

d. Stockholders:

Last Name: _____

First Name: _____ MI: _____

Social Security #: _____ Birthdate: _____

Mailing Address: _____

City State Zip

County Number of Shares Owned: _____

Percentage of Stock Ownership: _____% Date Acquired: _____

e. Stockholders:

Last Name: _____

First Name: _____ MI: _____

Social Security #: _____ Birthdate: _____

Mailing Address: _____

City State Zip

County Number of Shares Owned: _____

Percentage of Stock Ownership: _____% Date Acquired: _____

NOTE: Add additional sheets (in the format shown) as required.

YOUR APPLICATION AND THE PUBLIC RECORDS ACT

From the moment we receive your application, it becomes a public document subject to the Public Records Act (RCW 42.56) and other Washington laws. The Commission may disclose to the public, other state or federal agencies, or discuss at a public meeting all information set forth in this application and all supplemental information submitted. The Commission responds to public document requests through a Public Disclosure Request process. In the event that the Commission receives a public disclosure request regarding this application or the license file established, you may request in writing, that the Commission notify you of such request as provided in RCW 42.56.540.

CORPORATE PRESIDENT OATH OF APPLICATION

I declare under penalty of perjury, under the laws of the state of Washington, that all information provided in this application is true and complete to the best of my knowledge. I understand that untruthful, misleading, or incomplete answers whether through misrepresentation, concealment, inadvertence, or mistake, are cause for denial of an initial application or revocation of any gambling licenses currently held. I agree to notify the Washington State Gambling Commission should any information required on this application and / or on my Personal / Criminal History Statement change or become inaccurate in any way. I understand that if I fail to make such notification, it may constitute grounds for denial, suspension or revocation of my license. I further understand that should any information provided on the application change or become obsolete and / or if any criminal or civil actions are filed against me, I must inform the commission (see WACs 230-03-050, 230-03-055, 230-06-080, 230-06-085, and 230-06-090).

Last Name: |_____

First Name: |_____ MI: |__|

Title: |_____

Signature _____ Date: |__|_|-|__|_|-|__|_|

*** * * IMPORTANT * * ***

The Washington State Gambling Commission requires that ALL owners, stockholders, partners, and the spouses of those persons, MUST be listed / declared on the disclosure form and attached sheets.

Do not be confused by WAC 230-03-045 and its reference to 5% and 10% ownership. WAC 230-03-045 only provides a definition for the term "substantial interest holder". ALL owners, stockholders, partners and spouses must be listed.

WAC 230-03-045 Defining substantial interest holder. (1) "Substantial interest holder" means a person who has actual or potential influence over the management or operation of any organization, association, or other business entity.

- (2) Evidence of substantial interest may include, but is not limited to:
 - (a) Directly or indirectly owning, operating, managing, or controlling an entity or any part of an entity; or
 - (b) Directly or indirectly profiting from an entity or assuming liability for debts or expenditures of the entity; or
 - (c) Being an officer or director or managing member of an entity; or
 - (d) Owning ten percent or more of any class of stock in a privately or closely held corporation; or
 - (e) Owning five percent or more of any class of stock in a publicly traded corporation; or
 - (f) Owning ten percent or more of the membership shares/units in a privately or closely held limited liability company;
- or
- (g) Owning five percent or more of the membership shares/units in a publicly traded limited liability company; or
 - (h) Providing ten percent or more of cash, goods, or services for the start up of operations or the continuing operation of the business during any calendar year or fiscal year. To calculate ten percent of cash, goods, or services, take the operational expenses of the business over the past calendar or fiscal year, less depreciation and amortization expenses, and multiply that number by ten percent; or
 - (i) Receiving, directly or indirectly, a salary, commission, royalties, or other form of compensation based on the gambling receipts.